

## ORIGINAL ARTICLE

# Birth weight, early childhood growth and lung function in middle to early old age: 1946 British birth cohort

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## ABSTRACT

**Background** Findings from previous studies investigating the relationship between birth weight and adult lung function have been inconsistent, and data on birth weight and adult lung function decline are lacking. Few studies have investigated the relation between early childhood growth and adult lung function.

**Methods** FEV<sub>1</sub> and FVC were measured at ages 53 years, 53 years and 60–64 years in the 1946 British birth cohort study. Multiple linear regression models were fitted to study associations with birth weight and weight gain at age 0–2 years. Multilevel models assessed how associations changed with age, with FEV<sub>1</sub> and FVC as repeated outcomes.

**Results** 3276 and 3249 participants were included in FEV<sub>1</sub> and FVC analyses, respectively. In women, there was a decreasing association between birth weight and FVC with age. From the multilevel model, for every 1 kg higher birth weight, FVC was higher on average by 66.3 mL (95% CI 0.5 to 132) at 43 years, but significance was lost at 53 years and 60–64 years. Similar associations were seen with FEV<sub>1</sub>, but linear change (decline) from age 43 years lost statistical significance after full adjustment. In men, associations with birth weight were null in multilevel models. Higher early life weight gain was associated with higher FEV<sub>1</sub> at age 43 years in men and women combined but not in each sex.

**Conclusions** Birth weight is positively associated with adult lung function in middle age, particularly in women, but the association diminishes with age, potentially due to accumulating environmental influences over the life course.

## INTRODUCTION

Impaired lung function is an important parameter of health and has been found to predict future morbidity and mortality from COPD and coronary heart disease,<sup>1–2</sup> independent of other major risk factors.<sup>3</sup> It has been postulated that adverse ‘programming’, as a consequence of impaired growth during critical periods in utero and early childhood, has a lasting effect on lung function and health more generally in adulthood.<sup>4</sup>

Birth weight is often used as an indicator of fetal growth. A meta-analysis of eight studies by Lawlor *et al*<sup>5</sup> in 2005 reported that for every 1 kg increase in birth weight, FEV<sub>1</sub> increased by 48 mL (95% CI 26 to 70). Findings across studies are inconsistent, however, with some studies reporting an

## Key messages

### What is the key question?

- Do associations between birth weight, early childhood growth and lung function change during midlife to early old age?

### What is the bottom line?

- Early childhood growth and birth weight were associated with lung function in middle age, particularly in women, but the effect size decreased with age and no associations were seen in either sex in early old age.

### Why read on?

- This is the first study reporting on whether associations between birth weight and lung function vary with age within the same individuals with repeated lung function measurements over a 20-year period.

association,<sup>6–14</sup> and others not.<sup>5 15–18</sup> Six<sup>8 9 11–14</sup> studies adjusted for potential confounding factors throughout the life course, while four<sup>5 15 16 18</sup> only accounted for a limited set of potential confounders. All published studies were based on lung function measurement at a single time point and conducted in different populations at different ages. No studies to date have investigated whether the relation between birth weight and adult lung function changes longitudinally with age. Similarly, there is a paucity of data on associations between growth in early childhood and adult lung function, although two studies have reported positive associations with weight gain in the first 3 years of life<sup>13</sup> and in infancy,<sup>10</sup> and higher infant weight was associated with lower mortality from COPD in men in a historical cohort study.<sup>6</sup>

The Medical Research Council National Survey of Health and Development (NSHD) (1946 British birth cohort) is one of the longest running birth cohorts worldwide with over 20 follow-ups, including spirometric lung function measurements at ages 43 years, 53 years and 60–64 years.<sup>19</sup> We tested whether the effect of birth weight on adult lung function changes as participants age, controlling for potential confounders operating through the life course.

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## METHODS

## Study population

The NSHD originally recruited 16 695 babies who were born in the one week of March 1946 in England, Scotland and Wales.<sup>19</sup> All singletons born to married mothers in a non-manual or agricultural occupational social class and 25% of babies born to a manual social class were selected as the study sample (N=5362), consisting of 2547 women and 2815 men. Cohort members were interviewed and assessed in their own homes by trained research nurses at ages 43 years and 53 years, and at clinical research facilities (or in their homes) at 60–64 years. At the follow-up in 1989 (aged 43 years), the 1st year in which FEV<sub>1</sub> and FVC were measured, 3839 of the original 5362 individuals were eligible for study (365 deaths, 540 refusals, 618 emigrations), 3262 individuals (85%) were contacted and provided data<sup>11</sup> (figure 1). The most recent follow-up took place during 2006–2010, in which 2856 individuals of the original sample were invited to a clinic visit and 2229 (78%) participants were interviewed by a research nurse at the clinic or in their homes.<sup>20</sup>

## Spirometry measurements

More information about the spirometry protocol in NSHD is available in the online supplementary material (S1). In brief, at each follow-up, prebronchodilator (but not postbronchodilator) spirometry was carried out (standing, without nose clips) and supervised by a trained nurse using a Micro Medical Micro Plus turbine spirometer. The quality of spirometry was assessed by the nurse and formally recorded at the 1999 and 2006–2010 follow-ups. Three manoeuvres were recorded in 1989, and two in 1999 and 2006–2010, but otherwise the protocol was the same, which was developed before current ATS/ERS guidelines were published.<sup>21</sup> As with a previous study,<sup>22</sup> participants were excluded from the analysis if the difference between the two largest values was greater than 0.3 L<sup>23</sup> and if values were more than 3 SD from the mean value (adjusted for sex and height).<sup>11</sup>

## Early life variables

Birth weight to the nearest quarter of a pound was extracted from hospital records within a few weeks of delivery and converted to kilograms (kg). Weight was measured by health visitors at 2 years and weight gain (g) up to age 2 years was derived by subtracting the birth weight from the weight at 2 years. Information about

lower respiratory tract infections under 2 years was obtained by interviewing the child's mother in 1948 and coded as a binary variable (yes vs no). Childhood social class at 4 years was defined by father's occupation (manual vs non-manual).

## Adult variables

Education qualification by age 26 years was categorised as A-level or equivalent (advanced secondary qualifications achieved at 18 years) and above, up to O-level or equivalent (secondary qualifications achieved at 16 years) and less than O-level or no qualifications. Adult standing heights at 43 years, 53 years and 60–64 years were measured to the nearest 0.1 cm by using a portable stadiometer. Current asthma status (yes vs no) was obtained at every age. Smoking status was obtained at each interview and expressed as a categorical variable (never, ex-smoker, current smoker) and in ever-smokers, pack-years were also calculated for each age.

## Statistical analysis

3276 and 3249 participants met the inclusion criteria and were included in the FEV<sub>1</sub> and FVC analyses, respectively. Mean (SD) lung function for categories of birth weight and current smoking status at every age was calculated. To assess the relationships between birth weight and measurements of FEV<sub>1</sub> and FVC at every age, multiple linear regression models were fitted, with models specified a priori. Sex by birth weight interactions was investigated and analyses were conducted for both sexes and for men and women separately. A sequence of nested models was fitted: M0—unadjusted model; M1—adjusted for current adult height; M2—further adjusted for current adult covariates including education level, smoking status, smoking pack years and asthma status; M3—additionally adjusted for early life covariates including weight gain at 2 years, lower respiratory tract infection under 2 years and childhood social class (*fully adjusted model*).

Social class of head of household at every age was added to the fully adjusted model as a sensitivity analysis to address potential residual confounding by socioeconomic status.

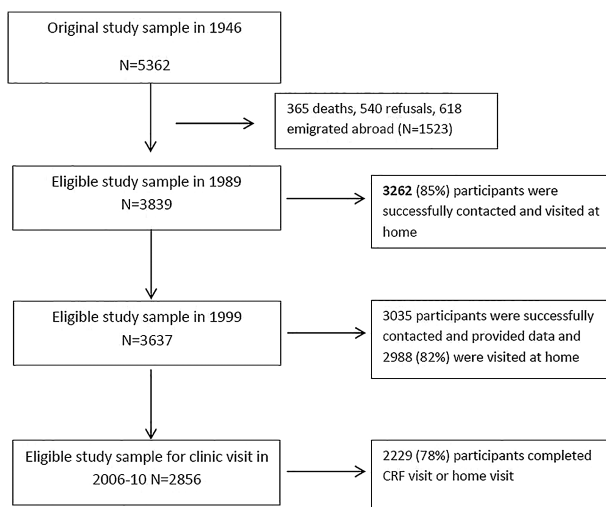
In the next step, multilevel models were performed to assess whether the effect of birth weight on adult lung function changed with increasing age, including a random slope effect for each individual, hence accounting for the correlation between repeated measures of FEV<sub>1</sub> and FVC. Repeated measures of FEV<sub>1</sub> and FVC (level 1 units) were nested within the individual (level 2 units). For each of FEV<sub>1</sub> and FVC, the change in lung function with age was modelled a priori with quadratic as well as linear terms of age to reflect the expected non-linear change in lung function with increasing age. The intercept was allowed to vary according to birth weight. Change in lung function was then allowed to vary according to birth weight by adding an age by birth weight interaction term to the model. To assess confounding, the adult height was added to the model, followed by adding time-varying adulthood covariates as well as early life covariates.

For cross-sectional and multilevel models, analyses were also restricted to those with complete data at every follow-up (complete-case analysis).

Data were analysed using Stata V.12.0 statistical package (College Station, Texas, USA).

## RESULTS

As expected, mean FEV<sub>1</sub> and FVC decreased with increasing age (table 1) and current smokers had lower lung function than did the ex-smokers and never smokers at all ages in both sexes.



**Figure 1** Flow chart of participation in the National Survey of Health and Development (NSHD) study.

**Table 1** Mean (SD) of FEV<sub>1</sub> (litres) and FVC (litres) by birth weight (gram) and current smoking status at every age

	Age 43 years				Age 53 years				Age 60–64 years			
	N	FEV <sub>1</sub>	N	FVC	N	FEV <sub>1</sub>	N	FVC	N	FEV <sub>1</sub>	N	FVC
<i>Men</i>												
Birth weight (g)	1385	3.5 (0.6)	1326	4.2 (0.8)	1174	3.2 (0.6)	1060	4.1 (0.7)	856	3.1 (0.6)	777	3.9 (0.7)
<2500	32	3.3 (0.6)	35	3.9 (0.9)	33	3.1 (0.6)	28	3.8 (0.7)	21	2.9 (0.6)	22	3.7 (0.7)
2500–3000	214	3.3 (0.6)	192	4.0 (0.7)	168	3.1 (0.6)	169	3.9 (0.6)	122	3.0 (0.6)	107	3.7 (0.7)
3001–3500	490	3.5 (0.6)	471	4.2 (0.8)	397	3.2 (0.6)	368	4.1 (0.7)	305	3.1 (0.5)	274	3.8 (0.7)
3501–4000	467	3.5 (0.6)	465	4.3 (0.8)	411	3.3 (0.6)	360	4.2 (0.7)	304	3.1 (0.6)	281	3.9 (0.7)
>4000	182	3.6 (0.6)	163	4.4 (0.8)	165	3.3 (0.6)	135	4.2 (0.7)	104	3.2 (0.6)	93	3.9 (0.8)
Smoking	1386	3.5 (0.6)	1328	4.2 (0.8)	1179	3.2 (0.6)	1065	4.1 (0.7)	782	3.1 (0.6)	716	3.9 (0.7)
Never smoker	331	3.5 (0.6)	325	4.3 (0.8)	292	3.3 (0.5)	269	4.1 (0.7)	211	3.1 (0.5)	193	3.9 (0.6)
Ex-smoker	614	3.6 (0.6)	602	4.3 (0.8)	598	3.3 (0.6)	546	4.1 (0.7)	484	3.1 (0.6)	452	3.9 (0.7)
Current smoker	441	3.3 (0.6)	401	4.0 (0.8)	289	3.0 (0.5)	250	3.9 (0.7)	87	2.7 (0.6)	71	3.7 (0.7)
<i>Women</i>												
Birth weight (g)	1451	2.6 (0.4)	1448	3.1 (0.6)	1218	2.3 (0.4)	1175	2.9 (0.5)	932	2.2 (0.4)	911	2.7 (0.5)
<2500	57	2.3 (0.4)	58	2.8 (0.6)	45	2.2 (0.4)	44	2.7 (0.4)	30	2.0 (0.4)	31	2.4 (0.5)
2500–3000	317	2.5 (0.4)	324	3.0 (0.6)	260	2.3 (0.4)	251	2.8 (0.5)	189	2.2 (0.4)	178	2.7 (0.5)
3001–3500	538	2.6 (0.4)	541	3.1 (0.6)	458	2.3 (0.4)	446	2.9 (0.5)	354	2.2 (0.4)	354	2.7 (0.5)
3501–4000	439	2.6 (0.4)	424	3.2 (0.6)	375	2.4 (0.4)	359	2.9 (0.5)	284	2.2 (0.4)	282	2.8 (0.5)
>4000	100	2.6 (0.5)	101	3.2 (0.6)	80	2.3 (0.4)	75	2.8 (0.5)	75	2.2 (0.4)	66	2.7 (0.5)
Smoking	1456	2.6 (0.4)	1452	3.1 (0.6)	1223	2.3 (0.4)	1180	2.9 (0.5)	856	2.2 (0.4)	833	2.7 (0.5)
Never smoker	470	2.6 (0.4)	474	3.1 (0.6)	406	2.4 (0.4)	388	2.9 (0.5)	300	2.2 (0.4)	293	2.7 (0.5)
Ex-smoker	567	2.6 (0.4)	569	3.2 (0.6)	541	2.4 (0.4)	532	2.9 (0.5)	475	2.2 (0.4)	465	2.7 (0.5)
Current smoker	419	2.4 (0.4)	409	3.0 (0.6)	276	2.2 (0.4)	260	2.7 (0.5)	81	1.9 (0.4)	75	2.4 (0.5)

In analyses of both sexes combined, neither FEV<sub>1</sub> nor FVC were associated with birth weight in the fully adjusted models, except for FVC at age 53 years (table 2). A test for interaction between birth weight and sex was statistically significant for FVC at age 53 years ( $p=0.003$ ) but not at other ages, and not at any age for FEV<sub>1</sub>.

In analyses stratified by sex, birth weight was not associated with adult lung function in men at any age except for FVC at 53 years, where in the fully adjusted model for every 1 kg higher in birth weight, FVC increased by 114 mL (95% CI 19 to 208). However, the association was not consistently

statistically significant across all confounder adjustments (table 3). In women, significant associations between birth weight and both lung function measures were seen at age 43 years in all models adjusted for adult covariates but not when further adjusted for early life covariates (table 4). In fully adjusted models, for every 1 kg increase in birth weight, FEV<sub>1</sub> and FVC at 43 years increased by 51 mL (95% CI –1 to 103) and 64 mL (95% CI –5 to 133), respectively. No statistically significant associations were seen at age 53 years or 60–64 years.

Weight gain up to 2 years of age was significantly associated with slightly higher FEV<sub>1</sub> but not FVC at age 43 years, for both

**Table 2** Associations (coefficient and 95% CI) between birth weight and FEV<sub>1</sub> (mL) and FVC (mL) at every age in all persons

Birth weight (per 1 kg increase)	FEV <sub>1</sub> (mL)					
	Age 43 years (N=2836)		Age 53 years (N=2392)		Age 60–64 years (N=1788)	
M0: unadjusted	247.3	<b>198.7 to 295.8</b>	214.9	<b>163.2 to 266.7</b>	201.7	<b>139.6 to 263.8</b>
M1: M0+sex	131.9	<b>94.5 to 169.3</b>	91.9	<b>52.9 to 130.8</b>	106.8	<b>60.3 to 153.2</b>
M2: M1+adult height*	39.3	<b>3.8 to 74.9</b>	–2.6	–39.1 to 34.0	6.8	–38.0 to 51.7
M3: M2+adult covariates†	25.7	–13.5 to 64.8	17.7	–21.9 to 57.3	22.3	–29.0 to 73.7
M4: M3+early life covariates‡	42.4	–2.3 to 87.0	31.6	–13.3 to 76.5	39.4	–18.1 to 96.8
Birth weight (per 1 kg increase)	FVC (mL)					
	Age 43 years (N=2774)		Age 53 years (N=2235)		Age 60–64 years (N=1688)	
M0: unadjusted	330.8	<b>266.5 to 395.0</b>	297.3	<b>227.7 to 366.9</b>	239.8	<b>159.7 to 319.8</b>
M1: M0+sex	188.4	<b>137.1 to 239.6</b>	154.4	<b>104.0 to 204.8</b>	112.3	<b>54.2 to 170.4</b>
M2: M1+adult height*	59.7	<b>11.3 to 108.1</b>	26.1	–19.6 to 71.8	–31.2	–85.2 to 22.8
M3: M2+adult covariates†	34.4	–20.0 to 88.8	37.3	–15.0 to 89.6	–20.4	–84.5 to 43.7
M4: M3+early life covariates‡	43.1	–18.6 to 104.7	60.7	<b>2.1 to 119.3</b>	–13.2	–85.7 to 59.3

Bold indicates where significance level is  $P<0.05$ .

\*Adjusted for age at 2006–2010 follow-up (age 60–64 years).

†Adult covariates included education level, smoking status, asthma status, smoking pack years.

‡Early life covariates included weight gain at age 2 years, lower respiratory tract infections under age 2 years and childhood social class at age 4 years.

**Table 3** Associations (coefficient and 95% CI) between birth weight and FEV<sub>1</sub> (mL) and FVC (mL) at age 43 years, 53 years and 60–64 years in men

Men Birth weight (per 1 kg increase)	FEV <sub>1</sub> (mL)					
	Age 43 years (N=1385)		Age 53 years (N=1174)		Age 60–64 years (N=856)	
M0: unadjusted	<b>128.0</b>	<b>(67.7 to 188.4)</b>	<b>110.7</b>	<b>(49.8 to 171.6)</b>	<b>136.1</b>	<b>(60.6 to 211.6)</b>
M1: M0+adult height*	17.6	(–40.4 to 75.5)	2.1	(–55.6 to 59.7)	8.9	(–64.7 to 82.5)
M2: M1+adult covariates†	1.1	(–63.2 to 65.4)	26.3	(–35.6 to 88.2)	27.4	(–55.2 to 109.9)
M3: M2+early life covariates‡	28.4	(–44.9 to 101.7)	34.6	(–35.2 to 104.3)	63.0	(–31.2 to 157.2)

Men Birth weight (per 1 kg increase)	FVC (mL)					
	Age 43 years (N=1326)		Age 53 years (N=1060)		Age 60–64 years (N=777)	
M0: unadjusted	<b>187.7</b>	<b>(103.1 to 272.2)</b>	<b>224.6</b>	<b>(143.8 to 305.5)</b>	<b>128.3</b>	<b>(31.7 to 224.9)</b>
M1: M0+adult height*	30.1	(–50.2 to 110.5)	66.8	(–7.55 to 141.1)	–50.5	(–141.2 to 40.3)
M2: M1+adult covariates†	–3.8	(–95.7 to 88.1)	74.4	(–11.8 to 160.7)	–15.3	(–123.2 to 92.6)
M3: M2+early life covariates‡	10.8	(–93.4 to 115.1)	<b>113.6</b>	<b>(19.2 to 208.0)</b>	23.4	(–101.9 to 148.7)

Bold indicates where significance level is  $P < 0.05$ .

\*Adjusted for age at 2006–2010 follow-up (age 60–64 years).

†Adult covariates included education level, smoking status, asthma status, smoking pack years.

‡Early life covariates included weight gain at age 2 years, lower respiratory tract infections under age 2 years and childhood social class at age 4 years.

sexes combined (table 5). In a fully adjusted model, each 1 kg higher weight gain up to age 2 years was associated with 17 mL (95% CI 0.7 to 33) higher FEV<sub>1</sub> at age 43 years. Significant associations were neither seen at older ages, nor in analyses stratified by sex.

In the multilevel analysis, birth weight had a strong effect in women on FEV<sub>1</sub> and FVC levels at age 43 years and on the linear change in both measures between 43 years and 60–64 years (table 6), with results showing decreasing size of associations with increasing age. The intercepts were reduced after adjustments for height, adulthood and early life covariates, but the estimates for linear change in women remained consistently stable except that the association of birth weight on reduced decline in FEV<sub>1</sub> became non-significant after adjustment for childhood factors. In women, estimates from the fully adjusted model show that for every 1 kg higher birth weight, FEV<sub>1</sub> was higher on average by 61.9 mL (95% CI 11.4 to 112.4) at 43 years, by 43.9 mL (95% CI –2.8 to 90.6) at 53 years and 25.9 mL (95% CI –28.0 to 79.8) at 63 years. Corresponding figures for FVC

were 66.3 mL (95% CI 0.5 to 132) at 43 years, 25.7 mL (95% CI –30 to 82) at 53 years and –15 mL (95% CI –82 to 52) at 63 years. The effect of per 1 kg higher birth weight on adult FVC level decreased by about 80 mL in 20 years, thus supporting the individual regression model results (table 4). In men, no changes with age were observed in terms of effect of birth weight on either FEV<sub>1</sub> or FVC.

#### Sensitivity analyses

Further adjusting for social class of head of household did not materially change the effect estimates (data not shown). Approximately 50% of the 3262 participants at age 43 years had valid FEV<sub>1</sub> (N=1730) and FVC (N=1736) measurements at each of the three ages. There were 626 individuals who had lung function data at age 43 years but not 53 years, and 919 individuals with data at 53 years but not 60–64 years. A further 454 had missing covariates information giving a sample of 1276 (560 men and 716 women) for complete case analysis. There were no associations found in adjusted models between birth

**Table 4** Associations (coefficient and 95% CI) between birth weight and FEV<sub>1</sub> (mL) and FVC (mL) at age 43 years, 53 years and 60–64 years in women

Women Birth weight (per 1 kg increase)	FEV <sub>1</sub> (mL)					
	Age 43 years (N=1451)		Age 53 years (N=1218)		Age 60–64 years (N=932)	
M0: unadjusted	<b>136.1</b>	<b>(92 to 180.2)</b>	<b>69.4</b>	<b>(21.8 to 117.0)</b>	<b>76.8</b>	<b>(21.8 to 131.7)</b>
M1: M0+adult height*	<b>60.6</b>	<b>(19.3 to 101.9)</b>	–10.7	(–55.1 to 33.6)	1.8	(–50.6 to 54.3)
M2: M1+adult covariates†	<b>46.8</b>	<b>(1.7 to 92.0)</b>	7.3	(–41.9 to 56.4)	13.7	(–47.7 to 75.2)
M3: M2+early life covariates‡	51.0	(–1.2 to 103.2)	29.9	(–26.3 to 86.1)	19.8	(–47.7 to 87.2)

Women Birth weight (per 1 kg increase)	FVC (mL)					
	Age 43 years (N=1448)		Age 53 years (N=1175)		Age 60–64 years (N=911)	
M0: unadjusted	<b>189</b>	<b>(129.8 to 248.3)</b>	<b>79.7</b>	<b>(19.2 to 140.2)</b>	<b>96.4</b>	<b>(28.7 to 164)</b>
M1: M0+adult height*	<b>86.8</b>	<b>(31.3 to 142.3)</b>	–19.6	(–73.7 to 34.6)	–16.9	(–79.0 to 45.1)
M2: M1+adult covariates†	<b>63.9</b>	<b>(2.6 to 125.1)</b>	–4.5	(–66.2 to 57.2)	–23.1	(–96.0 to 49.9)
M3: M2+early life covariates‡	64.2	(–5.0 to 133.3)	2.3	(–68.3 to 72.9)	–31.4	(–111.7 to 49.0)

Bold indicates where significance level is  $P < 0.05$ .

\*Adjusted for age at 2006–2010 follow-up (age 60–64 years).

†Adult covariates included education level, smoking status, asthma status, smoking pack years.

‡Early life covariates included weight gain at age 2 years, lower respiratory tract infections under age 2 years and childhood social class at age 4 years.

**Table 5** Associations (coefficient and 95% CI) between weight gain up to age 2 years and FEV<sub>1</sub> (mL) and FVC (mL) at age 43 years, 53 years and 60–64 years in men and women: fully adjusted model\*

All persons	FEV <sub>1</sub> (mL)					
	Age 43 years (N=2836)		Age 53 years (N=2392)		Age 60–64 years (N=1788)	
Weight gain up to 2 years of age (per 1 kg increase)	<b>17.1</b>	<b>(0.7 to 33.5)</b>	4.8	(–11.7 to 21.3)	10.8	(–9.0 to 30.6)
	FVC (mL)					
	Age 43 years (N=2774)		Age 53 years (N=2235)		Age 60–64 years (N=1688)	
Weight gain up to 2 years of age (per 1 kg increase)	10.6	(–11.8 to 33.1)	13.0	(–8.0 to 34.0)	1.4	(–23.9 to 26.7)
Men	FEV <sub>1</sub> (mL)					
	Age 43 years (N=1385)		Age 53 years (N=1174)		Age 60–64 years (N=856)	
Weight gain up to 2 years of age (per 1 kg increase)	20.3	(–7.2 to 47.7)	–3.6	(–30.0 to 22.9)	30.9	(–2.7 to 64.6)
	FVC (mL)					
	Age 43 years (N=1326)		Age 53 years (N=1060)		Age 60–64 years (N=777)	
Weight gain up to 2 years of age (per 1 kg increase)	2.5	(–35.4 to 40.4)	24.5	(–9.8 to 58.8)	34.7	(–11.8 to 81.3)
Women	FEV <sub>1</sub> (mL)					
	Age 43 years (N=1451)		Age 53 years (N=1218)		Age 60–64 years (N=932)	
Weight gain up to 2 years of age (per 1 kg increase)	15.6	(–3.1 to 34.3)	13.4	(–6.4 to 33.1)	–1.0	(–23.2 to 21.2)
	FVC (mL)					
	Age 43 years (N=1448)		Age 53 years (N=1175)		Age 60–64 years (N=911)	
Weight gain up to 2 years of age (per 1 kg increase)	23.6	(–1.5 to 48.8)	5.8	(–19.0 to 30.6)	–15.5	(–41.9 to 10.9)

Bold indicates where significance level is  $P < 0.05$ .

\*Fully adjusted model: height, adult covariates included education level, smoking status, asthma status, smoking pack years; early life covariates included birth weight, lower respiratory tract infections under age 2 years and childhood social class at age 4 years; adjusted additionally for age at 2006–2010 follow-up (aged 60–64 years).

weight and FEV<sub>1</sub> and FVC at all ages in men or women in the complete-case analysis (see online supplementary S2a and S2b), while results from the complete-case multilevel model analysis were broadly comparable to those presented in table 6; in particular the linear change for FEV<sub>1</sub> and FVC in women remained similar (see online supplementary S3).

## DISCUSSION

To our knowledge, this is the first study to explore the associations between birth weight, early growth and adult lung function over time with repeated measurements. In women, after adjusting for adult height and other potential confounders in adult life, higher birth weight remained positively associated

**Table 6** Associations (coefficient and 95%CI) between birth weight and FEV<sub>1</sub> (mL) and FVC (mL) change from ages 43 years to 60–64 years (multilevel model)

Men	FEV <sub>1</sub> (N=1640)				FVC (N=1609)			
	Age 43 years (mL)		Linear change from age 43 years, (mL/year)		Age 43 years (mL)		Linear change from age 43 years, (mL/year)	
Birth weight (per 1 kg increase)								
M0: age, quadratic age, age* birth weight	<b>131.6</b>	<b>(75.6 to 187.6)</b>	0.3	(–2.2 to 2.8)	<b>198.2</b>	<b>(119.6 to 276.8)</b>	–1.1	(–5.5 to 3.3)
M1: M0+adult height	31.9	(–21.4 to 85.2)	0.2	(–2.4 to 2.7)	50.3	(–23.7 to 124.3)	–1.8	(–6.2 to 2.6)
M2: M1+adult covariates†	24.7	(–35.3 to 84.8)	2.0	(–0.9 to 5.0)	18.6	(–66.7 to 103.8)	2.0	(–3.1 to 7.1)
M3: M2+early life covariates*	50.0	(–17.5 to 117.6)	1.9	(–1.4 to 5.2)	42.9	(–52.4 to 138.1)	2.4	(–3.4 to 8.1)
Women	FEV <sub>1</sub> (N=1636)				FVC (N=1640)			
	Age 43 years (mL)		Linear change from age 43 years, (mL/year)		Age 43 years (mL)		Linear change from age 43 years, (mL/year)	
Birth weight (per 1 kg increase)								
M0: age, quadratic age, age* birth weight	<b>131.4</b>	<b>(88.7 to 174.0)</b>	–2.5	(–4.3 to –0.7)	<b>184.4</b>	<b>(127.4 to 241.3)</b>	–4.5	(–7.2 to –1.7)
M1: M0+adult height	<b>60.6</b>	<b>(21.0 to 100.1)</b>	–2.6	(–4.4 to –0.8)	<b>81.4</b>	<b>(28.9 to 134.0)</b>	–5.0	(–7.8 to –2.3)
M2: M1+adult covariates†	<b>58.0</b>	<b>(13.7 to 102.4)</b>	–2.4	(–4.5 to –0.3)	<b>69.0</b>	<b>(10.1 to 127.9)</b>	–4.8	(–7.9 to –1.5)
M3: M2+early life covariates*	<b>61.9</b>	<b>(11.4 to 112.4)</b>	–1.8	(–4.1 to 0.5)	<b>66.3</b>	<b>(0.5 to 132.1)</b>	–4.1	(–7.6 to –0.5)

Bold indicates where significance level is  $P < 0.05$ .

\*Early life covariates included weight gain at age 2 years, lower respiratory tract infections under age 2 years and childhood social class at age 4 years.

†Adult covariates included education level, smoking status, asthma status, smoking pack years.

with higher FEV<sub>1</sub> and FVC at 43 years; however, the size of the associations decreased with age in linear regression analyses and this was confirmed in the multilevel analysis. In men, higher birth weight was only associated with FVC at 53 years after adjustment for adult height and other confounders and significance was sensitive to confounders included in the model and no effect was seen in multilevel models. Higher weight gain in early life was only associated with higher FEV<sub>1</sub> at age 43 years in combined analyses but not when stratified by sex, nor at older ages.

Multilevel models using repeated measures allow effective statistical evaluation with missing outcome data under the assumption that these data are missing at random.<sup>24</sup> The results from these analyses suggesting a weakening association with birth weight with age, could alternatively be interpreted that higher birth weight was associated with faster absolute declines in FEV<sub>1</sub> and FVC in women. Using this interpretation, women with a higher birth weight showed faster absolute declines in FEV<sub>1</sub> than did those with a lower birth weight, by 1.8 mL (95% CI -0.5 to 4.1) per year per kg higher birth weight, albeit from a higher starting point at age 43 years (FEV<sub>1</sub> was higher by 61.9 mL (95% CI 11.4 to 112.4) per kg higher birth weight). They also showed a faster decline in FVC of 4.1 mL (95% CI 0.5 to 7.6) per year per kg higher birth weight. We find this a less intuitive interpretation than that of a weakening association between birth weight and lung function over time, particularly as those who were healthier and of higher adult socioeconomic position are more likely to have remained in the NSHD study over time.<sup>25</sup>

We speculate that while early growth is more likely to influence peak attainment of FEV<sub>1</sub> and FVC as a young adult,<sup>26</sup> as life progresses adulthood factors such as smoking, respiratory illness, body size,<sup>27</sup> diet<sup>28</sup> or environmental air pollution<sup>29</sup> start to play greater roles. Additionally, normal age-related decline in lung function starts in midlife and the rate rapidly increases as people age,<sup>30</sup> which might also weaken the effect of birth weight on lung function.

Our findings on birth weight and lung function are broadly consistent with previous studies that have examined individuals at single time points. Most of these studies were in individuals <50 years and found significant associations between birth weight and either FEV<sub>1</sub><sup>8 12 26 31</sup> only or FEV<sub>1</sub> and FVC,<sup>7 9–11 13 14</sup> but some found no associations with either FEV<sub>1</sub> or FVC.<sup>16–18</sup> Where studies were stratified by sex, two studies in adults aged 20–28 years, found associations with FEV<sub>1</sub> in men only<sup>31</sup> in one study and both sexes in the other<sup>26</sup> but no associations with FVC; two studies of adults with mean age in the late 40s reported significant positive associations of birth weight with FEV<sub>1</sub> and FVC<sup>7 9</sup> in men and women. Of three studies examining birth weight and lung function at >50 years of age,<sup>5 6 15</sup> two studies did not find an association—a small (N=240) Scottish study<sup>15</sup> with mean age of 57.6 years and a study of British women aged 60–79 years<sup>5</sup>—but a study of English men with mean age of 64 years<sup>6</sup> did. Discrepancies with the present study might arise through differences in confounder adjustment and cohorts and the small sample size in some other studies.

We stratified the analysis by sex because biological, environmental and sociocultural factors may affect airway function differentially in men and women across life.<sup>32</sup> It is proposed that sex is an important determinant of airway diseases and therefore should be considered in clinical and epidemiological research.<sup>33</sup> Different results in men and women may relate to faster accumulation of environmental insults in men of this age, such that impact of early life factors would be obscured in men at a

younger age than in women. Men born in 1946 were more likely (1) to smoke (and smoke heavily) and to be exposed to environmental tobacco smoke and (2) to have higher occupational exposures to dust, gases and fumes than women. We cannot readily explain the isolated significant association of birth weight with FVC observed in this study in men at age 53 years but this is not inconsistent with there being a small association across all ages.

Early life growth potentially affects a crucial stage of lung growth and development, and the numbers of alveoli are known to increase in early life up to around 2 years of age in both sexes.<sup>34</sup> Few other studies have examined associations between early growth and lung function, with mixed findings. Similar effect sizes of 1st year weight gain on FEV<sub>1</sub> and FVC were seen in women aged 31 years to those in the present study, but the study also found associations with FVC in men.<sup>10</sup> Another study<sup>13</sup> of 1037 adults aged 32 years found that weight gain by age 3 years was not significantly associated with either FEV<sub>1</sub> or FVC in combined analysis (men and women), but FVC was associated with early weight gain in men only.

A major strength of our study is the regular follow-ups of individuals in this population-based cohort from birth into late adult life, with data collected on potential confounders and mediating factors across life, and repeated lung function measurements from midlife to early old age. The repeated measures design and hierarchical multilevel modelling approach in the analyses better account for measurement errors and increase power to detect effects.

This study has certain limitations. As with most previous studies, birth weight is the only measure available as a proxy of fetal growth in this cohort. Hancox *et al*<sup>13</sup> reported that body mass index (BMI) at birth or ponderal index may be slightly better than birth weight as a predictor of lung function at age 32 years. However, in another study, neither birth length nor ponderal index was related to lung function at age 25 years, but birth weight was.<sup>31</sup> Furthermore, the rounding of the original birth weight measurements may have led to underestimation of associations with lung function, assuming misclassification of birth weight was random with respect to lung function. Information on gestational age at birth was not available in 1946, but significant associations remained after adjusting for gestational age in previous studies.<sup>8–10 13 14 31</sup> Also, we have no information on maternal smoking and dietary patterns across life and during pregnancy, two factors that may impact on fetal growth;<sup>35 36</sup> however, previous studies<sup>8 9</sup> have demonstrated that the explored relationship was independent of maternal smoking during pregnancy. Effect estimates were substantially reduced after adjusting for adult height, an important determinant of adult lung function but also partly explained by birth weight—babies with higher birth weights became taller adults with bigger lungs.<sup>37</sup>

As expected in a very long-running cohort there was some differential loss over time of participants with lower educational attainment and lifelong smokers that has been previously documented.<sup>20</sup> This bias might be expected to lead to an underestimate of the overall decline in lung function and potentially lower accumulation of environmental insults from occupational sources and active or passive smoking. However, as the NSHD still remains broadly nationally representative in terms of occupational social class and unemployment profile, we think any impact on the associations presented is likely to be small. Further, the complete-case analysis showed comparable results for the multilevel models (see online supplementary S3).

In conclusion, our results suggest that while birth weight and early life factors are associated with lung function in middle

age, particularly in women, their impact decreases over time as other risk factors accumulate over the life course.

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## Supplementary material

### Birth weight, early childhood growth and lung function in middle to early old age: 1946 British birth cohort

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## **S1 Spirometry data collection in 1989, 1999 and 2006/10 in NSHD.**

<b>Year of data collection</b>	<b>Type of data</b>	<b>Method of data collection</b>	<b>Number of manoeuvres</b>	<b>Satisfactory techniques recorded</b>
1989	FEV <sub>1</sub> and FVC	Home visit	Three	No
1999	FEV <sub>1</sub> and FVC	Home visit	Two	Yes
2006-2010	FEV <sub>1</sub> and FVC	Clinic and home visits	Two	Yes

### **Lung function cleaning procedure for 1989, 1999 and 06-10**

#### *1989*

Three manoeuvres were taken for both FEV<sub>1</sub> and FVC.

#### *1999*

Two manoeuvres were taken for FEV<sub>1</sub> and FVC and an assessment given by the nurse as to whether the technique was satisfactory or not.

#### *2006-10*

Two manoeuvres were taken for FEV<sub>1</sub> and FVC and an assessment given by the nurse as to whether the technique was satisfactory or not.

### **Step 1**

The values were converted to litres (Divide values by 100)

Any values less than 0.30 and more than 9.0 were considered outliers and excluded (these values are considered implausible according to medical practice but have been retained in data file to allow for comparative investigation and checks on potential bias).

In 1999 and 2006/10 those who had 'unsatisfactory' techniques were also excluded.

### **Step 2**

The maximum valid value recorded from each study member was computed. If there was only 1 valid manoeuvres then this was counted as the 'maximum' value; if there were two or more valid values then the maximum was the highest of all the valid values for that study member.

### **Step 3**

The maximum value from the 3 manoeuvres was generated provided there were at least 2 valid measures available. (i.e. those who only gave one valid measurement were excluded)

### **Step 4**

If the difference between the maximum two manoeuvres is more than 0.30 L the respondent is this is considered to be an outlier and should be removed.

### **Step 5**

Using the ATS method<sup>[1]</sup>

The ATS has stricter criteria. If the difference between the maximum two manoeuvres is more than 0.15 L the respondent is this is considered to be an outlier and should be removed.

### **Optional**

Comparing the NSHD distribution to other European cohorts it appears that the NSHD is systematically lower than these cohorts.

Orfei et al<sup>[2]</sup> have used the following correction when comparing the 1946 cohort to the 1958 cohort: 0.24L is added to FEV<sub>1</sub> and 0.34L to FVC.

Figure S1: Flowchart explaining the cleaning stages and numbers excluded in various stages for the 1989 variables

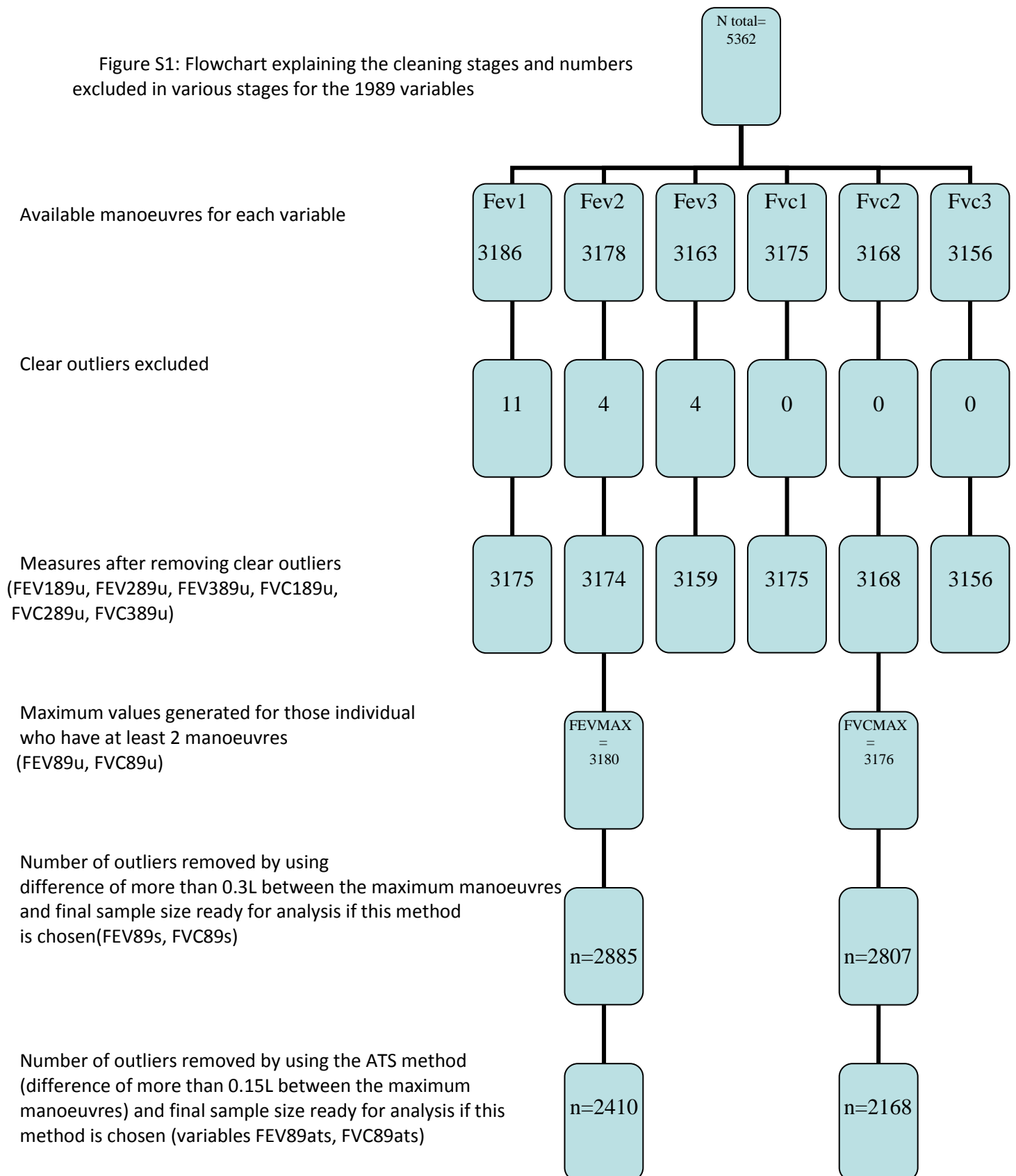


Figure S2: a flowchart explaining the cleaning stages and numbers excluded in various stages for the 1999 variables.

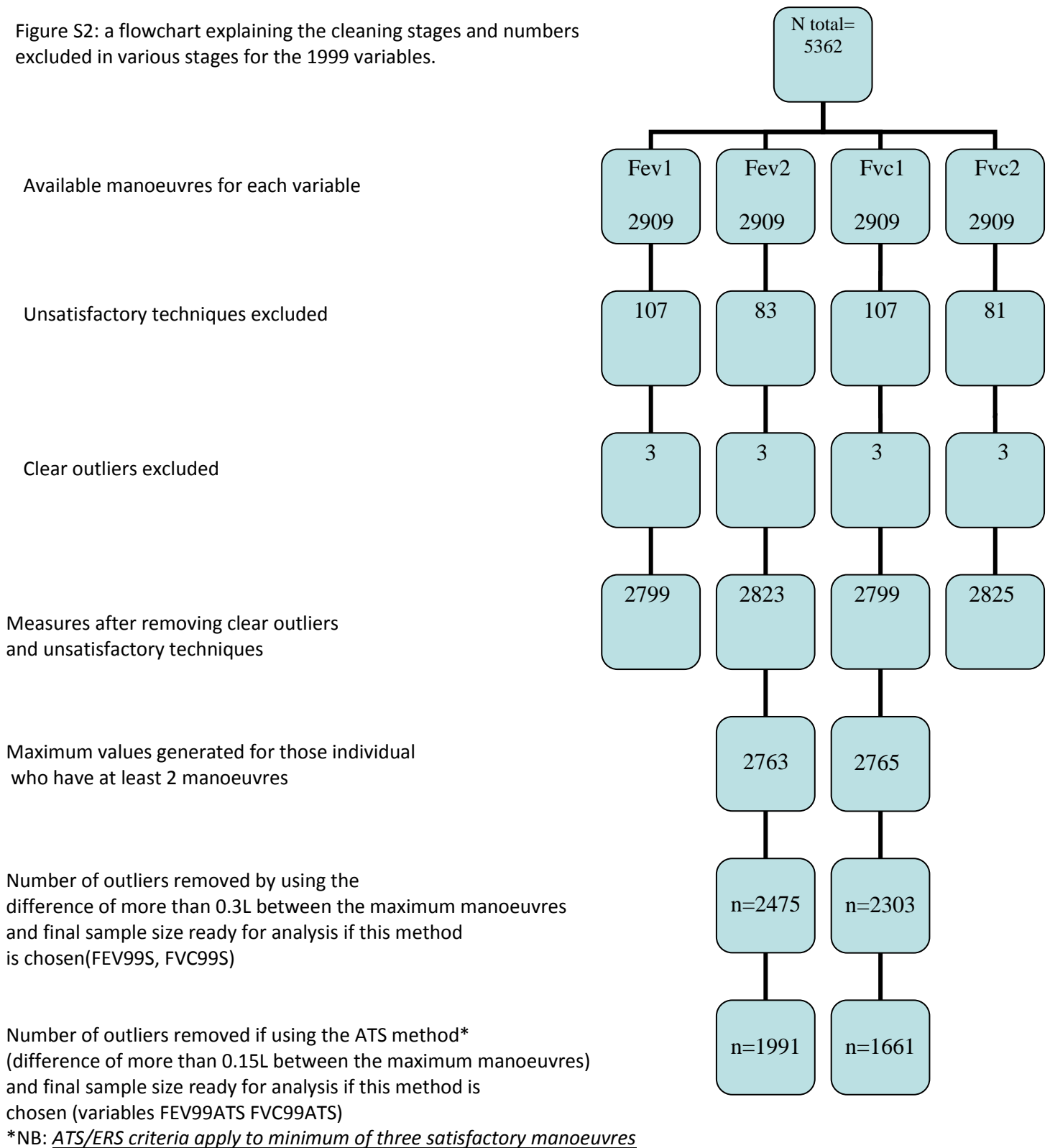
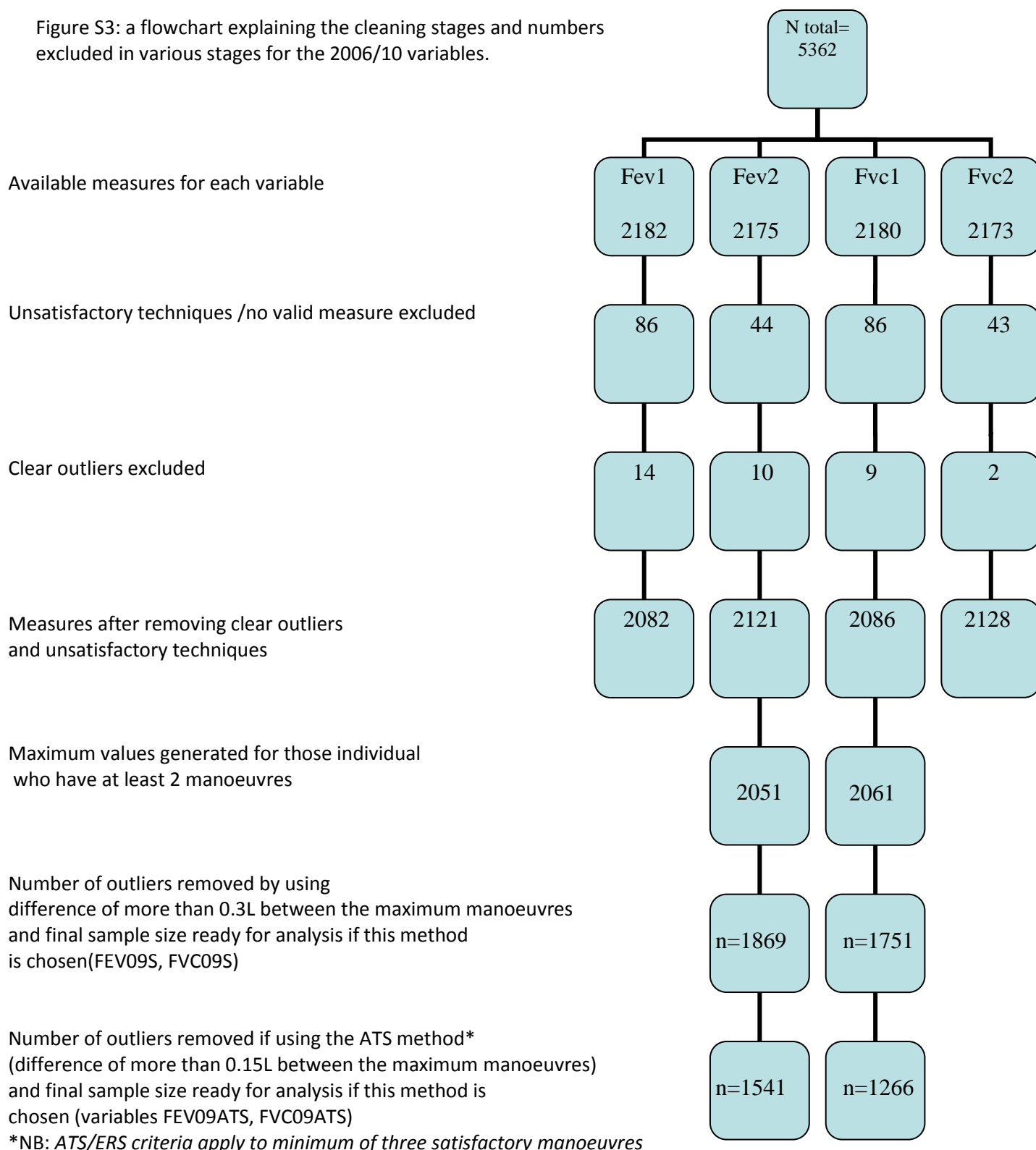


Figure S3: a flowchart explaining the cleaning stages and numbers excluded in various stages for the 2006/10 variables.



**S2a Associations (coefficient and 95%CI) between birth weight and both FEV<sub>1</sub> (ml) and FVC (ml) at every age in men: complete-case analysis**

<b>Men(N=313)</b>		<b>FEV<sub>1</sub>(ml)</b>				
Birth weight (per 1kg increase)		Age 43 years	Age 53 years	Age 60-64 years		
M0: unadjusted	61.5	(-67.2 to 190.2)	<b>139.6</b>	<b>(16.6 to 262.6)</b>	<b>164.3</b>	<b>(28.9 to 299.6)</b>
M1: M0+ adult height †	-83.3	(-207.6 to 41.0)	-14.2	(-130.2 to 101.8)	2.0	(-12.5 to 128.9)
M2: M1+adult covariates‡	-79.3	(-199.8 to 41.3)	-11.2	(-121.9 to 99.5)	19.1	(-99.2 to 137.4)
M3: M2+early life covariates*	-66.1	(-188.5 to 56.2)	4.6	(-107.7 to 11.7)	39.0	(-80.9 to 158.9)
<b>Men(N=247)</b>		<b>FVC(ml)</b>				
Birth weight (per 1kg increase)		Age 43 years	Age 53 years	Age 60-64 years		
M0: unadjusted	<b>221.1</b>	<b>(17.9 to 424.3)</b>	<b>252.1</b>	<b>(88.6 to 415.7)</b>	<b>210.5</b>	<b>(29.2 to 391.8)</b>
M1: M0+ adult height †	46.3	(-155.3 to 247.9)	51.6	(-98.6 to 201.8)	19.1	(-150.1 to 188.3)
M2: M1+adult covariates‡	46.1	(-146.3 to 238.6)	76.6	(-70.8 to 224.1)	40.1	(-122.7 to 202.9)
M3: M2+early life covariates*	61.1	(-135.0 to 257.1)	102.8	(-46.0 to 251.5)	65.3	(-99.9 to 230.5)

†: adjusted for age at 2006-10 follow-up (aged 60-64 years).

‡: Adult covariates included education level, smoking status, asthma status, smoking pack years.

\*: Early life covariates included weight gain at aged 2 years, LRTI under aged 2 years, and childhood social class at aged 4 years

**S2b Associations (coefficient and 95%CI) between birth weight and both FEV<sub>1</sub> (ml) and FVC (ml) at every age in women: complete-case analysis**

<b>Women(N=374)</b>	<b>FEV<sub>1</sub>(ml)</b>					
Birth weight (per 1kg increase)	Age 43 years		Age 53 years		Age 60-64 years	
M0: unadjusted	<b>104.3</b>	<b>(20.9 to 187.7)</b>	28.0	(-49.5 to 105.6)	42.8	(-40.6 to 126.1)
M1: M0+ adult height †	30.3	(-48.5 to 109.1)	-42.0	(-114.7 to 30.8)	-9.7	(-89.1 to 69.7)
M2: M1+adult covariates‡	52.4	(-25.5 to 130.3)	-8.2	(-79.7 to 63.3)	29.7	(-44.2 to 103.5)
M3: M2+early life covariates*	52.1	(-26.8 to 131)	-6.8	(-79.0 to 65.3)	27.4	(-47.4 to 102.2)
<b>Women(N=342)</b>	<b>FVC(ml)</b>					
Birth weight (per 1kg increase)	Age 43 years		Age 53 years		Age 60-64 years	
M0: unadjusted	104.5	(-13.1 to 222.1)	90.1	(-13.2 to 193.5)	33.6	(-68.6 to 135.7)
M1: M0+ adult height †	27.6	(-83.3 to 138.5)	5.8	(-87.2 to 98.7)	-39.2	(-131.8 to 53.4)
M2: M1+adult covariates‡	43.6	(-67.5 to 154.7)	11.5	(-82.9 to 105.9)	-16.9	(-106.5 to 72.7)
M3: M2+early life covariates*	38.4	(-73.3 to 150.1)	7.6	(-87.2 to 102.5)	-25.3	(-115.3 to 64.8)

†: adjusted for age at 2006-10 follow-up (aged 60-64 years).

‡: Adult covariates included education level, smoking status, asthma status, smoking pack years.

\*: Early life covariates included weight gain at aged 2 years, LRTI under aged 2 years, and childhood social class at aged 4 years.

**S3 Changes of effects between birth weight and FEV<sub>1</sub> (ml) and FVC (ml) from ages 43 years to 60-64 years: a complete-case analysis of multilevel model**

	FEV <sub>1</sub>				FVC			
	Age 43 years (ml),		Linear change from age 43 years, (ml/year),		Age 43 years (ml)		Linear change from age 43 years, (ml/year)	
<b>Men (N=875)</b>								
<b>Birth weight(per 1kg increase)</b>								
M0: age, quadratic age, age*birth weight	<b>121.7</b>	<b>(43.4 to 200.0)</b>	2.4	(-1.5 to 6.4)	<b>162.1</b>	<b>(55.3 to 268.9)</b>	4.4	(-2.0 to 10.7)
M1: M0+ adult height	2.7	(-72.5 to 77.8)	2.3	(-1.7 to 6.3)	-11.0	(-113.2 to 91.2)	3.7	(-2.6 to 10.0)
M2: M1+adult covariates†	31.1	(-41.2 to 103.4)	2.7	(-1.2 to 6.5)	8.6	(-90.8 to 108.0)	3.7	(-2.5 to 10.0)
M3: M2+ early life covariates*	41.8	(-31.1 to 114.8)	2.7	(-1.2 to 6.5)	16.2	(-84.1 to 116.5)	3.7	(-2.5 to 9.9)
	FEV <sub>1</sub>				FVC			
	Age 43 years (ml),		Linear change from age 43 years, (ml/year),		Age 43 years (ml)		Linear change from age 43 years, (ml/year)	
<b>Women (N=893)</b>								
<b>Birth weight(per 1kg increase)</b>								
M0: age, quadratic age, age*birth weight	<b>110.6</b>	<b>(53.1 to 168.1)</b>	<b>-2.5</b>	<b>(-4.8 to -0.1)</b>	<b>135.0</b>	<b>(60.3 to 209.7)</b>	<b>-3.7</b>	<b>(-7.3 to -0.1)</b>
M1: M0+ adult height	50.9	(-3.5 to 105.4)	<b>-2.5</b>	<b>(-4.8 to -0.1)</b>	50.7	(-20.0 to 121.4)	<b>-3.7</b>	<b>(-7.3 to -0.05)</b>
M2: M1+adult covariates†	<b>54.2</b>	<b>(1.6 to 106.9)</b>	<b>-2.3</b>	<b>(-4.6 to -0.01)</b>	48.8	(-20.5 to 118.1)	-3.6	(-7.3 to 0.007)
M3: M2+ early life covariates*	<b>60.3</b>	<b>(7.6 to 113.0)</b>	<b>-2.4</b>	<b>(-4.7 to -0.1)</b>	54.7	(-14.5 to 123.8)	<b>-3.9</b>	<b>(-7.5 to -0.2)</b>

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