ORIGINAL ARTICLE

Direct visualisation of collateral ventilation in COPD with hyperpolarised gas MRI

Helen Marshall,1 Martin H Deppe,1 Juan Parra-Robles,1 Susan Hills,2 Catherine G Billings,2 Smitha Rajaram,1 Andrew Swift,1 Sam R Miller,3 Joanna H Watson,3 Jan Wolber,4 David A Lipson,5 Rod Lawson,2 Jim M Wild1

ABSTRACT

Background Collateral ventilation has been proposed as a mechanism of compensation of respiratory function in obstructive lung diseases but observations of it in vivo are limited. The assessment of collateral ventilation with an imaging technique might help to gain insight into lung physiology and assist the planning of new bronchoscopic techniques for treating emphysema.

Objective To obtain images of delayed ventilation that might be related to collateral ventilation over the period of a single breath-hold in patients with chronic obstructive pulmonary disease (COPD).

Methods Time-resolved breath-hold hyperpolarised 3He MRI was used to obtain images of the progressive influx of polarised gas into initially non-ventilated defects.

Results A time-series of images showed that 3He moves into lung regions which were initially non-ventilated. Ventilation defects with delayed filling were observed in 8 of the 10 patients scanned.

Conclusions A method for direct imaging of delayed ventilation within a single breath-hold has been demonstrated in patients with COPD. Images of what is believed to be collateral ventilation and slow filling of peripheral airspaces due to increased flow resistance are presented. The technique provides 3D whole-lung coverage with sensitivity to regional information, and is non-invasive and non-ionising.

INTRODUCTION

Collateral ventilation pathways have been proposed as a mechanism of increased tolerance to obstructive lung diseases by enabling alternative respiratory pathways to carry out gas exchange,1,2 see figure 1. In addition to gaining insight into lung physiology, the assessment of collateral ventilation with an imaging technique might help with the planning of new bronchoscopic techniques for treating emphysema.2,3 In bronchoscopic lung volume reduction, collateral ventilation from adjacent lobes prevents the target atelectasis,4 whereas in airway bypass treatment the presence of collateral ventilation can be advantageous.5

Catheter-based techniques6 have been used to measure collateral ventilation but a non-invasive method is desirable. Radiological CT scores of emphysema are significantly linked to interlobar collateral ventilation,7 and 133Xe scintigraphy8 and xenon-enhanced dynamic dual-energy CT9–11 have been used to obtain images of collateral ventilation. However, these techniques monitor the wash-in and wash-out of a tracer gas over multiple breathing cycles, and both use ionising radiation. Long-range diffusion measurements with hyperpolarised 3He MRI are also potentially sensitive to collateral ventilation.12–14 Lung structure at the acinar level influences the long-range apparent diffusion coefficient (ADC), which is related to the path of gas through the peripheral airways. High-long range ADC values suggest the presence of collateral pathways because the gas has diffused more quickly than it could have done by navigating healthy airways.15 However, the measurement of long-range ADC is indirect and as such can only imply that collateral ventilation is taking place.

Here we present direct visual evidence of delayed gas ventilation at breath-hold in what we believe to be collateral ventilation in chronic obstructive pulmonary disease (COPD). Images were acquired over the period of a single breath-hold using a non-invasive, non-ionising imaging technique.

What is the key question?

► Is it possible to visualise collateral ventilation in chronic obstructive pulmonary disease (COPD) during a single breath-hold using a non-invasive, non-ionising imaging technique?

What is the bottom line?

► We demonstrate direct imaging of delayed gas filling in what we believe to be collateral ventilation in patients with COPD, using hyperpolarised gas MRI.

Why read on?

► The ability to image and quantify collateral ventilation pathways directly may increase the understanding of pathophysiology in COPD and aid assessment for treatments.

METHODS

Ten patients with COPD were scanned using a 1.5 T whole-body MRI system (GE HDx, 67:613–617. doi:10.1136/thoraxjnl-2011-200864 613
Milwaukee, Wisconsin, USA) equipped for hyperpolarised $^3$He imaging. The inclusion criteria were COPD without other significant respiratory disease as diagnosed by a respiratory physician, post-bronchodilator ratio of forced expiratory volume in 1 s (FEV1) to forced vital capacity $<0.7$, post-bronchodilator FEV1 $\geq$30% and $\leq$80% of predicted, cigarette smoking history of $\geq$10 pack-years and resting pulse oximeter oxygen saturation of $>90\%$ on room air.

$^3$He was polarised on site to 25% using rubidium spin-exchange$^{15}$ apparatus (GE Healthcare, Amersham, UK) under a regulatory approved licence. Imaging was performed with ethics committee approval and written consent. Subjects were positioned in a $^3$He quadrature transmit–receive vest coil (Clinical MR Solutions, Brookfield, WI, USA). A mix of 200 ml hyperpolarised $^3$He and 800 ml N$_2$ was inhaled from a state of relaxed expiration, and the $^3$He images were acquired during the breath-hold. A 3D coronal spoiled gradient echo sequence$^{16}$ with full lung coverage was used for imaging with the following parameters: field of view 35 cm$^2$, in-plane matrix 64$\times$82, 16$\times$20 mm slices, flip angle 1°, band width 62 kHz, echo time 0.8 ms and repetition time 2.5 ms. This 3D volume was acquired at six time-points during the single breath-hold; at 0, 1.3, 5.8, 10.3, 14.8 and 19.3 s. The time-course images were analysed for any ventilation defects present in the first time-point image, which subsequently filled with gas during the breath-hold. A pattern of gas filling with gas moving gradually from the edges of the defect towards the centre was identified as being suggestive of collateral ventilation.

**RESULTS**

The patients with COPD scanned (five male, five female) had a mean age of 60.6 years, a mean smoking history of 39.5 pack years and a mean FEV1$\%$ predicted of 49.6%. Ventilation defects with delayed filling were seen in eight of the 10 patients with COPD scanned (table 1). These examples varied in defect size, number and fill-rate from the most visibly striking case shown in figure 2 to much more subtle effects such as those seen in patient 3 in figure 3. Fifteen slow-filling ventilation defects were identified, eight of these were seen to fill from the edge(s) of the defect and seven were too small to determine the gas fill pattern.

Figure 2A–F shows a single coronal image slice acquired from one of the patients at the six time-points. Two initially non-ventilated defects, which gradually filled with $^3$He over the time-course of the breath-hold are highlighted with arrows. The largest defect is magnified in the top row. The images are all displayed with the same colour scale, the magnitude of which is proportional to the density of $^3$He in that pixel. The hyper-polarised $^3$He MR signal is non-renewable and diminishes over time owing to both the imaging procedure and natural T1 relaxation processes due to the presence of oxygen.$^{16}$ In normally ventilated regions of the lung this expected signal decay over time is observed and has been used to infer lung oxygen partial pressure.$^{17}$ However, in the regions indicated the signal increases over time, with a progressive influx of polarised gas from the edge of the defects towards the centre. Sagittal reformats through the right lung at time-points t=0 s (G) and $t=19.5$ s (H) illustrate the 3D nature of the upper lobe ventilation defect and the related wash-in of gas. The delay to signal onset map (figure 2I) shows the time taken in seconds from the start of the data acquisition until gas arrived at each pixel. The gas-filling pattern from both of the defect edges towards the centre is not consistent with the defects being ventilated via their feeding bronchi. This suggests that gas is entering the defects via collateral pathways at the defect edges.

High-resolution CT images (eg, figure 2J) show that this patient has severe bilateral emphysema—in particular, pan-lobar disease affecting the right upper and lower lobes. The two ventilation defects in the right lung seen in figure 2 correspond to more severe disease than the surrounding tissue. There is no difference in lung structure discernible by high-resolution CT between the slow-ventilated upper defect and the defect in the lower right lung, which does not ventilate at all.

Figure 3 shows examples of slow-filling ventilation defects in two other patients. In one patient (top row) several wedge-shaped defects along the peripheral edge of the right lung are filled over the course of the breath-hold. In another patient (bottom row) delayed ventilation of a wedge defect on the outside edge of the left lung is seen.

An example of delayed filling of a peripheral ventilation defect is shown in figure 4. The slow filling of the defect at the lower left lung edge, with a front of gas progressing slowly towards

![Figure 1](https://example.com/figure1.png)  
Figure 1 A graphical representation of collateral ventilation, adapted from Hogg et al.$^3$.

### Table 1 Patient demographics, lung function and delayed-filling ventilation defect information

<table>
<thead>
<tr>
<th>Patient</th>
<th>Age (years)</th>
<th>Sex</th>
<th>Height (cm)</th>
<th>Weight (kg)</th>
<th>Smoking history (pack-years)</th>
<th>FEV1 (l)</th>
<th>FEV1$%$ predicted</th>
<th>FEV1/ FVC (%)</th>
<th>TLCO (mmol/ kPa/min)</th>
<th>TLCO (% predicted)</th>
<th>Number of defects with delayed filling</th>
<th>Volumes of defects (cm$^3$)</th>
<th>Defect fills from the edge(s)?</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>55</td>
<td>F</td>
<td>155</td>
<td>62</td>
<td>30</td>
<td>0.83</td>
<td>39</td>
<td>29</td>
<td>1.8</td>
<td>25</td>
<td>2</td>
<td>173.3, 81.2</td>
<td>Y, Y</td>
</tr>
<tr>
<td>2</td>
<td>64</td>
<td>F</td>
<td>160</td>
<td>97</td>
<td>50</td>
<td>0.72</td>
<td>34</td>
<td>33</td>
<td>3.7</td>
<td>51</td>
<td>4</td>
<td>16.2, 15.6, 23.8</td>
<td>Y, Y, U</td>
</tr>
<tr>
<td>3</td>
<td>64</td>
<td>M</td>
<td>163</td>
<td>53</td>
<td>25</td>
<td>1.50</td>
<td>56</td>
<td>34</td>
<td>3.4</td>
<td>44</td>
<td>1</td>
<td>6.6</td>
<td>Y</td>
</tr>
<tr>
<td>4</td>
<td>62</td>
<td>M</td>
<td>183</td>
<td>98</td>
<td>35</td>
<td>1.08</td>
<td>30</td>
<td>30</td>
<td>5.0</td>
<td>49</td>
<td>2</td>
<td>46.1, 65.9</td>
<td>Y, Y</td>
</tr>
<tr>
<td>5</td>
<td>68</td>
<td>M</td>
<td>169</td>
<td>83</td>
<td>90</td>
<td>1.54</td>
<td>55</td>
<td>49</td>
<td>3.4</td>
<td>41</td>
<td>1</td>
<td>4.9</td>
<td>U</td>
</tr>
<tr>
<td>6</td>
<td>64</td>
<td>M</td>
<td>170</td>
<td>87</td>
<td>20</td>
<td>1.39</td>
<td>47</td>
<td>37</td>
<td>7.0</td>
<td>81</td>
<td>0</td>
<td>0</td>
<td>NA</td>
</tr>
<tr>
<td>7</td>
<td>63</td>
<td>M</td>
<td>157</td>
<td>70</td>
<td>50</td>
<td>0.72</td>
<td>35</td>
<td>44</td>
<td>2.5</td>
<td>36</td>
<td>2</td>
<td>15.4, 4.3</td>
<td>Y, U</td>
</tr>
<tr>
<td>8</td>
<td>58</td>
<td>M</td>
<td>172</td>
<td>99</td>
<td>35</td>
<td>2.61</td>
<td>81</td>
<td>54</td>
<td>5.0</td>
<td>54</td>
<td>0</td>
<td>0</td>
<td>NA</td>
</tr>
<tr>
<td>9</td>
<td>50</td>
<td>F</td>
<td>158</td>
<td>89</td>
<td>40</td>
<td>1.89</td>
<td>74</td>
<td>62</td>
<td>5.5</td>
<td>73</td>
<td>2</td>
<td>0.9, 0.7</td>
<td>U, U</td>
</tr>
<tr>
<td>10</td>
<td>58</td>
<td>F</td>
<td>159</td>
<td>77</td>
<td>20</td>
<td>0.99</td>
<td>45</td>
<td>45</td>
<td>3.1</td>
<td>42</td>
<td>1</td>
<td>1.5</td>
<td>U</td>
</tr>
</tbody>
</table>

FEV1, forced expiratory volume in 1 s; FVC, forced vital capacity; NA, not applicable; TLCO, Transfer Factor of the Lung for Carbon Monoxide; U, unsure (defects are too small to determine gas fill pattern); Y, yes.
the lung edge, may be due to increased resistance to air flow in the peripheral airways.

**DISCUSSION**

Ventilation defects with delayed filling in a pattern consistent with collateral ventilation were seen in 40% of the patients scanned. Delayed-filling ventilation defects were present in 80% of the patients but some were too small to suggest which mechanism might cause the delayed ventilation.

Delayed filling of ventilation defects may be due to collateral ventilation, partial obstruction, lung hyperinflation (air trapping), narrowing of the peripheral airways or a mixture of such mechanisms. However, in cases where the pattern of $^{3}$He filling is visibly seen to progress from the defect edges towards the

---

**Figure 2** Images tracking collateral ventilation in a patient with chronic obstructive pulmonary disease (COPD). Images (A) to (F) show the same coronal slice at six different time points during a single breath-hold. The colour scale is the same for all images (A) to (F). The arrows indicate two collaterally ventilated defects, and the top row shows magnifications of the largest defect. (G) A sagittal reformat of the first time-point data and (H) A sagittal reformat of the last time-point data with the coronal slice position indicated with a dashed white line. (I) A delay to signal onset map for the coronal slice with time scale in seconds. (J) A high-resolution CT (HRCT) image of a similar slice. (K) A high-resolution $^{3}$He MRI ventilation image of the same coronal slice acquired in the same scanning session.

---

**Figure 3** Images showing delayed ventilation in two other patients with chronic obstructive pulmonary disease (COPD); (top row) patient 2, (bottom row) patient 3. (A) The first time-point data. (B) The last time-point data, arrows highlight ventilation defects which fill with gas during the course of the breath-hold. (C) The delay to signal onset map. (D) A high-resolution ventilation image of the same slice. (E) to (H) show the same respectively for patient 3.
Ventilation to be visualised directly over the period of a single breath-hold and potentially amplifies the effects seen with xenon in air or pure air alone, so it is likely to show a faster effect, allowing delayed/collateral ventilation before endobronchial lung volume reduction. The technique gives 3D full lung coverage, allowing global assessment of delayed and collateral ventilation pathways with regional sensitivity.

**Acknowledgements** Steven Parnell and Peggy Xu for help with hyperpolarised gas dispensing. Thanks to Professor Jim Hogg at the University of British Columbia for useful comments on possible disease mechanisms of collateral ventilation in the review process.

**Funding** Funded by GlaxoSmithKline (RES111175) and UK EPSRC (EP/D070252/1). Polariser support from GE Healthcare.

**Competing interests** None.

**Ethics approval** South Yorkshire REC (REC reference: 10/H1310/11).

**Provenance and peer review** Not commissioned; externally peer reviewed.

**REFERENCES**

Endothelial cells as regulators of cytokine storms during influenza infection

The morbidity and mortality of severe influenza infections has been attributed to excessive inflammatory cytokine production and early innate immune cell recruitment. This study highlights the pulmonary endothelium as a central regulator of the cytokine storm, in particular demonstrating the role of the sphingosine-1-phosphate (S1P1) signalling system.

S1P1 receptor specific agonists were shown to significantly reduce cytokine responses and innate inflammation following infection of mice with mouse adapted influenza virus. Similar results were found using mice infected with a virulent isolate of the H1N1 pandemic influenza virus. S1P1 agonism also led to a reduction in mouse mortality.

Using an eGFP-S1P1 receptor knockin mouse and flow cytometry, high levels of S1P1 expression were detected in lung lymphatic and vascular endothelium, CD4 T cells and B cells. However, S1P1 agonist treatment of knockin mice did not alter the expression levels suggesting an effect through functional activation rather than receptor degradation. Furthermore, S1P1 treatment of virus infected lymphocyte deficient mice inhibited the innate inflammatory response, proposing pulmonary endothelium cells as the main regulators of this process. LysM-GFP mice were employed to demonstrate that S1P1 mediated inhibition of cellular infiltration occurs as a result of cytokine downregulation. However, cytokine production and cell recruitment were shown to be distinct events.

Using a variety of chemical and genetic methods, this study provides data to support the pulmonary endothelium as a key orchestrator of the cytokine storm. Manipulation of the cellular signalling pathways involved may have broad therapeutic implications.


M Gautam

Correspondence to Dr M Gautam, ST6 Respiratory Medicine, University Hospital of Aintree, Liverpool, UK; manish16gautam@gmail.com

Provenance and peer review Not commissioned; internally peer reviewed.

Published Online First 14 December 2012