ORIGINAL ARTICLE

Phase II studies of nebulised Arikace in CF patients with *Pseudomonas aeruginosa* infection

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ABSTRACT

**Rationale** Arikace is a liposomal amikacin preparation for aerosol delivery with potent *Pseudomonas aeruginosa* killing and prolonged lung deposition.

**Objectives** To examine the safety and efficacy of 28 days of once-daily Arikace in cystic fibrosis (CF) patients chronically infected with *Pseudomonas aeruginosa*.

**Methods** 105 subjects were evaluated in double-blind, placebo-controlled studies. Subjects were randomised to once-daily Arikace (70, 140, 280 and 560 mg: n=7, 5, 21 and 36 subjects) or placebo (n=36) for 28 days. Primary outcomes included safety and tolerability. Secondary outcomes included lung function (forced expiratory volume at one second (FEV1)), *Pseudomonas aeruginosa* density in sputum, and the Cystic Fibrosis Quality of Life Questionnaire—Revised (CFQ-R).

**Results** The adverse event profile was similar among Arikace and placebo subjects. The relative change in FEV1 was higher in the 560 mg dose group at day 28 (p=0.033) and at day 56 (28 days post-treatment, 0.093±0.203 vs −0.032±0.119; p=0.003) versus placebo. Sputum *P aeruginosa* density decreased >1 log in the 560 mg group versus placebo (days 14, 28 and 35; p=0.021). The Respiratory Domain of the CFQ-R increased by the Minimal Clinically Important Difference (MCID) in 67% of Arikace subjects (560 mg) versus 36% of placebo (p=0.006), and correlated with FEV1 improvements at days 14, 28 and 42 (p<0.05). An open-label extension (560 mg Arikace) for 28 days followed by 56 days off over six cycles confirmed durable improvements in lung function and sputum *P aeruginosa* density (n=49).

**Conclusions** Once-daily Arikace demonstrated acute tolerability, safety, biologic activity and efficacy in patients with CF with *P aeruginosa* infection.

INTRODUCTION

Cystic fibrosis (CF) is a life-shortening genetic disease affecting over 70 000 CF patients worldwide. CF is caused by mutations in the transmembrane conductance regulator (*CFTR*) gene.\(^1\) \(^2\) Morbidity and mortality associated with chronic *Pseudomonas aeruginosa* lung infection affects approximately 80% of CF patients by adulthood.\(^3\) \(^4\) Although chronic *P aeruginosa* infection accelerates the loss of lung function and is an independent contributor to mortality,\(^5\) more recent studies have reported reduced effects of *Pseudomonas* infection on long-term patient outcomes.\(^6\) \(^7\)

Inhaled antibiotics, including aztreonam for infection control, colistimethate sodium dry powder (Colobreathe), and tobramycin inhalation solution (TIS) are approved for use in CF patients with chronic *P aeruginosa* infection.\(^8\) \(^9\) \(^10\) All three reduce bacterial density in CF sputum, stabilise lung function, and have become important components of CF care. TIS and AI are Federal Drug Administration (FDA) approved for use in the USA, while TIS, AI and Colobreathe dry powder inhaler are approved in Europe. Recent studies examining cycled use of AI over 18 mos in CF patients chronically infected with *P aeruginosa* indicate that lung function improvements gained during 28 days of treatment are typically 3–5% above baseline values after the first three treatment cycles, with decline towards baseline off-treatment.\(^11\) \(^12\) \(^13\) \(^14\) Similar findings have been reported with TIS, with <5% improvements in lung function relative to baseline observed after the first treatment cycle.\(^15\) Two recent head-to-head open-label trials of AI compared with TIS, and colistimethate sodium dry powder compared with TIS have shown that their effects on FEV1 are small, particularly following three treatment cycles.\(^13\) \(^16\) This may reflect increased use of anti-*Pseudomonas* antibiotics in the current era of CF care, highlighting the need for novel approaches to treat *Pseudomonas* infection.

Arikace is a unique formulation that encapsulates aqueous amikacin in neutral liposomes composed of
dipalmitoyl-phosphatidylcholine (DPPC) and cholesterol, and is
delivered to patients using an optimised investigational eFlow
Nebuliser System.17 Upon nebulisation, Ariake liposomes (~300 nm) penetrate CF sputum, are lysed by local
\textit{P. aeruginosa}-derived products, and have a prolonged lung half-
life (several hours) relative to liposome-free antibiotics.18, 19
Ariake reduces \textit{P. aeruginosa} density in animal models of lung
infection, and studies in preclinical model systems and in human
subjects support once-daily dosing.20 21

In this report, we describe the safety, tolerability, efficacy and
pharmacokinetics of four doses of once-daily Ariake for
28 days compared with placebo in CF patients chronically
infected with \textit{P. aeruginosa}.

METHODS
The Phase II Ariake programme included randomised, double-
blind, placebo-controlled, multiple-dose, multicentre trials in sub-
jects with CF. Parallel studies were conducted in Europe (13 sites)
and the USA (19 sites). Institutional Review Board, IEC approvals
and informed consents were obtained at each site, and for all study
subjects. The studies were performed in accordance with
International Conference on Harmonisation, Good Clinical
Practice guidelines, and the Declaration of Helsinki. Pooled ana-
lyses of common endpoints between the placebo-controlled
European and US studies were established a priori (safety, adverse
events (AE), serious AE (SAE), lung function, CFQ-R, microbiol-
ogy and pharmacokinetics).

Subjects
Inclusion criteria included a CF diagnosis (sweat Cl−
>60 mmol/L or two CF-associated mutations with organ system
manifestation of CF), age ≥6 yrs, FEV1 ≥40% predicted,
chronic \textit{P. aeruginosa} infection (four positive cultures over 2
years, including one within 3 months before screening and one
at screening), clinical stability off of inhaled, or intravenous
antibiotics 28 days before dosing, and no known allergy to ami-
kacin. Additional information regarding study subjects is found
in the online supplement.

Study design
The preclinical programme for Ariake development provided
safety and efficacy coverage for dosing up to 560 mg once daily
(multiple 28-day cycles). In Europe, subjects were randomised
to once-daily Ariake (280 mg or 560 mg) or placebo for
28 days, followed by 28 days off study drug. Cohort 1 subjects
were randomised to 280 mg or placebo, and cohort 2 subjects
to 560 mg or placebo, each in a 2:1 ratio (equal randomisation
for Ariake study arms and combined placebo). Based on initial
FDA recommendations for a dose-ascending study design,
patients in the USA were equally randomised to once-daily
Ariake (70 mg or 140 mg) or placebo. After enrolling 19 sub-
jects, a prespecified Data Safety Monitoring Board (DSMB)
safety review (coupled with examination of preliminary safety
and efficacy data from the European trial) recommended
amending the US protocol to dosing with 560 mg Ariake
versus placebo (1:1 randomisation). Following subsequent FDA
approval, the US study examined 560 mg Ariake nebulised
once daily versus placebo, with postdosing monitoring for
56 days (weekly visits for 28 days of treatment, then visits at
days 42 and 56 off study drug). To provide complete data on
safety and tolerability, data from all dose groups in both trials
are included. Open-label extension details are in the
online supplement.

Endpoints
The primary study objective was safety and tolerability (includ-
ing vital signs, predose and postdose lung function (acute toler-
ability), pulse oximetry, AEs, haematology, clinical chemistry,
urinalysis, physical examinations, audiology and ECG and chest
x-rays as needed). Secondary objectives are described in the
online supplement.

Pharmacokinetic Analysis is included in the online
supplement.

Statistical analyses of safety data
Baseline characteristics are described using summary statistics.
Incidence of AEs by MedDRA system organ class and preferred
term are displayed by treatment group. If an AE was reported
more than once during the study period for a given patient, the
greatest severity and the worst-case attribution are presented in
the summary tables. Fisher’s exact tests were used to compare
AE rates between the dose cohorts and placebo cohort.

Differences in treatment arms for predose measurements of
pulmonary function over time were compared using repeated
measures ANOVA (rANOVA), adjusting for bronchodilator use.
Treatment differences with respect to the changes from baseline
to each measured study day in log10CFU/g were similarly com-
puted using ANOVA with no adjustments made for multiple
comparisons. A non-parametric correlation of change in
log10CFU/g with baseline minimal inhibitory concentration
(MIC) was computed for each treatment group and displayed
graphically by National Committee for Clinical Laboratory
Standards-Susceptible, Intermediate, Resistant (NCCLS-SIR) cat-
egories of baseline MIC. Treatment differences in absolute and
relative change in CFQ-R domain scores were computed using
analysis of covariance (ANCOVA), adjusting for baseline scores;
the proportion of patients with minimum clinically important
difference on the respiratory scale by treatment group were
compared by treatment group using a \(\chi^2\) statistic. Statistical ana-
lyses were performed using SAS V9.1 and S-PLUS 2000.

The study was adequately powered for the primary objective
(safety) and secondary objectives. A minimum of 20 subjects
were required in any cohort to have an 80% chance of detecting
any AE if the underlying rate was 8% or higher. Analyses were
performed on all subjects who received at least one dose of
study drug (safety population).

Additional statistical analyses, including efficacy outcome
measures, are included in the online supplement.

RESULTS
Subjects
A total of 105 CF subjects meeting enrolment criteria were
screened, randomised and dosed in one of four Ariake dose
groups or placebo (figure 1, and enrolment segregated by US
and European sites: see online supplementary figure S1). Subjects
(across both studies) were randomised to receive 70 mg
\(\text{n}=7\), 140 mg \(\text{n}=5\), 280 mg \(\text{n}=21\), 560 mg \(\text{n}=36\), or
placebo \(\text{n}=36\). Baseline demographic characteristics (all sub-
jects) are provided in table 1 (and for each study: see online
supplementary tables S1 and S2). Age, gender, body mass index
(BMI), lung function (FEV1% predicted) and chronic TIS use
were generally similar, but with milder disease in the 140 mg
dose group (higher FEV1 and BMI, US study, \(\text{n}=5\), and
younger age in the 280 mg cohort (16 years, European study,
\(\text{n}=21\)). Mucoid \textit{P. aeruginosa} infection rates were similar in the
European and US studies (85% and 89%, respectively), while
chronic TIS use was lower in the European versus US subjects.


Cystic fibrosis
(defined as >three 28-day cycles in the preceding 12 months, 19% and 35%, respectively). The European cohort was younger (median age of 16.5 years (±6 years, SD) compared with 30.5 years (±8 years, SD) for the US cohort), but with similar lung function (median FEV1% predicted, 65.7% (±20%) for Europe versus 65.3% (±19%) for USA).

### Safety and adverse event profile

Adherence and drug accountability was monitored by calculating the used and unused treatment vials returned at study visits. While an imperfect method to assess adherence, no difference was observed between the placebo and treatment groups (>90%). The overall frequency of AEs was similar across the Arikace dose groups and placebo, with no statistically significant differences. Acute tolerability was similar, with 2.8% of Arikace-treated subjects and 11.1% of placebos demonstrating a drop in FEV1 of ≥15% within 30 min of dosing. Table 2 summarises AEs that occurred in ≥8% of Arikace-treated patients.

The total number of subjects reporting at least one AE in the 560 mg Arikace group was 20 (55.6%) compared with 22 (61.1%) in the placebo group. The most frequent AEs were respiratory, occurring in 45% of all Arikace-treated versus 39% of placebo-treated subjects. The AE profile was generally similar, with more dysphonia reported in the high-dose Arikace group (8% vs 0%). Five subjects in the Arikace groups discontinued study drug (70 and 140 mg dose groups; due to respiratory events, dysphonia, laryngitis and tinnitus) compared with one placebo (respiratory event). AEs considered severe (grade 3) are listed in online supplementary table S3, and all related AEs are listed in online supplementary table S4 (all reported AEs are listed in online supplementary table S5). Additionally, eight SAEs occurred in the Arikace treatment groups (respiratory), including five pulmonary exacerbations with hospitalisation (four after Arikace dosing). Two placebo subjects were hospitalised (one for a pulmonary exacerbation, day 43; second subject was hospitalised twice (migraine, day 46 and elevated LFTs, day 79)). There were no other clinically significant changes in laboratory findings. Results from audiology testing (see online supplementary table S6) showed no differences between groups.

### Spirometry

Pulmonary function data are summarised in figure 2. Due to the short duration of the placebo-controlled trial (28 days of dosing), data in the figure are presented as mean raw litre flows and SD (reduced risk of height measurement errors that can occur when reporting the FEV1% predicted). FEV1 in the placebo, 70 and 140 mg dose groups demonstrated no consistent trends over the 28-day treatment period. By contrast, the 280 mg and 560 mg dose groups demonstrated rapid, sustained and significant increases in FEV1 at days 14 and 28 compared with pretreatment values and placebo. In the 280 mg dose group, the change in FEV1 from baseline was higher at day 28 compared with placebo (0.101L±0.128 vs 0.011L±0.101; p=0.009), returning to pretreatment values by day 56 (28 days off study drug). In the 560 mg dose group, the change in FEV1 from baseline was higher at day 28 compared with placebo.
(0.081L±0.161 vs 0.011L ± 0.101; p=0.033), persisting through day 56 (0.093L±0.203 vs −0.032L±0.119; p=0.003). This corresponded to an % FEV1 predicted treatment effect at day 56 of 12.5%.

### Microbiology

The mean baseline log10 *P. aeruginosa* sputum density was 7.031 (±1.496) in the placebo group and 8.392 (±0.510), 8.000 (±1.047), 6.925 (±1.265), and 7.444 (±1.038) in the 70, 140,

### Table 2

<table>
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<th>Event</th>
<th>Arikace 70 mg (n=7) (%)</th>
<th>Arikace 140 mg (n=5) (%)</th>
<th>Arikace 280 mg (n=21) (%)</th>
<th>Arikace 560 mg (n=36) (%)</th>
<th>Placebo (n=36) (%)</th>
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### Figure 2

Change in FEV1 (L) from baseline through day 56. Filled squares, solid line=Arikace 560 mg, *p=0.033 at day 28, *p=0.003 at day 56 (compared with placebo). Filled triangles, solid line=Arikace 280 mg, *p=0.009 at day 28 (compared with placebo). Open squares, dashed line=Arikace 140 mg. Open diamonds, dashed line=Arikace 70 mg. Open circles, dashed line=placebo. The values above the abscissa are the number of subjects in each dose cohort providing data at each time point (70 mg/140 mg/280 mg/560 mg/placebo).
280 and 560 mg dose groups, respectively. Rapid and sustained reduction of \( \text{Pseudomonas aeruginosa} \) sputum densities was noted for the 560 mg dose group compared with placebo (\( p=0.007 \)) (figure 3). \( \text{P aeruginosa} \) sputum density remained reduced relative to baseline and placebo for the 560 mg dose group at day 35 (7 days off of study drug, \( p=0.021 \)). Amikacin MIC for 50% of organisms (MIC\(_{50}\)) and MIC for 90% of organisms (MIC\(_{90}\)) values did not change over the 28-day treatment periods relative to placebo (data not shown).

**Patient-reported outcomes** is included in the online supplementary figure S2.

**Pharmacokinetic/pharmacodynamic results** is included in the online supplement.

**Open-label extension**

A subgroup of subjects in the European trial \( (n=49) \) were enrolled into an open-label extension study to evaluate the safety, tolerability and efficacy of six repeat cycles of Arikace treatment (560 mg daily for 28 days) followed by 56 days off treatment. Baseline characteristics of this group are included in table 3, and changes in FEV\(_1\)% predicted, \( \text{P aeruginosa} \) sputum CFUs/g, and the distribution of median MIC\(_{50}\) of \( \text{Pseudomonas} \) isolates are shown in figures 4, 5, and online supplementary figure S3, respectively. Repeat dosing with Arikace was well tolerated with four subjects discontinuing study drug over six cycles and 15 subjects experiencing SAEs (pulmonary exacerbations requiring treatment with antibiotics). Online supplementary table S7 summarises the AEs reported during the open-label extension. Of 49 subjects, 48 experienced at least one AE, with approximately one-third experiencing an SAE (none related to study drug). The majority of reported AEs (59%) were mild and most were classified as either infectious or respiratory. Of the 351 total AEs reported, 33 were categorised as possibly or probably related to study drug, and there were no deaths. FEV\(_1\)% predicted (figure 4) demonstrated rapid and sustained increases for each treatment cycle, with an estimated mean increase of FEV\(_1\)% of 7.9% from baseline to end of the 28-day dosing period for the six cycles (\( p<0.0001 \)), and a mean increase of 5.7% from baseline to end of day 84 (56 days post-treatment) across all six cycles (\( p=0.0001 \)). \( \text{P aeruginosa} \) CFU/g were reduced across the treatment cycles (log\(_{10}\) CFUs of \(-0.53 \) at day 28 of the first treatment cycle (\( p=0.025 \)), with an estimated mean change in log\(_{10}\) CFUs of \(-0.60 \) from baseline to all measurements (\( p=0.003 \)) across all six treatment cycles (figure 5). Median MIC\(_{50}\) values for \( \text{P aeruginosa} \) isolates demonstrated no significant change over all cycles (see online supplementary figure S3).

**DISCUSSION**

Chronic \( \text{P aeruginosa} \) infection is a common problem in CF associated with accelerated loss of lung function, and is a predictor of morbidity and mortality. In the Phase II studies described, once-daily liposomal amikacin improved lung function, reduced the \( \text{P aeruginosa} \) sputum density, and improved patient-reported respiratory symptoms in CF patients over 28 days of treatment. Improvements in lung function persisted...
significantly above that of placebo-treated patients for 28 days post-treatment, and 56 days post-treatment over multiple cycles relative to baseline values. These prolonged benefits are novel and provide support for further evaluation of Arikace. Our findings contrast with those recently reported with other cycled, topical antibiotic preparations approved for the treatment of CF patients infected with *P aeruginosa*, where lung function returned towards pretreatment values off-cycle.\(^9\) \(^{11}\) \(^{14}\) \(^{15}\) Recent results of an open-label trial of nebulised cayston and TIS in CF patients with moderate lung disease reported that FEV\(_1\) changed minimally over three 28 days treatment cycles (+2.05% and −0.66% respectively, relative to baseline).\(^16\) Additionally, a recent phase III open-label study of colistimethate sodium dry powder in CF patients reported non-inferiority relative to TIS over three 28 day treatment cycles, with minimal change in lung function.\(^13\) Baseline lung function in these open-label studies was similar to ours (FEV\(_1\)=49–59%), suggesting that this was not responsible for the differences observed across the trials.

Safety and tolerability of Arikace were supported by the AE and SAE profile, with similar rates of drug discontinuation across the Arikace and placebo subjects. There were no differences in audiologic or renal safety outcomes for the Arikace groups relative to placebo, which are relevant for the development of chronic nebulised aminoglycosides. The amikacin MIC\(_{50}\) of *P aeruginosa* isolates from the Arikace subjects (randomised and open-label extension) did not show appreciable increases over single or numerous treatment cycles. Although longer and larger trials of Arikace will be necessary to confirm our findings, the results provide reassuring for the design and execution of definitive trials.

Liposomal components of Arikace are found in lung surfactant, which is normally cleared rapidly by several lung cell types, (type II pneumocytes, Clara cells, and macrophages).\(^22\) Turnover rates in the adult human lung for DPPC and cholesterol are approximately 79 mg/h and 24 mg/day, respectively.\(^23\)\(^{–}\)\(^{25}\) Following a single 560 mg Arikace dose (via eFlow with 30% drug deposition), approximately 73 mg of DPPC (29 mg to lung periphery) and 36 mg of cholesterol (14 mg to lung periphery) are delivered to the lung. Repeated dosing over prolonged periods in animal models has little lasting effect on lung histology or pulmonary macrophage function.\(^20\)\(^{26}\) Together, these novel characteristics make Arikace unique among nebulised antibiotics.

The pharmacokinetics and pharmacodynamics of Arikace demonstrated high sputum-amikacin levels, low systemic levels, and dose-dependent relationships between Arikace and both FEV\(_1\) and FEF\(_{25-75}\) improvements. These pharmacokinetic data (see online supplementary table S8) support prolonged deposition of amikacin in the lung, with stable airway clearance, but increasing systemic clearance of amikacin with increasing Arikace exposure. This is likely a product of enhanced lung deposition, and the safety of this systemic exposure will need to be considered in future study designs. The data from the open-label extension (figure 4 and see online supplementary table S7) support long-term tolerance over repeated cycles, but this will also require confirmation in future studies. The consistent reductions in *P aeruginosa* density (figure 5), coupled with prolonged improvements in lung function and respiratory symptoms over repeated cycles (figure 4), support a prolonged antimicrobial effect. The MIC\(_{50}\) for *P aeruginosa* isolates was
increased in later cycles, but did not demonstrate a clear trend over the entire open-label study, or within individual cycles (see online supplementary figure S3). Monitoring of aminoglycoside susceptibility patterns will be needed in future studies of Arikace, including resistance to amikacin and tobramycin.

Important limitations in the study include the small lower dose Arikace cohorts, the younger age and relatively more severe lung disease in the European cohorts, and the relatively low treatment with chronic inhaled tobramycin (likely reflective of strict definition criteria). Despite these limitations, our data provide evidence for the short-term safety, tolerability and efficacy of nebulised Arikace in CF patients chronically infected with *P. aeruginosa*. The current data supports future studies with a once-daily 560 mg dose using a rapid delivery nebuliser, with prolonged off-treatment cycles. Higher doses, or more frequent dosing, may be appropriate for other conditions (eg, non-tuberculous mycobacteria infection), and are under investigation (NCT01315236).

These dosing features may potentially enhance adherence and minimise chronic drug exposure, thereby reducing the risk of long-term side effects. These benefits may signal a new approach for the management of chronic pulmonary infections utilising antibiotics combined with neutral liposomes.

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Questions regarding unpublished data from this trial should be directed to Insmed Corporation.

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