Identifying severe community-acquired pneumonia: moving beyond mortality

James D Chalmers

Hospitalisations for community-acquired pneumonia (CAP) are increasing in the UK and internationally.1 Five to fifteen per cent of patients admitted to hospital with CAP die, and improvements in supportive care have maintained this death rate in the face of rising co-morbidity but have not resulted in substantial improvements.2 This lack of progress contrasts sharply with other medical emergencies such as acute myocardial infarction where there is an established pathway from recognition to early management and long-term care, which has brought substantial reductions in mortality.3

Kolditz et al4 report a new concept in CAP management, the idea of ‘emergency CAP’ to define patients at increased risk of early deterioration. In a large database of 3427 prospectively recruited patients with CAP from the multicentre CAPNETZ cohort only 173 (5%) required mechanical ventilation and/or vasopressor support (MV/VS) or died within 7 days of admission. Twenty-seven per cent of such patients died within 30 days while in contrast only 2% of patients not requiring MV/VS in the first week of admission ultimately died.4

Importantly they demonstrated, as other authors have found in the past, that deterioration was largely predictable.5-7 The absence of any of the nine Infectious Diseases Society of America/American Thoracic Society (IDSA/ATS) criteria for severe pneumonia had a 99.7% negative predictive value and effectively excluded a poor outcome.4 This study also extended previous observations that the highest mortality in CAP is reserved for patients who deteriorate later in admission.8 Patients who required invasive MV/VS immediately on admission had a 30-day mortality rate of 24%. In patients who did not require this intervention on admission but deteriorated later, 30-day mortality was 49%.4 MV/VS is used as the primary outcome in preference to intensive care unit (ICU) admission because it is more generalisable across healthcare systems.9 The UK, for example, has 3 ICU beds/100 000 population while Germany has 25/100 000.9 Therefore, ICU patients in Germany are likely to look very different to ICU patients in the UK, but definitions of respiratory failure and septic shock are robust across healthcare systems.

Following this study by Kolditz, we can conclude the following: a small but important proportion of patients will deteriorate in the first few days following admission with CAP. These patients can be readily identified by the presence of a small number of abnormal physiological variables with high sensitivity and late deterioration, particularly deterioration after day 4, carries a very poor prognosis. Identification of these patients for early intervention is essential.8

So why did the authors look to study MV/VS in the first week of admission instead of focusing on predictors of 30-day mortality, like virtually all CAP prognostic studies in the past 20 years? Mortality from pneumonia is more complex than we might first think, and defining patients at high risk of mortality as ‘severe CAP’ seems intuitively correct but is problematic. A proportion of patients die from respiratory failure and septic shock but in fact patients dying in the ICU are a minority. In a large retrospective German study only 23.7% of patients that died had been admitted to an ICU,10 while a UK study found that 35% of patients with pneumonia had treatment restrictions indicated on admission that would prevent ICU admission, such as advanced directives or ‘do not attempt resuscitation’ orders.5 Pneumonia’s reputation as the ‘Old Mans Friend’ is not entirely accurate. Up to 50% of deaths in the first 90 days after pneumonia are due to decompensated co-morbidities, particularly cardiovascular disease, rather than directly due to pneumonia.8 11 This presents a huge challenge for designing interventions to reduce mortality, because most interventions we intuitively feel might improve outcomes, such as earlier antibiotic administration or macrolide antibiotics and experimental treatments like corticosteroids or anti-inflammatory therapies, are only able to modify outcome in a subgroup of patients.

Therefore, defining severe CAP in a clinically useful way has been a major challenge and largely unsuccessful to date. The objective of Kolditz et al in studying predictors of MV/VS in the first week is therefore to identify those patients at the greatest risk, and also those patients in whom early resuscitation, appropriate treatment and new therapies have the potential to improve outcome.

Eighteen years after Fine et al12 developed the Pneumonia Severity Index (PSI), the archetypal clinical prediction tool for CAP, the literature on outcome prediction for pneumonia is vast. More than 30 different variations of prediction tools have been published, with some having achieved validation.13 Yet, until recently we have been some distance from a useful tool to identify the specific subgroup at high risk of needing MV/VS that we need to target to improve outcome.

The most widely recommended scores, PSI and the CURB65 score, were developed to predict 30-day mortality and primarily to guide discharge of patients from the emergency department and not to identify patients requiring MV/VS.13 14 As a result, they are heavily weighted by age. This explains why CURB65, for example, has a sensitivity of less than 50% for MV/VS in many studies, indicating that the majority of patients admitted to the ICU have ‘mild’ or ‘moderate’ CAP according to the score.11 This may also explain why the British Thoracic Society audits consistently show ‘non-compliance’ with recommendations to use oral monotherapy in mild CAP. More than 60% received intravenous antibiotics and 50% received combination antibiotics in 2009/2010.15 While in some cases this may represent ignorance of the guidelines, both anecdotal and more quantitative efforts to understand this variation reveal an important gap between what clinicians recognise as severe pneumonia and what is identified by the scores leading to ‘intelligent disobedience’.

Recognising that CURB65 and PSI were poorly adapted to guiding intensive therapy for these patients, the IDSA/ATS guidelines in 2007 devised a new set of criteria.16 These deliberately excluded age and focused exclusively on physiological variables (respiratory rate >30/min, PaO2/FiO2 ratio <250, multilobar infiltrates, confusion/disorientation, uraemia >20 mg/dL, leucopenia <4000 cells/mm3, thrombocytopenia <100 000 cells/mm3, hypothermia <36°C and hypotension requiring aggressive fluid resuscitation).17 The score was recently simplified to exclude leucopenia, hypothermia and thrombocytopenia, which are infrequent and do not add to the predictive value,17 a conclusion that...
Kolditz et al have now confirmed in an independent cohort. Validation studies suggest that this tool predicts mortality at least as well as the CURB65, with superior prediction of MV/VS. 

Development of clinical prediction tools involves an iterative process following derivation that includes external validation and then, the most important step, implementation into clinical practice to provide evidence that use of the tool can improve clinical outcomes in patients. Implementation of the PSI was shown in five studies of different designs to increase the proportion of emergency department patients safely discharged home. CURB65 has not been shown to improve patient outcomes in a prospective clinical study. Recently, a before and after evaluation study from Lim et al showed that implementation of an emergency department intervention in Singapore that included the use of the IDSA/ATS 2007 minor criteria resulted in a significant reduction in mortality.

More implementation data are needed but it seems that we now have a prediction tool that can genuinely predict both the critically important population with ‘emergency CAP’ and patients at high risk of death. It is more simple than the PSI, and likely appears the most useful to complement clinical judgement.

Future studies should follow the model established here by Kolditz et al and consider MV/VS in preference to mortality as the gold standard to define severe CAP. For clinical practice, risk prediction tools can be very useful, particularly for less experienced physicians working in emergency departments and I agree with Kolditz et al that the IDSA/ATS minor criteria increasingly appear the most useful to complement clinical judgement.

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