Chronic obstructive pulmonary disease among residents of an historically industrialised area

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ABSTRACT

Objective To assess the contribution of workplace exposures to chronic obstructive pulmonary disease (COPD) risk in a community with a heavy burden of past industrial employment.

Methods A random population sample of Sheffield, UK residents aged over 55 years (n=4000), enriched with a hospital-based supplemental sample (n=208), was approached for study. A comprehensive self-completed questionnaire elicited physician-made diagnoses, current symptoms, and past workplace exposures. The latter were defined in three ways: self-reported exposure to vapours, gases, dusts and fumes (VGDF); response to a specific exposure checklist; and through a job exposure matrix (JEM) assigning exposure risk likelihood based on job history independent of respondent-reported exposure. A subset of the study group underwent lung function testing. Population attributable risk fractions (PAR%) adjusted for age, sex and smoking, were calculated for association between workplace exposure and COPD.

Results 2001 (50%) questionnaires were returned from the general population sample and 60 (29%) by the hospital supplement. Among 1754 with complete occupational data, any past occupational exposure to VGDF carried an adjusted excess risk for report of a physician’s diagnosis of COPD, emphysema, or chronic bronchitis (ORs 3.9; 95% CI 2.7 to 5.8), with a corresponding PAR% value of 58.7% (95% CI 45.6% to 68.7%). The PAR% estimate based on JEM exposure was 31%. From within the subgroup of 571 that underwent lung function testing, VGDF exposure was associated with a PAR% of 20.0% (95% CI –7.2 to 40.3%) for Global initiative for chronic Obstructive Lung Disease (GOLD) 1 (or greater) level of COPD.

Conclusion This heavy industrial community-based population study has confirmed significant associations between reported COPD and both generic VGDF and JEM-defined exposures. This study supports the predominantly international evidence-based notion that workplace conditions are important when considering the current and future respiratory health of the workforce.

INTRODUCTION

Chronic obstructive pulmonary disease (COPD) is a common disease, associated with substantial morbidity, mortality, direct and indirect healthcare costs, including COPD-related absence from work.1–7 While the primary contributor to COPD risk overall is tobacco smoking, other important causes are also recognised, including harmful occupational and environmental exposures.8 Indeed, the ‘cause’ of COPD is likely to be multifactorial in many cases, reflecting complex exposure–host interactions. Thus, a more comprehensive understanding of the relative contribution to COPD causation from occupational exposures offers an important platform on which to construct targeted and effective interventions to reduce the burden of disease.

The role of occupational exposures in the development of COPD (including chronic bronchitis) has long been identified; landmark reports from the nineteenth century explored this relationship.9–11 This concept was developed further in the twentieth century, with research establishing a link between dusty work and the development of chronic bronchitis12 and consolidating the view that occupational exposures were an important risk factor for developing obstructive airway disease.13 Many more recent studies have also identified that workplace exposures to vapours, gases, dusts and fumes (VGDF) are potentially harmful to lung health and contribute to the overall burden of COPD.14–17 These workplace-based exposures have also been shown to interact with tobacco exposure, in certain studies increasing risk to a degree that may be more than simply additive.14 15

Consistent estimates place the size of this occupational contribution to COPD at approximately 15% of the total burden of the disease.18 19 Even though this estimate varies among individual...
studies, this figure gives a broad sense of the future COPD burden that might be avoidable were all harmful occupational exposures removed. This represents an important public health issue, with the future possibility to reduce incident cases, and potentially, to slow progression in those with already established COPD.

Very few modern analyses have examined UK populations.\\(^20\) We therefore describe the results of an epidemiological study to assess this contribution, based in Sheffield, UK.

**METHODS**

**Initial questionnaire phase**

A random population sample of 4000 Sheffield residents, aged over 55 (with no upper age limit or specific exclusion criteria), was identified from health records in a specific area within the city. In multiple waves over a 12-month period, postal questionnaires were sent to potential participants covering demographics, health, and occupational history, including work exposures. Work exposure was categorised in three ways: based on exposure to vapours, gas, dust, or fumes, exposure to a checklist of specific exposures, and lastly, by assignment of exposure likelihood to COPD-causing agents based on a job exposure matrix (JEM) independent of the respondent’s self-reported exposures. Social deprivation was assessed based on the proportion of individuals within a participant’s post (zip) code receiving income support (%IS).

**Airway disease case definition and follow-up assessment**

‘Probable’ cases of airway disease required report of a physician’s diagnosis of COPD (including emphysema or chronic bronchitis) or asthma or, alternatively, Medical Research Council (MRC) grade 3 dyspnoea and another respiratory symptom (wheeze, chest tightness, winter cough/phlegm). ‘Possible’ cases lacked a physician’s diagnosis but reported dyspnoea or respiratory symptoms. We retained such possible cases in the ‘No reported diagnosis group’ and did not analyse them separately, but did use this as a basis of exclusion for recruitment for spirometry. Thus, only probable cases and those without symptoms or a diagnosis (referents) were recruited for home-based spirometric assessment, with an option for testing at a hospital-based lung function laboratory.

**Supplemental cases**

We enriched the study population with additional cases of COPD (n=209) assessed at the same hospital-based lung function laboratory that the population-based sample had the option of attending in lieu of home visit spirometry. These participants completed the same questionnaire as noted above.

**Data analysis**

We used logistic regression analysis to test the associations among smoking, occupational exposures and COPD by calculating Odds Ratios (OR) unadjusted and adjusted for age, sex and smoking. We defined COPD based on self-reported COPD, emphysema or chronic bronchitis (then repeated these excluding bronchitis alone). Separate analyses were conducted on the subpopulation with lung function, COPD being defined according to the Global initiative for chronic Obstructive Lung Disease (GOLD) criteria.\\(^1\) We derived population attributable risk fractions (PAR%) estimates and their 95% CIs from adjusted ORs.

Additional details of study methods are provided in an online supplement.

**RESULTS**

A total of 2001 (50%) of 4000 questionnaires were returned from the random population sample, 1587 (39.7%) from the first mail out, and a further 414 (10.4%) from the second. The 50% response rate is conservative, as the non-responders include at least 260 known by the second mailing to have moved or to have died and 95 others were otherwise determined to be non-eligible (together indicating a minimum response rate of 55% among the eligible).

Despite the obvious absence of clinical data for non-responders from the population sample, age, gender, and deprivation data were available. Responders (mean age at randomisation 68.5, SD 8.3) were significantly younger (p<0.001) than the 1999 non-responders (69.9, SD 10.0). There was no statistically significant difference by gender; responders had significantly less (p<0.001) social deprivation: responders %IS of 20.5% (SD 16.8) compared with non-responders (25.7%, SD 18.2).

Sixty (29%) of the 209 patients in the supplemental sample participated. As cases were identified from their physiology request card prior to attendance, reasons for non-inclusion varied and included non-attendance or refusal. Figure 1 shows a more detailed breakdown of the study numbers within each COPD group.

Of the total 2061 (population and enriched) participants, 1579 reported no doctor’s diagnosis of chronic airway disease. Of the remaining, 119 (5.8%) reported a doctor’s diagnosis of COPD, 50 of whom also reported concomitant asthma. Eighty-three (4.0%) reported emphysema and 123 (6.0%) chronic bronchitis. Table 1 presents demographics, smoking and symptom reporting data by reported diagnosis.

Table 2 provides a detailed occupational exposure profile, including JEM-derived exposure risk assignments, across the main diagnostic categories. Excluding those with missing or insufficient occupational or smoking data, 1798 participants remained for this analysis. Significant differences are present among the diagnostic groups for self-reported and JEM-derived exposure assessment. Comparing self-reported VGDF exposure and JEM estimates, 73.9% of those who reported ever having VGDF exposure received a JEM rating compatible with workplace exposures of high or intermediate COPD risk.

Table 3 presents the ORs and PAR% values relating occupational exposures and smoking to COPD. Ever exposure to VGDF was associated with an excess risk of COPD with ORs of 3.7 and 3.9 (with or without the exclusion of chronic bronchitis in the COPD definition, respectively) following adjustment for age, sex and smoking (corresponding PAR% values of 57.6 and 58.7%). A separate sensitivity analysis, excluding all those with concomitant asthma from the any COPD category, yielded a PAR% of 60.4%. The JEM-associated PAR% (combining intermediate and high-likelihood exposure jobs) was 30.8% for broadly defined COPD. Excluding chronic bronchitis, this estimate was 12.1% (discounting intermediate JEM risk which was not statistically significant).

The smoking-associated PAR% estimates adjusted for VGDF and demographics were 44.7% and 52.8% for any COPD and any COPD excluding chronic bronchitis alone, respectively. Adjusting for JEM instead of VGDF, slightly higher smoking-associated PAR% values were obtained, as shown in table 3.

Certain differences emerged in sex-stratified analyses. For example, using the broader any COPD definition, the effect of VGDF-associated PAR% for men was estimated to be 39.3%, while for women it was 50.0%.

In addition to broadly defined VGDF exposure, adjusted ORs also manifested an excess risk for any COPD for the majority of the specific occupational exposures analysed. ORs of 2.0 or greater were identified for: cadmium fumes, batteries or silver solder (n exposed=66, OR 2.8, 95% CI 1.5 to 5.1); incinerators,
boilers or oil refineries (n exposed=118, OR 2.3 95%, CI 1.4 to 3.6); irritant gases, for example, chlorine or ammonia (n exposed=197, OR 2.0 95%, CI 1.3 to 2.9); wheat, flour or other grain dusts (n exposed=99, OR 2.0, 95% CI 1.2 to 3.4) and wood dust or sawdust (n exposed=168, OR 2.0, 95% CI 1.3 to 3.1).

The more broadly defined exposure categories of organic dust (OR 2.0), inorganic dust (OR 2.5), and combustion by-products (OR 1.4) also were each associated with significantly (p<0.05) increased odds of any COPD. Steel industry work increased the odds of any COPD by 25% (OR 1.26; 95% CI 0.9 to 1.7); and although this was not statistically significant, the frequency of this occupation in the study population yielded a PAR% of 10.2% (95% CI –4.5% to 22.8%).

A further analysis was carried out excluding the enriched population from the dataset. Again, the association between any COPD and VGDF exposure retained its significance (OR 4.1, 95% CI 2.7 to 6.3). Very similar results were observed for any COPD excluding chronic bronchitis alone. Further adjusted supplementary analysis using %IS as a continuous variable in the VGDF and any COPD analysis identified %IS to be a significant independent predictor of disease (p<0.0001), but its

Figure 1  Subject sampling schematic. COPD, chronic obstructive pulmonary disease; MRC3, Medical Research Council grade 3 shortness of breath.

Table 1  Demographic, smoking and symptom reporting data by predominant airway diagnosis among 2061 participants

<table>
<thead>
<tr>
<th>Any COPD,* n (%)</th>
<th>Any COPD excluding chronic bronchitis alone, n (%)</th>
<th>Asthma only, n (%)</th>
<th>No reported diagnosis, n (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number</td>
<td>252 (12.2)</td>
<td>165 (8.0)</td>
<td>230 (11.2)</td>
</tr>
<tr>
<td>Mean age (SD)</td>
<td>70.7 (8.3)</td>
<td>70.7 (7.7)</td>
<td>68.1 (8.1)</td>
</tr>
<tr>
<td>(95% CI)</td>
<td>(69.6 to 70.7)</td>
<td>(69.5 to 71.8)</td>
<td>(67.1 to 69.2)</td>
</tr>
<tr>
<td>Men</td>
<td>145 (67.5)</td>
<td>96 (58.2)</td>
<td>90 (39.1)</td>
</tr>
<tr>
<td>Men</td>
<td>27.1 (16.5)</td>
<td>25.7 (16.0)</td>
<td>22.6 (12.7)</td>
</tr>
<tr>
<td>Current smoker</td>
<td>48 (19.0)</td>
<td>36 (21.8)</td>
<td>20 (8.7)</td>
</tr>
<tr>
<td>Former smoker</td>
<td>164 (65.1)</td>
<td>111 (67.3)</td>
<td>111 (48.3)</td>
</tr>
<tr>
<td>Never smoker</td>
<td>38 (15.1)</td>
<td>18 (10.9)</td>
<td>92 (40.0)</td>
</tr>
<tr>
<td>Smoking status unknown</td>
<td>2 (0.8)</td>
<td>0 (0.0)</td>
<td>7 (3.0)</td>
</tr>
<tr>
<td>Pack year, mean (SD)</td>
<td>30.5 (28.7)</td>
<td>36.0 (27.8)</td>
<td>15.6 (21.8)</td>
</tr>
<tr>
<td>(n=243)</td>
<td>(n=162)</td>
<td>(n=214)</td>
<td>(n=1516)</td>
</tr>
<tr>
<td>Pack year &gt; 20</td>
<td>136 (56.0)</td>
<td>110 (67.9)</td>
<td>61 (28.5)</td>
</tr>
<tr>
<td>Shortness of breath (MRC3)</td>
<td>190 (75.4)</td>
<td>136 (82.4)</td>
<td>122 (53.0)</td>
</tr>
<tr>
<td>Winter morning cough</td>
<td>170 (67.5)</td>
<td>110 (66.7)</td>
<td>107 (46.5)</td>
</tr>
<tr>
<td>Winter morning spumum</td>
<td>148 (58.7)</td>
<td>95 (57.6)</td>
<td>78 (33.9)</td>
</tr>
<tr>
<td>Chest tightness or difficulty breathing</td>
<td>201 (78.8)</td>
<td>141 (85.5)</td>
<td>162 (70.4)</td>
</tr>
<tr>
<td>Wheezing in last year</td>
<td>194 (77.0)</td>
<td>137 (83.0)</td>
<td>137 (59.6)</td>
</tr>
</tbody>
</table>

The differences among the categories are statistically significant (p<0.05) in three-way comparisons (among any chronic obstructive pulmonary disease (COPD) (or any COPD excluding chronic bronchitis), asthma, and no reported diagnosis).

*COPD or emphysema or chronic bronchitis, and may include concomitant asthma.
†Missing data for mean %Income Support (%IS), n=132, distributed similarly across all groups.
‡Medical Research Council grade 3 shortness of breath.
Chronic obstructive pulmonary disease

Table 2  Occupational factors by predominant diagnosis among 1798 participants with complete occupational and smoking data

<table>
<thead>
<tr>
<th></th>
<th>Any COPD*, n (% exposed)</th>
<th>Asthma only, n (% exposed)</th>
<th>No reported diagnosis, n (% exposed)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number</td>
<td>222</td>
<td>193</td>
<td>1383</td>
</tr>
<tr>
<td>Self-reported VGDF exposure—ever exposed</td>
<td>176 (79.3)</td>
<td>110 (57.0)</td>
<td>617 (44.6)</td>
</tr>
<tr>
<td>Steel industry—ever exposed</td>
<td>110 (49.5)</td>
<td>74 (38.3)</td>
<td>499 (36.1)</td>
</tr>
<tr>
<td>Combustion by-products—ever exposed</td>
<td>84 (37.8)</td>
<td>58 (30.1)</td>
<td>361 (26.1)</td>
</tr>
<tr>
<td>Inorganic dusts or fumes—ever exposed</td>
<td>130 (58.6)</td>
<td>74 (38.3)</td>
<td>471 (34.1)</td>
</tr>
<tr>
<td>Organic dusts—ever exposed</td>
<td>80 (27.0)</td>
<td>32 (16.6)</td>
<td>196 (14.2)</td>
</tr>
<tr>
<td>JEM level—never exposed</td>
<td>64 (28.8)</td>
<td>92 (47.7)</td>
<td>669 (48.4)</td>
</tr>
<tr>
<td>JEM level—intermediate exposure</td>
<td>75 (33.8)</td>
<td>61 (31.6)</td>
<td>416 (30.1)</td>
</tr>
<tr>
<td>JEM level—high exposure</td>
<td>83 (37.4)</td>
<td>40 (20.7)</td>
<td>298 (21.5)</td>
</tr>
</tbody>
</table>

The differences among categories are statistically significant (p<0.01) across the three groups.

* Non-exposed (also includes those never employed (n=8)).

Table 3  Risk of COPD related to smoking and VGDF exposure

<table>
<thead>
<tr>
<th>Exposed cases</th>
<th>Exposed non-cases</th>
<th>Adjusted OR* (95% CI)</th>
<th>PAR% (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Any COPD, emphysema or chronic bronchitis, with or without concomitant asthma (216 cases)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Exposure measure 1</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>VGDF exposure</td>
<td>170</td>
<td>704</td>
<td>3.94 (2.68 to 5.78)</td>
</tr>
<tr>
<td>20 Pack years</td>
<td>185</td>
<td>812</td>
<td>1.74 (1.54 to 1.96)</td>
</tr>
<tr>
<td>Exposure measure 2</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>JEM exposure</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Not exposed</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Intermediate</td>
<td>74</td>
<td>462</td>
<td>1.45 (1.00 to 2.11)</td>
</tr>
<tr>
<td>High</td>
<td>80</td>
<td>328</td>
<td>2.20 (1.45 to 3.35)</td>
</tr>
<tr>
<td>20 Pack years</td>
<td>185</td>
<td>812</td>
<td>1.82 (1.61 to 2.05)</td>
</tr>
<tr>
<td>Any COPD excluding chronic bronchitis alone (149 cases)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Exposure measure 1</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>VGDF exposure</td>
<td>118</td>
<td>756</td>
<td>3.66 (2.31 to 5.79)</td>
</tr>
<tr>
<td>20 Pack years</td>
<td>133</td>
<td>864</td>
<td>1.90 (1.66 to 2.18)</td>
</tr>
<tr>
<td>Exposure measure 2</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>JEM exposure</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Not exposed</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Intermediate</td>
<td>51</td>
<td>485</td>
<td>1.16 (0.75 to 1.81)</td>
</tr>
<tr>
<td>High</td>
<td>51</td>
<td>357</td>
<td>1.55 (0.94 to 2.54)</td>
</tr>
<tr>
<td>20 Pack years</td>
<td>133</td>
<td>864</td>
<td>2.01 (1.75 to 2.30)</td>
</tr>
</tbody>
</table>

A total of 1754 people are included in the analysis shown, with complete VGDF, smoking and JEM code data.

* All ORs adjusted for age and sex. Occupational exposure-associated ORs (VGDF or JEM) adjusted for pack years of smoking. Pack years of smoking associated (continuous variable) OR adjusted for occupational exposure (VGDF or JEM) and presented per 20 pack years of exposure.

COPD, chronic obstructive pulmonary disease; JEM, job exposure matrix; VGDF, vapours, gases, dusts or fumes.
Table 4 Smoking and occupational exposure as independent and joint associations with COPD

<table>
<thead>
<tr>
<th>Cigarette smoking/occupational VGDF exposure</th>
<th>n</th>
<th>Risk of COPD</th>
<th>Excess risk</th>
<th>Unadjusted OR</th>
<th>Adjusted OR* (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Any COPD, emphysema or chronic bronchitis, with or without concomitant asthma (231 cases)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Never/no</td>
<td>530</td>
<td>0.02</td>
<td>—</td>
<td>1.00</td>
<td>—</td>
</tr>
<tr>
<td>Never/yes</td>
<td>302</td>
<td>0.08</td>
<td>0.06</td>
<td>4.29</td>
<td>5.63 (2.60 to 12.20)</td>
</tr>
<tr>
<td>Pack years low/no</td>
<td>248</td>
<td>0.07</td>
<td>0.05</td>
<td>3.59</td>
<td>3.96 (1.77 to 8.89)</td>
</tr>
<tr>
<td>Pack years low/yes</td>
<td>279</td>
<td>0.18</td>
<td>0.16</td>
<td>11.63</td>
<td>15.68 (7.62 to 32.28)</td>
</tr>
<tr>
<td>Pack years high/no</td>
<td>186</td>
<td>0.15</td>
<td>0.13</td>
<td>8.83</td>
<td>10.44 (4.91 to 22.20)</td>
</tr>
<tr>
<td>Pack years high/yes</td>
<td>338</td>
<td>0.31</td>
<td>0.29</td>
<td>23.11</td>
<td>32.04 (15.92 to 64.47)</td>
</tr>
</tbody>
</table>

Table 5 provides PAR% values for COPD defined using GOLD 1 level or higher from within the subgroup of the 618 participants who underwent physiology testing (also includes supplemental population). Elevated PAR% values are seen for VGDF exposure (20%, 95% CI –7.2 to 40.3%) and smoking in pack years (45.5%). Of note, the unadjusted increased OR associated with JEM exposure is attenuated after correction for smoking, age and gender. A similar analysis to that shown in Table 5, but adjusted for the effects of smoking using a dichotomous (ever/never) smoking instead of pack years, yielded a similar OR for VGDF of 1.5 (95% CI 0.99 to 2.3) with an associated PAR% of 24. Using a more restricted definition of COPD (GOLD 2 or worse), the PAR% for the effect of VGDF exposure was estimated to be 14.0%.

DISCUSSION

These findings add to the accumulating evidence supporting a causal relationship between inhaled, potentially harmful exposures at work and COPD, and indicate a high PAR% estimate relative to a 15% median from other studies. Historically, it is likely that there have been heavy levels of VGDF exposure in this study area. A comparable investigation from Newcastle, UK reported an occupational exposure-associated OR for COPD of 3.0 with half of the population exposed to VGDF; the PAR% extrapolated from these data is 33–50% depending on the specific formula applied, similar to our estimates. Our findings, along with those of others, suggest that a meaningful proportion of COPD could be prevented in the future by addressing harmful exposures both directly and by attenuating interactions (additive or supra-additive) with cigarette smoking.

Although the primary aim of this study was not to address the specific contribution made by steel work exposures to the development of COPD, this relationship is worthy of mention, given that Sheffield has a historic and current tradition for such industry. An earlier iron and steel foundry study identified increased symptoms and decreased airflow in foundry workers, although interpretation is complicated by concomitant pneumoconiosis and asthma. Iron foundry workers have a moderate (but non-significant) mortality excess for emphysema in the UK and in Denmark. The European Coal and Steel Community research programme also found a work-associated increase in chronic bronchitis, although lung function data did not show an exposure-associated FEV1 deficit. Other studies of steel workers have observed longitudinal declines in

Table 5 Risk of COPD related to smoking and VGDF exposure for spirometry group

<table>
<thead>
<tr>
<th>Spirometry alone—GOLD 1 and above (197 cases)</th>
<th>Unadjusted OR (95% CI) model 1</th>
<th>Adjusted OR (95% CI) model 2</th>
<th>Adjusted OR (95% CI) model 3</th>
<th>PAR% (95% CI)*</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exposure measure 1</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>VGDF exposure</td>
<td>2.00 (1.38 to 2.89)</td>
<td>1.84 (1.22 to 2.77)</td>
<td>1.40 (0.91 to 2.15)</td>
<td>20.0 (7.2 to 40.3)</td>
</tr>
<tr>
<td>20 Pack years</td>
<td>2.00 (1.67 to 2.40)</td>
<td>1.94 (1.61 to 2.34)</td>
<td>1.90 (1.57 to 2.29)</td>
<td>45.5 (34.7 to 54.4)</td>
</tr>
<tr>
<td>Exposure measure 2</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>JEM exposure</td>
<td>Not exposed</td>
<td>1.00</td>
<td>1.00</td>
<td>—</td>
</tr>
<tr>
<td>Intermediate or high exposure</td>
<td>1.37 (0.97 to 1.95)</td>
<td>1.11 (0.75 to 1.64)</td>
<td>0.88 (0.58 to 1.34)</td>
<td>—</td>
</tr>
<tr>
<td>20 Pack years</td>
<td>2.00 (1.67 to 2.40)</td>
<td>1.94 (1.61 to 2.34)</td>
<td>1.96 (1.62 to 2.36)</td>
<td>46.4 (36.1 to 55.1)</td>
</tr>
</tbody>
</table>

Includes 571 who had spirometry with complete occupational exposure data (VGDF and JEM) and smoking data. The OR for smoking is expressed per 20 pack years of exposure.

Model 1: unadjusted ORs for the association between COPD and VGDF, JEM-based risk, or cigarette exposure (the identical univariate smoking-associated risk is presented twice).

Model 2: occupational exposure (VGDF or JEM based) OR adjusted for age and sex but not pack years smoking. Pack years smoking OR adjusted for age and sex but not for occupational exposure.

Model 3: Occupational exposure OR adjusted for age, sex and pack years smoking. Pack years smoking OR, adjusted for age, sex and occupational exposure (VGDF in upper row, JEM in lower row).

*All PAR% calculated from the results in model 3.

GOLD, Global initiative for chronic Obstructive Lung Disease; JEM, job exposure matrix; PAR, population attributable risk; VGDF, vapours, gases, dusts or fumes.
FEV₁, but changes have been difficult to separate out from concomitant restrictive disease.²⁷–²⁹ Indeed, because of its prevalence and associated morbidity and mortality, silicosis rather than COPD historically has been the chief focus of non-malignant respiratory disease research in the steel industry.³⁰ In our study, half of those with a physician’s diagnosis of COPD had worked in the steel industry, with an associated PAR% indicating that more than 1 in 10 cases were attributable to this risk factor, even taking into account cigarette smoking. We recognise, however, that the CIs surrounding this estimate are wide and should temper the interpretation of this specific finding. Moreover, our study design does not allow further assessment of a more accurate clinical diagnosis, as no chest radiographs were available, and no other investigations to confirm or exclude asthma specifically were undertaken.

Although this study broadly supports the overall association of COPD with ‘dusty trades’, as well as the specific contributions to the risk of disease from certain types of exposure, the limitations of this analysis should be considered. The relatively low response rate may have introduced selection bias: although there was no sex difference between respondents and non-respondents, non-respondents were significantly older (albeit, only a 1 year difference). Additionally, correcting analyses for later response did not significantly alter the main study findings, and the term included in the analysis to represent late response did not have a significant influence as judged by its OR. The differences in estimated associations with COPD comparing self-reported exposure (VGDF) and assigned exposure (JEM) may, in part, reflect reporting bias in the former measure, although this is counter-balanced against random misclassification biasing towards the null in the latter.

Systematic classification error in disease assignment based on subject report of a physician’s diagnosis should also be considered. If such misreporting was associated with occupational exposure, this could lead to a false association between exposure and disease. Because the link between COPD and occupation (as opposed to smoking) is not generally appreciated by the lay public, this kind of systematic (as opposed to random) misclassification would not be anticipated. The weaker associations between exposure and disease in the spirometry-defined analysis, however, also warrant further discussion in this context. This sub-analysis was subject to potential further selection biases (including higher overall exposure rates), and limitations in study power. More importantly, the burden of exposure (even by JEM assignment) is such in this subset that there may be unmeasured risk in the presumed ‘unexposed’ referent category (including ‘relatively’ clean occupations in generally contaminated workplaces, as well as neighbourhood-level factory-driven ambient pollution). Thus, there could be multiple factors accounting for the attenuated risk estimates we observed in this lung-function-based study subset, although the elimination by spirometry-based disease classification of a false association based on systematic misreporting of physician diagnosis cannot be excluded as one possible factor. Of note, an alternative analysis of the spirometry-based subset adjusting for age and time since last smoking rather than pack years as a continuous variable narrowed the CI of the OR, suggesting that how smoking is quantified and how work-related exposure is categorised can affect the estimates of occupational risk for COPD.

The use of population attributable risk as an estimate of the reduction in average disease risk over a specified time interval that would be achieved by eliminating the exposures is a well applied metric in these circumstances. Definitions of PAR% can differ among studies, however, and these statistics can be misinterpreted. We used a derivation for this value based on accurate knowledge of the proportion of cases exposed, and the adjusted relative risks associated with various risk factors. It is therefore unlikely that the PAR% estimates are unhelpful or misleading.

In summary, this study has identified a significant contribution from workplace exposures to COPD prevalence, with a particularly heavy burden as a legacy from a highly industrialised area dominated by the steel industry. These findings must be placed into the context of cigarette smoking still being the most important overall risk factor in COPD causation, while also lending further evidence to international data showing that workplace conditions must be considered in the larger aetiological picture of this disease.

Contributors AD performed data collection, entry and analysis, coded the job exposure matrix and wrote the manuscript. DF and PB designed the study and wrote the manuscript. DF is also the study guarantor. JW designed the study, stratified participants, performed the mail out and performed spirometry, data collection and data entry. JW contributed to the design of the study, provided access to study participants and approved the final article. CB performed job exposure matrix coding and approved the final article. OF checked the statistical analysis. VS designed and managed the secure database and assisted with mail outs. CG performed data entry and contributed to initial study design. CatrìGB recruited supplemental patients, performed some spirometry and contributed to questionnaire design. All authors had access to the data if required and read the final article.

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Competing interests None.

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SUPPLEMENTAL METHODS

Population-Based Sampling

The size of the initial sampling frame was determined by an \emph{a priori} power calculation. The location was chosen as previous work had suggested a high level of COPD in this area\cite{1} and also because of the historic presence of industry, including high levels of metalworking trades.

Questionnaire Content and Other Variables

The questionnaire sent to each identified potential participant included demographics, smoking status, respiratory symptoms, and self-reported “doctor made” diagnoses of chronic respiratory illnesses (asthma, COPD, emphysema and chronic bronchitis). In addition, detailed questions were asked related to previous and current occupational history, with particular focus on exposure to vapours, gas, dust or fume (VGDF). A set of specific workplace exposures were also recorded, (for example, cadmium, diesel exhaust) largely replicating items elicited in a similar US-based telephone study of COPD and occupation.\cite{14} A marker of socio-economic deprivation was available for the majority of individuals within the sampling frame, represented as the percentage of households in each postal code (average 15 [range up to 100] addresses / delivery points per code) claiming any form of Income Support, subsequently referred to as %IS.

Recruitment Time Frame

Questionnaires were sent out over a 12-month period, with equal numbers mailed each month and with each mailing being stratified by %IS, to ensure that all residential areas were approached equally with each mail out. In order to maximise response rate, at the end of the initial period, all study non-respondents were sent a further single questionnaire, after updating the sample details to adjust for those who had died or were no longer available for recruitment.

Diagnostic Definitions

Following the initial phase, respondents were categorised into three groups in order to stratify for subsequent lung function testing, defined as follows:
(i) **Probable** case of airways disease, either:

- a self-reported doctor diagnosis of COPD, emphysema, chronic bronchitis or asthma noted in the questionnaire response, *or*
- Medical Research Council (MRC) grade 3 shortness of breath *and* at least one reported respiratory symptom (wheeze, chest tightness, winter morning cough, or winter morning phlegm production).

(ii) **Possible** case of airways disease, either:

- MRC grade 3 shortness of breath, *or*
- at least one reported respiratory symptom
- but no self-reported doctor diagnosis of a COPD condition.

(iii) No airway disease: individuals with no reported doctor diagnosis and no shortness of breath or respiratory symptoms.

**Lung Function Assessment**

Following diagnostic classification, respondents in groups (i) and (iii), but not (ii), were approached for a home based follow up study carried out by a single researcher, including assessment of respiratory physiology and a quality of life estimate. Asthma was included in the criteria for spirometry selection, due to the potential diagnostic overlap between chronic asthma and COPD.[3]

The vast majority of the community sample invited to have respiratory function testing underwent this at home, although a minority elected to undergo this in our hospital-based physiology laboratory. This was done using a standard, verified, rotameter-based portable spirometer (Microlab, MicroMedical, Rochester, UK). The same spirometer machine and individual physiologist were used to complete all the home study recordings. Spirometry was performed according to current ATS/ERS standards.[4] Bronchodilators were not given, avoiding drug administration outside of a hospital setting, however regular users of medication were not advised to abstain prior to testing.
Occupational Exposure Classification

Previous and current inhaled occupational exposures were defined in multiple ways. First, self-reported exposures were queried using a general exposure probe (VGDF), followed by a checklist of 18 more exposures specifically enquired about on the questionnaire. The exposures were later grouped into four categories: (i) steel industry work [2 specific items (non-steel other metal work was separately queried)], (ii) organic dusts [4 items], (iii) inorganic dusts and fumes [7 items] and (iv) combustion by-products [5 items]. Blank responses were treated as negative for the 18 specific exposures.

Second, the respondent's reported longest held job was coded into a job exposure matrix (JEM) with categories determined a priori for no exposure, intermediate, and high likelihood of exposure to dusts carrying potential risk for COPD. This JEM was adapted from that used in previous US-based studies of COPD.[2,5] The JEM coding was carried out independently by two respiratory physicians with occupational training, and manually crosschecked. Where disagreement occurred, an expert consensus panel, consisting of two senior occupational respiratory physicians with JEM attribution experience, made a final coding decision blinded to clinical data.

Supplemental COPD Cases to Enrich Sample

A further study population (n=209) was identified specifically to enrich our study group with additional cases of COPD. This sample was identified from the physiology department at our base hospital, including both patients with a likely diagnosis of chronic airways disease on clinical grounds or patients attending specific COPD clinics. All those participating from this targeted group completed the same questionnaires and lung function assessment as those from the random population sample. For this group, lung function was carried out in the hospital physiology department using an identical standardised and verified machine.

Statistical Methods

For the purposes of analysis, several COPD definitions were used. Principal analyses employed a broad definition to include a reported doctor’s diagnosis of COPD or emphysema or chronic bronchitis. Concomitant asthma could be present,
but not without one of these former diagnoses as well. Key analyses were repeated with those reporting COPD or emphysema, but excluding those who reported chronic bronchitis alone. For the subgroup with lung function data, COPD was defined according to the GOLD staging system.[6]

Descriptive statistics were generated using SPSS v.14.0, with chi-squared testing used for comparison of categorical variables, and independent t-tests and one-way ANOVA for comparison of means. Normality of data was tested using prior to the application of a parametric test, and 5% statistical significance was taken as standard unless otherwise stated. Logistic regression analysis was used to test the association between smoking, occupational exposures and the various definitions of COPD by calculating crude unadjusted and adjusted OR, the latter corrected for age, sex and smoking.

To assess the contribution of various occupational exposures and smoking to the overall burden of COPD, PAR% estimates were derived from corrected ORs, using the standard formula: \( \text{PAR} = (\text{OR}-1/\text{OR}) \times \% \text{ of cases exposed} \).[18] In addition, Stata/SE v.11 software was used to derive confidence limits for the calculated central PAR% estimates.

Individuals with key missing data were excluded from relevant analyses, resulting in slightly varying study numbers contributing to certain sub-analyses.
REFERENCES FOR SUPPLEMENT


