

# Reliability of methods to estimate the fraction of inspired oxygen in patients with acute respiratory failure breathing through non-rebreather reservoir bag oxygen mask

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## ABSTRACT

Severity of hypoxaemia can be assessed using the partial pressure of arterial oxygen to fraction of inspired oxygen ratio (FiO<sub>2</sub>). However, in patients breathing through non-rebreather reservoir bag oxygen mask, accuracy of bedside FiO<sub>2</sub> estimation methods remains to be tested. In a post-hoc analysis of a multicentre clinical trial, three FiO<sub>2</sub> estimation methods were compared with FiO<sub>2</sub> measured with a portable oxygen analyser introduced in the oxygen mask. Among 262 patients analysed, mean (SD) measured FiO<sub>2</sub> was 65% (13). The 3%-formula (21% + oxygen flow rate in L/min × 3) was the most accurate method to estimate FiO<sub>2</sub>. Other methods overestimated FiO<sub>2</sub> and hypoxaemia severity, so they should be avoided.

## INTRODUCTION

Ratio of partial pressure of arterial oxygen to fraction of inspired oxygen (PaO<sub>2</sub>/FiO<sub>2</sub>) is a widely used index of oxygenation, easy-to-assess at bedside, enabling assessment of severity of hypoxaemia,<sup>1</sup> considered in the calculation of prognosis scores<sup>2</sup> and a common inclusion criterion in clinical trials on patients breathing spontaneously.<sup>3</sup> Unlike during mechanically ventilation, FiO<sub>2</sub> cannot be easily measured at the bedside in patients breathing spontaneously through non-rebreather reservoir bag oxygen mask. Therefore, various formulas or tables have been proposed to estimate FiO<sub>2</sub> according to oxygen flow rate.<sup>4–6</sup> However, the reliability of these estimation methods has yet to be investigated. The aim of our study was (1) to compare the reliability of three different methods in the estimation of FiO<sub>2</sub> in patients with acute hypoxaemic respiratory failure breathing spontaneously under non-rebreather reservoir bag oxygen mask: the 3%-formula (21% + oxygen flow rate in L/min × 3),<sup>4</sup> the 4%-formula (21% + oxygen flow rate in L/min × 4)<sup>5</sup> and a commonly used conversion table (online supplementary table 1)<sup>6</sup> and (2) to test the physiological variables influencing FiO<sub>2</sub>.

## METHODS

### Patients

This study is a post-hoc analysis of a randomised clinical trial including patients with acute hypoxaemic respiratory failure defined as a respiratory rate >25/min and a PaO<sub>2</sub>/FiO<sub>2</sub> ratio ≤300 mm Hg with FiO<sub>2</sub> measured in a non-rebreather reservoir bag oxygen mask with an oxygen analyser (MX300,

Teledyne Analytical Instruments).<sup>4</sup> For the purposes of this study, we excluded patients in whom FiO<sub>2</sub> was not measured, those in whom oxygen flow was not reported and those not breathing through non-rebreather reservoir bag oxygen mask. Vital signs, oxygen flow and arterial blood gas analysis at the time of FiO<sub>2</sub> measurement were analysed.

## Statistical analysis

Mean differences (95% CI) in FiO<sub>2</sub> and PaO<sub>2</sub>/FiO<sub>2</sub> were compared using t-test. Agreement between the different FiO<sub>2</sub> estimation methods and measured FiO<sub>2</sub> was considered using an arbitrary limit of agreement of ±10% and was assessed using Bland-Altman plot. Correlations between physiological variables and measured FiO<sub>2</sub> were computed using Pearson correlation coefficient. A backward stepwise logistic regression model was computed to identify physiological variables associated with low FiO<sub>2</sub> defined according to the median measured FiO<sub>2</sub>. Two-tailed p<0.05 was considered significant. According to French law, informed consent was waived for the current analysis.

## RESULTS

Among the 310 patients included in the original study, 27 were excluded for missing data and 21 for not breathing through non-rebreather reservoir bag oxygen mask. Baseline characteristics of the 262 patients retained in the analysis are displayed in table 1. With a median oxygen flow rate of 15 L/min (IQR 12–15), the mean (SD) measured FiO<sub>2</sub> and PaO<sub>2</sub>/FiO<sub>2</sub> were 65% (13) and 140 mm Hg (63), respectively.

Mean (SD) estimated FiO<sub>2</sub> and mean differences with measured FiO<sub>2</sub> were 62% (6) with the 3%-formula (−3% (95% CI −5% to −2%), p<0.001), 75% (8) with the 4%-formula (+10% (95% CI 9% to 12%), p<0.001) and 95% (0) with the table (+30% (95% CI 28% to 31%), p<0.001; figure 1A). Mean (SD) estimated PaO<sub>2</sub>/FiO<sub>2</sub> and mean differences with measured PaO<sub>2</sub>/FiO<sub>2</sub> were 143 (56) with the 3%-formula (+3 mm Hg (95% CI −1 to +8), p=0.15), 118 (47) with the 4%-formula (−22 mm Hg (95% CI −27 to −18), p<0.001) and 92 (34) with the table (−48 mm Hg (95% CI −53 to −43), p<0.001; figure 1B).

Bland-Altman plot assessing agreement between measured FiO<sub>2</sub> and the FiO<sub>2</sub> estimation methods is displayed in figure 2. The proportion of patients within the ±10% arbitrary limit of agreement of



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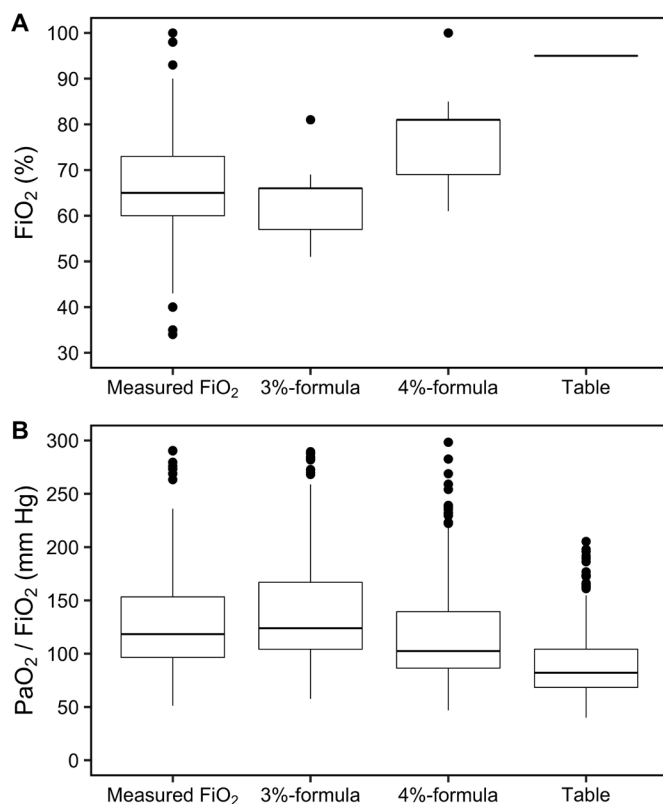
measured  $\text{FiO}_2$  was 63% ( $n=164$ ) with the 3%-formula, 44% ( $n=114$ ) with the 4%-formula and 7% ( $n=19$ ) with the table ( $p<0.001$ ). Among patients in whom  $\text{FiO}_2$  was misestimated, the overestimation rate was 35% (34 out of 98 patients) with the 3%-formula, 89% with the 4%-formula (131 out of 148) and 100% of the 243 patients with the table.

Measured  $\text{FiO}_2$  was positively correlated with oxygen flow rate ( $r=0.28$ ),  $\text{PaCO}_2$  ( $r=0.20$ ) and age ( $r=0.13$ ), and negatively correlated with height ( $r=-0.22$ , online supplementary figure). Using multivariable analysis, tallness, increased respiratory rate and decreased  $\text{PaCO}_2$  were variables independently associated with low measured  $\text{FiO}_2$  ( $<65\%$ ) after adjustment on oxygen flow rate (online supplementary table 2).

## DISCUSSION

The 3%-formula had the best agreement and enabled accurate estimation of measured  $\text{FiO}_2$  in 63% of cases, with similar  $\text{PaO}_2/\text{FiO}_2$  estimated with the 3%-formula and with measured  $\text{FiO}_2$ . By contrast, the 4%-formula and the table were associated with almost consistent overestimation of measured  $\text{FiO}_2$ , resulting in a dramatic underestimation of  $\text{PaO}_2/\text{FiO}_2$ , and therefore, a potentially marked overestimation of respiratory disease severity. Furthermore, measured  $\text{FiO}_2$  at a given oxygen flow rate varied according to patients' physiological characteristics.

Interindividual variability of  $\text{FiO}_2$  in healthy volunteers breathing oxygen through a mask was reported more than 50 years ago.<sup>7</sup> The respective influence of tidal volume and respiratory rate on measured  $\text{FiO}_2$  was described in a bench model.<sup>8</sup>



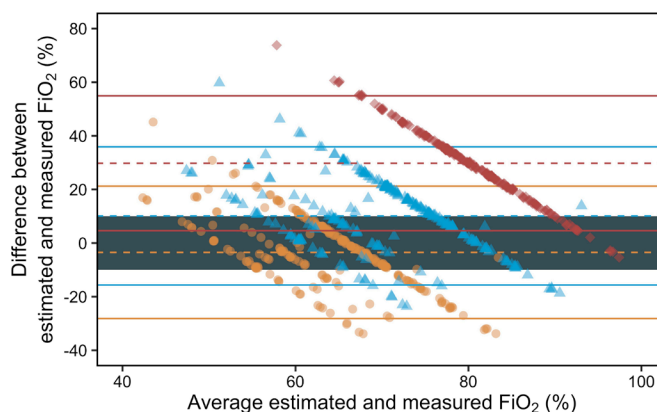
**Figure 1** (A) Comparison of fraction of inspired oxygen ( $\text{FiO}_2$ ) according to the different methods of  $\text{FiO}_2$  and the different methods used to estimate  $\text{FiO}_2$  ( $p<0.001$  between each group). (B) Comparison of partial pressure of arterial oxygen ( $\text{PaO}_2$ )/ $\text{FiO}_2$  according to the different methods of  $\text{FiO}_2$  and the different methods used to estimate  $\text{FiO}_2$  ( $p=0.15$  between measured  $\text{FiO}_2$  and 3%-formula,  $p<0.001$  otherwise).

**Table 1** Baseline characteristics of the patients

Overall population	
Demographic characteristics	
Age, years	62±16
Male sex, n (%)	178 (68%)
Weight, kg	74±17
Height, m	1.69±0.09
Vital signs	
Systolic blood pressure, mm Hg	129±22
Diastolic blood pressure, mm Hg	70±15
Mean blood pressure, mm Hg	87±16
Heart rate, beats/min	105±20
Temperature, °C	37.9±0.9
Respiratory rate, breaths/min	33±7
Oxygen flow, L/min	14±2
Bilateral infiltrates on chest X-ray, n (%)	209 (80%)
Arterial blood gases	
pH, units	7.43±0.06
$\text{PaO}_2$ , mm Hg	87±32
$\text{PaCO}_2$ , mm Hg	35±6
$\text{FiO}_2$	
Measured $\text{FiO}_2$ , %	65±13
Estimated $\text{FiO}_2$	
3%-formula, %	62±6
4%-formula, %	75±8
Table, %	95±0

$\text{FiO}_2$ , fraction of inspired oxygen;  $\text{PaO}_2$ , partial pressure of arterial oxygen.

Here, we confirm that oxygen delivery by non-rebreather reservoir bag mask is altered by breathing pattern in patients with acute hypoxaemic respiratory failure. During oxygen therapy through a mask, oxygen accumulates in the mask, leading to increased oxygen concentration and  $\text{FiO}_2$ . Hence, determinants of minute ventilation, tidal volume and respiratory rate,



**Figure 2** Agreement between measured fraction of inspired oxygen ( $\text{FiO}_2$ ) and the different methods used to estimate  $\text{FiO}_2$  using the Bland and Altman method. The 3%-formula is represented with orange dots and lines, the 4%-formula with blue triangles and lines, and the conversion table with red lozenges and lines. Dashed lines represent mean of differences and continuous lines 1.96 SD. The grey box represents the predetermined arbitrary  $\pm 10\%$  limit of agreement.

and its consequence,  $\text{PaCO}_2$ , influence oxygen accumulation in the mask and  $\text{FiO}_2$ . Although tidal volumes were not measured, height could influence minute ventilation and resultantly  $\text{FiO}_2$  through its major impact on lung volumes.<sup>9</sup>

Some limitations have to be acknowledged. First, measured  $\text{FiO}_2$  could not be an accurate surrogate of actual  $\text{FiO}_2$  as reported in healthy volunteers.<sup>10</sup> However, measurement of  $\text{FiO}_2$  in the oropharynx or the trachea of acutely ill patients is nearly impossible. Second, the  $\pm 10\%$  limit of agreement seems reasonable but is debatable. Although a narrower limit of agreement would have decreased accuracy of the 3%-formula, it would also have increased the proportion of  $\text{FiO}_2$  overestimation with the 4%-formula and the table. Third, our patients were treated with high oxygen flow rates under non-rebreather reservoir bag masks. Whether the accuracy of  $\text{FiO}_2$  estimation methods would be similar with lower oxygen flow rates and other oxygen masks remains to be tested.

These results call into question the actual respiratory severity of the patients breathing spontaneously through a non-rebreather reservoir bag oxygen mask included in most studies. Our results suggest that the 3%-formula should be used to compute  $\text{PaO}_2/\text{FiO}_2$  in patients breathing spontaneously under non-rebreather reservoir bag oxygen mask to more accurately compare treatment effects according to depth of hypoxaemia. All in all, the 3%-formula may be useful as a means of assessing respiratory severity of patients with acute hypoxaemic respiratory failure in clinical studies, as well as in real life. Whether the choice of the  $\text{FiO}_2$  estimation method used to calculate  $\text{PaO}_2/\text{FiO}_2$  would modify clinical decisions remains unknown.

## CONCLUSION

Despite limited accuracy, in patients with acute hypoxaemic respiratory failure breathing spontaneously high oxygen flow rates under non-rebreather reservoir bag masks, the 3%-formula better estimated measured  $\text{FiO}_2$  compared with the 4%-formula and the conversion table. The latter two methods markedly underestimated  $\text{PaO}_2/\text{FiO}_2$  ratio compared with the 3%-formula. Measured  $\text{FiO}_2$  varied according to height, respiratory rate and  $\text{PaCO}_2$ .

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