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Derivation of a prototype asthma attack risk scale centred on blood eosinophils and exhaled nitric oxide

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ABSTRACT

Reduction of the risk of asthma attacks is a major goal of current asthma management. We propose to derive a risk scale predicting asthma attacks based on the blood eosinophil count and exhaled nitric oxide (FeNO). Biomarker-stratified trial-level attack rates were extracted and pooled from the control arms of the Novel START, CAPTAIN, QUEST, Benralizumab Phase 2b, PATHWAY, STRATOS 1–2 and DREAM trials (n=3051). These were used to derive rate ratios and the predicted asthma attack rate for different patient groups. The resultant prototype risk scale shows potential to predict asthma attacks, which may be prevented by anti-inflammatory treatment.

INTRODUCTION

Assessment and reduction of the risk of attacks are a major goal of asthma management.¹ However, our ability to do this is limited for several reasons. First, the extent to which the risk associated with clinical characteristics is independent of the inflammatory phenotype has not been defined. Second, some acknowledged risk factors are difficult to identify and/or modify, for example, non-adherence and obesity, respectively. Third, some parameters can be modified independent of an effect on asthma attacks; for example, symptom burden improves following bronchodilator monotherapy without an effect on asthma attacks.² These limitations mean that a precise estimation of the risk of asthma attacks and the likely benefit of treatment is not possible.

Recently, five analyses of clinical trials across the spectrum of asthma severity have assessed the independent relationship between blood eosinophils, fractional exhaled nitric oxide (FeNO) and the risk of asthma attacks.^{3–7} Collectively, these studies show that the prognostic importance of these biomarkers is similar in strength and additive to the independent risk seen with more established risk factors such as a history of an attack in the last year and Global Initiative for Asthma (GINA) treatment step.⁸ In four out of the five studies, the prognostic value of blood eosinophils and FeNO was additive.^{3 5–7}

These findings suggest that the blood eosinophil count and FeNO could form the basis of a useful risk scale analogous to those that have had a large impact in cardiovascular medicine.⁹ We have explored this hypothesis by developing a prototype risk scale.

METHODS

We designed a scale presenting the modifiable risk of asthma attacks associated with blood eosinophils and FeNO on the background of the unmodifiable risk associated with GINA treatment step, a recent history of an asthma attack and the presence of less modifiable risk factors. Asthma attacks were defined as episodes of acute asthma requiring treatment with systemic steroids ≥ 3 days and/or hospitalisation.

We used control arm data³⁻⁷ from the trials described in the supplementary table (see online supplemental file 1) to derive frequency-weighted rate ratios of asthma attacks by biomarker combinations using established cut points for blood eosinophil counts and FeNO (table 1). Individual trial rate ratios were calculated as follows: [(absolute asthma attack rate for subgroup 1)×(frequency n_1)] ÷ [(frequency-weighted mean for the remaining subgroups $2-9 \times (\Sigma(n_{2 to 9}))]$. Aggregate rate ratios (rightmost column of table) were calculated as frequency-weighted means of the individual trial's rate ratios for each biomarker combination. In effect, an aggregate rate ratio is a mean fold change in the asthma attack rate for patients with that biomarker combination compared with others.

We used asthma attack rates from a US population study involving 222817 patients to derive a predicted asthma attack rate by GINA step.⁸ We further stratified by a history of an asthma attack in the last year (which we assumed increased risk by a factor of 2.8)⁸ and the presence of two or more additional potential risk factors (which we assumed increased risk by a factor of 1.3). Our estimate of the additional risk associated with two or more additional potential risk factors was based on the difference in asthma attack rates in the CAPTAIN population,⁵ who had persistent symptoms and airflow obstruction, compared with the Novel START population,⁴ who had neither.

To populate each cell of the prototype risk scale, the reference rate for GINA treatment steps 1, 2, 3, 4 and 5 was multiplied by the appropriate risk pertaining to that group for example, the figure's rightmost column's rates are calculated as [aggregate biomarker-stratified rate ratio] × [GINA treatment step-specific attack rate] × 2.8 × 1.3.

A frequency-weighted intraclass correlation coefficient (two-way mixed model for absolute agreement of single measures) and 95% CIs were computed between the predicted and observed asthma attack rates using the derivation trials in SPSS V.27.

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Brief communication

Table 1 Bio	Biomarker-stratified data and rate ratios derived from included trials	tified data	and rate r	ratios deriv	ed from inc	cluded trial:	S											
		Novel START ⁴	ART ⁴		CAPTAIN ⁵			Pooled AZ trials: Benralizumab 2t STRATOS 1–2 ⁷	Pooled AZ trials: Benralizumab 2b, PATHWAY, STRATOS 1–2 ⁷		QUEST ⁶			DREAM ³				
		Step 1 as attack in	Step 1 asthma; low risk; attack in past 12 months	Step 1 asthma; low risk; 9% with Step 4 asthma; high risk; 62% attack in past 12 months with attack in past 12 months	h Step 4 as with atta	Step 4 asthma; high risk; 62% with attack in past 12 months	isk; 62% 2 months	1% step 3 4 asthma, 4 high risk; v 12 months	1% step 3 asthma, 50% step 4 asthma, 49% step 5 asthma; high risk; with attack in past 12 months	% step asthma; in past	47% step 4 astl asthma; high ris past 12 months	47% step 4 asthma, 53% step 5 asthma; high risk; with attack ir past 12 months	47% step 4 asthma, 53% step 5 asthma; high risk; with attack in past 12 months	Step 5 ast attack in j	Step 5 asthma; high risk; with attack in past 12 months	isk; with ths	Aggregate data fo the prototype risk scale	Aggregate data for the prototype risk scale
Blood Eos (×10 ⁹ /L)	FeNO (ppb)	¥.	Attack rate‡	Rate ratio	Z	Attack rate‡	Rate ratio	ħ	Attack rate	Rate ratio	z	Attack rate	Rate ratio	z	Attack rate	Rate ratio	z	Rate ratio
<0.15	<25	18	0.05	0.98	228	0.85	0.54	199	0.58	0.81	106	0.56	0.52	23	1.98	0.76	574	0.65
	25-<50	23	0.00	0.00	40	0.10	1.11	82	0.46	0.64	35	0.62	0.61	(6)	(1.78)	(0.71)	180	0.66
	≥50	80	0.00	0.00	17	0.15	1.74	23	0.57	0.81	21	0.53	0.53				69	0.86
0.15-<0.30	<25	19	0.07	1.50	240	0.07	0.82	191	0.56	0.76	96	0.82	0.80	12	1.54	0.59	558	0.81
	25-<50	42	0.02	0.36	87	0.07	0.79	173	0.67	0.96	53	1.14	1.17	(23)	(2.70)	(1.07)	355	0.88
	≥50	32	0.01	0.24	24	0.12	1.43	52	1.29	1.93	25	0.48	0.47				133	1.16
≥0.30	<25	4	0:30	6.35	248	0.11	1.29	102	0.58	0.82	89	0.84	0.84	18	1.95	0.75	461	1.12
	25-<50	22	0.00	0.00	147	0.09	1.00	133	0.87	1.30	97	1.24	1.31	(99)	(3.08)	(1.22)	399	1.12
	≥50	51	0.13	4.40	99	0.18	2.14	107	1.01	1.53	98	1.78	2.12				322	2.29
Analysed		219	0.05	1.00	1097	0.09	1.00	1062	0.70	1.00	620	66.0	1.00	151	2.52	1.00	3051	1.00
Missing*		4			121			120			14			4			262	
Total		223			1218			1182			634			155			3313	
Aggregate ratic brackets were (Blood Eos, perij * Missing data) †For Novel STAI ‡For both the N	Aggregate ratios in bold (rightmost column) are those induded to derive the prototype risk scale: in effect, an aggregate rate ratio is a mean fold change in the asthma attack rate for patients with that biomarker combination. Numbers between brackets were extracted to calculate frequency-weighted rate ratios but were not used to derive the scale, as this analysis was stratified using only two cut points for fractional exhaled nitric oxide (FeNO <25 or ≥25 ppb). Blood Eos, peripheral blood eosinophil count; <i>n</i> , number of patients. *Missing data were excluded from analyses. *Missing data were excluded from analyses. From Nore the data of patients with a baseline FeNO of 20-<50 ppb into our 25-<50 ppb group, as the difference of 5 ppb in FeNO is not clinically relevant. #For Novel START and the pooled AstraZeneca (AZ) trials, we regrouped the data of patients with a baseline FeNO of 20-<50 ppb into our 25-<50 ppb group, as the difference of 5 ppb in FeNO is not clinically relevant. #For Novel START and the pooled AstraZeneca (AZ) trials, we regrouped the data of patients with a baseline FeNO of 20-<50 ppb into our 25-<50 ppb group, as the difference of 5 ppb in FeNO is not clinically relevant. #For both the Novel START and the pooled AstraZeneca (AZ) trials, we regrouped the data of patients with a baseline FeNO of 20-<50 ppb into our 25-<50 ppb group, as the difference of 5 ppb in FeNO is not clinically relevant.	tmost columi lculate freque ssinophil cou from analyse aled AstraZen d CAPTAIN, o	 are those ency-weights nt; n, numbe s. eca (AZ) tria nly the perconstruction 	included to c ed rate ratios er of patients. als, we regrou :entage of pa	lerive the pro but were no put were no put were no itents with or	totype risk sc t used to deri of patients w	k scale: in effect, an aggregate rate ratio is a mean fold change in the asthma attack rate for patients with that biomarker combin derive the scale, as this analysis was stratified using only two cut points for fractional exhaled nitric oxide (FeNO <25 or ≥25 ppb) the with a baseline FeNO of 20-<50 ppb into our 25-<50 ppb group, as the difference of 5 ppb in FeNO is not clinically relevant. e severe attack(s) in the 52 weeks of follow-up was reported, so we imputed the annualised rate as [−] log ₁₀ (1 − %incidence).	in aggregate s this analys FeNO of 20 in the 52 we	e rate ratio is is was stratif -<50 ppb inf eeks of follov	a mean fold fied using onl to our 25–<5 v-up was repo	change in th y two cut po 0 ppb group orted, so we	ine asthma at pints for fract , as the differ imputed the	tack rate for ional exhalec ence of 5 pp annualised r	patients wit l nitric oxid o in FeNO is ate as ⁻ log ₁	h that biomar e (FeNO <25 c - not clinically _0(1 - %incide	rker combina or ≥25 ppb). relevant. :nce).	ition. Numb	ers between

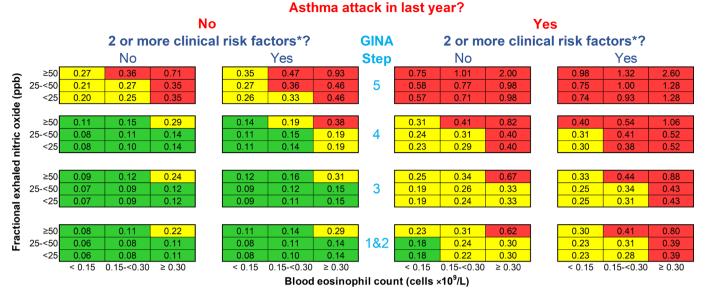


Figure 1 Prototype asthma attack risk scale. Numbers in each cell are predicted annual asthma attack rates for patients over the age of 12 if treatment is not changed. An asthma attack is an episode of acute asthma requiring treatment with systemic steroids \geq 3 days and/or hospitalisation. The blood eosinophil count is contemporaneous or the highest result in the last 12 months; fractional exhaled nitric oxide level is contemporaneous. *Risk factors are defined by the Global Initiative for Asthma (GINA) guidelines¹: poor symptom control (Asthma Control Questionnaire score \geq 1.5), low lung function (forced expiratory volume in 1 second <80% predicted), adherence issues, reliever overuse (>200 dose of salbutamol cannister/ month), intubation or intensive care unit admission for asthma previously, comorbidities (one of chronic rhinosinusitis, obesity and psychiatric disease) and environmental exposures (one of smoking, allergen and pollution).

RESULTS

The resulting prototype risk scale is shown in the figure 1: each cell represents the predicted annual asthma attack rate for a given scenario if treatment is not changed. The predicted asthma attack rates range from 0.06 to 2.60 per year; they are comparable to observed attack rates in the derivation trial control patients (intraclass correlation coefficient: 0.83 (95% CI 0.78 to 0.86)).

DISCUSSION

We designed a prototype risk scale based on trial-level data that shows potential to predict asthma attacks which may be modified by anti-inflammatory treatment. As is the case with cardiovascular risk, the relative risk associated with biomarkers was consistent across populations, but the absolute risk conferred by type 2 airway inflammation was greater in a population at higher background risk.

The fact that blood eosinophils and FeNO provide additive prognostic information is predictable, as both biomarkers provide different and complementary mechanistic information: FeNO reflects airway type 2 activity and the chemotactic pull to the airways, while blood eosinophils reflect the systemic pool of available effector cells and circulating interleukin 5.¹⁰ In contrast, symptom scores do not correlate with airway inflammation nor with airflow limitation¹⁰ and do not reliably predict exacerbations when the inflammatory phenotype is considered.¹¹

An important feature of the prototype risk scale is that it centres attention on biomarkers that are not only closely associated with the mechanism of asthma attacks but are also easily modified with therapy directed against this mechanism. For example, the excess risk of asthma attacks associated with the highest biomarker combination compared with the lowest was effectively removed by low-dose inhaled corticosteroids (ICS) in mild asthma,⁴ an increased dosage of ICS in moderate asthma⁵ and biologics in severe asthma.³ In many cases, this reduction in risk is associated with a proportionate reduction in biomarkers.

We emphasise that the proposed risk scale is a prototype and several assumptions have been made in its derivation. First, there were some inconsistencies in the relationship between FeNO and the risk of asthma attacks in the mild asthma population,⁴ which likely reflect the small sample sizes. However, a difference in the mechanism of asthma attacks or a relatively greater prognostic value of FeNO in ICS-treated patients cannot be excluded. Larger studies are required to investigate these possibilities. Second, we categorised risk factors, and since the independent risk conferred by these risk factors over and above that associated with type 2 biomarkers is unknown, we derived the multiplier for having ≥ 2 risk factors by comparing the Novel START⁴ and CAPTAIN⁵ populations. The resultant multiplier of 1.3 suggests that the independent impact of these factors is modest, but further work is needed to confirm this. Third, although the biomarker-stratified rate ratios were adjusted for each other, we concede that the other covariates were not perfectly adjusted for one another. Fourth, the prototype features categories rather than the absolute values of blood eosinophils, FeNO and clinical risk factors. We did this as this was the only data available to us. It also allowed us to tabulate risk across the spectrum of patients and biomarkers in an accessible way. This approach has been very successful in cardiovascular risk reduction, but we acknowledge that there may be better ways of representing the continuous risk associated with these factors.

We speculate that a risk scale based on this prototype could facilitate better treatment decisions by doctors and patients by providing a framework for a preventive, treatable, trait-based management. This hypothesis needs to be tested, and it is also important that the scale is refined using individual patient data from large and well-characterised populations.

Correction notice This article has been corrected since it was published Online First. The abstract has been modified.

Brief communication

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Contributors SC drafted the manuscript and developed the risk scale. AL proposed the initial concept and approved the manuscript. MJ, SR, JM and TH contributed to data analysis and interpretation and reviewed/approved the manuscript. IP is the guarantor of this publication, contributed to the writing of the manuscript and reviewed and approved the final version. All authors have accessed and verified the underlying data.

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Disclaimer The views expressed are those of the authors and not necessarily those of the NHS, the NIHR or the Department of Health.

Competing interests SC: has received a non-restricted research grant from Sanofi Genzyme for investigator-initiated type 2 innovation research and speaker honoraria from Sanofi/Regeneron, AstraZeneca and GlaxoSmithKline (GSK), all outside the submitted work. AL is an employee of Sanofi Norway. MJ has received grants from the University of Oxford and National Institute for Health Research (NIHR) Oxford Biomedical Research Centre (BRC). SR reports non-financial support from AstraZeneca and other sources of revenue from Australian Government Research Training Program and the NIHR Oxford BRC. JM has received grants from the NIHR Oxford BRC. TH has received grants from Pfizer, the University of Oxford, the Wellcome Trust, the Guardians of the Beit Fellowship and the NIHR Oxford BRC outside the submitted work. He has received personal fees from AstraZeneca. Teva and PeerVoice outside the submitted work. In the last 5 years, IP has received speaker's honoraria for speaking at sponsored meetings from AstraZeneca, Boehringer Ingelheim, Aerocrine AB, Almirall, Novartis, Teva, Chiesi, Sanofi/ Regeneron, Menarini and GSK and payments for organising educational events from AstraZeneca, GSK, Sanofi/Regeneron and Teva. He has received honoraria for attending advisory panels with Genentech, Sanofi/Regeneron, AstraZeneca, Boehringer Ingelheim, GSK, Novartis, Teva, Merck, Circassia, Chiesi and Knopp and payments to support FDA approval meetings from GSK. He has received sponsorship to attend international scientific meetings from Boehringer Ingelheim, GSK, AstraZeneca, Teva and Chiesi. He has received a grant from Chiesi to support a phase 2 clinical trial in Oxford. He is a copatent holder of the rights to the Leicester Cough Questionnaire and has received payments for its use in clinical trials from Merck, Bayer and Insmed. In 2014–2015, he was an expert witness for a patent dispute involving AstraZeneca and Teva.

Patient consent for publication Not required.

Ethics approval The studies described herein were conducted in accordance with the Declaration of Helsinki and the International Conference on Harmonisation of Technical Requirements for Registration of Pharmaceuticals for Human Use Good Clinical Practice guidelines. Study protocols received independent ethics committee approval at each study site.

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Derivation of a prototype asthma attack risk scale centred on blood eosinophils and exhaled nitric oxide

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Online data supplement

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Trial name (registration number)[Ref#] Study design	Arm included (<i>n</i> / total <i>N</i>)	Key Inclusion criteria	GINA Step: No (%)	ACQ mean score	FEV1 % predicted	PostBD change in FEV1 (%)	Blood Eos (x10 ⁹ /L)	FeNO (ppb)
Novel START (ACTRN1261500099953 8)[1] 52-week, randomised, open- label, parallel-group, controlled trial	(0001660)	SABA monotherapy in previous 3 months; SABA use on at least 2 occasions and an average of ≤ 2 occasions per day in the previous 4 weeks; no minimum requirement for SABA use in those with severe exacerbation in last 12 months	Step 1: 219 (100)	1.1 (0.7)	89 (14)	nd	0.3 (0.2)	40 (5-235)*
CAPTAIN (NCT02924688)[2] 52-week, phase IIIA, randomised, double-blind, active-controlled, parallel- group double versus triple inhaler trial	Fluticasone furoate/ vilanterol 100/25 mcg, with or without umeclidinium (1218/2439)	ACQ \geq 1.5 despite maintenance therapy with medium-to-high-dose daily ICS plus LABA;; FEV1 \geq 30–<85% of predicted and postBD FEV1 reversibility (\geq 12% and 200 ml); acute asthma symptoms requiring healthcare contact/change in therapy in last 12 months	Step 4: 1097 (100)	2.5 (0.6)	58 (13)	30 (18)	0.23 (0.91)†	20.0 (0.7)†
Benralizumab 2b trial (NCT01238861) [3] 52-week, randomized, controlled, double-blind, dose-ranging, Phase IIb clinical trial	Placebo +Maintenance therapy with moderate to high dose ICS and LABA (222/606)	medium-high dose ICS/LABA ≥ 1 year; prebronchodilator FEV1 $\geq 40\%$ and $<90\%$ predicted; ACQ-6 score ≥ 1.5 on ≥ 2 occasions during screening; postbronchodilator FEV1 reversibility ($\geq 12\%$ and 200 ml) or a positive response to a methacholine challenge; 2-6 exacerbations in prior year	Step 4: 122 (55) Step 5 100 (45)	Eos High: 2.7 (1.0) Eos Low: 2.5 (0.8)	Eos High: 65 (15) Eos Low: 69 (15)	Eos High: 18 (15) Eos Low: 13 (13)	Eos High: 0.53 (30) Eos Low: 0.16 (0.09)	Eos High: 37.9 (31.9) Eos Low: 20.7 (13.9)

SUPPLEMENTARY TABLE Biomarker-stratified randomised controlled trials analysed to derive the prototype risk scale

... supplementary table

Name	Arm (n/N)	Inclusion criteria	 GINA Step	ACQ	FEV1 % predicted	 PostBD change%	 Eos	 FeNO
PATHWAY (NCT02054130) [4] 52-week, randomized, double-blind, placebo- controlled, Phase II clinical trial	Placebo + Maintenance therapy with a moderate to high dose ICS and LABA (138/550)	reversibility ($\geq 12\%$ and 200 ml); ≥ 2	Step 4: 73 (53) Step 5:	2.7 (0.7)	60 (14)	nd	0.38 (0.33)	37.8 (39.7)
STRATOS 1 (NCT02161757) [5] 52-week, randomized, double-blind, parallel-group, placebo-controlled, tralokinumab phase III clinical trial	Placebo +Maintenance therapy with moderate to high dose ICS and LABA (400/798)	Medium/high dose ICS+LABA ≥3 months; ≥2 exacerbations in prior year; prebronchodilator FEV1 <80% Predicted (<90% if aged 12-17); ACQ-6 score≥1.5; postbronchodilator FEV1 reversibility (≥12% and 200 ml)	Step 3: 3 (1) Step 4: 194 (49) Step 5: 203 (51)	2.0 (0.9)	62 (13)	23 (24)	0.25 (0.20)	29·6 (28·2)
STRATOS 2 (NCT02194699) [5] 52-week, randomized, double-blind, parallel group, placebo-controlled, tralokinumab phase III clinical trial	Placebo +Maintenance therapy with moderate to high dose ICS and LABA (422/837)	Medium/high dose ICS+LABA ≥3 months; ≥2 exacerbations in prior year; prebronchodilator FEV1 <80% Predicted (<90% if aged 12-17); ACQ-6 score≥1.5; postbronchodilator FEV1 reversibility (≥12% and 200 ml)		2.0 (0.9)	61 (15)	26 (25)	0.27 (0.23)	31.7 (27.2)

... supplementary table

Name	Arm (n/N)	Inclusion criteria	 GINA Step	ACQ	FEV1 % predicted	 PostBD change%	 Eos	 FeNO
LIBERTY ASTHMA QUEST (NCT02414854)[6] 52-week randomised, double-blind, placebo- controlled, parallel-group trial	Placebo (1.14 mL and 2 mL) +Maintenance therapy with moderate to high dose ICS and ≤ 2 additional controllers (634/1902)	$ACQ-5 \ge 1.5$ despite receiving medium-to- high-dose ICS plus up to two additional controllers; preBD FEV1 $\le 80\%$; postBD FEV1 reversibility ($\ge 12\%$ and 200 ml); hospital presentation or treatment with systemic corticosteroids in last 12 months	Step 4: 293 (49) Step 5:	2.7 (0.7) and 2.8 (0.8)	58 (13) and 58 (14)	25 (19) and 26 (18)	0.37 (0.34) and 0.39 (0.42)	34.5 (28.5) and 38.4 (38.0)
DREAM (NCT01000506)[7] 52-week, multicentre, randomised, double-blind, placebo-controlled mepolizumab trial	Placebo +Maintenance therapy with high- dose ICS and LABA (155/616)	ACQ \geq 1.5 or prebronchodilator FEV1<80% predicted despite high-dose ICS and LABA for \geq 12 months; postBD FEV1 reversibility (\geq 12% and 200 ml) or positive response to methacholine challenge; characteristic eosinophilic airway inflammation in previous year (\geq 1 of : sputum eosinophils $>$ 3%, peripheral blood eosinophils \geq 0.3×10 ⁹ /L, FeNO>50ppb); \geq 2 exacerbations in the prior year;	Step 5: 151 (100)	2.5 (1.1)	59 (15)	21 (nd)	0.28 (1.01)††	33.7 (0.8)††

Data are mean (SD) unless otherwise indicated; *median (range); † geometric mean (SD of log); †† geometric mean on \log_e scale (SD). ACQ, asthma control questionnaire; Blood Eos, peripheral blood eosinophil count (×10⁹ cells/L); FeNO, fractional exhaled nitric oxide (ppb); FEV1, forced expiratory volume in 1 second; ICS, inhaled corticosteroid; LABA, long-acting beta2-agonist; *n*, number of patients in the control arm; *N*, overall number of patients enrolled in trial; nd, not disclosed; BD, bronchodilator

Supplementary References

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Derivation of a prototype asthma attack risk scale centred on blood eosinophils and exhaled nitric oxide

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Online data supplement

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Trial name (registration number)[Ref#] Study design	Arm included (<i>n</i> / total <i>N</i>)	Key Inclusion criteria	GINA Step: No (%)	ACQ mean score	FEV1 % predicted	PostBD change in FEV1 (%)	Blood Eos (x10 ⁹ /L)	FeNO (ppb)
Novel START (ACTRN1261500099953 8)[1] 52-week, randomised, open- label, parallel-group, controlled trial	(0001660)	SABA monotherapy in previous 3 months; SABA use on at least 2 occasions and an average of ≤ 2 occasions per day in the previous 4 weeks; no minimum requirement for SABA use in those with severe exacerbation in last 12 months	Step 1: 219 (100)	1.1 (0.7)	89 (14)	nd	0.3 (0.2)	40 (5-235)*
CAPTAIN (NCT02924688)[2] 52-week, phase IIIA, randomised, double-blind, active-controlled, parallel- group double versus triple inhaler trial	Fluticasone furoate/ vilanterol 100/25 mcg, with or without umeclidinium (1218/2439)	ACQ \geq 1.5 despite maintenance therapy with medium-to-high-dose daily ICS plus LABA;; FEV1 \geq 30–<85% of predicted and postBD FEV1 reversibility (\geq 12% and 200 ml); acute asthma symptoms requiring healthcare contact/change in therapy in last 12 months	Step 4: 1097 (100)	2.5 (0.6)	58 (13)	30 (18)	0.23 (0.91)†	20.0 (0.7)†
Benralizumab 2b trial (NCT01238861) [3] 52-week, randomized, controlled, double-blind, dose-ranging, Phase IIb clinical trial	Placebo +Maintenance therapy with moderate to high dose ICS and LABA (222/606)	medium-high dose ICS/LABA ≥ 1 year; prebronchodilator FEV1 $\geq 40\%$ and $<90\%$ predicted; ACQ-6 score ≥ 1.5 on ≥ 2 occasions during screening; postbronchodilator FEV1 reversibility ($\geq 12\%$ and 200 ml) or a positive response to a methacholine challenge; 2-6 exacerbations in prior year	Step 4: 122 (55) Step 5 100 (45)	Eos High: 2.7 (1.0) Eos Low: 2.5 (0.8)	Eos High: 65 (15) Eos Low: 69 (15)	Eos High: 18 (15) Eos Low: 13 (13)	Eos High: 0.53 (30) Eos Low: 0.16 (0.09)	Eos High: 37.9 (31.9) Eos Low: 20.7 (13.9)

SUPPLEMENTARY TABLE Biomarker-stratified randomised controlled trials analysed to derive the prototype risk scale

... supplementary table

Name	Arm (n/N)	Inclusion criteria	 GINA Step	ACQ	FEV1 % predicted	 PostBD change%	 Eos	 FeNO
PATHWAY (NCT02054130) [4] 52-week, randomized, double-blind, placebo- controlled, Phase II clinical trial	Placebo + Maintenance therapy with a moderate to high dose ICS and LABA (138/550)	reversibility ($\geq 12\%$ and 200 ml); ≥ 2	Step 4: 73 (53) Step 5:	2.7 (0.7)	60 (14)	nd	0.38 (0.33)	37.8 (39.7)
STRATOS 1 (NCT02161757) [5] 52-week, randomized, double-blind, parallel-group, placebo-controlled, tralokinumab phase III clinical trial	Placebo +Maintenance therapy with moderate to high dose ICS and LABA (400/798)	Medium/high dose ICS+LABA ≥3 months; ≥2 exacerbations in prior year; prebronchodilator FEV1 <80% Predicted (<90% if aged 12-17); ACQ-6 score≥1.5; postbronchodilator FEV1 reversibility (≥12% and 200 ml)	Step 3: 3 (1) Step 4: 194 (49) Step 5: 203 (51)	2.0 (0.9)	62 (13)	23 (24)	0.25 (0.20)	29·6 (28·2)
STRATOS 2 (NCT02194699) [5] 52-week, randomized, double-blind, parallel group, placebo-controlled, tralokinumab phase III clinical trial	Placebo +Maintenance therapy with moderate to high dose ICS and LABA (422/837)	Medium/high dose ICS+LABA ≥3 months; ≥2 exacerbations in prior year; prebronchodilator FEV1 <80% Predicted (<90% if aged 12-17); ACQ-6 score≥1.5; postbronchodilator FEV1 reversibility (≥12% and 200 ml)		2.0 (0.9)	61 (15)	26 (25)	0.27 (0.23)	31.7 (27.2)

... supplementary table

Name	Arm (n/N)	Inclusion criteria	 GINA Step	ACQ	FEV1 % predicted	 PostBD change%	 Eos	 FeNO
LIBERTY ASTHMA QUEST (NCT02414854)[6] 52-week randomised, double-blind, placebo- controlled, parallel-group trial	Placebo (1.14 mL and 2 mL) +Maintenance therapy with moderate to high dose ICS and ≤ 2 additional controllers (634/1902)	$ACQ-5 \ge 1.5$ despite receiving medium-to- high-dose ICS plus up to two additional controllers; preBD FEV1 $\le 80\%$; postBD FEV1 reversibility ($\ge 12\%$ and 200 ml); hospital presentation or treatment with systemic corticosteroids in last 12 months	Step 4: 293 (49) Step 5:	2.7 (0.7) and 2.8 (0.8)	58 (13) and 58 (14)	25 (19) and 26 (18)	0.37 (0.34) and 0.39 (0.42)	34.5 (28.5) and 38.4 (38.0)
DREAM (NCT01000506)[7] 52-week, multicentre, randomised, double-blind, placebo-controlled mepolizumab trial	Placebo +Maintenance therapy with high- dose ICS and LABA (155/616)	ACQ \geq 1.5 or prebronchodilator FEV1<80% predicted despite high-dose ICS and LABA for \geq 12 months; postBD FEV1 reversibility (\geq 12% and 200 ml) or positive response to methacholine challenge; characteristic eosinophilic airway inflammation in previous year (\geq 1 of : sputum eosinophils $>$ 3%, peripheral blood eosinophils \geq 0.3×10 ⁹ /L, FeNO>50ppb); \geq 2 exacerbations in the prior year;	Step 5: 151 (100)	2.5 (1.1)	59 (15)	21 (nd)	0.28 (1.01)††	33.7 (0.8)††

Data are mean (SD) unless otherwise indicated; *median (range); † geometric mean (SD of log); †† geometric mean on \log_e scale (SD). ACQ, asthma control questionnaire; Blood Eos, peripheral blood eosinophil count (×10⁹ cells/L); FeNO, fractional exhaled nitric oxide (ppb); FEV1, forced expiratory volume in 1 second; ICS, inhaled corticosteroid; LABA, long-acting beta2-agonist; *n*, number of patients in the control arm; *N*, overall number of patients enrolled in trial; nd, not disclosed; BD, bronchodilator

Supplementary References

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