Smoking duration alone provides stronger risk estimates of chronic obstructive pulmonary disease than pack-years

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ABSTRACT

Background Cigarette smoking is the strongest risk factor for COPD. Smoking burden is frequently measured in pack-years, but the relative contribution of cigarettes smoked per day versus duration towards the development of structural lung disease, airflow obstruction and functional outcomes is not known.

Methods We analysed cross-sectional data from a large multicentre cohort (COPDGene) of current and former smokers. Primary outcome was airflow obstruction (FEV₁/FVC); secondary outcomes included five additional measures of disease: FEV₁, CT emphysema, CT gas trapping, functional capacity (6 min walk distance, 6MWD) and respiratory morbidity (St George's Respiratory Questionnaire, SGRQ). Generalised linear models were estimated to compare the relative contribution of each smoking variable with the outcomes, after adjustment for age, race, sex, body mass index, CT scanner, centre, age of smoking onset and current smoking status. We also estimated adjusted means of each outcome by categories of pack-years and combined groups of categorised smoking duration and cigarettes/day, and estimated linear trends of adjusted means for each outcome by categorised cigarettes/day, smoking duration and pack-years.

Results 10 187 subjects were included. For FEV₁/FVC, standardised beta coefficient for smoking duration was greater than for cigarettes/day and pack-years (P<0.001). After categorisation, there was a linear increase in adjusted means FEV₁/FVC with increase in pack-years (regression coefficient $\beta = -0.023 \pm SE 0.003$; P=0.003) and duration over all ranges of smoking cigarettes/day ($\beta = -0.041 \pm 0.004$; P<0.001) but a relatively flat slope for cigarettes/day across all ranges of smoking duration ($\beta = -0.009 \pm 0.009$; P=0.34). Strength of association of duration was similarly greater than pack-years for emphysema, gas trapping, FEV₁, 6MWD and SGRQ.

Conclusion Smoking duration alone provides stronger risk estimates of COPD than the composite index of pack-years.

Trial registration number Post-results; NCT00608764.

BACKGROUND

COPD is characterised by partially reversible airflow obstruction and is usually associated with

Key messages

What is the key question?

- What is the relative contribution of cigarettes smoked per day versus duration towards the development of COPD?

What is the bottom line?

- In a population of current and former smokers, we found that although the average number of cigarettes smoked per day is important, smoking duration alone is more strongly associated with COPD disease components than pack-years, and that cigarettes/day may lower the strength of association between cigarette smoking and COPD metrics in epidemiological studies. Our findings have significant public health implications and might make smoking history ascertainment easier as well as more likely to be accurate.

Why read on?

- We show that due to difficulties in ascertainment of cigarettes/day, smoking duration is more strongly associated with COPD than the composite of pack-years.

structural changes on lung imaging.¹ Although the demonstration of airflow obstruction on spirometry is *sine qua non* for the diagnosis of COPD, the pretest probability of a correct diagnosis relies on accurate quantification of risk factors as well as symptom burden. Cigarette smoking is the strongest risk factor for COPD,¹ and although no precise estimate of a threshold effect is available, in those smokers who develop COPD there is a dose-effect relationship. Various parameters of tobacco exposure have been proposed including urinary and salivary cotinine estimations; however, these are expensive and do not provide accurate estimates of the cumulative exposure.² COPD is a disease with a long latency period and hence questionnaires are best suited for assessing exposure over time.³

Smoking burden is frequently measured in pack-years, a product of the average number of packs

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of cigarettes smoked a day and smoking duration in years. The exposure time risk profile for some diseases with a clear onset of disease has been delineated. For example, lung cancer is associated more strongly with the duration of smoking than with the average cigarettes/day.^{4–6} This delineation provides mechanistic insights and has implications for tobacco cessation strategies. The pack-years product assumes equal weightage for both cigarettes/day and duration of smoking, and the relative contribution of cigarettes/day versus duration towards the development of COPD is not known. Although ideally this is best answered by studying onset of smoking to onset of disease, COPD remains undiagnosed in the majority of patients long after the onset of disease and hence we adapted the design of comparing disease severity across a range of smoking burden. We hypothesised that cigarettes smoked per day and smoking duration differentially impact the occurrence and severity of COPD disease components including airflow obstruction, structural lung disease and functional outcomes.

METHODS

Study population

We analysed cross-sectional data from a large multicentre cohort (COPDGene), the details of this study have been previously published.⁷ Briefly, participants in COPDGene were of self-identified non-Hispanic White or African-American racial/ethnic category, and included current and former smokers (ages 45–80 years) with at least 10 pack-year smoking history. Participants with other known lung diseases other than asthma were excluded; for example, pulmonary fibrosis, extensive bronchiectasis, and cystic fibrosis, previous surgical excision of at least one lung lobe (or lung volume reduction procedure), active cancer under treatment, suspected lung cancer (large or highly suspicious lung mass), metal in the chest, recent exacerbation of COPD treated with antibiotics or steroids, recent eye surgery, recent myocardial infarction or other cardiac hospitalisation, recent chest or abdominal surgery, inability to use albuterol, history of chest radiation therapy, and first or second-degree relative already enrolled in the study. Written informed consent was obtained from all participants prior to enrolment in the cohort. Those with known lung disease except for COPD and asthma were excluded. Detailed assessments of smoking history were recorded as reported by the participants at the time of enrolment including age at which participants started smoking, duration of smoking (calculated as the difference between the age at time of quitting or time of enrolment and the age at smoking initiation) and the average number of cigarettes a day. Smoking burden was also assessed using the traditional metric of pack-years, which is the product of the average number of packs of cigarettes a day and smoking duration in years. To lessen the effect of early-onset smoking on the developing lung, we also separately analysed participants who started smoking at or after the age of 18 years.

Measurement of disease

The primary outcome was airflow obstruction measured by the ratio of the FEV₁ to the FVC (FEV₁/FVC). Secondary outcomes included five additional measures of disease: FEV₁, CT emphysema, CT gas trapping, functional capacity using 6 min walk distance (6MWD) and respiratory morbidity using St George's Respiratory Questionnaire (SGRQ). Presence of COPD was primarily assessed by spirometric and imaging metrics, and disease burden quantitated using respiratory quality of life and functional capacity measurements. COPD was defined by the Global Initiative for Chronic Obstructive Lung Disease (GOLD) criteria of postbronchodilator

FEV₁/FVC < 0.70. Participants with normal ratio but FEV₁ < 80% predicted were categorised to have GOLD unclassifiable disease or preserved ratio impaired spirometry.⁸

CT scans were performed at full inspiration (total lung capacity, TLC) and end-tidal exhalation (functional residual capacity, FRC). After segmentation and extrusion of the large and medium-sized airways, emphysema was quantified as the percentage of lung volume at TLC with attenuation < −950 Hounsfield units (HU) by density mask analyses using 3D Slicer software (<http://airwayinspector.acil-bwh.org/>).⁷ CT measures of emphysema correlate well with emphysema measured on histopathology.⁹ Gas trapping was quantified as the percentage of lung volume at FRC with attenuation < −856 HU. Respiratory-related quality of life was assessed using SGRQ; SGRQ ranges from 0 to 100 with higher scores indicating worse respiratory-related quality of life.¹⁰ We assessed functional capacity using the 6MWD according to the American Thoracic Society (ATS) guidelines.¹¹

Statistical analyses

We analysed the data using two methods. (See online supplementary information for details.) First, using general linear models, we estimated association of each outcome with the smoking variables (duration, cigarettes/day and pack-years) as continuous variables in separate fixed-effects models after adjustment for age, race, sex, body mass index, CT scanner type, centre, age of smoking onset and current smoking status.¹² To estimate relative effect sizes, we compared the standardised regression coefficients of the smoking variables for associations with the outcome. FEV₁/FVC was the primary outcome, and we repeated these analyses for each of the secondary outcomes (CT emphysema, CT gas trapping, FEV₁, 6MWD and SGRQ). Results were determined to be statistically significant when the accompanying statistical test yielded a probability of < 0.05.

Second, to improve clinical interpretability and applicability across a range of combination of smoking duration and cigarettes/day, we categorised the smoking variables by increments of 10 units to create consistent intervals for all three of the smoking variables. The six metrics of disease described above were treated as outcome variables and their adjusted means were calculated for each grouping of 23 combinations of smoking duration and cigarettes/day using a 5×5 table (25 groups were designed and two groups are not evaluable due to zero or insufficient cases). Similarly, adjusted means of outcomes were estimated for five groups of smoking pack-years. Covariates used for adjusting means of the outcomes were age, race, sex, body mass index, CT scanner type, centre, age of smoking onset and current smoking status. Linear trends of the adjusted means of outcomes over categorised smoking duration and cigarettes/day were then drawn to illustrate the trends of the 23 adjusted means of the outcomes over cigarettes/day and duration, respectively (online supplementary table 1). These trends provide information on the relative contribution of one smoking variable towards COPD when the other smoking variable is held constant. In addition, linear trends were estimated for the adjusted means of outcomes over five groups of pack-years. Steepness of linear slope over pack-years was graphically illustrated for comparison with linear slopes over duration and cigarettes/day. All analyses were performed using SAS V.9.4 (SAS Institute).

RESULTS

Participant characteristics

10300 subjects were enrolled in the COPDGene study. We excluded 108 participants who were non-smokers. A total

Table 1 Characteristics of participants

Parameters	n=10187
Age (years)	59.6 (9.0)
Sex, female (%)	4741 (46.5)
Race, African American (%)	3407 (33.4)
Body mass index (kg/m ²)	28.8 (6.3)
Smoking pack-years	44.2 (25.0)
Smoking duration (years)	36.4 (10.0)
Cigarettes/day	24.3 (11.3)
Current smokers (%)	5414 (53.2)
FEV ₁ (L)	2.24 (0.92)
FEV ₁ % predicted	76.4 (25.6)
FVC (L)	3.30 (1.01)
FVC % predicted	87.0 (18.3)
FEV ₁ /FVC	0.67 (0.16)
CT emphysema (%)	6.2 (9.6)
CT gas trapping (%)	21.9 (19.9)
6 min walk distance (m)	411.5 (121.6)
St George's Respiratory Questionnaire score (units)	27.4 (22.9)

All values are expressed as mean (SD) unless otherwise indicated.

of 10187 subjects were included in the current analyses after excluding five subjects who were placed in the category with smoking duration 0–10 years and smoking cigarettes/day average of ≥ 40.1 cigarettes/day, due to insufficient number of cases. Characteristics of participants included are shown in [table 1](#). The mean age at enrolment was 59.6 (9.0) years. 5446 (53.5%) were male and 3407 (33.4%) were African American. The cohort included 5414 (53.2%) current smokers and 4773 (46.8 %) former smokers. The mean pack-years of smoking was 44.2 (25.0) (IQR 27.2–54.9). The average duration of smoking was 36.4 (10.0) years (IQR 30.9–43.0 years), and mean cigarettes/day was 24.3 (11.3) (IQR 20.0–30.0). There were weak correlations between age and pack-years of smoking ($r=0.266$), smoking duration ($r=0.342$) and cigarettes/day ($r=0.134$). Online supplementary table 2 shows smoking burden by cigarettes/day and duration across GOLD stages.

Smoking and airflow obstruction

We found that for the degree of airflow obstruction (FEV₁/FVC), the adjusted effect size was greater for smoking duration than for cigarettes/day and pack-years. After categorisation, we found a linear increase in FEV₁/FVC with increase in pack-years ($\beta=-0.023\pm\text{SE}0.003$; $P=0.003$). With increasing cigarettes/day, the gradient remained relatively flat across all ranges of smoking duration ($\beta=-0.009\pm 0.009$; $P=0.34$) ([figure 1A](#)). Smoking duration also had a linear inverse relationship with FEV₁/FVC ($\beta=-0.041\pm 0.004$; $P<0.001$), and its slope was again steeper than pack-years for association with FEV₁/FVC ([figures 1B and 2A](#)). Similar results were seen for FEV₁ ([figure 2B](#)).

Smoking and structural lung disease on CT

For CT emphysema, the adjusted effect size was greater for smoking duration than for cigarettes/day and pack-years ([table 2](#)). The root mean square error (RMSE) for the model with duration was less than RMSE for cigarettes/day and pack-years. On categorisation of the data, there was a linear increase in adjusted means per cent emphysema with increase in

pack-years (regression coefficient $\beta=1.219\pm\text{SE}0.099$; $P=0.001$) ([figures 2C and 3](#)). With increasing cigarettes/day, the slope remained relatively flat across all ranges of smoking duration ($\beta=0.377\pm 0.437$; $P=0.40$) ([figures 1C and 3](#)). Smoking duration also had a linear relationship with per cent emphysema ($\beta=1.937\pm 0.151$; $P<0.001$), and its slope was steeper than pack-years for association with emphysema ([figures 1C and 2C](#)).

Similarly for gas trapping, the effect size was greater for smoking duration than for cigarettes/day and pack-years ([table 2](#)). After categorisation, there was a linear increase in the per cent gas trapping with increase in pack-years ($\beta=2.484\pm\text{SE}0.291$; $P=0.003$) ([figure 2D](#)). The slope remained comparatively flat across all ranges of smoking duration with increasing cigarettes/day ($\beta=1.001\pm 0.960$; $P=0.31$). Smoking duration also had a linear relationship with per cent gas trapping ($\beta=4.254\pm 0.352$; $P<0.001$), and its slope was again steeper than pack-years for association with gas trapping ([figure 2D](#)).

Smoking and respiratory morbidity

The effect size was greater for smoking duration than for cigarettes/day and pack-years for association with SGRQ ([table 2](#)). SGRQ also linearly worsened with increase in pack-years ($\beta=3.292\pm\text{SE}0.418$; $P=0.004$). Unlike the relationship with other disease measures, cigarettes/day was associated with worse respiratory quality of life ($\beta=2.696\pm 1.111$; $P=0.024$). SGRQ linearly worsened with greater smoking duration ($\beta=4.781\pm 0.720$; $P<0.001$), and this slope was steeper than pack-years for association with SGRQ ([figure 2E](#)).

6MWD decreased in a linear fashion with increase in pack-years ($\beta=-38.392\pm\text{SE}4.500$; $P=0.003$). With increasing cigarettes/day, the slope remained relatively flat across all ranges of smoking duration ($\beta=-22.361\pm 15.903$; $P=0.17$). 6MWD also decreased linearly with smoking duration ($\beta=-67.617\pm 7.979$; $P<0.001$), and this slope was steeper than that for pack-years ([figure 2F](#)). The effect size was greater for smoking duration than for cigarettes/day and pack-years for association with 6MWD. [Figure 2](#) shows a comparison of slopes for outcomes per each smoking variable.

Secondary analyses

To examine whether the age of smoking onset impacted the results, we performed sensitivity analyses by repeating these analyses to test the relationship between smoking variables and disease metrics, in participants who started smoking at or after the age of 18 (online supplementary table 3), as well as for the entire cohort without adjustment for age of smoking onset (online supplementary table 4). We found that for both of these analyses, the linear trends for smoking duration were steeper for COPD outcomes than pack-years, and that the slope for cigarettes/day was relatively flat.

DISCUSSION

In a cohort of current and former smokers, we showed that smoking duration alone is more strongly associated with estimates of COPD disease components than cigarettes smoked per day, and the composite index of pack-years. Although cigarettes/day is also associated with COPD, using pack-years as measured currently may lower the strength of association between cigarette smoking and COPD metrics in epidemiological studies.

Measuring smoking burden using pack-years has long been recognised to be imprecise. The relative contributions of smoking duration and cigarettes/day have been examined in other smoking-related diseases, but with relatively easily recognised disease

Figure 1 Panel (A) shows linear slopes for adjusted means of FEV₁/FVC over categorised cigarettes/day. Panel (B) shows linear slopes for adjusted means of FEV₁/FVC over categorised duration. Colour-coded data points represent estimated adjusted means of FEV₁/FVC by categorised duration (A) or cigarettes/day (B). All categorisation is based on 10 unit increments. Panel (C) shows linear slopes for adjusted means of CT emphysema over categorised cigarettes/day. Panel (D) shows linear slopes for adjusted means of CT emphysema over categorised smoking duration. Colour-coded data points represent estimated adjusted means of CT emphysema by categorised duration (C) or cigarettes/day (D). All categorisation is based on 10 unit increments. Least square means of FEV₁/FVC and CT emphysema are adjusted for age, race, sex, body mass index, scanner type, centre, age of smoking onset and current smoking status.

onset such as lung cancer and oesophageal cancer.^{4–6 13} For both these cancers, smoking duration was more strongly associated with disease than cigarettes/day, but no comparisons were made to assess the strength of these associations with pack-years. Similar results have been reported for cardiovascular disease for which smoking duration appears to be more important than cigarettes/day, without direct comparisons with pack-years.¹⁴ Results from the Lung Health Study showed that increasing cigarettes/day is associated with greater lung function decline in mild to moderate COPD, but no direct comparisons were made between cigarettes/day and duration of smoking.^{15 16} We found that smoking duration is more important for presence of disease in a chronic slowly evolving disease, and that cigarettes/day as currently recorded may attenuate the strength of association between cigarette smoking and disease measures. The reasons for this finding could be biological or epidemiological. It is plausible that a longer duration of smoking is associated with increasing accumulation of genetic and epigenetic changes, especially in this

polygenic condition.¹⁷ There may also be alterations in the lung microbiome over time with continued cigarette smoke exposure¹⁸; there is lesser microbial diversity with continued cigarette smoking and this may contribute to disease progression.¹⁹ Epidemiologically, smoking burden has been estimated in several ways, including prospective direct methods such as personal monitoring in smokers' microenvironments and biochemical assays such as plasma, urinary and salivary cotinine levels.³ These are limited by feasibility and costs especially in COPD which has a long latency period, as well as non-availability of measurements of time of exposure. Inherent to the long duration of smoking, smoking history is almost always self-reported and hence subject to recall bias. We believe that the duration of smoking is more easily recalled than the average cigarettes/day of smoking which tends to fluctuate over time. Cigarettes smoked per day is also harder to quantify accurately and cigarettes/day measurements correlate poorly with biochemical assessments of smoking exposure.² This could be due to different formulations of cigarettes

Figure 2 Summary linear slopes for lung function ((A) FEV_1/FVC and (B) FEV_1), structural lung disease ((C) CT emphysema and (D) CT gas trapping), and quality of life ((E) SGRQ) and exercise capacity ((F) 6MWD) over categorised cigarettes/day, categorised smoking duration and over categorised pack-years. All categorisation is based on 10 unit increments. All outcome least square means adjusted for age, race, sex, body mass index, scanner type, centre, age of smoking onset and current smoking status. 6MWD, 6 min walk distance; SGRQ, St George's Respiratory Questionnaire.

and different amounts of exposure that depend on mainstream and sidestream smoke, with the latter likely more dangerous.² Smoking topography is heterogeneous and many smokers adjust the level of smoke inhaled, further affecting measurement.²⁰ It is also possible that the 'bandwidth' of cigarettes smoked per day is constrained by increments of number of cigarettes by half to one

pack, although we did have participants reporting increments of single units of average cigarettes smoked per day, and hence cigarettes/day has a narrower range, resulting in lower statistical strength and misclassification bias. Not recognising the most accurate exposure profile might result in underestimation or overestimation of risk and also biased estimates of effect size.

Table 2 Generalised linear models comparing adjusted effect size of smoking variables on outcomes

Outcome	Predictor	Standardised <i>b</i>	SE of standardised <i>b</i>	Z value	P value
FEV ₁ /FVC	Duration	−0.310	0.012		
	Cigarettes/day	−0.080	0.009	−15.442	<0.001
	Pack-years	−0.183	0.009	−8.353	<0.001
FEV ₁	Duration	−0.253	0.011		
	Cigarettes/day	−0.077	0.008	−12.853	<0.001
	Pack-years	−0.165	0.009	−6.32	<0.001
CT emphysema	Duration	0.219	0.012		
	Cigarettes/day	0.058	0.009	10.385	<0.001
	Pack-years	0.128	0.010	5.754	<0.001
CT gas trapping	Duration	0.247	0.012		
	Cigarettes/day	0.077	0.009	11.009	<0.001
	Pack-years	0.159	0.010	5.566	<0.001
6MWD	Duration	−0.186	0.012		
	Cigarettes/day	−0.082	0.009	−7.055	<0.001
	Pack-years	−0.150	0.009	−2.387	0.008
SGRQ	Duration	0.227	0.013		
	Cigarettes/day	0.148	0.010	4.907	<0.001
	Pack-years	0.230	0.010	0.042	0.483

Estimated standardised regression coefficient *b* is adjusted for age, race, sex, body mass index, scanner type, centre, age of smoking onset and current smoking status.

P value obtained by Z-test for comparing standardised *b* of smoking duration with that of cigarettes/day and pack-years of smoking.

6MWD, 6 min walk distance; SGRQ, St George's Respiratory Questionnaire.

The duration of smoking is also likely impacted by the age of onset of smoking. Early-onset smoking is likely to impact lung growth and maturation and result in a lower baseline peak lung

function which has been shown to be a risk factor for COPD.²¹ We performed a sensitivity analysis with and without adjustment for age of onset and found that adjustment for age of smoking

Figure 3 Three-dimensional surface plots demonstrate the relationships between linear slopes for the adjusted means of CT emphysema over categorised cigarettes/day and over categorised smoking duration (years). The adjusted means CT emphysema slopes for cigarettes/day are relatively flat across all duration categories whereas adjusted means CT emphysema increases linearly with increasing smoking duration across all categories of cigarettes/day. All categorisation is based on 10 unit increments. Colour panel on the right shows adjusted means of CT emphysema adjusted for age, race, sex, body mass index, CT scanner type, centre, age of smoking onset and current smoking status. Note that surface plots were drawn for 23 of the 25 combinations of smoking cigarettes/day and smoking duration, and the two combinations with insufficient participants were treated as zero to smoothen the surface plots.

onset strengthened the relationship between smoking duration and COPD but not that for cigarettes/day and pack-years. Although smoking duration is more likely to be greater with older age, this is also true for the composite of pack-years; we also adjusted for age at enrolment and age of smoking onset.

Our findings have several significant public health implications. First, information on smoking duration alone for estimating risk of COPD is easier to obtain and likely less affected by recall bias and hence is more pragmatic. This is not to say that the number of cigarettes smoked per day does not matter. Although cigarettes/day is likely important and our study was not designed to assess mechanistic relationships, the current methods of measurement with average number of cigarettes/day over time appear to provide inferior risk assessment to that provided by smoking duration. The threshold effect for disease occurrence in COPD may not lie in the cigarettes smoked per day but in the duration of smoking. Our findings reinforce the importance of complete smoking cessation rather than decreasing the number of cigarettes smoked to reduce the risk of lung disease.

Our study has several strengths. This is a large well-characterised cohort of current and former smokers with diversity of race and sex; data collection was blinded to the outcomes, minimising the potential bias in comprehensiveness of exposure assessments which can be impacted by ascertainment of knowledge of disease status. Data on smoking duration and cigarettes/day were meticulously collected by investigators to determine risk, but this level of detail may not be feasible in a busy clinical practice. This is more likely to impact smoking cigarettes/day measurements than duration. The study has some limitations. Participants enrolled in the COPDGene study had to have at least a 10 pack-year smoking history, and the cohort contains a large proportion of participants with COPD with heavy smoking burden and the range of smoking cigarettes/day is likely narrower and more uniform than in a general population sample. Smoking exposure history is subject to recall bias; however, in a disease with a long latency, validated questionnaires are the most accepted method of measuring exposure. We did not include non-smoking controls because using non-smokers as a reference standard tends to overestimate the dose–response relationship as any smoking is likely to be worse than never smoking. Compared with base models, all models with smoking measures contributed incrementally to the COPD outcomes, and this could be due to the inclusion of participants with at least a 10 pack-year smoking history which establishes a baseline smoking exposure. For this reason, we performed additional analyses to study the differential effects of cigarettes/day and duration across the range of each smoking measure. We calculated smoking duration as the difference between the age at smoking onset and the age at smoking cessation, and did not account for possible short periods of smoking cessation; however, our methodology is less subject to recall bias, and is consistent with the methods used in large epidemiological studies.^{16 22} Findings from the Lung Health Study also showed that the rate of decline of lung function over 11 years was similar between sustained and intermittent smokers, suggesting that periods of intermittent cessation do not significantly impact outcomes.²²

CONCLUSIONS

In a large cohort of smokers with and without COPD, smoking duration provides stronger risk estimates of COPD components than cigarettes smoked per day and the composite index of pack-years. Given the limitations of measuring cigarettes/

day, giving equal weightage to smoking cigarettes/day and duration might attenuate the measured strength of association between smoking and COPD, and result in misclassification and biased estimates of disease risk.

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