

1 **Impact of gastro-oesophageal reflux disease symptoms on chronic obstructive**
2 **pulmonary disease exacerbation**

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1 ABSTRACT

2 **Background:** The association between gastro-oesophageal reflux disease (GORD) and chronic
3 obstructive pulmonary disease (COPD) exacerbation has so far remained unclear.

4 **Objective:** To prospectively establish the clinical significance of GORD symptoms on
5 exacerbation.

6 **Methods:** In total, 82 COPD patients and 40 age-matched controls were enrolled in this study.
7 Symptoms were evaluated by a questionnaire using the Frequency Scale for the Symptoms of
8 GORD (FSSG). COPD patients were prospectively surveyed for 6 months, and episodes of
9 exacerbation were identified using a diary based on modified Anthonisen's criteria. Exhaled breath
10 condensate (EBC) pH was measured in both groups, and induced sputum was evaluated in COPD
11 patients.

12 **Results:** Positive GORD symptoms were reported in 22 (26.8%) COPD patients and five (12.5%)
13 controls ($p = 0.10$). The frequency of exacerbations was significantly associated with the FSSG
14 score ($p = 0.03$, $r = 0.24$, 95% confidence interval (CI) = 0.02–0.43). Multiple regression analysis
15 revealed that GORD symptoms were significantly associated with the occurrence of exacerbations
16 ($p < 0.01$; relative risk (RR) = 6.55, 95% CI = 1.86–23.11). EBC pH was inversely correlated with
17 FSSG score in both groups ($p = 0.01$, $r = -0.37$, 95% CI = -0.55 to -0.14 in COPD patients, and p
18 < 0.01 , $r = -0.45$, 95% CI = -0.67 to -0.16 in control subjects).

19 **Conclusions:** GORD symptoms were identified as an important factor associated with COPD
20 exacerbation.

1 INTRODUCTION

2 Exacerbations of chronic obstructive pulmonary disease (COPD) are an important determinant of
3 patient quality of life and can aggravate disease progression, which is associated with increased
4 morbidity and use of health-care resources.¹ Several clinical backgrounds are reportedly
5 associated with exacerbation frequency, including age, low forced expiratory volume in 1 s
6 (FEV₁) and low body-mass index (BMI).^{2,3}

7 Gastro-oesophageal reflux disease (GORD) is a relatively common condition, affecting 10–29%
8 of the Western population and 5–14% of Japanese adults, and is associated with a variety of
9 respiratory disorders.^{4–7} GOR has been shown to worsen asthma control through
10 oesophagobronchial reflex, and to heighten bronchial reactivity and microaspiration.^{8–10} GOR has
11 also been reported to be accompanied by neutrophilic airway inflammation.¹¹ We therefore
12 hypothesized that GOR could act as a confounding factor of exacerbations through similar
13 mechanisms in asthma and/or by increasing airway inflammation.

14 To our knowledge, only one previous study has investigated the relationship between GORD
15 symptoms and exacerbations. This research relied on a retrospective analysis of the number of
16 exacerbations based on patient recall during the previous year, and the mechanism by which
17 GORD symptoms affect COPD exacerbation remains to be elucidated.¹²

18 In the current study, we prospectively examined the association between exacerbations and
19 GORD symptoms using the Frequency Scale for the Symptoms of GORD (FSSG) for qualitative
20 and quantitative analysis of symptoms. We also investigated the usefulness of EBC pH for
21 reflecting airway inflammation and acid reflux, by analysing the association with GORD
22 symptoms and inflammatory indices of induced sputum.^{13,14}

23

1 METHODS

2 Subjects

3 Patients with moderate-to-severe COPD, as defined by the Global Initiative for Chronic
4 Obstructive Lung Disease Guidelines (GOLD) 2003, were consecutively enrolled from the
5 outpatient clinic of Kyoto University Hospital, Japan.¹ The exclusion criteria were as follows:
6 smoking history < 20 packs per year; co-morbidities of respiratory disorders other than COPD;
7 history of malignant disease within 5 years; active gastro-intestinal diseases other than GORD;
8 initiation and withdrawal of medications related to COPD and GORD during the follow-up period;
9 daily use of systemic corticosteroids; and current use of long-term oxygen therapy. In total, 40
10 controls from the Terada Clinic in Himeji, Japan, and the outpatient clinic at Kyoto University
11 Hospital ($n = 38$ and 2 , respectively) were enrolled (age = > 60 years). The exclusion criteria were
12 as follows: comorbidities of respiratory disorders including COPD confirmed by medical history,
13 physical examination, chest radiography and spirometry; history of malignant diseases within 5
14 years; active gastro-intestinal diseases other than GORD; and active infectious diseases. The
15 research protocol was approved by the Ethics Committee of Kyoto University, and written
16 informed consent was obtained from all subjects.

17 Definition of exacerbation and stable condition

18 Exacerbations were defined, according to the modified Anthonisen's criteria, as the occurrence of
19 two or more of three major symptoms (that is, increase in dyspnoea, sputum purulence and
20 increased sputum volume), or any one major symptom with any one minor symptom (that is,
21 increase in nasal discharge, wheezing, sore throat, cough or fever) for at least two consecutive
22 days.¹⁵⁻¹⁷ Patients were issued with diaries in which each symptom was quantified on a graded
23 scale from 1 to 5 (where 1 = much better than usual, 2 = better than usual, 3 = the same as usual, 4
24 = worse than usual and 5 = much worse than usual) based on previous recommendations.¹⁷

1 Exacerbations were identified using diaries at each visit to our clinic, and were confirmed by at
2 least two respiratory physicians who were unaware of the EBC pH and the FSSG score. The end
3 of an exacerbation was defined as the time point when the rolling 3-day mean symptom score
4 returned to the pre-exacerbation level.¹⁸ Stable conditions were defined as exacerbation-free
5 intervals that lasted for more than 4 weeks, as confirmed in a diary, and episodes of worsening
6 symptoms within 2 weeks that met the criteria of exacerbation were considered as one episode.¹⁹

7 **Study protocol**

8 The COPD and control subjects were compared using a cross-sectional survey. The association
9 between GORD symptoms and exacerbations was investigated with a cohort survey. GORD
10 symptom evaluation, EBC and sputum sampling, and lung function tests were performed on the
11 same day under stable conditions. Subsequently, the numbers of exacerbations recorded in the
12 diaries were calculated over a period of more than 6 months between 1 June 2006 and 31
13 December 2006.

14 **GORD evaluation**

15 GORD symptoms were evaluated with a self-reported FSSG questionnaire consisting of 12 items.
16 The frequency of each item was quantified on a scale ranging from 0 to 4 points as follows: 0 =
17 none (not in the past year); 1 = rarely (a few times in the past year); 2 = sometimes (a few times in
18 the past month); 3 = often (a few times in the past week); 4 = always (everyday). The cut-off score
19 for GORD symptoms was set at eight points.¹³ The questionnaire included items related to two
20 symptom subtypes: those associated with GOR (for example, “Do you get heartburn?”) and those
21 associated with gastric dysmotility (for example, “Does your stomach get bloated?” and “Does
22 your stomach ever feel heavy?”; see online appendix).¹⁴ We also validated the FSSG results by
23 evaluating the GORD symptoms with the Questionnaire for the Diagnosis of Reflux Disease
24 (QUEST) using a cut-off score for GORD symptoms of four points.¹⁹

1 **Lung-function tests**

2 The FEV₁, forced vital capacity (FVC), VC, diffusing capacity of the lung for carbon monoxide
3 (DL_{co}), residual volume (RV), total lung capacity (TLC) and arterial blood gas were measured.
4 The predicted values for FEV₁ were calculated according to the method of the Japan Society of
5 Chest Diseases.²⁰

6 **EBC sample collection and analysis of pH**

7 EBC samples were collected in a liquid state during tidal breathing for 8 min, using a disposable
8 portable collector (Rtube, Respiratory Research Inc, Charlottesville, VA, USA) under supervised
9 conditions.²¹ Each sample was bubbled through Argon gas (350 ml/min) for 8 min. The EBC pH
10 was measured with a 9669-10D glass micro-pH electrode attached to an F 52 pH meter (Horiba
11 Corporation, Kyoto, Japan) immediately after the argon flushing. The F 52 pH meter was
12 calibrated at pH 4, pH 7 and pH 9 against standards, prior to each series of assays.

13 **Sputum induction and processing**

14 Sputum induction and processing were performed on subjects with an FEV₁ > 1 L according to the
15 European Respiratory Society (ERS) recommendations, with slight modifications, following the
16 evaluation of GORD symptoms and the sampling of EBC.²²⁻²⁴ Briefly, subjects were assessed
17 using spirometric tests (Chest MI Corp, Tokyo, Japan) 10 min after premedication with 200 µg
18 inhaled salbutamol, and then inhaled 3% saline for 20 min using an ultrasonic nebuliser (MU-32,
19 Azwell Inc, Osaka, Japan). Each collected sample was immediately separated from the
20 contaminating saliva by visual examination, then mixed with 0.1% dithiothreitol (Suptasol, Oxoid
21 Ltd, Hampshire, UK) and diluted with Dulbecco's phosphate buffered saline (PBS) according to
22 the recommended methodology.²⁴ After centrifugation, cell differentiation was determined by
23 counting at least 400 non-squamous cells stained using the Diffquik method. The supernatants
24 were stored at -80°C. The levels of interleukin-8 (IL-8) and tumour necrosis factor-α (TNF-α) in

1 the supernatants were measured using quantitative sandwich immunoassay techniques (R&D
2 Systems, Minneapolis, MN, USA).

3 **Statistical analysis**

4 Statistical analyses were performed using JMP 6.0 (SAS Campus Drive, Cary, NC, USA). Data
5 are presented as the mean \pm standard deviation (SD) or as the median with the interquartile range
6 (IQR) shown in parentheses. Statistical analyses were performed using parametric (Student's *t*-test
7 and analysis of variance (ANOVA)) or nonparametric (Mann–Whitney two-sample test and
8 Spearman's rank-correlation test) methods as appropriate. The relationship between the two
9 groups was evaluated with a Chi-squared test. Logistic regression was used to test whether
10 individual factors were associated with exacerbations. A *p* value < 0.05 was considered
11 statistically significant.
12

1 **RESULTS**

2 The clinical characteristics of the subjects are shown in Table 1. In total, 82 COPD patients and 40
3 controls met the entry criteria. There was no significant difference in age between both groups.

4

5

Table 1. Patient characteristics

Characteristic	COPD patients	Healthy controls	<i>p</i> value
	(<i>n</i> = 82)	(<i>n</i> = 40)	
Age (years)	73.0 ± 8.0	70.9 ± 9.3	0.32
Gender (male:female)	77:5	19:21	< 0.01
Smoking status (current:former:never)	10:72:0	1:17:22	< 0.01
Number of cigarette packs*year	65.3 ± 37.8	11.2 ± 15.9	< 0.01
BMI (kg/m ²)	21.5 ± 3.0	24.1 ± 3.5	< 0.01
FEV ₁ (L)	1.5 ± 0.6	2.2 ± 0.7	< 0.01
%FEV ₁ (% pred)	56.9 ± 20.4	101.3 ± 15.9	< 0.01
RV/TLC (%)	43.6 ± 7.7	ND	
DL _{CO} /V _A (ml/min/mmHg/L)	2.7 ± 1.0	ND	
PaO ₂ (kPa)	8.3 ± 0.9	ND	
PaCO ₂ (kPa)	4.6 ± 0.5	ND	

6 ND, not done; PaO₂, partial pressure of oxygen in arterial blood; PaCO₂, partial pressure of arterial
7 carbon dioxide. Gender and smoking status were evaluated by the Chi-squared test. The number of
8 cigarette packs*year was evaluated by the Mann–Whitney *U*-test. All other indices were evaluated
9 by the unpaired *t*-test.

10

1 Positive GORD symptoms were reported in 22 (26.8%) COPD patients and five (12.5%)
 2 controls (Table 2). The total FSSG score was similar in both groups (4.9 ± 5.3 in COPD patients
 3 versus 3.0 ± 3.2 in controls, $p = 0.10$).

4

5 **Table 2. GORD symptoms in COPD and healthy subjects**

Subjects	Evaluation by FSSG		Prevalence	<i>p</i> value
	Positive	Negative		
Healthy	5	35	12.5%	0.10
COPD	22	60	26.8%	

6 Data were evaluated by the Chi-squared test. The relative risk (RR) for GORD symptoms in
 7 COPD patients compared with controls was 2.15 (95% CI = 0.88–5.25, $p = 0.10$).

8

9 The association between clinical indices and GORD symptoms in the COPD patients is shown
 10 in Table 1S (see online appendix). There was no significant difference between subjects with and
 11 without GORD symptoms in terms of age, gender, smoking status, BMI, lung function and
 12 medications.

13 The incidence of exacerbation was significantly higher in patients with GORD symptoms than
 14 in patients without such symptoms (RR = 1.93, 95% CI = 1.32–2.84; $p < 0.01$; Table 3). The
 15 average number of exacerbations during 6 months was 0.98 ± 1.38 in all patients, and those with
 16 GORD symptoms experienced significantly more episodes than those without (1.73 ± 1.58 versus
 17 0.70 ± 1.20 , $p < 0.01$).

18

19 **Table 3. Associations between exacerbations and GORD symptoms in COPD patients**

GORD symptoms	COPD exacerbation		Exacerbation frequency over 6 months
	Did not occur	Did occur	
Negative	36	24	0.70 ± 1.20
Positive	5	17	1.73 ± 1.58
<i>p</i> value	< 0.01		< 0.01

1 Data were evaluated by the Chi-squared test and the unpaired *t*-test. The RR for the occurrence of
 2 exacerbation in patients with GORD symptoms compared with patients without such symptoms
 3 was 1.93 (95% CI = 1.32–2.84, *p* < 0.01).

4
 5 Figure 1 shows the relationship between the FSSG score and the exacerbation frequency. The
 6 FSSG score was significantly correlated with the number of exacerbations (*r* = 0.24, 95% CI =
 7 0.02–0.43; *p* = 0.03).

8 The known confounding factors for exacerbations are shown in Table 2S (see online appendix).
 9 The demographic and other clinical characteristics were similar between both groups.

10 The GORD symptoms were also identified by multiple regression analysis as a significant
 11 factor associated with the occurrence of exacerbation (RR = 6.55, 95% CI = 1.86 to 23.11; *p* <
 12 0.01; Table 3S (see online appendix)).

13 To exclude the effects both by daily treatment with proton-pump inhibitors (PPIs) or
 14 H₂-receptor antagonists (H₂RAs) and by seasonal variability of exacerbations (for example, the
 15 frequency of exacerbations might increase in winter in association with the occurrence of
 16 influenza epidemics), 52 patients who were not receiving anti-acid therapy among the sample
 17 population were surveyed for an additional 6 months (12 months in total). In those patients,
 18 GORD symptoms was also significantly associated with annual frequency; the frequency was 2.6

1 ± 2.0 in subjects with GORD symptoms and 1.5 ± 1.7 in subjects without such symptoms ($p =$
2 0.048). The GORD symptoms were significantly related to frequent (three or more episodes per
3 year) exacerbations (RR = 2.18, 95% CI = 1.10–5.70; $p = 0.046$ for frequent exacerbations in
4 patients with versus without GORD symptoms).

5 The EBC pH was similar between the COPD patients and the controls. However, the EBC pH
6 was significantly lower in subjects with GORD symptoms than in subjects without GORD
7 symptoms (6.47 ± 1.22 versus 7.17 ± 1.05 , $p = 0.02$ in COPD patients, and 6.34 ± 1.22 versus 7.22
8 ± 0.53 , $p = 0.03$ in controls; Table 4S (see online appendix)). The EBC pH was inversely
9 correlated with the FSSG score in both groups ($r = -0.37$, 95% CI = -0.55 to 0.34 ; $p = 0.01$ in
10 COPD patients, and $r = -0.45$, 95% CI = -0.67 to 0.16 ; $p < 0.01$ in controls). However, no
11 significant correlation was found between the EBC pH and the exacerbation frequency ($r = 0.13$,
12 95% CI = -0.11 to 0.35 ; $p = 0.29$).

13 To examine whether the EBC pH reflected tracheobronchial inflammation, sputum induction
14 was performed in 42 patients, 35 of whom met the inclusion criterion for evaluating sputum as a
15 lower respiratory tract sample (squamous cell contamination = $< 20\%$). There was no significant
16 correlation between the EBC pH and the sputum inflammatory indices, such as the differential cell
17 counts, and the IL-8 and TNF- α concentrations (Table 5S (see online appendix)).

18 According to QUEST, the prevalence of positive GORD symptoms was 24.4% in COPD
19 patients and 10.0% in controls, the RR of the exacerbation in patients with GORD symptoms
20 compared with patients without such symptoms was 1.61 (95% CI = 1.07–2.41, $p = 0.07$), the
21 EBC pH values of subjects with and without GORD symptoms were 6.56 ± 1.19 and 7.12 ± 1.09 ,
22 respectively ($p = 0.07$), and the GORD symptoms were marginally associated with exacerbation
23 occurrence (RR = 3.21, 95% CI = 1.11–13.27; $p = 0.05$).

24

1 DISCUSSION

2 This prospective cohort study demonstrated, for the first time, that COPD exacerbations are
3 associated with GORD symptoms, the frequency of which is inversely correlated with the EBC
4 pH.

5 A recent retrospective study suggested that GORD symptoms were associated with
6 exacerbations; however, the subjects were asked to report the number of exacerbations that had
7 occurred during the previous year, which was an approach that did not meet the criteria used in
8 previous studies and resulted in a recall bias.¹² To resolve this problem, we conducted a
9 prospective questionnaire-based study that allowed us to identify exacerbations according to
10 modified Anthonisen's criteria.¹⁵⁻¹⁷ In order to determine the associations among GORD
11 symptoms, airway inflammation and exacerbation frequency, we also evaluated the EBC pH and
12 induced sputum under stable conditions.

13 We found the EBC pH to be correlated inversely with the FSSG score in both groups. Recently,
14 Hunt *et al.* reported that transient EBC acidification occurred during acid reflux cough, and that
15 the EBC pH profile collected immediately subsequent to a coughing episode was a strong
16 predictor of GOR in the diagnosis of chronic cough.⁵ In our current study, we were unable to
17 determine the time lag between the actual acid reflux and the sampling of EBC pH. However, we
18 speculated that asymptomatic acid reflux occurred more frequently in accordance with increased
19 GORD symptoms. This might have resulted in the sampling point of EBC being contiguous to the
20 acid reflux as the GORD symptoms worsened, lowering the EBC pH. In several previous studies,
21 EBC pH has been reported to reflect the lining fluid of the inflamed lower respiratory tract, and
22 considerable variability has been seen among COPD patients. Kostikas *et al.* demonstrated an
23 inverse correlation between EBC pH and the percentage of neutrophils in induced sputum in 20
24 COPD patients.²⁵ However, we found that none of the sputum inflammatory indices correlated

1 with the EBC pH (Table 5S (see online appendix)).^{22-24,26} Moreover, the EBC pH was not
2 correlated with the frequency of exacerbations, and was similar between COPD patients and
3 controls. These findings suggest that the EBC pH might have reflected acid reflux rather than
4 tracheobronchial inflammation under stable conditions in our sample population. Further
5 examination is necessary to determine whether EBC pH is a marker of acid reflux.

6 From the several different questionnaires developed for the symptom-based diagnosis of GORD,
7 we chose the FSSG for the current study for the following reasons.^{12,13,19,27,28} First, GORD
8 symptoms can be quantified with the FSSG, but not with QUEST. Second, symptoms related not
9 only to acid reflux but also to gastric dysmotility can be evaluated with the FSSG; this is of
10 particular importance, and a descriptive questionnaire that covers symptoms associated with both
11 acid reflux and gastric dysmotility is useful for recognizing these conditions.^{29,30} We found that
12 both the FSSG and QUEST identified GORD symptoms to a similar level. However, although
13 QUEST showed a similar tendency for associations with exacerbations, it failed to reach statistical
14 significance. This discrepancy between the FSSG and QUEST might be due to differences in the
15 characteristics of the questionnaires: the latter focuses on ulcer-like and reflux-like symptoms, and
16 lacks items concerning dysmotility-like symptoms, whereas the former covers all symptom
17 subtypes.^{13,19}

18 The occurrence of GORD symptoms in our sample population was similar to those reported in
19 previous studies. Although the prevalence of GORD symptoms was reportedly higher in COPD
20 patients than controls, this trend did not achieve statistical significance for the following
21 reasons.^{4,27,28} First, the sample size was small. Second, the confounding factors of the prevalence
22 of GORD, such as gender, smoking status and BMI, were not matched between the COPD patients
23 and the controls.^{31,32}

24 The symptom-based diagnosis of GORD could be affected by symptoms related to

1 comorbidities such as COPD. In COPD, the overinflation of the lung could cause dysmotility-like
2 dyspepsia giving a sensation of gastric fullness without GORD. However, we did not find any
3 significant associations between GORD symptoms and physiological indices such as
4 BMI, %FEV₁ (an index of COPD severity), RV, TLC, RV/TLC (a physiological parameter related
5 to overinflation) and DL_{CO}/V_A (reflecting parenchymal destruction and V/Q mismatch). Therefore,
6 we believe that the GORD symptoms were not dependent on the COPD severity.

7 It is difficult to determine whether GORD itself directly affects exacerbation or merely coexists
8 in patients who experience frequent exacerbations. In asthmatic patients, the coexistence of
9 GORD was shown to be associated with deteriorating symptoms through several mechanisms,
10 including oesophageal–bronchial reflex, heightened bronchial reactivity and microaspiration.^{8–10,33}
11 Carpagnano *et al.* reported that GOR is characterized by neutrophilic airway inflammation,
12 although it does not aggravate pre-existing airway inflammation in asthma.¹¹ However, it remains
13 unclear whether GOR itself alters lung function or the clinical course in COPD. Previous reports
14 have shown that COPD patients lack a bronchoconstrictive reflex to distal oesophageal
15 acidification.³⁴ Ravelli *et al.* used gastro-oesophageal ⁹⁹Tc scintigraphy with lung scanning to
16 show that microaspiration of gastric contents occurred even if pathologic GOR was not detected
17 with 24-h intra-oesophageal pH monitoring.³⁵ Moreover, to determine whether the EBC pH
18 reflected GOR, and whether GOR or gastric dysmotility was more strongly associated with the
19 frequency of exacerbations, we investigated the associations among the frequency of
20 exacerbations, EBC pH, and the symptom subtypes of the FSSG associated with GOR and gastric
21 dysmotility. The number of exacerbations was significantly correlated with those associated with
22 gastric dysmotility but not with GOR (Figure 1S (see online appendix)), whereas the EBC pH was
23 inversely correlated with those associated with GOR but not with gastric dysmotility (Figure 2S
24 (see online appendix)). We also demonstrated that the sputum inflammatory indices under stable

1 conditions were similar between patients with GORD symptoms and those without (Table 6S (see
2 online appendix)). Considering these findings, we speculated that GOR might occur frequently
3 even under stable conditions that cause the EBC pH to fall without aggravating airway
4 inflammation, whereas gastric dysmotility might predispose an individual to episodic aspiration of
5 low-acidic gastric contents and induce exacerbations. Moreover, impaired gastric motility might
6 disturb the clearance of swallowed contents from the pharynx to the oesophagus, leading to their
7 aspiration into the tracheobronchial tree and thereby causing exacerbations. Further objective
8 examinations are necessary to confirm the associations between GOR, impaired gastric motility
9 and exacerbations.

10 There was a limitation to our study. We did not confirm GOR objectively using 24-h
11 intra-oesophageal pH monitoring. The sensitivity and specificity of questionnaire-based diagnosis
12 is not satisfactory compared with 24-h intra-oesophageal pH monitoring for the diagnosis of
13 GORD; however, 24-h intra-oesophageal pH probes are of limited relevance to acid-reflux
14 respiratory diseases, are uncomfortable for subjects and are generally reserved for research
15 purposes.⁵ We found an inverse correlation between the FSSG score and the EBC pH. Further
16 investigations are thus necessary to determine whether the EBC pH reflects GOR or some aspects
17 of airway pathophysiology that relate to exacerbation.

18 In conclusion, we found that COPD patients with GORD symptoms were more likely to
19 experience exacerbations than those lacking these symptoms. GORD might increase the
20 tracheobronchial aspiration of gastric juice directly and/or disturb the clearance of swallowed
21 contents from the pharynx to the oesophagus indirectly, leading to frequent exacerbations. Further
22 examinations are needed to clarify whether treatment for GORD symptoms ameliorates COPD
23 exacerbations.

24

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6

7 **COMPETING INTERESTS**

8 This study is not associated with any competing interest.

9

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14 **STATEMENT**

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- 8

1 **FIGURE LEGENDS**

2

3 Figure 1. Correlation between exacerbation frequency and FSSG score. The FSSG score ($p = 0.03$,
4 $r = 0.24$, 95% CI = 0.02–0.43) was correlated with the frequency of exacerbations.

5

6 Figure 1S. Correlation between exacerbation frequency and symptom subtypes of the FSSG. (a)
7 The frequency of exacerbations was not correlated with the symptom score associated with GOR
8 ($r = 0.16$, 95% CI = –0.06 to 0.36; $p = 0.16$). (b) The frequency of exacerbations was correlated
9 with the symptom score associated with gastric dysmotility ($r = 0.27$, 95% CI = 0.06–0.46, $p =$
10 0.01).

11

12 Figure 2S. Correlation between EBC pH and symptom subtypes on FSSG. (a) The EBC pH was
13 correlated inversely with the symptom score associated with GOR ($r = -0.42$, 95% CI = –0.60 to
14 –0.21; $p < 0.001$). (b) The EBC pH was not correlated with the symptom score associated with
15 gastric dysmotility ($r = -0.21$, 95% CI = –0.42 to 0.03; $p = 0.09$).

FIGURE 1. Correlation between frequency of exacerbations and FSSG score

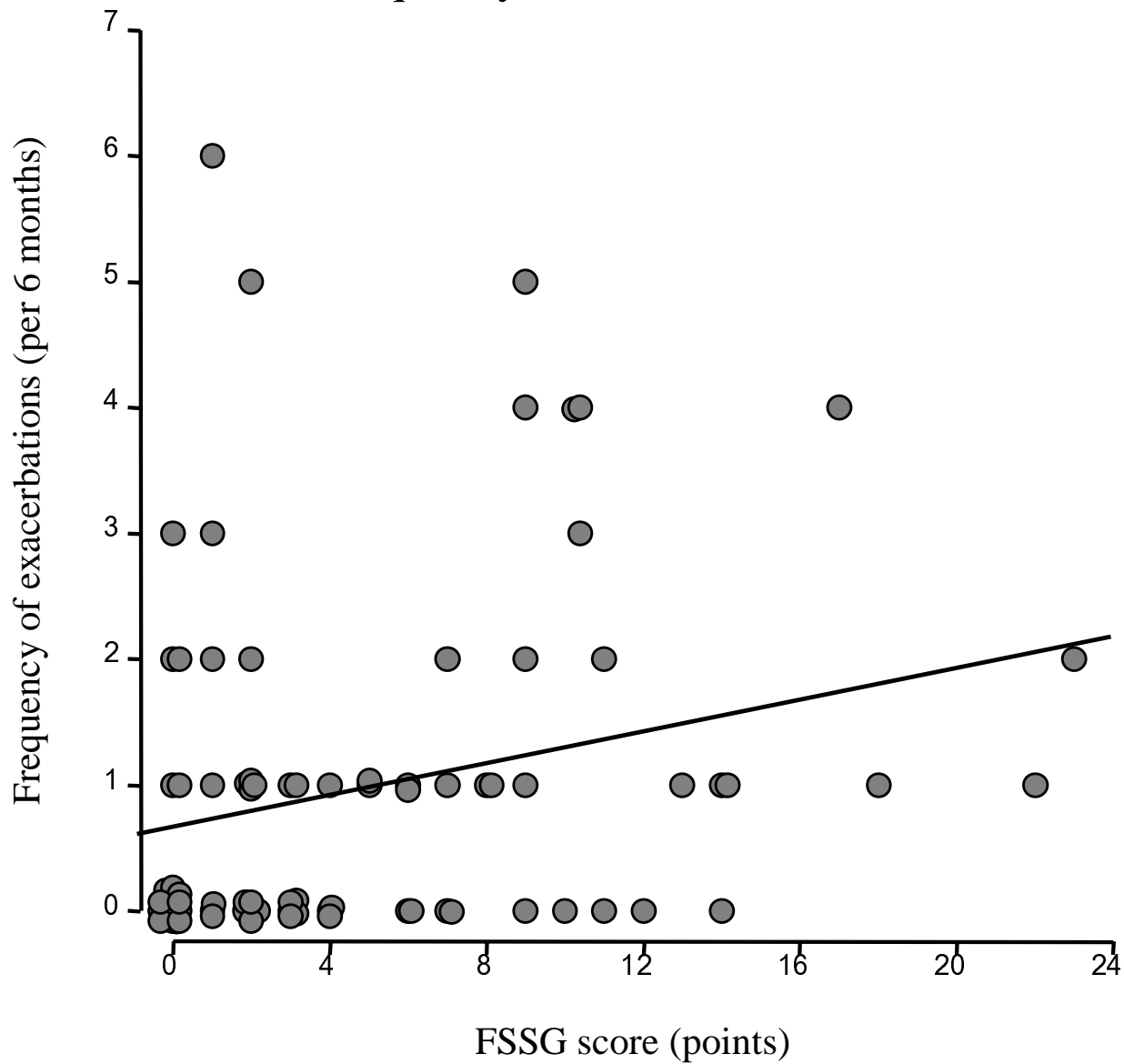


Table 1S. Associations between clinical indices and GORD symptoms in COPD patients

Characteristic	GORD symptoms		<i>p</i> -value
	Positive (<i>n</i> = 22)	Negative (<i>n</i> = 60)	
Age (years)	72.0 ± 5.3	71.7 ± 8.5	0.76
Sex (male:female)	20:2	57:3	0.61
Smoking status (current:former)	1:21	9:51	0.27
BMI (kg/m ²)	21.3 ± 3.1	21.5 ± 2.9	0.77
%FEV ₁ (% pred)	60.2 ± 17.5	55.8 ± 21.4	0.39
RV/TLC (%)	43.2 ± 8.9	43.7 ± 7.2	0.77
Inhaled corticosteroid use (D:ND)	8:14	23:37	> 0.99
Theophylline use (D:ND)	2:20	2:58	0.29
β-agonist use (D:ND)	13:9	41:19	0.44
Anti-cholinergics use (D:ND)	17:5	40:20	0.43
PPI or H ₂ RA use (PPI:H ₂ RA:ND)	1:5:16	8:6:46	0.21

PPI, proton pump inhibitor; H₂RA, type 2 histamine receptor antagonist, D, done; ND, not done
 Age evaluated by Mann-Whitney's U test; BMI, %FEV₁, and RV/TLC by unpaired t-test; the other indices by Chi-squared test.

Table 2S. Confounding factors of COPD exacerbation

Factor	Exacerbated (n = 41)	Non-exacerbated (n = 41)	p-value
Age (years)	73.7 ± 6.8	72.3 ± 9.1	0.41
Sex (male:female)	40:1	37:4	0.36
Smoking status (current:former)	4:37	6:35	0.74
BMI (kg/m ²)	21.5 ± 3.2	21.4 ± 2.8	0.90
%FEV ₁ (% pred)	56.9 ± 20.4	57.0 ± 20.7	0.98
RV/TLC (%)	42.7 ± 7.8	44.4 ± 7.5	0.30
DL _{CO} /V _A (ml/min/mmHg/L)	2.6 ± 0.9	2.9 ± 1.1	0.10
PaO ₂ (kPa)	8.3 ± 0.9	8.3 ± 1.0	0.88
PaCO ₂ (kPa)	4.6 ± 0.5	4.6 ± 0.5	0.61
Inhaled corticosteroid use (D:ND)	18:23	13:28	0.36
PPI or H ₂ RA use (PPI:H ₂ RA:ND)	6:7:28	3:4:34	0.30

PPI, proton pump inhibitor; H₂RA, type 2 histamine receptor antagonist; D, done; ND, not done
Gender, smoking status, inhaled corticosteroid use, and PPI or H₂RA use evaluated by Chi-squared test; the other indices by unpaired t-test.

Table 3S. Multivariable analysis of COPD exacerbation factors

Variable	OR	95% CI	p-value
GORD symptoms (positive:negative)	6.55	1.86–23.11	< 0.01
Age (years)	1.03	0.96–1.10	0.39
Sex (male:female)	5.18	0.36–74.47	0.23
Smoking status (current:former)	1.27	0.29–5.54	0.75
BMI (kg/m ²)	1.03	0.85–1.24	0.78
Inhaled corticosteroid use (D:ND)	1.53	0.52–4.52	0.45
%FEV ₁ (% pred)	0.99	0.96–1.02	0.43
PaO ₂ (kPa)	1.02	0.96–1.09	0.54
PaCO ₂ (kPa)	0.97	0.87–1.08	0.57

D, done; ND, not done, The coefficient determinant using all the variables was 0.12.

Table 4S. Association between EBC pH and GORD symptoms in COPD and control subjects

	GORD symptoms		<i>p</i> -value
	Negative	Positive	
Control	7.22 ± 0.52 (35)	6.34 ± 1.16 (5)	0.03
COPD	7.17 ± 1.05 (60)	6.47 ± 1.22 (22)	0.02

The EBC pH was similar between COPD and control (6.97 ± 1.14 versus 7.11 ± 0.68 , $p = 0.55$)
EBC pH evaluated by Mann-Whitney's U test in healthy subjects, and unpaired t-test in COPD.
Number in parenthesis, Number of subjects

Table 5S. Correlation between sputum indices and EBC pH in COPD patients

Sputum indices	EBC pH		
	<i>r</i> -value	95%CI	<i>p</i> -value
Cell counts and differentiation			
Total cell counts (10 ⁵ /ml)	0.31	-0.03 – 0.59	0.07
Macrophage (%)	-0.01	-0.35 – 0.33	0.95
Neutrophil (%)	-0.06	-0.39 – 0.29	0.76
Eosinophil (%)	0.05	-0.30 – 0.38	0.79
Lymphocyte (%)	0.26	-0.09 – 0.55	0.14
Columnar epithelial cell (%)	-0.19	-0.50 – 0.16	0.28
Supernatant			
IL-8 (ng/ml)	0.17	-0.18 – 0.48	0.35
TNF-alpha (pg/ml)	-0.01	-0.35 – 0.33	0.95

Spearman's rank-correlation test.

Table 6S. Association between sputum indices and GORD symptoms in COPD patients

Indices	GORD symptoms		<i>p</i> -value
	Negative (n =25)	Positive (n = 10)	
<hr/>			
Sputum cell counts and differentiation			
Total cell counts (10 ⁵ /ml)	32.2 ± 33.8	18.9 ± 8.9	0.78
Macrophage (%)	26.7 ± 13.7	25.0 ± 16.2	0.70
Neutrophil (%)	64.9 ± 13.3	63.9 ± 16.2	0.86
Eosinophil (%)	3.3 ± 2.7	2.3 ± 1.5	0.40
Lymphocyte (%)	2.5 ± 2.0	2.5 ± 2.1	0.97
Columnar epithelial cell (%)	5.0 ± 4.8	5.7 ± 4.7	0.70
<hr/>			
Sputum supernatant			
IL-8 (ng/ml)	35.2 ± 41.1	27.5 ± 26.1	0.59
TNF-alpha (pg/ml)	21.9 ± 76.0	33.1 ± 31.5	0.86

Total cell counts and TNF-alpha level evaluated by Mann-Whitney's U test; the other indices by unpaired t-test.

FIGURE 1S. Correlation between frequency of exacerbations and symptom subtypes on FSSG

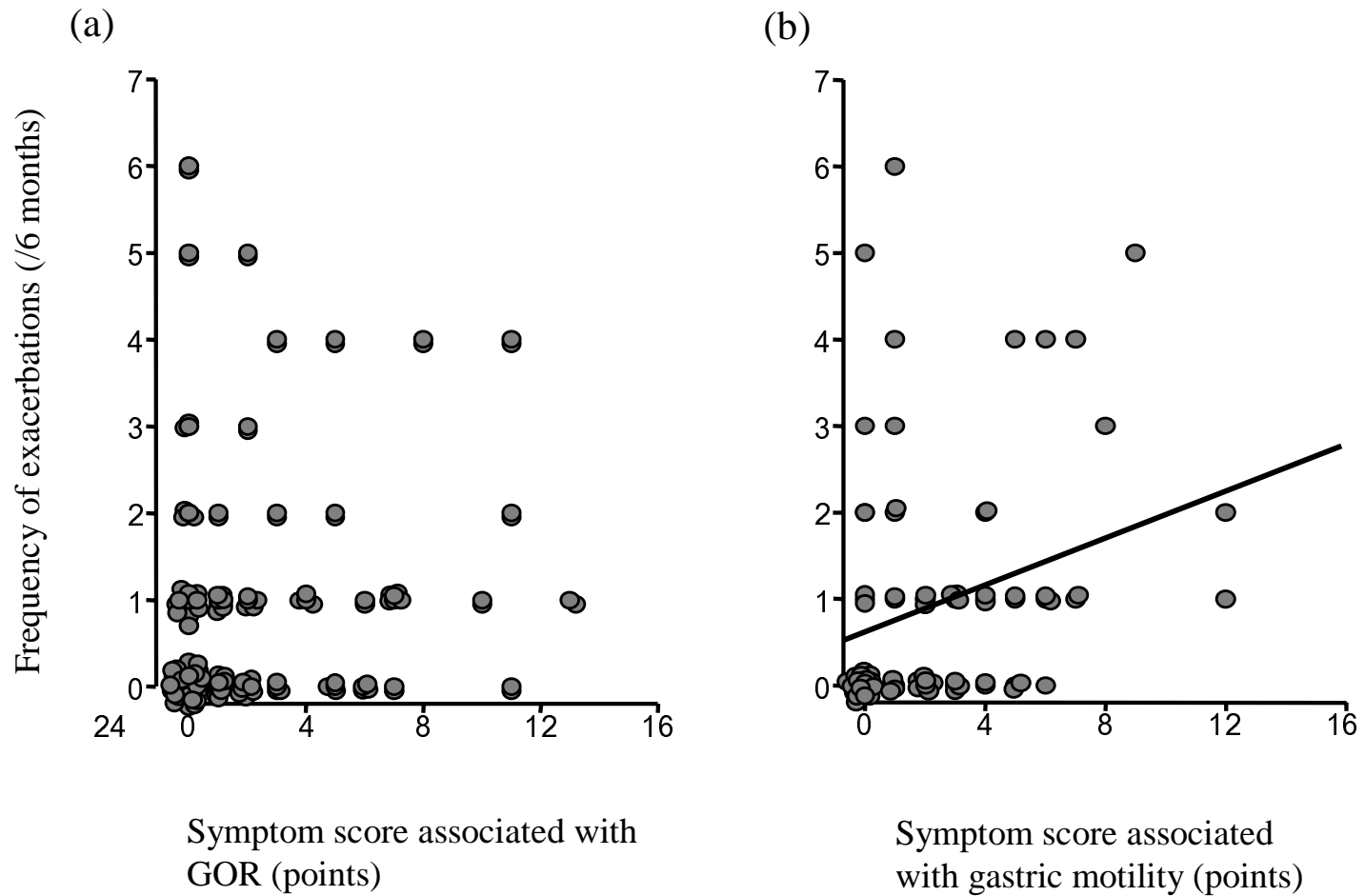


FIGURE 2S. Correlation between EBC pH and symptom subtypes on FSSG

