Original research

Th2 high and mast cell gene signatures are associated with corticosteroid sensitivity in COPD

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ABSTRACT

Rationale Severe asthma and chronic obstructive pulmonary disease (COPD) share some pathophysiological traits such as relative corticosteroid insensitivity. We recently published three transcriptome-associated clusters (TACs) using hierarchical analysis of the sputum transcriptome in asthmatics from the Unbiased Biomarkers for the Prediction of Respiratory Disease Outcomes (U-BIOPRED) cohort comprising one Th2-high inflammatory signature (TAC1) and two Th2-low signatures (TAC2 and TAC3).

Objective We examined whether gene expression signatures obtained in asthma can be used to identify the subgroup of patients with COPD with steroid sensitivity.

Methods Using gene set variation analysis, we examined the distribution and enrichment scores (ES) of the 3 TACs in the transcriptome of bronchial biopsies from 46 patients who participated in the Groningen Leiden Universities Corticosteroids in Obstructive Lung Disease COPD study that received 30 months of treatment with inhaled corticosteroids (ICS) with and without an added long-acting β-agonist (LABA). The identified signatures were then associated with longitudinal clinical variables after treatment. Differential gene expression and cellular convolution were used to define key regulated genes and cell types.

Measurements and main results Bronchial biopsies in patients with COPD at baseline showed a wide range of expression of the 3 TAC signatures. After ICS±LABA treatment, the ES of TAC1 was significantly reduced at 30 months, but those of TAC2 and TAC3 were unaffected. A corticosteroid-sensitive TAC1 signature was developed from the TAC1 ICS-responsive genes. This signature consisted of mast cell-specific genes identified by single-cell RNA-sequencing and positively correlated with bronchial biopsy mast cell numbers following ICS±LABA. Baseline levels of gene transcription correlated with the change in RV/TLC %predicted following 30-month ICS±LABA.

Conclusion Sputum-derived transcriptomic signatures from an asthma cohort can be recapitulated in bronchial biopsies of patients with COPD and identified a signature of airway mast cells as a predictor of corticosteroid responsiveness.

WHAT IS ALREADY KNOWN ON THIS TOPIC

To determine whether gene expression signatures obtained in asthma can be used to identify the subgroup of patients with chronic obstructive pulmonary disease (COPD) with steroid sensitivity.

WHAT THIS STUDY ADDS

Sputum-derived transcriptomic signatures from an asthma cohort as associated with steroid sensitivity in patients with COPD which was mainly driven by mast cell associated genes.

INTRODUCTION

Severe asthma and chronic obstructive pulmonary disease (COPD) share some pathophysiological traits such as airflow obstruction, eosinophilic inflammation and corticosteroid insensitivity.1–3 Although inhaled corticosteroids (ICS) are the gold-standard therapy for controlling inflammation in asthma, patients with COPD are less likely to respond to ICS therapy.4–6 However, improvements in lung function, exacerbation risk and all-cause mortality with ICS treatment when used in combination with long-acting β-agonist (LABA) bronchodilators have been reported recently.7–9 In addition, some patients with COPD with no previous history of asthma can benefit from ICS, such as those with high blood eosinophil counts.10–13 It has previously been shown that gene expression profiling in bronchial biopsies in COPD may identify those with asthma-COPD overlap, as reflected by a Th2-high inflammatory profile and a favourable short-term and long-term ICS treatment response.14

We have recently described three transcriptome-associated clusters (TACs) in the U-BIOPRED asthma cohort using hierarchical clustering of genes differentially expressed in sputum between eosinophil-high and eosinophil-low asthmatics.15 These TACs consisted of an interleukin (IL)13/
Th2-high predominantly eosinophilic cluster (TAC1) and two Th2-low gene expression clusters characterised by neutrophilic inflammation and inflammasome activation (TAC2), and metabolic and mitochondrial pathways (eg, mitochondrial oxidative phosphorylation (OXPHOS)) (TAC3). These TAC signatures define patients with distinct clinical phenotypes. TAC1 was associated with sputum eosinophilia as well as elevated exhaled nitric oxide levels and was restricted to patients with severe asthma, frequent exacerbations and severe airflow obstruction. Given that these patients were dependent on corticosteroids, we hypothesise that TAC1 identifies a steroid-insensitive subphenotype of severe asthma.

In the current study, we hypothesised that these TAC signatures are also expressed in bronchial biopsies of patients with mild-to-moderate COPD. Initially, we determined whether we could remap the sputum-derived TAC signatures onto biopsy transcriptional data using unsupervised clustering of the genes previously associated with the sputum TAC signatures on the bronchial biopsy transcriptome. Using gene clustering, we derived the same TAC signatures in patients with COPD. Next, we investigated the influence of short-term (0–6 months) and long-term (0–30 months) ICS therapy on these signatures as well as their ability to identify patients that respond to corticosteroid in COPD. Examination of the key ICS-regulated genes, cellular deconvolution and cell signature analysis identified bronchial biopsy mast cells as being significantly associated with ICS response in COPD.

MATERIALS AND METHODS

Patients and study design

GLUCOLD study

Gene expression profiling was performed in bronchial biopsies from patients with COPD participating in the Groningen Leiden Universities Corticosteroids in Obstructive Lung Disease (GLUCOLD) study. The study design and inclusion criteria have been previously described. Briefly, this study required participants to be either current or ex-smokers with COPD at Global Initiative for Chronic Obstructive Lung Disease (GOLD) stages 2 and 3 and not to have used ICS treatment for at least 6 months prior to entry to the study. There were four parallel groups of patients who were treated with (1) placebo twice daily for 30 months (placebo group), (2) fluticasone propionate (FP) 500 μg twice daily for 30 months (FP group), (3) FP 500μg+salmeterol (S) 50μg twice daily for 30 months (FP/S group) or (4) FP 500 μg twice daily for 6 months and then 24 months with placebo (FP/placebo group), referred to as the withdrawal group. Because we have previously shown a minimal effect of the LABA in the presence of ICS on bronchial gene expression compared with ICS alone, groups 2 and 3 were analysed together and referred to as ICS±LABA group. Bronchial biopsies were taken at baseline and after 6 and 30 months, among others, for microarray gene expression profiling. The methods for messenger RNA (mRNA) isolation, labelling, microarray hybridisation (Affymetrix HuGene ST1.0 arrays) and data processing have been described previously.9 16 17

Signatures summarised by gene set variation analysis

The R package gene set variation analysis (GSVA) was used to calculate composite scores of the previously described sputum TAC signatures (online supplemental table S1) within the GLUCOLD bronchial biopsy transcriptome data as indicated by a sample-wise enrichment score (ES). Analysis of variance (ANOVA) was used to analyse the ES differences among group means and a t-test was applied to compare the ES differences between the two means.

Sputum collection

Sputum was induced by inhalation of hypertonic saline solution and sputum plugs were collected from which sputum cells and sputum supernatants were obtained, as described previously.16

U-BIOPRED cohort

Transcriptomic data measured on Affymetrix U133 Plus 2.0 microarrays (Affymetrix, Santa Clara, California, USA) from sputum cells obtained from 104 participants with moderate-to-severe asthma (U-BIOPRED cohort) were used to conduct a GSVA analysis.

Clustering

To recapitulate the TAC signatures in the bronchial biopsies of the GLUCOLD study, hierarchical clustering analysis was conducted on the genes previously associated with the sputum TAC1, TAC2 and TAC3 signatures in bronchial biopsies at baseline, using the method as previously described.14 The intensities of the raw probe sets were log2 transformed and normalised by the robust multiarray average method.18

Correlation of TAC signatures with inflammatory and clinical parameters

Baseline TAC signatures in bronchial biopsies (TACs) were correlated with each other and with baseline sputum and biopsy inflammatory cell counts as well as with treatment-induced changes in inflammatory cell counts and lung function measurements using Spearman’s correlation.

Statistical tests

All statistical tests were conducted using GraphPad prism (V.9). For two-way ANOVAs, a Benjamini-Hochberg adjusted p value <0.05 was considered statistically significant.

t-distributed stochastic neighbor embedding (tSNE) plots

Single cell data were accessed through the https://asthma.cellgeni.sanger.ac.uk/ web portal, which contained single cell data obtained from bronchial biopsies derived from asthmatic (n=4) and healthy controls (n=4).15

Bronchial biopsy cellular deconvolution

AutoGeneS20 was used to infer cellular fractions using our previously published data on mRNA expression levels in human bronchi.19 21 Cellular deconvolution is explained in detail in the online supplemental materials. Additionally, an 11 gene mast cell gene signature created using single-cell RNA-sequencing (scRNA-seq) from bronchial biopsies22 was used to investigate the influence of ICS on mast cells using GSVA. Of the 11 genes, 1 gene TPSAB1 was not present on the array and was not included in the GSVA signature.

Air liquid interface culture and RNA-seq

Primary airway epithelial cells (n=6) were cultured as previously described.23 24 Following differentiation for 28 days, cells were then growth factor deprived overnight and then treated apically with/without FP (10−8 M) for 24 hours. RNA was then extracted and processed for RNA-seq, as previously described.24 Differential gene expression analysis was performed using the R package.
limma (V3.42.0), correcting for age, gender and the first two principal components.

RESULTS

Patient demographics

A total of 81 out of 89 randomised patients with COPD from the placebo (n=23), ICS±LABA 30-month treatment groups (n=39) and ICS withdrawal group (n=21) had bronchial biopsy RNA available of sufficient quality to be run on the Affymetrix Hugene ST1.0 arrays. Online supplemental table S2 shows the patient demographics.

Clustering of the TAC signatures in bronchial-derived and sputum-derived TAC phenotypes

Initially, we performed hierarchical clustering on the genes associated with all three TAC signatures in bronchial biopsies at baseline (n=58) (figure 1A); the withdrawal group (group 4) was removed for this analysis to avoid microarray batch effects. Three distinct subgroups of patients with COPD were identified (clusters red, green and blue). We next used GSVA to create ES for several biological pathways, which previously distinguished each original sputum-derived TAC signature.14 We compared the enrichment of these pathways in the three biopsies TACs of

![Figure 1](image-url)

**Figure 1** Identification of transcriptome-associated cluster (TAC) signatures in bronchial biopsies. (A) Heatmap of genes that best discriminate each bronchial-derived TAC (TAC) signature (n=58). Columns represent subjects with COPD and rows represent genes. Association of TAC groups 1–3 with gene signatures derived from interleukin (IL)13/T helper (Th)2-stimulated epithelial cells (B), inflammasome activation (C), oxidative phosphorylation (OXPHOS) (D), cigarette smoke irreversibly upregulated signature in sputum samples from U-BIOPRED14 (E) and cigarette smoke irreversibly upregulated signatures in bronchial brushes in Groningen Leiden Universities Corticosteroids in Obstructive Lung Disease TAC groups (F). A one-way analysis of variance was used to compare each TAC group. A Bonferroni adjusted p value <0.05 was considered significant. *P<0.05. ES, enrichment score.
the patients with COPD. The red cluster was associated with an IL13/Th2 signature (figure 1B), which was previously found to distinguish the eosinophil-high sputum TAC1 signature.14 The green cluster was associated with the inflammasome signature (figure 1C), previously found to distinguish the neutrophil-high TAC2 signature. No individual group was found to be solely associated with oxidative phosphorylation (OXPHOS) (figure 1D), a pathway characteristic of TAC3 in asthma. As all patients with COPD were either current smokers or ex-smokers, we also investigated a smoking-related signature, derived from bronchial brushes of non-smokers and current smokers. This smoking-related signature was also found to distinguish TAC3 in the asthma study.26 (figure 1E) and in the COPD cohort, it was associated with the blue cluster (figure 1F). Here, we show that the same TAC signatures derived from asthma sputum can be recapitulated in COPD bronchial biopsies. Henceforth, we will use the signatures derived from the TAC publication.14 A table comparing clinical characteristics of patients in the TAC groupings can be seen in online supplemental table S3.

### Relationship between TAC signatures

ES scores were created for all three TACs using the COPD bronchial biopsy expression dataset at baseline. Bronchial biopsies at baseline showed a wide range of expression of the three TACs (figure 2A), with a significant inverse correlation between ES TAC1 and ES TAC3 (ρho = −0.3332, p = 0.00106) and a positive correlation between ES TAC2 and ES TAC3 (ρho = 0.4927, p < 0.0001, figure 2B–D). No significant correlation between ES TAC1 and ES TAC2 was observed.

### Longitudinal treatment with ICS modulates TAC1 signature only

We next investigated whether the TAC signatures were altered during ICS treatment and after ICS withdrawal (figure 2E). The withdrawal group was investigated to allow for within-group comparison but was not compared with other treatment due to batch effect. No change in TAC1 was seen in the placebo group over 30 months. The TAC1 signature was found to be reduced following 6 and 30 months of ICS±LABA treatment compared with baseline and significant compared with placebo at the 30 months time point. However, following 24 months of ICS withdrawal, the ES value did not return to baseline. An interaction analysis comparing treatment and time can be observed in online supplemental table S4, which found a trend of an overdecrease in the ES score of TAC1 compared with placebo at the 30-month IC±LABA treatment compared with placebo, with an increase in expression of this signature following 24 months of ICS withdrawal (figure 3F, online supplemental table S4). A heatmap of these genes can be observed in online supplemental figure S2. Similar results were found for the histological staining of mast cell numbers measured by tryptase (AA1) staining in adjacent biopsies (figure 3G). Although, a slight decrease in mast cell numbers was seen in the placebo arm using histology at 6 and 30 months, the decrease was more pronounced after 6-month and 30-month ICS treatment. Additionally, we investigated on the genes that overlap between the TAC1 signature and the mast cell signature to refine the TAC1 signature for possible corticosteroid sensitivity. As expected, we observed that the refined TAC1 signature decreased with corticosteroid treatment (online supplementals figure S3 and table S4).

Finally, we investigated the percentage that each cell type contributes to the overall gene expression of CPA3, TPSB2 and IL1RL1 in bronchial biopsies (figure 4A). Although IL1RL1 appears to be mast cell-specific in tSNE plots, more than −50% of its total expression in biopsies was seen in basal epithelial cells. To determine whether the decrease of IL1RL1, TPSB2 and CPA3 was a direct effect of corticosteroid therapy, we treated airway epithelial cells differentiated at air-liquid interface with FP for 24 hours and measured gene expression by RNA-Seq. IL1RL1 gene expression was found to be significantly decreased by FP (figure 4B), while CPA3 and TPSB2 were not detected in airway epithelial cells, which is to be expected as both CPA3 and TPSB2 were specifically expressed in the mast cell cluster base on single-cell sequencing.

### TAC association with physiological and inflammatory features of COPD

To determine the relationship between the TAC signatures and clinical and inflammatory features, we first investigated their relationship to sputum inflammatory cell profiles. At baseline, higher TAC1 was associated with higher sputum eosinophil and neutrophil counts (figure 4C,D). The association between TAC1 and percentage of cells can be observed in online supplemental figure S4. The ES of TAC1−3 was not associated with airflow obstruction (FEV1 %predicted) or hyperinflation (residual volume (RV) %predicted) (table 1). No association was found with the ICS-sensitive TAC1.

Finally, we determined whether baseline TAC signatures were associated with the ICS treatment response as reflected by improvement in lung function and decrease in inflammatory cell counts. Higher TAC1 baseline ES was associated with a more pronounced decrease in sputum neutrophil and eosinophil numbers after 30 months treatment with ICS±LABA.
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DISCUSSION
We found that the genes represented in the TAC signatures derived from the sputum of the U-BIOPRED asthma cohort were also expressed in bronchial biopsies of patients with COPD. The corticosterone-sensitive TAC1 was associated with lung function measured by FEV\textsubscript{i} %predicted but this did not survive the FDR.

**Figure 2**  Relationship between bronchial-derived transcriptome-associated cluster (TAC) signatures and the influence of inhaled corticosteroid (ICS) treatment. (A) Box plot of the expression of TAC signatures across all patients at baseline (median±95% CI). Correlations of the expression signatures between (B) TAC1 and TAC2, (C) TAC1 and TAC3 and (D) TAC2 and TAC3 (n=58). The influence of ICS treatment on (E) TAC1, (F) TAC2 and (G) TAC3. (H) Fold-change of TAC1 genes comparing bronchial expression profiles of treatment arms to time match placebo of the Groningen Leiden Universities Corticosteroids in Obstructive Lung Disease study at baseline, 6 months and 30 months. Blue represents significant downregulated genes (adjusted p value <0.05). Mean±SEM is presented in the figures. For two-way analysis of variance, a Benjamini-Hochberg adjusted p value <0.05 was considered significant. An interaction analysis was conducted on TAC signatures using an LME model investigating the interaction between time and treatment with patient ID as the random factor. ES, enrichment score; FP, fluticasone propionate; FP6_PL30, FP for 6 months and then 24 months with placebo; LME, linear mixed effect; PL, placebo±salmeterol.

(figure 4E,F; table 2, FDR <0.1), while a higher TAC3 was associated with lesser improvement of lung function measured by FEV\textsubscript{i} %predicted (figure 4G, FDR <0.1). Interestingly, a higher ES of the TAC1 signature at baseline was associated with more improvement of lung function measured by RV/TL% predicted over the 30-month period (figure 4H, FDR <0.1). The corticosterone-sensitive TAC1 was associated with
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TAC1 signature (high eosinophilia/Th2 high) was found to have variable expression across patients with COPD which serves to confirm the existence of an eosinophilic phenotype associated with the Th2 pathway in a subset of patients with COPD. In addition, the suppression of this signature by ICS therapy and the reversal of the expression of this signature by cessation of ICS therapy reinforces the similarity of this COPD endotype to severe eosinophilic asthma. Furthermore, we found that the ICS treatment effect on TAC1 was the consequence of three genes expressed in mast cells: TPSB2 (a tryptase II gene, selectively expressed in mast cells), IL1RL1 (the receptor for IL-33) which is expressed on many inflammatory cell types including mast cells, eosinophils, neutrophils, innate lymphoid cells and epithelial cells and CPA3 (carboxypeptidase A3), a gene selectively expressed in mast cells, and part of a sputum signature that predicts treatment response in asthma.\textsuperscript{27,28} The impact of mast cell gene expression profiles on defining ICS response in COPD was confirmed using cellular deconvolution, cell signature analysis and histology. Finally, the TAC1 signature was associated with ICS response at both 6 and 30 months in COPD, with a trend of a decrease compared with placebo. In contrast, gene signatures associated with the TAC2 (inflammasome) and TAC3 (smoking signature) were unaffected by ICS therapy.

An increased TAC1 expression, previously identified to reflect Th2 high inflammation, was associated with higher sputum eosinophil counts. These latter results are in line with previous
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findings that asthma-derived Th2 signatures measured in bronchial biopsies correlate with airway wall eosinophil counts and blood eosinophil percentages and a more severe airflow obstruction in COPD.3 We also unexpectedly observed an association between TAC1 and neutrophil numbers. This has not been described in previous publications and may be due to the difference in gene expression levels and cell composition between the original sputum and biopsy samples.

Figure 4 Correlation of bronchial-derived transcriptome-associated cluster (TAC) signatures and inflammatory cell counts. (A) Expression distribution of interleukin 1 receptor like 1 (IL1RL1), carboxypeptidase A3 (CPA3) and tryptase beta-2 (TPSB2) from single-cell sequencing data from bronchial biopsies (n=8). (B) IL1RL1 expression from primary airway epithelial cells grown at air-liquid interface, quiesced overnight and then treated with fluticasone propionate (FP; 10⁻⁸ M) for 24 hours (n=6 donors). Correlation of TAC1 signature at baseline with sputum (C) log eosinophil counts and (D) log neutrophil counts (n=58). Correlation of TAC1 signature at baseline with (E) change in log eosinophil counts and (F) delta log neutrophil counts after 30 months inhaled corticosteroids (ICS)±long-acting ß-agonist (LABA). (G) Correlation of TAC3 signature at baseline with delta forced expiratory volume in 1 s (FEV₁) %predicted. (H) Correlation of ICS-sensitive TAC1 signature at baseline with delta FEV₁, %predicted. ES, enrichment score.

The sensitivity of the original TAC1 signature to ICS therapy was predominantly associated with the genes CPA3 and IL1RL1. CPA3 is a metalloprotease usually used as an activation marker of mast cells,29 while IL1RL1 has been identified in a number of Genome-Wide Association Studies to be associated with wheezing phenotypes and asthma in childhood,30 with the risk allele being associated with higher expression of the gene in airway tissue.31 Both genes are associated with Th2 responses in asthma.14

Table 1 Association of TAC signatures with clinical variables at baseline

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FDR, false discovery rate; FEV₁, forced expiratory volume in 1 s; RV/TLC, residual volume/total lung capacity; TAC, transcriptome-associated cluster.
In the current study, we show that the TAC1 signature which is driven by mast cell-specific genes at baseline is associated with improvement in gas trapping following 30 months of ICS therapy in COPD.

Mast cells reside in the airways and other organs and impact on both the innate and adaptive immune response with the secretion of numerous inflammatory bronchoconstrictor mediators such as leukotrienes and prostaglandins.32 Activated mast cells, particularly chymase-positive and tryptase-positive connective tissue mast cells, release large amounts of CPA3. Interestingly, IL1RL1 is present on granulocytes and expressed constitutively by mast cells and its ligand, IL-1R1 is present on granulocytes and expressed constitutively to laminin, fibronectin and vitronectin, and mast cell survival, particularly chymase-active.

In addition, mast cell-derived tryptase and chymase cleave IL-33 to generate mature active forms.33 Together, these data suggest that mast cells contribute to the clinical expression of COPD and represent a major target for ICS, at least in a subset of patients with COPD. However, whether an increase in mast cell numbers or activity is a consequence of the disease or a causal mechanism remains to be determined. Sputum mast cell signatures have been associated with spirometry and exacerbation history in severe asthma and lower lung function in patients with COPD.34,35 Although we did find IL1RL1 expression to decrease by corticosteroids directly in the airway epithelium, the lower expression in bronchial biopsies may also result from the decrease in mast cell numbers and only partially be due to direct repression of gene expression in other cell types. Furthermore, although eosinophils express IL1RL1, we are blinded to the contribution of eosinophils to the level of IL1RL1 expression in the bronchial biopsies of these patients due to the difficulty of performing scRNA-seq on this cell type.19

Our study has several strengths and some limitations. The combination of different analytical approaches all highlighted the importance of mast cell status in bronchial biopsies of patients with COPD. The Th2-high TAC1 signature was found ICS responsiveness in COPD and to predict the degree of suppression of mast cell inflammation following ICS treatment. This study shows that using asthma-derived signatures such as the TACs may be a useful tool to identify patients with COPD that have asthma-like features such as responsiveness to ICS therapy, Th2-directed or mast cell-directed treatments.

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### Correction notice
This article has been corrected since it was first published. The open access licence has been updated to CC BY.

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