Inhaled Corticosteroids And Mortality in Chronic Obstructive Pulmonary Disease

(Running Head: Corticosteroids Reduce Mortality in COPD)

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ABSTRACT

Background: Clinical studies suggest that inhaled corticosteroids reduce exacerbations and improve health status in chronic obstructive pulmonary disease (COPD). However, their effect on mortality is unknown.

Methods: We performed a pooled analysis, based on intention to treat, of individual patient data from seven randomised trials (involving 5085 patients) in which effects of inhaled corticosteroids and placebo were compared over at least 12 months in patients with stable COPD. The endpoint was all-cause mortality.

Results: Overall 4% of the participants died during a mean follow-up of 26 months. Inhaled corticosteroids reduced all-cause mortality by ~25% relative to placebo. Stratification by individual trials and adjustments for age, sex, baseline postbronchodilator percent predicted FEV_1 , smoking status and body mass index did not materially change the results (adjusted hazard ratio, HR, 0.73; 95% confidence interval, CI, 0.55 to 0.96). Although there was considerable overlap between subgroups in terms of effect sizes, the beneficial effect was especially noticeable in women (adjusted HR, 0.46; 95% CI, 0.24 to 0.91), and former smokers (adjusted HR, 0.60; 95% CI, 0.39 to 0.93).

Conclusions: Inhaled corticosteroids reduce all-cause mortality in COPD. Whether the survival benefits persist beyond two to three years will require additional studies.

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Key words: corticosteroids, mortality, COPD, pooled analysis

INTRODUCTION

Chronic obstructive pulmonary disease (COPD) is a major global epidemic, affecting 5 to 15% of all adults in industrialized countries^{1,2} and accounting for over 3 million deaths each year worldwide.³ Even more alarmingly, the global burden of COPD will escalate over the next 20 years as more people live longer and a greater number of individuals take up smoking, especially in developing countries. By 2020 the World Health Organization predicts that COPD will be the fifth most prevalent disease (currently twelfth) and the third most common cause of death worldwide (currently fourth).³ Unfortunately, apart from smoking cessation, there is a dearth of management strategies available that can curb the rising impact of COPD.¹ Smoking cessation improves the natural history of COPD. However, once COPD is established, many sustained quitters remain symptomatic and experience frequent exacerbations of their disease.¹ They also have evidence for persistent airway wall inflammation.⁴ Thus, in symptomatic patients with COPD, additional therapies are indicated.

In view of the prominence and the importance of airway inflammation in the pathogenesis of COPD,⁵ anti-inflammatory drugs, such as inhaled corticosteroids, can potentially improve health outcomes in COPD. However, a few short-term physiologic studies have failed to demonstrate salutary effects of these medications on inflammatory indices in sputum,^{6,7} as one might expect given their anti-inflammatory properties, and several long-term trials have failed to unequivocally demonstrate a beneficial effect in modifying the long-term decline in lung function.^{8,9} Although several large clinical trials have evaluated the role of inhaled corticosteroids in COPD, none of them individually had sufficient statistical power to assess the effect of inhaled corticosteroids on all-cause

mortality, and many did not report on mortality in their original publications. For this reason, prior meta-analyses, which relied on published grouped data, could not adequately evaluate mortality as an endpoint.⁸⁻¹¹ To overcome this deficiency and determine whether inhaled corticosteroids effect all-cause and cause-specific mortality in COPD, we pooled retrospectively individual patient data from the seven large randomised controlled trials evaluating the effects of these medications in stable COPD over a period of at least one year. Because some of these trials selectively chose patients with COPD who were actively smoking at the time of enrollment (leading to the over-representation of active smokers), we also evaluated the potential effect modification by smoking status and several other factors including sex, age, and baseline lung function.

Studies Included: Design and Treatment

The Inhaled Steroid Effects Evaluation in COPD (ISEEC) study included patientlevel data from all clinical trials in which patients with stable COPD were randomly assigned to inhaled corticosteroids or placebo for at least 12 months. These trials included the Lung Health Study-2 (LHS-2),¹² Copenhagen City Lung Study (CCLS),¹³ Inhaled Steroids in Obstructive Lung Disease in Europe (ISOLDE),¹⁴ European Respiratory Society Study on Chronic Obstructive Pulmonary Disease (EUROSCOP),¹⁵ TRial of Inhaled STeroids ANd long acting β_2 agonists (TRISTAN),¹⁶ Szafranski's trial,¹⁷ and Calverley's trial.¹⁸ The full details of the individual trials have been published elsewhere.¹²⁻¹⁸ Participants in these trials were routinely seen at least every 3 to 6 months by study investigators. Mortality information was collected and collated by study personnel and recorded in the trial databases. Anonymised data collected from the seven individual trials were sent from each trial site to the central ISEEC coordinating center where they were merged together for analytic purposes. We applied Hankinson's prediction equation to calculate percent predicted FEV₁ across all studies.¹⁹ Principal causes of death were classified on reports by study investigators and were categorized into 4 groups: cardiovascular, respiratory, cancer, and others/unknown.

Statistical Analysis

For the primary analysis, we compared the efficacy of inhaled corticosteroids on all-cause mortality rates based on the original allocation of participants in the individual trials, regardless of whether they did or did not have complete follow-up. This endpoint

was chosen a priori. The participants in each trial were followed from the date of enrollment to the date of withdrawal (for whatever reason), death or study completion, whichever came first. In ISOLDE, complete mortality data were obtained on all study participants for three years through the United Kingdom Office of Population Statistics registry.²⁰ In LHS-2, mortality status was established by the investigators for study participants over the duration of the trial. For the other studies, complete mortality data were obtained only on those who completed the trials. Deaths that occurred after the withdrawal date were not ascertained except among those who developed a serious adverse event during the trial period and died before the full resolution of the serious adverse event had occurred. Kaplan-Meier curves were generated to compare the time to death between the steroid and placebo arms, and the log-rank statistic determined the significance of differences between the curves. We used Cox proportional hazards regression modeling to estimate the relative effect of inhaled corticosteroids on all-cause mortality according to confounding variables. Hazard ratios (HR) and the nominal 95% confidence intervals (CI) were presented. We checked for the proportional hazards assumption visually and by including a time-interaction term to the model and the assumption was met (p=0.741). In the adjusted model, we stratified by individual trials, which allowed hazard functions to differ for each trial. The model also controlled for age (in quintiles), sex, baseline post-bronchodilator percent predicted FEV_1 (in quintiles), baseline smoking status and body mass index (BMI; in quintiles) of the trial participants. Secondary analyses included subgroup analyses based on sex, baseline smoking status, and above and below median values for age, baseline FEV₁, and BMI. Competing risk models were used to evaluate the hazards of cause-specific mortality. All tests were twotailed in nature and were conducted using SAS 8.2 software (Cary, NC). Continuous variables are shown as mean±SD, unless otherwise indicated.

RESULTS

The characteristics of trials included in ISEEC are summarised in Table 1. In total, data from 5,085 participants were analyzed. None of the participants including those who withdrew prematurely was excluded from the analysis. The baseline characteristics of the trial participants are summarised in Table 2. The mean age of the participants was 59.0 \pm 9.3 years and the mean post-bronchodilator FEV₁ was 58.4 \pm 19.5% of predicted. Nine percent of the cohort (N=436) were in the Global Initiative for Chronic Obstructive Lung Disease $(\text{GOLD})^1$ class 4 (FEV₁ <30% of predicted); 28% (N=1419) were in GOLD class 3 (FEV₁ 30 to 49% of predicted); 49% (N=2466) were in GOLD class 2 (FEV₁ 50 to 79% of predicted) and 15% (N=764) were in GOLD class 1 (FEV₁ \geq 80% of predicted). The mean bronchodilator response was $9\pm12\%$ (0.13±0.15 L) from pre-bronchodilator values. Seventy-one percent of the participants were men and 69% were current smokers at the time of enrollment. In total, 973 participants (12%) withdrew prematurely from EUROSCOP, TRISTAN, and trials by Szafranski and Calverley and as such their vital status beyond the date of the study withdrawal could not be determined. In these trials, more participants withdrew prematurely from the placebo than from the steroid arm of the trials (21% in placebo versus 18% in the steroid arm; p=0.006). The mean length of follow-up was 26 ± 15 months. This was similar between the placebo and steroid arms of the trials (both arms, 26 ± 15 months; p=0.201).

Overall, 201 (4.0%) of the participants died during the trial period. Those who died during follow-up were older (64 ± 7 versus 58 ± 9 ; p <0.001) and had lower post-bronchodilator FEV₁ ($48\%\pm17\%$ versus $59\%\pm19\%$; p <0.001) at the time of randomisation than those who survived to the end of the study period. Male participants

were more likely to die than female participants (4.5% versus 2.6%; p=0.002). The baseline BMI was similar between those who did and did not die during follow-up (25 ± 5 kg/m²; p=0.794).

Compared with placebo, participants assigned to inhaled corticosteroids had lower risk of mortality (hazard ratio, HR, 0.75; 95% confidence interval, CI, 0.57 to 0.99) (figure 1). Stratification by individual trials and adjustments for age, sex, baseline post-bronchodilator percent predicted FEV_1 , baseline smoking status and BMI did not materially change the results (adjusted HR, 0.73; 95% CI, 0.55 to 0.96).

The effects of inhaled corticosteroids in various subgroups are summarised in table 3. The beneficial effect of inhaled corticosteroids was especially noticeable in women (adjusted HR, 0.46; 95% CI, 0.24 to 0.91), former smokers (adjusted HR, 0.60; 95% CI, 0.39 to 0.93) and in individuals whose baseline post-bronchodilator FEV₁ was below 60% of predicted (adjusted HR, 0.67; 95% CI, 0.48 to 0.94). We chose this FEV₁ cutoff because it was the median FEV_1 value. None of the interaction terms was significant at p < 0.05 level. We also performed subgroup analyses based on the Global Initiative for Chronic Obstructive Lung Disease (GOLD) severity classes. We found that among participants in GOLD classes 3 and 4 (FEV₁ <50% of predicted), inhaled corticosteroids reduced mortality (adjusted HR, 0.66; 95% CI, 0.45 to 0.96). The effect was non-significant among participants in GOLD class 1, $FEV_1 \ge 80\%$ of predicted (adjusted HR, 0.84; 95% CI, 0.19 to 3.65) and in class 2, FEV₁ 50% to 79% of predicted (adjusted HR, 0.79; 95% CI, 0.51 to 1.23). The effects of inhaled corticosteroids were similar between fluticasone, budesonide and triamcinolone, although the width of the confidence intervals were different, reflecting the different sizes of the trials. We also

performed subgroup analyses wherein trials that had 12 months or less of follow-up time were excluded. The results were similar whether trials were included or excluded in those with $FEV_1 < 60\%$ of predicted. In such patients, the exclusion of these three studies resulted in a hazard ratio of 0.67 (95% CI, 0.47 to 0.95). There was no significant heterogeneity in the hazard ratios across the trials (p value for test of heterogeneity, 0.93; figure 2).

The principal causes of death are summarised in Table 4, grouped into four major categories, as described above. Most of the deaths were cardiorespiratory in nature (64 % of all deaths). Approximately, 21% of the deaths were from cancer. Of the 42 cases of carcinoma-related deaths, 79% were attributed to lung cancer (N=33). Other causes of death including sudden deaths; and unknown causes accounted for the remaining 15% of deaths. Due to the small number of deaths in each category, none of the comparisons was significant at the p<0.05 level.

DISCUSSION

The most important and novel finding of the present study was that therapy with inhaled corticosteroids was associated with a 27% reduction in all-cause mortality among individuals with stable COPD. The beneficial effects of these medications appeared to be especially pronounced in female COPD patients (adjusted HR, 0.46), and former smokers (adjusted HR, 0.60). However, none of the interaction terms was significant. Thus, the survival data on the various subgroups should be interpreted cautiously.

There are some limitations to the current study. First, none of the primary studies included in this pooled analysis was designed to evaluate mortality as an endpoint, which imposed certain restrictions to the pooled analysis. For instance, five of the seven trials included in this pooled analysis did not ascertain mortality information on participants who withdrew prematurely from the trials. In these trials, participants were followed up to the date of withdrawal and any deaths occurring after this date were not recorded in the trial databases, except for those decedents who withdrew initially because of a serious adverse event from the study medication or placebo. As such, those who withdrew prematurely in these trials were recorded as "alive" at the final date of their assessment and any subsequent follow-up period was recorded as "missing." Data from ISOLDE indicate that the placebo group is more likely to withdraw prematurely than the steroid group (53% versus 44%; p=0.008) and mortality is much more likely in those who withdrew prematurely than those who remain in the trial (p<0.001).²⁰ Therefore, it is likely that by not fully capturing deaths which occurred in the post-withdrawal period, we may have underestimated the true effect of inhaled corticosteroids because the rate of withdrawals was higher in the placebo than in the steroid arms of the trials and these participants tended to be sicker and had a more rapid decline in FEV₁.²¹ Indeed, in the trials in which complete mortality information was present (LHS-2, ISOLDE), inhaled corticosteroids were effective in reducing all-cause mortality in patients with COPD, who had FEV₁ less than 60% of predicted (adjusted HR, 0.60; 95% CI, 0.40 to 0.91). In contrast, the other five trials wherein complete mortality data were not available for participants who prematurely dropped out, the adjusted HR was 0.69 (95% CI, 0.37 to 1.30).

Secondly, there was lack of information on long-term serious adverse events. The trials included in this analysis were too short to determine the long-term effects of inhaled corticosteroids on such outcomes as hip fractures and glaucoma. In the short-term at least, in the two trials that collected information on fractures (EUROSCOP and ISOLDE), inhaled corticosteroids did not appear to increase the risk of fractures (relative risk, 0.70; 95% CI, 0.36 to 1.38).¹¹ Thus to formally assess whether the benefits in the current pooled analysis outweigh the adverse effects, there needs to be long-term clinical studies to define better the risk of important side effects related to inhaled steroid therapy. A third limitation was the difference in investigational medications between the trials. It was reassuring that we did not observe any significant differences between the different formulations, suggesting a class effect of these medications on mortality. Because the trials used relatively homogeneous dosing schedules for specific inhaled corticosteroids, we could not determine whether different doses produced differential outcomes in COPD patients. Finally, as with most pooled and meta-analyses, publication bias is a source of concern. To mitigate this possibility, we included all studies that met the inclusion and exclusion criteria, even those with a relatively short follow-up period (i.e. 12 months).

We found three such trials. Their inclusion was also important to accurately model the effects of inhaled corticosteroids on mortality during the first 12 months of therapy.

The current study cannot determine the potential mechanisms by which inhaled corticosteroids reduce all-cause mortality in COPD. However, since exacerbations increase both the acute and long-term risk of mortality in COPD,²² these medications may confer survival advantage by reducing the frequency of moderate to severe exacerbations by nearly a third.¹⁰ The beneficial effects on exacerbations are most obvious in participants with spirometrically-defined moderate to severe disease.²³ It was these participants (FEV₁ < 60% predicted) in whom the effect of treatment on mortality was seen and not in those individuals with less impaired lung function where death was infrequent. Additionally, these medications improve the health status of participants with moderate to severe disease.¹⁴ Reduced health status has been associated with both increased frequency of exacerbations²⁴ and mortality.²⁵ Inhaled corticosteroids also have a small effect on attenuating airway hyperresponsiveness,¹² which is found in 60 to 80% of patients with mild to moderate COPD.²⁶ Increased airway hyperresponsiveness has been linked with increased COPD mortality.²⁷ Interestingly, airway hyperresponsiveness is more common in women than in men with COPD.²⁶ The relative importance of these potential mechanisms requires further exploration.

The current study was underpowered to evaluate the effects of inhaled corticosteroids on specific causes of mortality. However, there was a trend towards a lower risk of cancer-related mortality for those randomised to inhaled corticosteroids. Whether this is a real effect will require further study. Chronic inflammation has been implicated in the development of malignant diseases.²⁸ In particular, cycloxygenase

(COX)-2 enzymatic activities may regulate immune responses that promote tumor growth.²⁹ Inhaled corticosteroids over 6 months have been demonstrated to reduce prostaglandin E2 levels, a product of COX-2 pathways, and down-regulate proto-oncogene (e.g. BCL2) expression in the airways of smokers.³⁰ In murine models, corticosteroids modulate proto-oncogene expression and inhibit tumor growth by as much as 70%.³¹ A prospectively randomised population of a similar size and follow-up to this one will be needed to address these issues in COPD patients.

Intriguingly, inhaled corticosteroids may be more effective in former than in current smokers. This pattern has also been observed in asthma.^{32,33} Acutely in COPD, smokers have a lower therapeutic response to oral corticosteroids than former smokers.³⁴ It has been postulated that smoking induces a state of relative steroid-resistance by increasing oxidative stress³⁵ and by up-regulating production of various proinflammatory cytokines including interleukin-6 (II-6), IL-8, IL-1 β and monocyte chemoattractant protein-1.³⁶ Additionally, cigarette smoke appears to reduce histone deacetylase activity and its expression in alveolar macrophages, making these cells relatively resistant to corticosteroids since one of the principal targets of corticosteroid action is by switching off gene expression of inflammatory genes through the recruitment of histone decetylases.³⁷ Consistent with these findings, our data suggest that for patients with COPD to experience maximal benefit from inhaled corticosteroids, cessation of smoking is of prime importance. Because the individual trials, which were included in the current pooled analysis, were originally designed and conducted at a time when antiinflammatories were thought to be most helpful in smokers with COPD, trials generally over-sampled the smoking subpopulation of COPD patients. This may have attenuated (or even negated) the beneficial effects of inhaled corticosteroids, observed in these studies. In summary, the present pooled analysis indicates that inhaled corticosteroids are likely to be effective in reducing all-cause mortality in stable COPD. Further research is needed to better understand the molecular and physiologic mechanisms by which inhaled corticosteroids reduce mortality in COPD.

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We dedicate this paper in the fond memory of our loving friend and colleague, Professor Romain Pauwels. We miss you dearly.

Potential Conflicts of Interest

DDS has received honoraria for speaking engagements from AstraZeneca (AZ) and GlaxoSmithKline (GSK), and has received consultancy fees and research funding from GSK.

JV has received honoraria for speaking engagements and research funding from AZ & GSK.

JBS & JAA are currently employees of GSK R&D, manufacturer of respiratory drugs

PMAC has received honoraria for speaking engagements and research funding from AZ

& GSK.

RP received honoraria for speaking engagements and research funding from AZ and GSK

NRA & ASB are members of a respiratory advisory board for GSK

BL is currently employee of AZ, manufacturer of respiratory drugs

DSP has received honoraria for speaking engagements and research funding from AZ &

GSK

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Table 1. Characteristics of Individual Studies At The Time Of Randomisation

Continuous variables are presented as mean (SD) and dichotomous variables are presented as % of participants in each individual trial.

Study	Number	Age	Women	Current	FEV ₁	FEV ₁	Mortality	Follow-	Drug/dose
	of	(Year)	(%)	Smoker	(L)*	(% of	(%)	up	
	Patients			(%)		predicted) ^{*†}		(months)	
LHS-2	1116	56 (7)	37	90	2.3	67 (13)	2.8	42 (5)	Triamcinol
					(0.6)				one
									(1200 ug/d)
CCLS	290	59 (9)	40	76	2.4	76 (18)	2.8	30 (12)	Budesonide
					(0.8)				(867 ug/d)
ISOLDE	751	64 (7)	25	48	1.4	49 (14)	13.7	34 (7)	Fluticasone
					(0.5)				(1000 ug/d)
EUROSCOP	1277	52 (8)	27	100	2.6	73 (13)	1.5	28 (13)	Budesonide
					(0.7)				(800 ug/d)
TRISTAN	735	63 (9)	28	50	1.4	46 (13)	2.0	10 (4)	Fluticasone
					(0.5)				(1000ug/d)
Szafranski	403	64 (9)	18	30	1.0	36 (12)	3.5	9 (4)	Budesonide
					(0.4)				(800 ug/d)
Calverley	513	64 (9)	26	31	1.2	42 (15)	2.1	9 (5)	Budesonide
					(0.5)				(800 ug/d)
Summary	5085	59 (9)	29	69	1.9	58 (19)	4.0	26 (15)	
Total					(0.8)				

*post-bronchodilator values

 \dagger values may differ slightly from the original publications because we applied Hankinson's prediction equation to all of the "raw" FEV₁ values.

Abbreviations: Lung Health Study 2 (LHS 2); Copenhagen City Lung Study (CCLS); Inhaled Steroids in Obstructive Disease in Europe (ISOLDE); European Respiratory Society Study on Chronic Obstructive Pulmonary Disease (EUROSCOP); TRial of Inhaled STeroids ANd long acting β 2 agonists (TRISTAN); FEV₁, forced expiratory volume in one second

Table 2. Baseline Characteristics Of Study Participants

Continuous variables are presented as mean±SD, unless otherwise specified

Dichotomous variables are presented as number (% of column totals)

	Inhaled Corticosteroids	Placebo	
Number of Participants	2543	2542	
Age at enrollment, year	59±9	59±9	
Men	1798 (71)	1810 (71)	
Body mass index, kg/m ²	25±5	25±5	
Current Smoker	1775 (70)	1738 (68)	
Baseline Post-bronchodilator	59±19	58±20	
FEV ₁ , % predicted			
Length of Follow-up, months	26±15	26±15	

Abbreviation:FEV₁, forced expiratory volume in one second

	Inhaled Corticosteroids	Placebo	Adjusted Hazard Ratio (95% CI)	P-value for Interaction	
Per 100 Patient-Years					
Overall	1.57	2.10	0.73		
			(0.55 to 0.96)		
Age (years)					
<60	0.57	0.93	0.60	0.443	
			(0.33 to 1.07)		
≥ 60	2.91	3.67	0.77		
			(0.56 to 1.06)		
Gender					
Women	0.77	1.53	0.46	0.234	
			(0.24 to 0.91)		
Men	1.93	2.36	0.80		
			(0.58 to 1.09)		
<u>BMI (kg/m²)</u>					
<25	1.67	2.32	0.68	0.686	
			(0.47 to 0.99)		
≥25	1.43	1.90	0.77		
			(0.50 to 1.17)		
Smoking Status					
Current	1.23	1.44	0.87	0.231	
			(0.59 to 1.22)		

Table 3. Comparison of Inhaled Corticosteroids And Placebo For All-CauseMortality In Various Subgroups

Former	2.79	4.52	0.60	
			(0.39 to 0.93)	
Baseline FEV ₁				
<60% of predicted	2.71	3.86	0.67	0.707
			(0.48 to 0.94)	
\geq 60% of predicted	0.83	0.91	0.90	
			(0.54 to 1.53)	
Length of Study				
\leq 12 months	2.43	4.06	0.58	0.609
			(0.31 to 1.10)	
>12 months	1.46	1.86	0.77	
			(0.56 to 1.05)	
Study Medication				
Fluticasone	3.61	5.18	0.70	0.944
			(0.48 to 1.01)	
Budesonide	1.04	1.33	0.73	
			(0.42 to 1.27)	
Triamcinolone	0.73	0.88	0.79	
			(0.38 to 1.63)	

Hazard ratios were adjusted for age, gender, baseline post-bronchodilator FEV_1 , smoking status, body mass index, and individual trial (see Methods for detail)

Table 4. Comparison of Clinical Characteristics Of Patients Who Died From

Various Causes of Mortality And The Effect Of Corticosteroids For These Causes of Mortality.

	Respiratory	Cardiovascular	Cancer	Others
Total Number of	69	60	42	30
Deaths				
Age, years	66.1±6.6	63.5±7.0	63.8±6.3	63.6±10.6
Men	59 (85.5)	50 (83.3)	30 (71.4)	23 (76.7)
BMI, kg/m ²				
Baseline FEV ₁	39.1±13.1	51.7±17.1	57.8±17.0	50.0±17.7
(% of predicted)				
Adjusted Hazard	0.80	0.98	0.55	0.57
Ratio For	(0.50, 1.28)	(0.59, 1.62)	(0.29, 1.03)	(0.27, 1.19)
Mortality				
Between ICS and				
placebo groups				

Hazard ratios were adjusted for age, gender, baseline post-bronchodilator FEV₁, smoking status, body mass index, and individual trial (see Methods for detail) Abbreviation:FEV₁, forced expiratory volume in one second; BMI, body mass index; ICS, inhaled corticosteroids

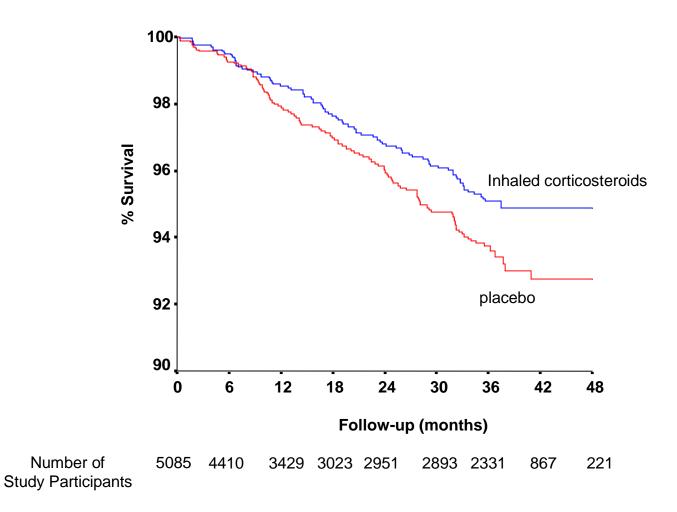
Figure Legend

Figure 1. Comparison of Kaplan-Meier Survival Curves Between Patients Treated With Inhaled Corticosteroids And Placebo In COPD

Log-rank p =0.039

Figure 2. A Forest Plot Of Hazard Ratios For All-Cause Mortality Across The Individual Trials

The data are presented as point estimate (95% confidence interval)



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