

A cluster of lung injury associated with home humidifier use: clinical, radiological and pathological description of a new syndrome

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ABSTRACT

Background Over a few months in the spring of 2011, a cluster of patients with severe respiratory distress were admitted to our intensive care unit (ICU). Household clustering was also observed. Extensive laboratory investigations failed to detect an infectious cause.

Methods Clinical, radiological and pathological investigations were conducted and the Korean Center for Disease Control performed epidemiological studies.

Results The case series consisted of 17 patients. Their median age was 35 (range 28–49) years. Six were pregnant at presentation and four had given birth 2 weeks previously. All presented with cough and dyspnoea. In the majority of patients (14/17), multifocal areas of patchy consolidation were identified in the lower lung zones on the initial CT. As the condition progressed, the patchy consolidation disappeared (10/13) and diffuse centrilobular ground-glass opacity nodules started to predominate and persist. Pathological specimens (11/17) showed a bronchiolocentric, temporally homogenous, acute lung injury pattern with sparing of the subpleural and peripheral alveolar areas. Ten patients required mechanical ventilation, eight of whom subsequently received extracorporeal life support. Four of the latter underwent lung transplantation. Five of the six patients in the ICU who did not receive lung transplantation died. An epidemiological investigation revealed that all patients had used humidifier disinfectants in their homes.

Conclusions This case series report showed that lung injury and respiratory failure can occur as a result of inhaling humidifier disinfectants. This emphasises the need for more stringent safety regulations for potentially toxic inhalants that might be encountered in the home.

INTRODUCTION

Starting in February 2011, a cluster of young adults were admitted to the intensive care unit (ICU) of a tertiary care hospital in Seoul with severe respiratory distress. They were previously healthy without a history of respiratory or systemic diseases. The patients were uniformly refractory to therapy, which included antiviral agents and immunosuppressive agents. The condition progressed until death or lung transplantation in many cases. Extensive laboratory investigations failed to find

Key messages

What is the key question?

- What was the main cause of the respiratory disease in the cluster of mostly peripartum women who were admitted to hospital in the spring of 2011 in South Korea?

What is the bottom line?

- We report for the first time a case series of 17 patients with lung injury and respiratory distress associated with the use of home humidifiers.

Why read on?

- This tragic cases series indicates that more stringent safety regulations are needed to protect the public from toxic inhalants at home.

the aetiology. The authors had not encountered the disease previously and had not seen any reports of a similar condition in the literature. Due to the dreadful nature of the disease, the authors reported the cases to the Korean Center for Disease Control (KCDC) and consulted with domestic colleagues via the Korea Research Group for Respiratory Failure, which is a nationwide network of Korean intensivists. Through these efforts, several other patients in other regions of the country were identified, after which they were transferred to the authors' institution. During this process, the authors became aware that there were also infant cases and clusters of familial cases.

METHODS

The authors organised and chaired several multidisciplinary conferences that were attended by pulmonologists, radiologists and pathologists. The clinical manifestations, high-resolution CT observations, and the findings of various pathology specimens (video-assisted thoracoscopic surgery biopsies taken for initial diagnosis, the lungs after they were explanted for transplantation, and autopsy lungs) of the cases were studied closely so that the disease,



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particularly its early and late phases, could be characterised. During the multidisciplinary conferences, several hypotheses were raised. A novel viral infection was first suspected because there had been a number of viral epidemics in recent years, including avian flu, severe acute respiratory syndrome (SARS) and H1N1. However, this possibility was ruled out after extensive microbiological investigations with the KCDC microbiological laboratory, as detailed further below. Yellow wind (seasonal yellow dust-bearing winds that originate in the deserts of Mongolia, China and Kazakhstan and affect much of East Asia) was also suspected but the location of the patients and the time of symptom onset did not correlate with the path or the date of the wind invasion in the Korean peninsula. As the multidisciplinary discussion progressed, our interest was caught by three prominent facts. First, the radiology and pathological findings were indicative of an inhalational route of injury. Second, nearly all of the cases occurred in winter to early spring. Third, many of the patients were peripartum women, who are known to tend to stay indoors, especially during winter and early spring. Eventually, we came to the hypothesis that some inhalational agent used in the homes during winter and early spring might be responsible for the disease. Together with the KCDC, we then decided to perform a case-control epidemiological study that assessed a number of potential inhalation toxins in the homes, including humidifier disinfectants (this study is described in detail in an accompanying article¹). Informed consent was waived because the disease was considered to be a public health emergency. As soon as the culprit was detected through the case-control analysis, an animal study was conducted in collaboration with the Korean Institute of Toxicology (not detailed in this article). All statistical analyses were performed by using SAS V.9.2 (SAS Institute, Cary, North Carolina, USA).

Radiological examinations

All patients underwent CT around their initial visit to the hospital (mean interval from the initial visit to the CT exam 8.3 days; median 3 days). In most patients (13/17), a follow-up CT exam was performed within 1 month of the first CT. The

mean interval between these two CT exams for the 13 patients was 23.8 days (see online supplement).

Case definitions

The medical records of our centre after 1 January 2011 were reviewed retrospectively to identify all possible cases of the disease in patients who were aged ≥ 15 years and who had no known underlying lung disease (this study is described in detail in an accompanying article¹).

Laboratory studies

Sputum, bronchoalveolar lavage (BAL) fluid and blood samples were tested for a panel of bacteria, virus and fungi (see online supplement).

RESULTS

Clinical characteristics: initial presentation and clinical course

In total, 17 cases of the humidifier disinfectant-associated lung injury were identified. Five patients died and four underwent lung transplants. The median age was 35 years (range 28–49). Six patients were pregnant at presentation and four had given birth 2 weeks previously. The incidence of the cases peaked in late April and declined at the beginning of the month of May (figure 1). There were no further cases after June, either by direct admission or transfer from other hospitals. Table 1 summarises the characteristics of the patients. All lived in urban areas scattered throughout the nation. The localities did not concentrate in a particular area. There were no pre-existing medical illnesses.

Of the 17 patients, 13 were admitted. The remaining four patients were managed in an outpatient setting (figure 2). The main presenting symptoms were dyspnoea and cough; fever was noted in only 20%. For the initial diagnosis, 13 patients underwent BAL fluid testing, five patients underwent video-assisted thoracoscopic biopsy, and two underwent transbronchial or percutaneous lung biopsy.

All admitted patients were treated empirically with antibiotics (eg, quinolones, β lactams and vancomycin) and antiviral agents. None of these treatments achieved notable improvement. Of the

Figure 1 Clinical course of 17 patients with lung injury associated with humidifier use. The median time of presentation to the hospital was 30 days after the onset of symptoms. The median time of intubation was 11 days after hospital admission. The median time of death was 36 days after hospital admission. ICU, intensive care unit.

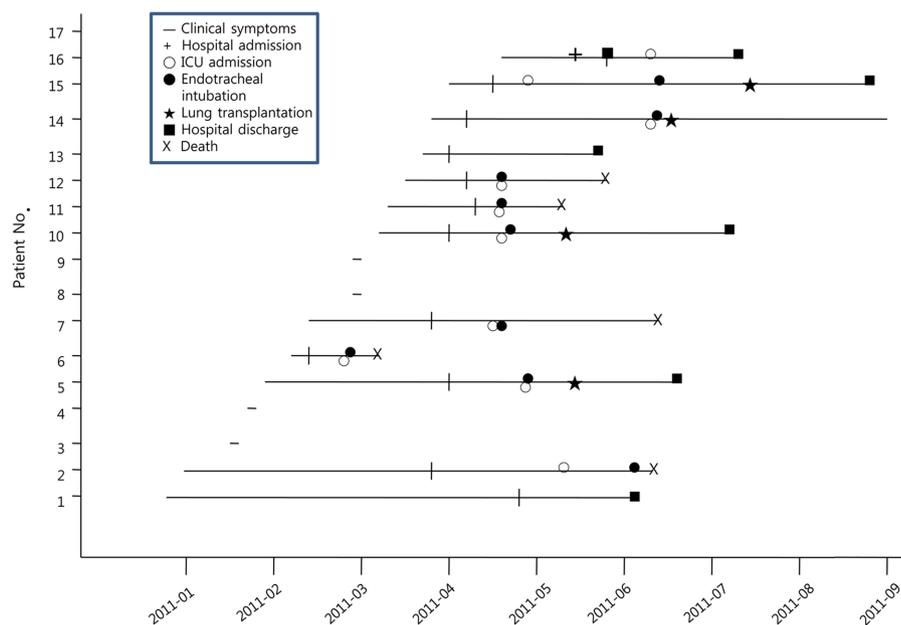


Table 1 Demographic and clinical characteristics of the 17 patients with humidifier disinfectant-associated lung injury*

Variable	All patients (n=17)	Patients who survived (n=12)	Patients who died (n=5)	p Value†
Demographics				
Female sex	15 (88.2%)	10 (83.3%)	5 (100%)	1.000
Age (years)	35 (28–49)	36 (29–49)	34 (28–36)	0.246
≤30 years	3 (17.6%)			
>30 to ≤40 years	11 (64.7%)			
>40 to ≤50 years	3 (17.6%)			
Peripartum	11 (64.7%)	6 (50.0%)	5 (100%)	0.102
Familial cluster	4 (23.5%)	4 (33.3%)	0 (0.0%)	0.261
Treatment and prognosis				
Intensive care	10 (58.8%)	5 (41.7%)	5 (100%)	0.044
Mechanical ventilation	9 (52.9%)	4 (33.3%)	5 (100%)	0.029
Extracorporeal membrane oxygenation	8 (52.9%)	4 (33.3%)	4 (80.0%)	0.131
Lung transplantation	4 (23.5%)	4 (33.3%)	0 (0.0%)	0.261
Onset of symptoms before admission (days)	30 (1–120)	32.5 (20–120)	20 (1–80)	0.362
Admission to intubation (days)	11 (1–59)	40 (9–59)	10 (1–32)	0.914
Onset of symptoms before death (days)			61 (27–116)	
Admission to death (days)			36 (20–60)	
Laboratory data at admission‡				
Leukocyte count (/1000 mm ³)	10.9 (5.5–42)	9.5 (5.5–26.9)	16.1 (8–42)	0.141
C-reactive protein (mg/dL)	1.73 (1–14)	0.96 (0.1–8)	4.34 (1.7–14)	0.094

*All data are presented as median (range) or frequency (percentage).

†Fisher's exact test and Wilcoxon rank sum test were used to calculate the p-value because the study population could have been too small, skewed or sparse to use the usual asymptotic methods.

‡One and two patients were excluded because of lack of information about the leukocyte count and C-reactive protein level, respectively.

17 patients, 9 (53%) received norepinephrine infusion, 15 (88%) received high-dose steroid therapy, 4 (24%) received cyclophosphamide and 6 (35%) received intravenous immunoglobulin. None of these treatments had an effect. The five patients who had more than 10% eosinophils in their BAL fluid did not respond to steroids.

Of the 13 admitted patients, three improved slowly after hospitalisation but the remaining 10 admitted patients showed rapid progressive respiratory distress that eventually required invasive ventilation (figure 2). Mechanical ventilation was applied for 4–59 (median, 25) days. Seven of these patients developed renal failure and multiorgan system failure. Extracorporeal membrane oxygenation (ECMO) therapy was performed in eight patients. Before this, none of the patients had disseminated intravascular coagulation or neurological complications. Of the patients who required ECMO, the lungs were

like solid organs in the most severe cases: they were totally airless except for the conducting airways and barely received any significant tidal volume from the ventilator. Of the eight patients who underwent ECMO, four subsequently underwent lung transplantation and survived. Of the remaining six patients who underwent invasive ventilation, and who did not receive lung transplants, five died. Thus, the ICU mortality rate was 50% (5/10). Six cases also had one or more family members who had the respiratory disease: five cases were mothers and children, and there was also one husband and wife (figure 3). However, none of the healthcare workers in the general ward or ICU developed any respiratory symptoms during or after the stay of the patients.

Laboratory findings

At admission, the mean leukocyte count was 10 900 (range 5500–42 000) per cubic millimetre and the mean C-reactive protein level was 1.73 mg/dL (range 1–14). Ten of the 11 patients in whom procalcitonin was measured had low levels (<0.05 ng/mL). Arterial blood gas analysis showed an average PaO₂ of 78 mm Hg (range 48–132) and an average PaCO₂ of 39 mm Hg (range 29–98). All nine patients who underwent a pulmonary function test showed a restrictive pattern: the mean forced vital capacity (FVC) was 2.07±0.8 L (53±20% of the predicted), the mean forced expiratory volume in 1 s (FEV₁) was 1.86±0.7 L (57±22% of the predicted), the mean FEV₁/FVC was 89±4%, and the mean diffusing capacity of the lung for carbon monoxide (DL_{CO}) was 40.7±17.5% predicted. BAL fluid testing was performed in 13 patients (76%): the mean differential cell counts of the BAL fluid were 10% neutrophils (range 0–73%), 3% lymphocytes (range 0–18%) and 9% eosinophils (range 0–61%). In all patients, the sputum, BAL fluid and blood samples were negative for a panel of bacteria, viruses and fungi.

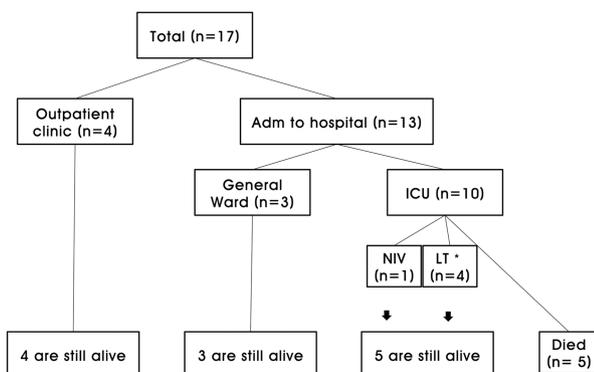
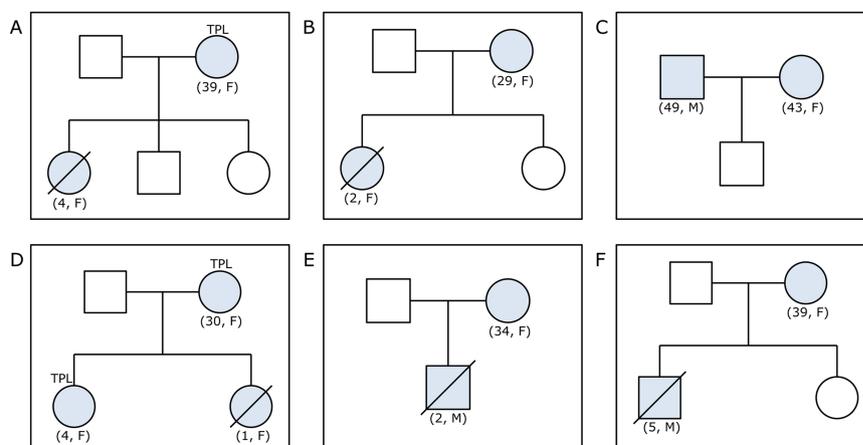


Figure 2 Characteristics and outcomes of 17 patients with lung injury associated with humidifier use. ICU, intensive care unit; LT, lung transplantation; NIV, non-invasive ventilation.

Figure 3 Pedigree of family clustering of six cases with lung injury associated with humidifier use.



Radiological and pathological findings

The radiological findings revealed a rather unique chronological pattern. The early stage was characterised by multifocal, patchy areas of consolidation appearing at the lower portion of both lungs. In that stage of the disease, the subpleural areas were spared. In the later stage, however, the lesions evolved into diffuse centrilobular ground-glass opacity that now involved the entire lung without zonal predominance (figures 4 and 5). This radiological transition occurred approximately 2–3 weeks after the onset of clinical symptoms. This unique chronological change from consolidation to centrilobular ground-glass opacity was seen in 10 (76.9%) of the 13 patients who underwent a follow-up CT exam. One of the remaining three patients (patient 1) simultaneously exhibited patchy consolidation and centrilobular ground-glass opacity on the initial CT (table 2). In

the other two patients (patients 9 and 10), the initial CT did not detect patchy areas of consolidation (table 2). The density of centrilobular ground-glass opacity varied from patient to patient and indicated different degrees of peribronchiolar inflammation and fibrosis. Eleven patients developed pneumomediastinum or pneumothorax spontaneously (ie, before they were mechanically ventilated).

Fourteen specimens were obtained from 11 of the 17 patients (table 2): in three patients (patients 6, 8 and 15), two specimens each were obtained. Four specimens were explanted lungs, three were autopsy lungs, five were wedge resections, one was a transbronchial lung biopsy, and one was a percutaneous needle biopsy. All specimens showed a bronchiolocentric (centrilobular), temporally homogenous, acute lung injury pattern with subpleural and peripheral alveolar preservation, although the

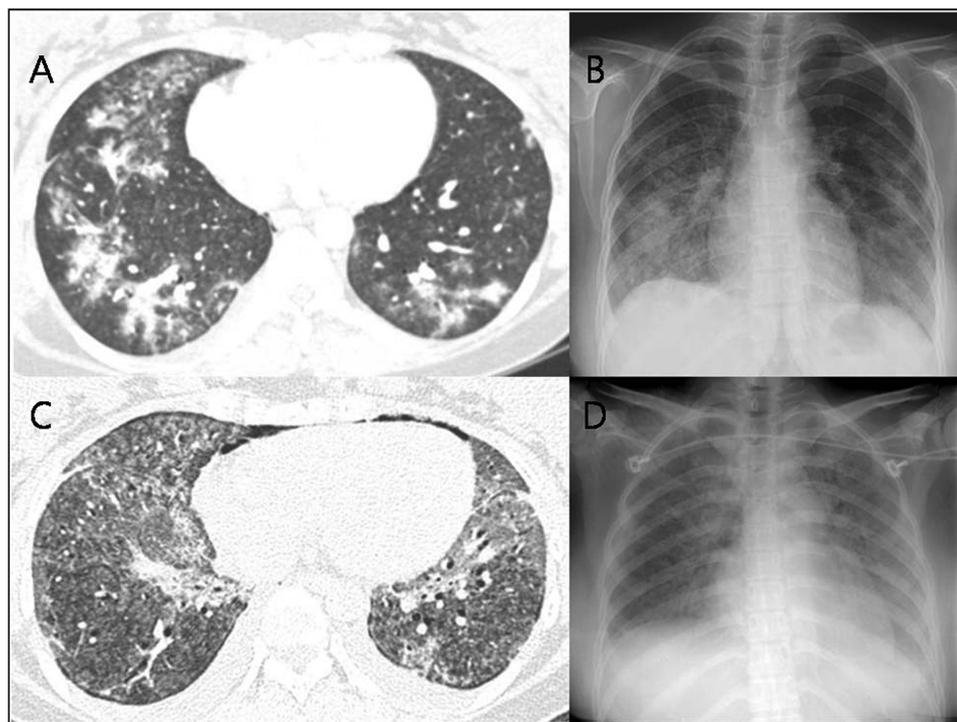


Figure 4 A 32-year-old postpartum woman who died of severe respiratory failure. Chest radiograph and CT images obtained on the day of the initial visit showed multifocal patchy areas of consolidation at the lower portion of both lungs, with relative sparing of the subpleural areas (A and B). On CT obtained on hospital day 8, consolidation disappeared and diffuse centrilobular ground-glass opacity nodules that involved the entire lung without zonal predominance (C and D). Small amount of pneumomediastinum is also noticeable at the anterior mediastinum (C and D).

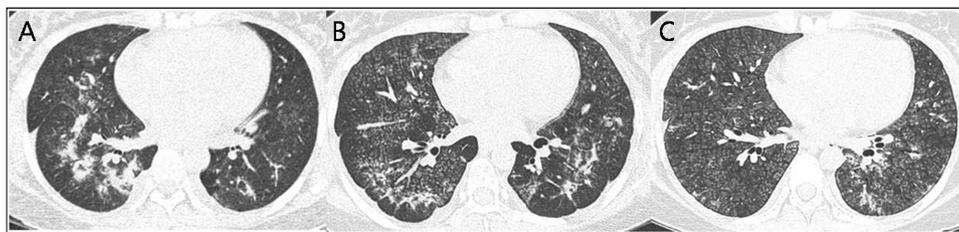


Figure 5 A 36-year-old postpartum women who survived. On CT obtained on hospital day 2, multifocal patchy areas of consolidation were identified at the lower portion of both lungs (A). Two weeks later, consolidation decrease in extent and density and diffuse centrilobular ground-glass opacity nodules become more distinct (B). One and a half months after onset, diffuse centrilobular ground-glass opacity nodules remain faint compared with prior CT (C).

degrees of bronchiolar and alveolar injury and the extent of distribution varied (table 3 and table 4, figure 6).

Seven specimens were taken in the early stage (from patients 6, 7, 8, 9, 11, 14 and 15). In these specimens, the bronchiolar lesion showed uneven bronchiolar wall thickening with subepithelial fibroblastic proliferation and peribronchial and/or bronchial mononuclear cell infiltration. This supported a diagnosis of constrictive or obliterative bronchiolitis. The alveolar septa showed septal expansion due to lymphoplasmocytic inflammatory

infiltration and a hyaline membrane accompanied by alveolar pneumocyte hyperplasia. Intra-alveolar fibroblastic plugs and intra-alveolar macrophages were observed frequently.

The remaining seven specimens were taken in the later stage (from patients 1, 2, 4 and 5; the second specimens from patients 6, 8 and 15). Bronchiolar destruction with scarring was observed and the alveoli were remodelled by inflammation and fibrosis. Interstitial fibroblastic proliferation and intra-alveolar fibroplastic plugs with mural incorporation were observed.

Table 2 Clinical and radiological characteristics of the 17 patients with humidifier disinfectant-associated lung injury and the timing of pathological specimen collection

Case	Sex	Age	Chief complaint	NYHA class	BAL fluid	Initial CT (day and findings)	Follow-up CT(day and findings)	Early Day specimen	Late Day specimen	
1	F	29	Dyspnoea	3	4 weeks	Yes	13 Consolidation/centrilobular GGO	24 Diffuse GGO/pneumomediastinum	37 Explanted lung	
2	F	35	Dyspnoea	3	4 weeks	Yes	5 Consolidation/centrilobular GGO		33 Autopsy	
3	F	28	Dyspnoea	3	1 week	Yes	4 Consolidation/centrilobular GGO			
4	F	36	Dyspnoea	4	6 weeks	Yes	6 Consolidation/centrilobular GGO	12 Centrilobular GGO/diffuse GGO/pneumomediastinum	61 Autopsy	
5	F	32	Dyspnoea	3~4	2 weeks	Yes	1 Consolidation/centrilobular GGO	8 Centrilobular GGO/diffuse GGO/pneumomediastinum	52 Autopsy	
6	F	39	Cough		2 weeks	Yes	1 Consolidation	13 Centrilobular GGO/diffuse GGO/pneumomediastinum/pneumothorax	15 VATS biopsy	83 Explanted lung
7	F	36	Dyspnoea	2	10 days	Yes	1 Consolidation/centrilobular GGO	14 Consolidation/centrilobular GGO/pneumomediastinum	18 TBLB	
8	M	43	Dyspnoea	3	12 weeks	Yes	1 Consolidation	26 Centrilobular GGO/diffuse GGO/pneumomediastinum	29 VATS biopsy	43 Explanted lung
9	F	34	Cough dyspnoea	4	12 weeks	Yes	1 Centrilobular GGO	42 Centrilobular GGO/diffuse GGO/pneumomediastinum	18 PCNA and biopsy	
10	F	29	Abnormal CXR	2	1 week	Yes	4 Centrilobular GGO	46 Centrilobular GGO		
11	F	36	Cough dyspnoea	2	16 weeks	Yes	1 Consolidation/centrilobular GGO	27 Consolidation/centrilobular GGO	6 VATS biopsy	
12	M	49	Dyspnoea	2	8 weeks	Yes	1 Consolidation	41 Centrilobular GGO		
13	F	43	Dyspnoea	2	8 weeks	No	80 Centrilobular GGO			
14	F	32	Dyspnoea		4 weeks	No	3 Consolidation	11 Centrilobular GGO/pneumomediastinum	4 VATS biopsy	
15	F	30	Dyspnoea	2	2 weeks	Yes	5 Consolidation/centrilobular GGO	7 Consolidation/centrilobular GGO/pneumomediastinum	14 VATS biopsy	68 Explanted lung
16	F	34	Cough, throat discomfort		12 weeks	No	19 Consolidation	39 Centrilobular GGO		
17	F	39	Cough dyspnoea		2 weeks	No	1 Consolidation/centrilobular GGO			

'Day' refers to the day relative to the first hospital visit.

BAL, bronchoalveolar lavage; CXR, chest radiography; GGO, ground glass appearance; NYHA, New York Heart Association dyspnoea classification; PCNA, percutaneous needle aspiration; TBLB, transbronchial lung biopsy; VATS, video-assisted thorascopic surgery.

Table 3 Pathological characteristics of cases 1, 2 and 4–6 with humidifier disinfectant-associated lung injury

Histological features	Case 1	Case 2	Case 4	Case 5	Case 6_initial	Case 6_2nd
Specimen type	Explanation	Autopsy	Explanation	Wedge	Wedge	Explanation
Stage	Late	Late	Late	Late	Early	Late
Pattern of distribution						
Anatomic distribution	Centrilobular	Centrilobular	Centrilobular	Centrilobular	Centrilobular	Centrilobular
Diffuse vs patchy	Patchy	Diffuse	Diffuse	Diffuse	Patchy	Diffuse
Subpleural and peripheral sparing	Present	Present	Present	Present	Present	Present
Temporal homogeneity	Homogeneous	Homogeneous	Homogeneous	Homogeneous	Homogeneous	Homogeneous
Pattern of fibrosis						
Interstitial fibroblastic proliferation	Present	Present	Present	Present	Present	Present
Collagenous fibrosis	Absent	Present	Present	Present	Absent	Present
Smooth muscle metaplasia	Absent	Absent	Absent	Absent	Absent	Absent
Ring fibrosis	Absent	Absent	Absent	Absent	Absent	Absent
Microscopic honeycomb change	Absent	Absent	Absent	Absent	Absent	Absent
Alveolar pathology						
Hyaline membrane	Present	Absent	Absent	Absent	Present	Present
Alveolar pneumocyte hyperplasia	Present	Present	Present	Present	Present	Present
Fibrin thrombi in pulmonary arteries	Absent	Absent	Absent	Absent	Absent	Absent
Alveolar wall expansion	Present	Present	Present	Present	Present	Present
Chronic inflammatory cell infiltration	Present	Present	Present	Present	Present	Present
Intra-alveolar pathology						
Intra-alveolar fibrin	Present	Present	Absent	Absent	Present	Absent
Intra-alveolar macrophage	Present	Present	Present	Present	Present	Present
Intra-alveolar fibroblastic plug	Present	Present	Present	Present	Present	Present
Small airway pathology						
Bronchiolar epithelial denudation	Absent	Present	Present	Present	Present	Absent
Bronchiolar wall thickening	Present	Present	Present	Present	Present	Present
Peribronchial fibrosis	Absent	Present	Present	Present	Present	Present
Peribronchial inflammatory cell infiltration	Present	Present	Present	Present	Present	Present
Intraluminal fibroblastic growth (mural fibrosis)	Present	Present	Absent	Absent	Present	Present
Necrotising injury	Absent	Absent	Absent	Absent	Absent	Absent
Peribronchial lymphoid follicles	Absent	Absent	Absent	Absent	Absent	Absent
Others						
Giant cell or epithelioid histiocytes	Absent	Absent	Absent	Absent	Absent	Absent
Granuloma	Absent	Absent	Absent	Absent	Absent	Absent

However, ring fibrosis, which is usually seen in end-stage diffuse alveolar damage, was not observed. Type II pneumocyte hyperplasia was observed and a residual hyaline membrane was identified in some cases. Even though four of the seven late-stage specimens (patient 2, the second specimens of patients 6, 8 and 15) showed end-stage lung fibrosis, peripheral lobular air space preservation and obliterative bronchiolitis pattern were maintained. None of the cases exhibited granulomatous lesions or old mature fibrosis, including smooth muscle metaplasia and microscopic honeycomb change.

DISCUSSION

We experienced 17 cases of humidifier disinfectant-associated lung injury. This case series report describes the clinical, radiological and pathological characteristics of these patients. Several were family cluster cases but there was no apparent transmission to healthcare workers involved in the care of these patients. The clinical course of this disease was subacute and in many of the 17 patients disease progressed relentlessly to a fatal state that resembled severe hypersensitivity pneumonitis (HP), acute interstitial pneumonia (AIP) or acute respiratory distress syndrome (ARDS). Five of the patients died and four received lung transplants. After epidemiological investigations, the KCDC announced in November 2011 that there was a causal

relationship between humidifier disinfectant use and lung injury and that disinfectant products had to be withdrawn from the market. In 2012 and 2013, there were no reports of similar cases throughout Korea.

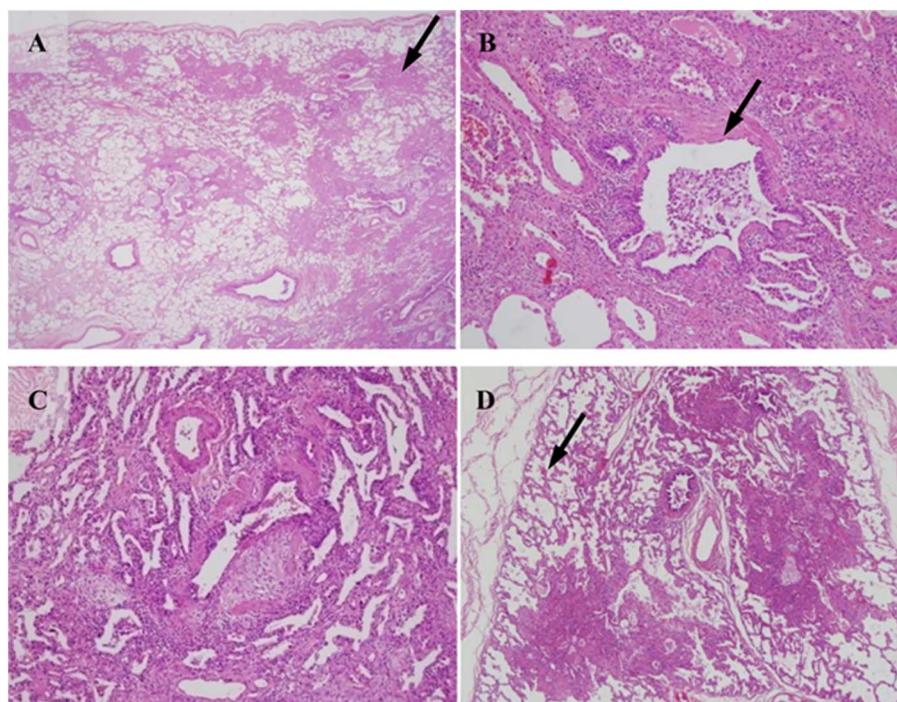
Apart from the well known toxic indoor and outdoor inhalants, there are many other seemingly innocuous inhalants that can threaten human health.^{2–5} Although several well documented humidifier-related infectious lung diseases exist, we found no evidence of microbial infection in any of our patients despite extensive investigation.^{6–8} Humidifier use in South Korea has increased considerably over the past decade, with higher rates of use in urban areas than in rural areas.^{9–10} The KCDC found that particles generated by humidifiers (mass median aerodynamic diameter peaked at around 100 nm) can be inhaled and can cause lung irritation and injury in exposed animals (see online supplement).

A remarkable feature of the present case series was that most of the patients were pregnant or peripartum. A recent study found that many pregnant women in Seoul used humidifiers: the annual average was 28.2% and this increased to over 45% in winter, and for on average 7.3 h per day, 4.6 days per week.^{9–10} Because these populations tend to remain inside the house, they may have been exposed longer to humidifier disinfectant aerosol during winter than other populations. It is not clear, however, whether this

Table 4 Pathological characteristics of cases 7–9, 11, 14 and 15 with humidifier disinfectant-associated lung injury

Histological features	Case 7	Case 8_initial	Case 8_2nd	Case 9	Case 11	Case 14	Case 15_initial	Case 15_2 nd
Specimen type	TBLB	Wedge	Explantation	Needle Bx	Wedge	Wedge	Wedge	Explantation
Stage	Early	Late	Late	Early	Early	Early	Early	Late
Pattern of distribution								
Anatomic distribution	Centrilobular	Centrilobular	Centrilobular	Centrilobular	Centrilobular	Centrilobular	Centrilobular	Centrilobular
Diffuse vs patchy	Patchy	Patchy	Diffuse	Patchy	Patchy	Patchy	Patchy	Diffuse
Subpleural and peripheral sparing	Present	Present	Present	Present	Present	Present	Present	Present
Temporal homogeneity	Homogeneous	Homogeneous	Homogeneous	Homogeneous	Homogeneous	Homogeneous	Homogeneous	Homogeneous
Pattern of fibrosis								
Interstitial fibroblastic proliferation	Present	Present	Present	Present	Present	Present	Present	Present
Collagenous fibrosis	Absent	Absent	Present	Absent	Absent	Absent	Absent	Present
Smooth muscle metaplasia	Absent	Absent	Absent	Absent	Absent	Absent	Absent	Absent
Ring fibrosis	Absent	Absent	Absent	Absent	Absent	Absent	Absent	Absent
Microscopic honeycomb change	Absent	Absent	Absent	Absent	Absent	Absent	Absent	Absent
Alveolar pathology								
Hyaline membrane	Present	Present	Absent	Absent	Present	Absent	Present	Present
Alveolar pneumocyte hyperplasia	Present	Present	Present	Absent	Present	Present	Present	Present
Fibrin thrombi in pulmonary arteries	Absent	Absent	Present	Absent	Absent	Absent	Absent	Absent
Alveolar wall expansion	Present	Present	Present	Present	Present	Present	Present	Present
Chronic inflammatory cell infiltration	Present	Present	Present	Present	Present	Present	Present	Present
Intra-alveolar pathology								
Intra-alveolar fibrin	Present	Present	Absent	Absent	Present	Absent	Present	Absent
Intra-alveolar macrophage	Present	Present	Present	Present	Present	Present	Present	Present
Intra-alveolar fibroblastic plug	Present	Present	Present	Present	Present	Present	Present	Present
Small airway pathology								
Bronchiolar epithelial denudation	Present	Present	Present	Absent	Present	Present	Present	Present
Bronchiolar wall thickening	Present	Present	Present	Present	Present	Present	Present	Present
Peribronchial fibrosis	Absent	Present	Present	Present	Present	Present	Present	Present
Peribronchial inflammatory cell infiltration	Present	Present	Present	Present	Present	Present	Present	Present
Intraluminal fibroblastic growth (mural fibrosis)	Present	Present	Present	Present	Present	Present	Present	Present
Necrotising injury	Absent	Absent	Absent	Absent	Absent	Absent	Absent	Absent
Peribronchial lymphoid follicles	Absent	Absent	Absent	Absent	Absent	Absent	Absent	Absent
Others								
Giant cell or epithelioid histiocytes	Absent	Absent	Absent	Absent	Absent	Absent	Absent	Absent
Granuloma	Absent	Absent	Absent	Absent	Absent	Absent	Absent	Absent

Figure 6 Lung histology in a typical case with lung injury associated with humidifier use. The fibro-inflammatory process predominantly involves bronchioles and centrilobular lung parenchyma without notable granuloma (arrow in A). Bronchiolar lesions were characterised by epithelial sloughing and replacement by flatten regenerating cells (arrow in B), mild to severe subepithelial fibroblastic proliferation resulting in bronchiolar obliteration, and various degrees of peribronchiolar fibrosis (B). Parenchymal lesions showed histological patterns of alveolar damage observed in a spectrum of diseases ranging from the early exudative/inflammatory phase to the extensive fibroproliferative/fibrosing phase (C). Characteristically, subpleural and paraseptal airspaces were relatively preserved even in end-stage explanted lung (arrow in D).



factor alone can explain their susceptibility to humidifier disinfectants. Nevertheless, the existence of familial cluster cases supports the notion that inhalation exposure was an important determinant of the disease. The pathogenesis of the humidifier disinfectant-induced lung injury is unclear. However, it is possible that the humidifier dispersed nano-sized disinfectant-containing particles that were then captured in the terminal bronchioles (see online supplement). The chemicals were then absorbed, leading to cytotoxic cellular injury and inflammation of the epithelial layer. There are few studies regarding the health and safety of nanoparticles, even though they are so small that they can easily enter or diffuse through membrane pores.¹¹

The main histological features of the cases were as follows: a bronchiolocentric distribution, an obliterative bronchiolitis pattern, subpleural and peripheral alveolar reservation, an organising pneumonia (OP) pattern, a diffuse alveolar damage pattern, and temporal homogeneity of the fibro-inflammatory process. When considered individually, these histological findings are suggestive of existing disease entities such as the diffuse alveolar damage of ARDS, HP, bronchiolitis obliterans OP (BOOP), and acute fibrinous and OP (AFOP). However, when taken together, they constituted a distinctive lung injury entity. For instance, the hyaline membrane and type 2 pneumocyte hyperplasia that were seen in the cases are also observed in the acute and late phases of ARDS, respectively. However, the predominant centrilobular distribution with sparing of the lobular periphery of the major histology that was observed in our cases was not consistent with ARDS. Our cases also seemed to share some features of HP with regard to sparing of the subpleural area, a bronchiolocentric distribution and the BOOP pattern. However, there were no granulomas, giant cells or evidence of acute lung injury, which are observed in HP. The online supplement details the pathological differential diagnosis of the cases from other conditions, such as AFOP, BOOP and acute exacerbation of interstitial lung disease.

The radiological features of the patients were rather unique and thus were distinguishable from existing diffuse lung diseases. The multifocal patchy consolidation observed in the early stage is also seen in patients with BOOP or ARDS. However, these conditions generally do not spare subpleural regions and do not evolve to diffuse centrilobular ground-glass opacity. Based on the diffuse centrilobular ground-glass opacity of the later stage, the most likely radiological diagnosis was acute or subacute HP. However, the rapid fibrotic progression of the ground-glass opacity and the universal refractoriness to corticosteroid therapy were not consistent with these diagnoses. AIP or acute exacerbation of unclassified interstitial pneumonia could explain the rapid deterioration of the patients, but the airway-centred inflammation on pathology and the centrilobular ground-glass opacity on CT discredit this possibility.

Our patients showed restrictive pattern in pulmonary function testing, even though their main pathological finding was a bronchiolocentric distribution. Recently, Berger *et al*¹² reported that airway disease can also present as restrictive. Many of our patients spontaneously developed pneumothorax or pneumomediastinum at a relatively earlier stage of disease (ie, before mechanical ventilation was applied). We propose that the spontaneous pneumothorax may have been caused by leakage around the pathological bronchioles due to a large amount of negative pleural pressure associated with desperate respiratory efforts.

This study had a number of limitations. First, although all patients in this study used humidifier disinfectant and household clusters of patients were observed, not all members of each

household became ill. This indicates that if this injury was indeed due to inhaling humidifier disinfectants, there are dose-response, exposure-duration or exposure-proximity relationships that have not yet been determined. Second, since the condition only came to our attention because of a cluster of patients who were admitted to the ICU, we are unable to comment on the prevalence of less severe disease (ie, those who were treated as outpatients or those who did not seek treatment at all). Third, since the clustering of patients was only identified in retrospect, a standard diagnostic or therapeutic algorithm could not be employed.

In summary, the clinical, radiological and pathological findings of the first case series of 17 patients with lung injury and respiratory distress associated with humidifier disinfectant inhalation are reported here. This association indicates that more stringent safety regulations targeting potentially toxic inhalants in the home are warranted.

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Competing interests None.

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Supplementary

A cluster of lung injury associated with use of home humidifiers: clinical, radiological and pathological description of a new syndrome

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We added methods, risk factor exposure rate and odds ratio for lung injury associated with humidifier use,
further discussion about pathology and animal study data on here.

Radiological examinations

All patients underwent CT around their initial visit to the hospital (mean interval from the initial visit to the CT exam, 8.3 days; median, 3 days). In most patients (13/17), a follow-up CT exam was performed within one month of the first CT (Table 2): the mean interval between these two CT exams for the 13 patients was 23.8 days. The CT protocol that was used varied because the patients underwent their radiological examinations in various outside hospitals prior to referral. However, thin-slice 1–3 mm images were included in all CT exams. The CT images were evaluated by two thoracic radiologists (K.H.D. and E.J.C., who had 16 and 13 years of experience, respectively) until a consensus was reached. The CT features of the disease were a consolidation or ground-glass opacity pattern, a diffuse, multifocal patchy, or centrilobular distribution, and the presence of pneumomediastinum or pneumothorax.

Laboratory studies

Sputum, bronchoalveolar lavage (BAL) fluid, and blood samples were tested for a panel of bacteria, virus and fungi. The microbiological studies included (i) three sets of blood culture; (ii) gram staining and cultures of sputum, endotracheal aspirates, and BAL fluid; (iii) BinaxNOW urinary antigen tests for *Streptococcus pneumonia* (Binax Inc., Portland, Maine, USA) and *Legionella pneumophila* serogroup 1 (Binax Inc.); (iv) multiplex reverse-transcription polymerase chain reaction (RT-PCR) analysis on nasopharyngeal aspirate or BAL fluid for influenza virus A and B, respiratory syncytial virus A and B, adenovirus, human metapneumovirus, parainfluenza virus types 1–4, enterovirus, rhinovirus, human coronavirus 229E/NL63, human coronavirus OC43, human coronavirus HKU1, and bocavirus (Seegene Inc., Seoul, Korea); (v) shell vial culture for influenza virus, respiratory syncytial virus, parainfluenza virus, adenovirus, and cytomegalovirus (when indicated); (vi) PCR for *Mycoplasma pneumonia*, *Chlamydia pneumonia*, and *Legionella pneumophila* (BD Diagnostics, Sparks); and (vii) direct fluorescent assay for *Pneumocystis jirovecii* (when indicated). Flocked swabs with nylon fibres were used for nasopharyngeal sampling (Copan Diagnostics, Corona, CA, USA). Galactomannan (GM) antigen was measured in all patients who were admitted to the ICU by using an enzyme-linked immunosorbent assay (Platelia *Aspergillus*; Bio-Rad). A serum or BAL fluid sample was considered to be positive for GM if an optical density (OD) of ≥ 0.5 was achieved.

e-Table 1. Risk factor exposure rate and odds ratio for lung injury associated with humidifier use

Variable	Case group		Control group		Univariate analysis*	
	N	(%)	N	(%)	Odds ratio	Confidence interval (95%)
Marriage	11	(100)	16	(72.7)	6.6	(0.8-∞)
Have children [†]	10	(90.9)	11	(68.8)	5.3	(0.4-331)
Air cleaner use	7	(63.6)	16	(72.7)	0.8	(0.1-5.2)
Air freshener use	5	(45.5)	4	(18.2)	3.6	(0.6-26.1)
Humidifier use	11	(100)	6	(27.3)	33.7	(4.5-∞)
Humidifier disinfectant use	11	(100)	3	(13.6)	47.8	(6.9-∞)
House fungus	9	(81.8)	11	(50.0)	4.9	(0.7-∞)
House-hold insecticide use	7	(63.6)	11	(50.0)	2.6	(0.4–20.7)
Sodium hypochlorite use [‡]	8	(88.9)	15	(88.2)	0.95	(0.04–65.2)
Sauna	5	(45.5)	6	(27.3)	2.5	(0.4–16.1)
Chemical use in job [§]	1	(11.1)	1	(4.6)	2.3	(0.03–174)
Chemical use in hobby [§]	5	(45.5)	5	(23.8)	3.4	(0.5–27.1)
Hair spray	4	(36.4)	6	(27.3)	1.6	(0.2–9.8)

* An exact logistic regression model was used to calculate the risk of disease development because the study population may be too small, skewed or sparse for use of the usual asymptotic methods.

[†] Six participants were excluded because of missing data regarding children.

[‡] Seven participants were excluded because of missing data regarding the use of sodium hypochlorite.

[§] Two and one participants were excluded because of missing data about chemical use in the job or hobby, respectively.

Discussion about pathology

AFOP is more recently described histologic pattern associated with acute lung injury in which the alveolar spaces are filled with organizing fibrin balls and differs from classic histologic feature of DAD in terms of absence of hyaline membrane. Beasley et al suggested that the fibrin present in the AFOP pattern was typically patchy, with an average of 50% airspace involvement, as opposed to our cases. Some of the cases showed focal fibrin balls, but dominant histologic feature was not that of AFOP. Two of four patients having AFOP like histologic feature were dead in our series. Generally, it is known that overall mortality rate of AFOP is similar to DAD and therefore may represent a histologic variant (Beasley et al, Arch Pathol Lab Med 2002;126:1064).

The BOOP pattern is characterized by patchy accumulation of intra-alveolar fibroblastic plugs around bronchioles. Presence of intra-bronchiolar fibroblastic tissue and intra-alveolar fibroblastic plugs shares the histology of our cases. While the alveolar septa in involved areas exhibit mild chronic inflammation and significant fibrosis should not be present in the BOOP pattern, the interstitial expansion and myxoid fibrosis with marked pneumocyte hyperplasia are present in the cases.

In addition, differential diagnosis includes acute exacerbation of usual interstitial pneumonia (UIP). Although DAD pattern or OP pattern could be accompanied with underlying UIP in acute exacerbation, the cases are readily distinguished from acute exacerbation of UIP, because the latter showed temporal and spatial heterogeneity of fibro-inflammatory process with subpleural involvement.

The few patients who had high eosinophil numbers in their BAL fluid did not have any radiological or pathological features that were compatible with acute eosinophilic pneumonia; they also did not respond to steroid treatment. The possibility of a bacterial, viral, or fungal infection was excluded by extensive laboratory investigations, including PCR and cultures. The involvement of an infectious agent was also discredited by the universal ineffectiveness of antiviral, anti-fungal, or broad spectrum antibacterial agents.

Animal study

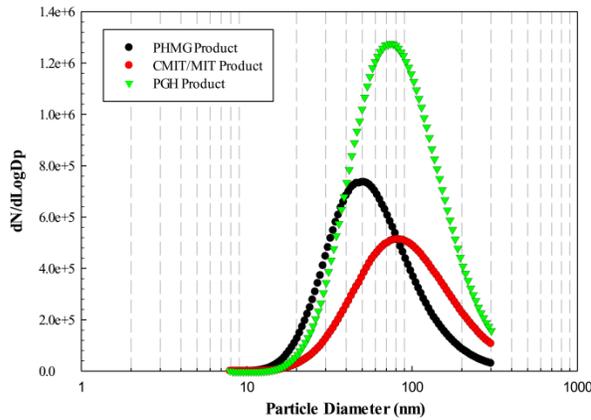
The team visited the houses of two patients and measured the room size and the volume of the humidifier and the disinfectant. In the laboratory, humidifiers with one of three disinfectants (PHMG-ph, PGH, and CMIT/MIT) were turned on in 1500 litre chambers and the aerosol distribution and concentration were measured. Target concentrations were determined on the basis of aerosolised disinfectant peak sizes, which were below 100 nm (e-Fig. 1).

Rats were exposed to these conditions for 4 weeks. Subsequent histological analysis of their lungs revealed no significant histological changes in the lungs of the control or CMIT/MIT-exposed animals (e-Fig. 2A). However, after PHMG and PGH inhalation, minimal inflammation in bronchioles (e-Fig. 2B) and inflammatory changes in the bronchioles and terminal bronchioles (e-Fig. 2C and D) were observed. These pathological features are similar to those seen in the human cases. Fibrotic changes were also observed in the lungs after disinfectant inhalation. Moreover, the PHMG and PGH groups exhibited significant loss of body weight relative to the control group after the 4 week exposure period (e-Figure 3). The PHG group also exhibited an increased respiratory rate.

e-Figure 1. Humidifier disinfectant aerosol size distribution

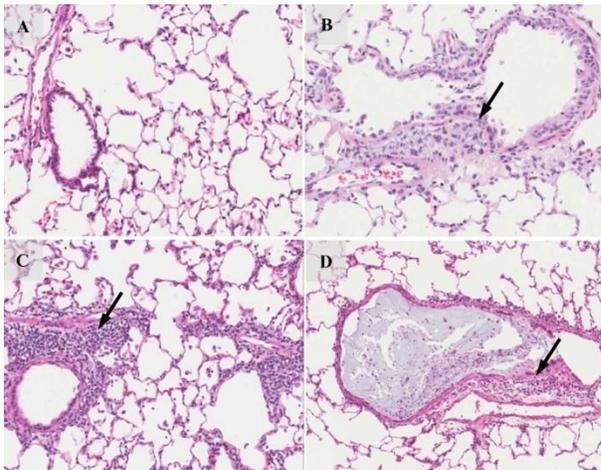
The three most commonly used humidifier disinfectant ingredients were polyhexamethyleneguanidine (PHMG), 5-chloro-2-methylisothiazol-3(2H)-one/2-methylisothiazol-3(2H)-one (CMIT/MIT), and oligo(2-(2-ethoxy)ethoxyethyl) guanidine chloride (PGH). The distribution of the humidifier-induced particles was measured by using a scanning nanoparticle spectrometer (SNPS, HCT, Korea). Mass concentrations of exposed particles were also measured every 2 hours (i.e., three times during the exposure) by using 1 L/min sampling (25 mm ϕ , Pallflex filter, Japan and XR5000 pump, SKC, Korea). After sampling, the mass concentrations were determined by a gravitational method using a microbalance (ME5, Sartorius, Germany).

The measured particle sizes (x-axis, range between 8 and 300 nm) and the normalised particle number concentrations (y-axis) are shown below. The PHMG-, CMIT/MIT-, and PGH-exposed rat groups were subjected for 4 weeks to mean exposed mass concentrations of aerosol of $0.44 \pm 0.10 \text{ mg/m}^3$, $1.84 \pm 0.39 \text{ mg/m}^3$, and $1.76 \pm 0.46 \text{ mg/m}^3$, respectively. These concentrations are similar to the exposure concentrations of the patients for each disinfectant.



e-Figure 2. Lung histology in rats exposed to aerosolised disinfectant.

After 4 weeks of aerosol inhalation, the lungs of the rats were harvested and fixed with 10% neutral phosphate-buffered formalin, embedded in paraffin blocks, prepared as microtome slices, and placed onto glass slides. Pathological changes were analysed after hematoxylin & eosin (H&E) staining. The animal facilities and their management have been accredited by the Association for Assessment and Accreditation of Laboratory Animal Care International. While significant histological changes were not observed in the lungs of the control and CMIT/MIT groups (panel A), inflammatory changes were observed in the lungs of the PHMG- and PGH-exposed animals. In the PHMG group, minimal infiltration of inflammatory cells, mainly consisting of macrophage and lymphocytes, was seen in the bronchioles and terminal and respiratory bronchioles. Intra-alveolar aggregation of macrophages containing foamy cytoplasm was also observed. Epithelial hyperplasia was occasionally seen in the alveolar ducts (panel B, arrow). In the PGH group, inflammatory infiltration was observed in the bronchioles, terminal and respiratory bronchioles, and alveoli. The bronchioles showed severe epithelial detachment and accumulation of mucus in the lumen. Fibrosis with collagen deposition, fibroblast proliferation, and inflammatory cell infiltration was seen in the alveolar ducts and alveoli. Aggregates of alveolar macrophages containing foamy cytoplasm were present in the alveoli. Squamous metaplasia occurred, with hyperplastic bronchiole-alveolar lining cells in the alveoli showing alveolar bronchiolarisation at the alveolar duct in some animals. Minimal bronchiole-alveolar hyperplasia was also observed in the alveolar duct (panels C and D, arrows).



e-Figure 3. Body weight change in rats exposed to aerosolised disinfectant.

Seven-week-old male or female Sprague-Dawley rats were housed under a 12 hour light/dark cycle. Temperature and relative humidity were maintained at 22 ± 3 °C and $50\pm 20\%$, respectively. HEPA-filtered clean air was supplied to the animal room. The rats were fed a standard diet (PMI Nutrition International) and were housed in steel wire cages ($255\text{ W} \times 465\text{ L} \times 200\text{ H mm}$).

Four groups of 10 rats (five female and five male) were exposed for 4 weeks to polyhexamethyleneguanidine phosphate (PHMG-ph), 5-chloro-2-methylisothiazol-3(2H)-one/2-methylisothiazol-3(2H)-one (CMIT/MIT), oligo(2-(2-ethoxy)ethoxy)ethyl guanidine chloride (PGH), or water. Based on estimations of exposure concentrations, the target PHMG, CMIT/MIT, and PGH exposure concentrations were 0.4 , 1.8 , and 1.75 mg/m^3 , respectively. The body weights of the rats were measured over the 4 week exposure, as shown below. For both the male and female subgroups, PHMG and PGH elicited significant weight loss relative to the vehicle control (V.C.) group (+ $p<0.05$, * $p<0.01$).

