Longitudinal assessment of lung clearance index to monitor disease progression in children and adults with cystic fibrosis

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ABSTRACT

Background Lung clearance index (LCI) is a valuable research tool in cystic fibrosis (CF) but clinical application has been limited by technical challenges and uncertainty about how to interpret longitudinal change. In order to help inform clinical practice, this study aimed to assess feasibility, repeatability and longitudinal LCI change in children and adults with CF with predominantly mild baseline disease.

Methods Prospective, 3-year, multicentre, observational study of repeated LCI measurement at time of clinical review in patients with CF >5 years, delivered using a rapid wash-in system.

Results 112 patients completed at least one LCI assessment and 98 (90%) were still under follow-up at study end. The median (IQR) age was 14.7 (8.6–22.2) years and the mean (SD) FEV1, z-score was −1.2 (1.3). Of 81 subjects with normal FEV1, (≥−2 z-scores), 63% had raised LCI (indicating worse lung function). For repeat stable measurements within 6 months, the mean (limits of agreement) change in LCI was 0.9% (−18.8% to 20.7%). A latent class growth model analysis identified four discrete clusters with high accuracy, differentiated by baseline LCI and FEV1. Baseline LCI was the strongest factor associated with longitudinal change. The median total test time was under 19 min.

Conclusions Most patients with CF with well-preserved lung function show stable LCI over time. Cluster behaviours can be identified and baseline LCI is a risk factor for future progression. These results support the use of LCI in clinical practice in identifying patients at risk of lung function decline.

INTRODUCTION

Lung clearance index (LCI) derived from the multiple breath washout (MBW) test is an established research outcome for individuals with cystic fibrosis (CF). The test involves following an inert gas washed out from the lungs during tidal breathing. This makes it simple to perform from a patient’s perspective and correspondingly applicable to a wide range of ages and disease states. Key advantages of LCI over FEV1 include increased sensitivity to early changes in airway obstruction, ability to be performed repeatedly even in very young children and a very stable upper limit of normal, even in growing lungs. LCI is recognised as a valuable endpoint in CF clinical trials, with guidelines for the performance and interpretation of the test, and has played an important role in supporting registration of novel cystic fibrosis transmembrane conductance regulator (CFTR) modulator therapies.

Despite this increased acceptance and use of LCI in research, the technique has yet to become established in routine clinical care. From a technical perspective, the test takes more time than spirometry to perform (to which it would be additional) and the equipment is poorly mobile, making the test difficult to integrate into multidisciplinary CF clinic scheduling. Key clinical questions remain relating to natural variability in LCI, progression over time and what constitutes a minimally important clinical change in LCI within patients.

To address these challenges and enable integration of LCI into clinical practice, we performed "LCI-SEARCH", a National Institute for Health Research-funded multicentre, all-age, prospective study of the value of LCI in clinical CF practice.
To reduce technical barriers, we employed a closed-circuit system which delivers more rapid wash-in, reducing overall test time, as well as allowing the system to be fully mobile. Since LCI appears to have most value in those with mild disease, this study specifically focused on routine follow-up in children and adults with well-preserved FEV₁ who were considered free of Pseudomonas. The objectives of this study were the following:

- To evaluate feasibility, acceptability and clinical value of repeated LCI measurements in clinical monitoring in CF outpatient clinics.
- To assess short-term reproducibility in patients with clinically stable CF with predominantly mild disease.
- To assess long-term trajectory of LCI in CF and identify risk factors for accelerated decline.

**METHODS**

This was a prospective, single-blind, observational study of children (≥5 years) and adults with CF, where routine LCI testing was integrated into clinical care in parallel with conventional clinic-based spirometry. Patients were recruited from three specialist CF centres in the UK: Wythenshawe Hospital, Manchester, Royal Manchester Children’s Hospital and University Hospital North Midlands. Patients had FEV₁ > 50% and were recruited from non-Pseudomonas clinics at each site (defined as currently free of chronic infection with Pseudomonas aeruginosa). Those who were subsequently reclassified as chronically infected or displayed new Pseudomonas infections during follow-up remained in the study. Patients and parents provided written informed consent and children assent.

**Study visits**

Patients were assessed at their usual clinic appointments, including both routine and emergency visits. Patients or parents completed a short questionnaire, comparing current symptoms with usual baseline and identifying any other symptoms of a pulmonary exacerbation. Study visits took place between November 2014 and February 2018. In order to establish repeatability and individual patient trajectories, LCI data were kept blind until the final 6 months of the study. Following this, they were revealed to clinical teams in real time at each clinic visit, along with a patient-specific report and graph showing all historical LCI data. During this period, clinicians were asked to rate the impact of having these LCI data on clinical decision-making using a 3-point scale (no impact, partial impact, strong impact).

Adult patients were provided with a questionnaire to rate their experience of repeated LCI measurement. Details are provided in the online supplemental file.

**Multiple breath washout**

MBW was performed using a closed-circuit Innocor system (PulmoTrace ApS, Glamsbjerg, Denmark), as previously described and detailed in the online supplemental file. Detailed analysis and quality control were performed in a separate offline washout analysis package prepared in Igor Pro V6 (Wavemetrics, Lake Oswego, Oregon, USA), as previously described.

Washout repeats were excluded if there was evidence of leak or a large difference between LCI or functional residual capacity (FRC) measurements (>25% from median). Final LCI and FRC values are the average of at least two reproducible repeats. The upper limit of normal for LCI was 6.9.

**Statistical analysis**

To assess between-test short-term repeatability of LCI, only paired measurements taken within 6 months of each other were included. Patients were required to be clinically stable at both assessments, defined as no additional oral or intravenous antibiotics within 14 days, deemed well by the reviewing physician and FEV₁ change <10% of previous measurement. Bland-Altman analysis and intraclass correlation (ICC) coefficient were used to describe change in LCI between visits.

Longitudinal analysis was only performed on those with at least four valid measurements while clinically stable. Latent class growth analysis (LCGA) was used to identify distinct trajectories of LCI data. This method is described in more detail in online supplemental file. LCGA was undertaken using the LCMM package in R.

Linear mixed modelling with multivariable adjustment was used to investigate clinical factors associated with change in LCI over time. A random effects model with an exchangeable correlation structure was used to estimate the ICC values and limits of agreement. Covariates were chosen a priori to include the following clinical data previously recognised to be associated with lung function decline: Pseudomonas status at study start (chronic and intermittent infection vs not infected), intravenous antibiotic courses, all antibiotic-treated exacerbations, age, body mass index and gender. Pancreatic status was added subsequently during data analysis. Baseline LCI and FEV₁ were included, but in order to reduce the number of factors only FEV₁ z-score was included of the spirometric indices.

Original sample size was planned to be ≥70 participants. This was based on an estimate of what was required for reasonably robust longitudinal modelling and not calculated against a specific outcome (since no longitudinal data sets existed at the time).

**RESULTS**

The study recruited 122 children and adults with CF, of whom 112 (92%) completed at least one successful LCI assessment and...
98 (90%) were still under follow-up when the study finished. A CONSORT (Consolidated Standards of Reporting Trials) diagram of patient outcomes is shown in figure 1 and in the online supplemental file.

Summary demographic and clinical data for those with at least one LCI measurement are shown in table 1. The median (range) age at study entry was 14.7 (5.1–65.3) years. Forty-four subjects were adults (>18 years) assessed at the adult CF centre and 68 were children. Two subjects transitioned to adult care and had measurements performed at both paediatric and adult centres.

Feasibility

Eight participants (7%) were unable to perform tests capable of producing LCI outputs: four patients after repeated attempts (including one adult) and four after attempts on a single visit. All were withdrawn or withdrew from the study. Two further subjects with equivocal sweat chloride and no CFTR gene mutations on full gene sequencing were reclassified as non-CF and excluded from all analyses. Of the 77 children consented, 70 (91%) were therefore able to perform LCI measurements, compared with 98% of adults. The remaining 112 patients completed a total of 913 LCI visits, with a median of 6 successful LCI measurements (IQR 4–9) per subject. In 67 visits, it was not possible to obtain the minimum requirement of two reproducible washout repeats, giving an overall visit success rate of 92.7%. Failed visits were more common in children (11.3% of all visits) than adults (3.3%) (p<0.001). The reasons for test failure are described in the online supplemental file and figure E2). Overall 40 (36%) were pancreatic sufficient, 3 (3%) patients with diabetes, 5 (4%) with CF liver disease and 19 (17%) had FEV1 >100% predicted (see also online supplemental file and figure E2). Other indicators that adult patients were more common in children (11.3% of all visits) than adults (3.3%) (p<0.001). The reasons for test failure are described in the online supplemental file.

### Patient population

In line with study objectives, patients generally had mild disease, with a mean FEV1, z-score of −1.2 (range −4.3 to 1.3). Nineteen (17%) had FEV1 >100% predicted (see also online supplemental file and figure E2). Overall 40 (36%) were pancreatic sufficient, but this proportion was significantly higher in adults (61% vs 19% in children, p=0.001). Other indicators that adult patients were drawn from those with milder disease include a lower rate of Phe508del homozygosity (25% vs 57%, p=0.0009), older age at diagnosis (median 5.0 years vs 0.2 years, p<0.0001) and a high rate of never been infected with P. aeruginosa (48% vs 35%, p=0.2). There were few comorbidities overall, with only 3 (3%) patients with diabetes, 5 (4%) with CF liver disease and 19 (17%) with a coexistent diagnosis of asthma.
Cystic fibrosis

The median LCI at visit 1 was 7.7 (range 5.6–15.1) and there was no significant difference between children and adults (table 1). The relationship between FEV₁ z-score and LCI at visit 1 is presented in figure 2. There was significant correlation between measurements (r=−0.43, 95% CI −0.58 to −0.25, p<0.0001). However, LCI was elevated in a large proportion of those with normal FEV₁: of 81 subjects with normal FEV₁ at visit 1, 51 (63%) had LCI of ≥6.9. The median total LCI test time was under 19 min, with shorter test times in children: median (IQR) 16.3 (13.5–19.3) min vs 21.2 (18.6–24.3) min in adults (p<0.0001).

Repeatability of LCI measurements

For assessment of LCI repeatability, 80 subjects contributed 313 valid data pairs of stable LCI measurements within 6 months of each other. Of the total 781 eligible LCI measurements, 315 (40%) were excluded from repeatability assessments due to the patient being unwell or on additional antibiotics. The median (IQR) interval between included measurements was 105 (70–154) days (approximately 3.5 months). The mean (SD) absolute difference in LCI was 0.01 (0.85), representing a mean (SD) change of 0.9% (10.1) of baseline LCI. The Bland-Altman limits of agreement were therefore −18.8% to 20.7% (see figure 3 and online supplemental figure E3). The ICC was 0.93 (95% CI 0.91 to 0.94) (see online supplemental file, table E1). As a sensitivity analysis, these analyses were repeated for all unique pairs of LCI (n=152). These produced highly similar results and are presented in the online supplemental file.

Longitudinal change in LCI

Latent class analysis

Latent class analysis identified four distinct clusters, based on the trajectory of LCI. These are shown in figure 4 and a descriptive summary is presented in table 2 with a list of clinical variables commonly used to describe CF lung disease. The four clusters were unevenly distributed, with the majority of subjects (58, 72%) being grouped together on the basis of LCI values which remained stable over the course of the study (cluster 1, ‘stable near normal’). The other three clusters consisted of low LCI rising with time (cluster 2, ‘near normal, increasing LCI’, n=8); elevated LCI falling over time (cluster 3, ‘abnormal, stable/improving’, n=7); and high LCI increasing over time (cluster 4, ‘abnormal, increasing’, n=8). There was no difference in baseline clinical variables between clusters, with the exception of baseline LCI and the spirometric indices FEV₁, FVC and forced expiratory flow z-scores. The spirometric indices are commonly highly associated with each other, and lower spirometry values and higher LCI were found in the clusters which experienced change in LCI over time.

LCGA was repeated for children and adults separately. Analysis is limited by sample size, but these exploratory analyses, presented in the online supplemental file, showed similar patterns of clustering into three groups (online supplemental figures E6 and E7). Also included in the online supplemental file is a sub-analysis of patients with normal-range FEV₁ (z-score >−2) at visit 1, comparing those with normal LCI with those with high LCI (>6.9). Overall there was a greater mean change in LCI over the course of the study in those with normal FEV₁.
and normal LCI at visit 1: mean (SE) 0.60 (0.23) units vs −0.07 (0.17) units (p=0.02). However, those with high LCI were much more likely to be in one of the clusters showing change in LCI over time (29% vs 9%).

Linear mixed model analysis
The strongest factor associated with LCI change over time was baseline LCI (p<0.001). The model also identified age, baseline BMI, body mass index; FEF , forced expiratory flow between 25% and 75% of FVC; FVC, forced vital capacity; LCI, lung clearance index.;

Table 2 Baseline characteristics of the four different clusters identified by latent class analysis

<table>
<thead>
<tr>
<th>Baseline characteristics</th>
<th>All</th>
<th>Cluster 1 Stable, near normal LCI</th>
<th>Cluster 2 Near normal LCI, increasing</th>
<th>Cluster 3 Abnormal LCI, stable/improving</th>
<th>Cluster 4 Abnormal LCI, increasing</th>
<th>P value</th>
</tr>
</thead>
<tbody>
<tr>
<td>n (%)</td>
<td>81</td>
<td>58 (72)</td>
<td>8 (10)</td>
<td>7 (9)</td>
<td>8 (10)</td>
<td></td>
</tr>
<tr>
<td>Age, mean (SD)</td>
<td>15.5 (9.9)</td>
<td>14.3 (8.2)</td>
<td>13.8 (8.2)</td>
<td>20.6 (20.5)</td>
<td>21.6 (9.2)</td>
<td>0.191</td>
</tr>
<tr>
<td>Age at diagnosis, mean (SD)</td>
<td>4.4 (9.2)</td>
<td>3.6 (6.9)</td>
<td>3.2 (6.9)</td>
<td>11.3 (22.4)</td>
<td>5.76 (6.6)</td>
<td>0.221</td>
</tr>
<tr>
<td>Baseline LCI, mean (SD)</td>
<td>8.0 (1.7)</td>
<td>7.3 (0.9)</td>
<td>8.2 (1.4)</td>
<td>10.0 (0.7)</td>
<td>11.2 (1.5)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>FEV₁, z-score, mean (SD)</td>
<td>−1.27 (1.30)</td>
<td>0.99 (1.17)</td>
<td>−1.34 (1.04)</td>
<td>−1.35 (1.15)</td>
<td>−3.11 (1.16)</td>
<td>0.003</td>
</tr>
<tr>
<td>FEF z-score, mean (SD)</td>
<td>−1.27 (1.45)</td>
<td>−0.99 (1.37)</td>
<td>−1.47 (1.30)</td>
<td>−1.03 (1.03)</td>
<td>−3.25 (0.94)</td>
<td>0.0014</td>
</tr>
<tr>
<td>FVC z-score, mean (SD)</td>
<td>−0.68 (1.10)</td>
<td>−0.46 (0.97)</td>
<td>−0.59 (0.86)</td>
<td>−1.15 (1.05)</td>
<td>−1.92 (1.48)</td>
<td>0.031</td>
</tr>
<tr>
<td>Total number of antibiotic courses for exacerbations/year, median (IQR)</td>
<td>1.2 (0.7–2.2)</td>
<td>1.2 (0.7–2.1)</td>
<td>1.6 (1.0–3.1)</td>
<td>1.1 (0.4–2.3)</td>
<td>1.4 (0.8–4.4)</td>
<td>0.517</td>
</tr>
<tr>
<td>Number of courses of intravenous antibiotics/year, median (IQR)</td>
<td>0 (0–0.4)</td>
<td>0 (0–0.4)</td>
<td>0.3 (0–1.1)</td>
<td>0 (0–0.5)</td>
<td>0.4 (0–1.3)</td>
<td>0.096</td>
</tr>
<tr>
<td>BMI (kg/m²), mean (SD)</td>
<td>19.5 (4.0)</td>
<td>19.4 (3.9)</td>
<td>18.6 (3.5)</td>
<td>19.0 (4.9)</td>
<td>21.7 (4.7)</td>
<td>0.351</td>
</tr>
<tr>
<td>Pneumonia status, n (%)</td>
<td>41 (50.6)</td>
<td>30 (51.7)</td>
<td>4 (80.0)</td>
<td>4 (57.1)</td>
<td>3 (37.5)</td>
<td>0.910</td>
</tr>
<tr>
<td>Male, n (%)</td>
<td>45 (55.6)</td>
<td>31 (53.5)</td>
<td>3 (75.0)</td>
<td>6 (85.7)</td>
<td>5 (62.5)</td>
<td>0.304</td>
</tr>
<tr>
<td>Pancreatic sufficient, n (%)</td>
<td>23 (28.4)</td>
<td>17 (29.3)</td>
<td>1 (12.5)</td>
<td>2 (28.6)</td>
<td>3 (37.5)</td>
<td>0.751</td>
</tr>
<tr>
<td>Pseudomonas aeruginosa status, n (%)</td>
<td>13 (16.5)</td>
<td>11 (19.6)</td>
<td>1 (12.5)</td>
<td>0 (0)</td>
<td>1 (12.5)</td>
<td>0.811</td>
</tr>
<tr>
<td>Chronic infection with Aspergillus, n (%)</td>
<td>14 (19.2)</td>
<td>9 (16.7)</td>
<td>1 (12.5)</td>
<td>2 (33.3)</td>
<td>2 (33.3)</td>
<td>0.472</td>
</tr>
</tbody>
</table>

For continuous variables, the Kruskal-Wallis test was used to compare the means of the four groups without assuming normality and homogeneity of variances. For categorical data, Fisher-Freeman-Halton exact test was used. Bold values indicate statistical significance.

BMI, body mass index; FEF , forced expiratory flow between 25% and 75% of FVC; FVC, forced vital capacity; LCI, lung clearance index.

Acceptability and clinical impact of LCI
Eighteen adult participants with CF returned LCI participant experience forms (44% of eligible adult subjects). Of the respondents, 64% identified that the test was easy to complete (see online supplemental figure E9). Using visual analogue scales, 78% of the respondents scored >80 for ease of test and 78% of respondents scored >60 for the time of test being ‘just right’ (online supplemental figure E10). When asked to identify the ‘worst part of the test’, six (30%) patients identified MBW breathing issues. These included being conscious of breathing rate (n=2), warm air from the rebreathe wash-in (n=3) and added resistance (n=1). Of the respondents, 20% did not identify any issues at all. The most frequently suggested improvement (28%) was shortening of test time, but many did not suggest any improvements (39%).

Reliable data on clinical impact were available in 27 cases (8 adult, 19 paediatric). Individual LCIs are presented against in online supplemental figure E11. In 48% of cases LCI had no impact on clinical decision-making (ie, in concordance with clinical and lung function data). In 30% of cases LCI was identified as having a ‘partial impact’ on outcome. In the remaining five cases (19%) LCI was rated as having a ‘strong impact’ on clinical outcome, including prescription of additional antibiotics in four of these cases.

DISCUSSION
In this multicentre, prospective study we have successfully introduced routine LCI measurements into clinical practice in children...
and adults. This has enabled the measurement of repeatability and change over time and identified different patient clusters based on LCI and trajectory of LCI. *Pseudomonas* infection is known to be associated with higher LCI values, so this study specifically targeted those free of chronic infection and with generally mild impairment in FEV₁. They may not therefore be representative of the entire CF population, which may explain why less change in LCI over time was seen than in studies with a greater proportion of *Pseudomonas*-infected patients. With well-preserved lung function increasing commonly in older children and adults, and likely to be more so with CFTR modulator therapies, there is however a greater unmet need for clinically scalable sensitive lung function monitoring in this group of subjects and for knowledge of how this evolves over time.

A challenge of assessing stability of LCI in CF is that ventilation heterogeneity is an inherently unstable property due to shifting patterns of mucus that can change with physiotherapy and treatments as well as underlying disease state. Thus although within-session repeatability of LCI was good and similar to that of healthy controls, the between-session repeatability over 6 months was ±20%. This is narrower than that previously reported in preschool children and similar to that of school-aged children and with CF. Tighter reproducibility may be seen over shorter time spans or in clinical trials, but for clinical practice this figure therefore seems relatively robust across different age groups and devices.

More relevant to clinical practice than simple paired measurements, we have also described longitudinal trajectories of LCI to determine risk factors for progressive disease. It was reassuring that the majority of these patients with predominantly mild CF fell into a cohort with stable LCI throughout the course of the study. Similar findings were reported recently in school-aged children. This has inevitably also made it harder to detect significant differences between the remaining cohorts. Nonetheless, 10% of participants showed evidence of LCI progression from a low (ie, well-preserved) baseline, and a similar proportion showed LCI rising from a higher baseline. Using linear mixed model analysis we identified age, baseline LCI, baseline FEV₁, *Pseudomonas* status and rate of exacerbations requiring intravenous antibiotics as differentiating factors. This matches observations in patients with more severe disease that those with lower lung function, older age and with chronic *Pseudomonas* are more likely to show longitudinal decline in lung function. Using these longitudinal data, we have also assessed the point-of-care impact of contemporaneous LCI results on clinical decision-making. This was challenging to deliver, requiring both pre-preparation of reports and rapid analysis and integration of real-time data. For these reasons numbers are limited, but in over half of cases patients identified that the measurement provided additional information about clinical status, above that from clinical review and spirometry.

There have been a small number of other longitudinal LCI studies, and a common picture of LCI in monitoring CF is emerging. For very young children, elevated preschool LCI seems to predict higher LCI at early school age and at adolescence. LCI seems to remain relatively stable during the early school years, but increases in adolescence, a time when predicted FEV₁ may be less useful due to rapid changes in lung size. Steeper changes in LCI over time are also seen with concurrent *Pseudomonas* infection. It has been recognised for some time that better tools to monitor lung function decline in CF are required and on the face of it LCI fits this bill well. There remain however significant challenges relating to the delivery of this measurement in routine practice, and this has so far only been successfully delivered in a handful of institutes. Barriers to routine use include technical, training and clinical factors. From a technical perspective, a range of devices are now available, requiring differing techniques and demonstrating poor correlation across systems, which has probably hindered clinical integration.

We addressed the practical challenges by using a system with closed-circuit wash-in, which allowed rapid, portable measurements and reduced total test time to a median of around 20 min. MBW assessment was well tolerated by patients, with no major or consistent issues identified with the procedure itself, although the biggest single user complaint remained one of time taken to complete testing. Delivering quality-controlled measurements to clinicians required real-time review and analysis by an experienced operator and may not be feasible in all clinics. What we have shown is that for the majority of patients with mild CF LCI remained stable. For most CF centres struggling with the practicalities of delivering real-time MBW, a more realistic and practical ambition would be to perform these less frequently, for example at annual review or on request (eg, where spirometry is equivocal or unreliable). Based on our observations, this would help to identify those with low and stable LCI as well as those with raised or progressively deteriorating LCI who would merit further assessment or treatment. This addresses one of the CF monitoring challenges recently posed by the UK National Institute for Health and Care Excellence. A combination of borderline-raised LCI, with or without impaired FEV₁, and increased need for rescue antibiotics may represent a group to target in future trials to investigate whether LCI trends can be reversed.

Delivery of LCI measurements in real-world clinical setting is both a strength and a limitation of this study. Intervals between visits were not standardised, with adult patients in particular being assessed more frequently than every 3 months. This has led to varying numbers of assessments for different subjects. On the other hand, this study was focused on assessing the value of LCI in a clinically relevant population (those with mild disease) and in a clinically realistic setting, where assessments may not be rigidly scheduled. Adult patients in this study appeared to be phenotypically somewhat different from the children, with a lower proportion with ‘classical’ CFTR mutations and pancreatic insufficiency. In order to resolve this, analyses were repeated for the adult and paediatric populations separately. Small numbers in some cohorts mean that these additional analyses should be considered as exploratory only.

In summary, we have shown that, with the appropriate resources, LCI can be routinely delivered in a clinical setting and is generally acceptable to patients. Most patients with CF with well-preserved lung function show stable LCI over time; however, cluster behaviours can be identified that could serve as interventional groups in future studies. We have reported on acceptable repeatability of clinical measurements and shown that baseline LCI is a risk factor for future progression of LCI. These results support the use of LCI in clinical practice in identifying patients at risk of lung function decline, but the measurement is challenging to deliver in routine practice and in many cases may be better suited to annual assessments.

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