Randomised controlled trial of high concentration versus titrated oxygen therapy in severe exacerbations of asthma

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ABSTRACT

Background The effect on PaCO2 of high concentration oxygen therapy when administered to patients with severe exacerbations of asthma is uncertain.

Methods 106 patients with severe exacerbations of asthma presenting to the Emergency Department were randomised to high concentration oxygen (8 l/min via medium concentration mask) or titrated oxygen (to achieve oxygen saturations between 93% and 95%) for 60 min. Patients with chronic obstructive pulmonary disease or disorders associated with hypercapnic respiratory failure were excluded. The transcutaneous partial pressure of carbon dioxide (PtCO2) was measured at 0, 20, 40 and 60 min. The primary outcome variable was the proportion of patients with a rise in PtCO2 ≥4 mm Hg at 60 min.

Results The proportion of patients with a rise in PtCO2 ≥4 mm Hg at 60 min was significantly higher in the high concentration oxygen group, 22/50 (44%) vs 10/53 (19%), RR 2.3 (95% CI 1.2 to 4.4, p<0.006). The high concentration group had a higher proportion of patients with a rise in PtCO2 ≥8 mm Hg, 11/50 (22%) vs 3/53 (6%), RR 3.9 (95% CI 1.2 to 13.1, p=0.016). All 10 patients with a final PtCO2 ≥45 mm Hg received high concentration oxygen therapy, and in five there was an increase in PtCO2 ≥10 mm Hg.

Conclusion High concentration oxygen therapy causes a clinically significant increase in PtCO2 in patients presenting with severe exacerbations of asthma. A titrated oxygen regime is recommended in the treatment of severe asthma, in which oxygen is administered only to patients with hypoxaemia, in a dose that relieves hypoxaemia without causing hyperoxaemia.

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INTRODUCTION

It is well recognised that high concentration oxygen therapy may lead to carbon dioxide (CO2) retention when administered to patients with acute exacerbations of chronic obstructive pulmonary disease (AECOPD) and that worsening ventilation–perfusion mismatch due to release of hypoxic pulmonary vasoconstriction with a resulting increase in physiological dead space is one of the major mechanisms causing this effect. In contrast, the risks and benefits of oxygen therapy in severe exacerbations of asthma are less well understood. As with AECOPD, the main gas exchange abnormality in severe exacerbations of asthma is ventilation–perfusion mismatch, and oxygen administration has been shown to worsen the degree of mismatch.

There are preliminary data from case reports, case series and a single randomised controlled trial to suggest that high concentration oxygen therapy may potentially lead to CO2 retention in severe exacerbations of asthma. However, there are no randomised controlled trials comparing high concentration oxygen therapy with a titrated oxygen regime, in which oxygen is administered only to patients with hypoxaemia, to relieve hypoxaemia but avoiding hyperoxaemia, as recommended in recent guidelines.

In this randomised controlled trial we investigated the effects of high concentration oxygen therapy on PaCO2 in patients presenting to the Emergency Department (ED) with severe exacerbations of asthma. A comparison was made with oxygen therapy titrated as required to relieve hypoxaemia, with a target oxygen saturation of between 93% and 95%. The current study was designed to test the hypothesis that uncontrolled high concentration oxygen would result in an increase in the PaCO2 compared with the titrated oxygen regime.

METHODS

Subjects

The study was conducted in the EDs of three metropolitan hospitals in Wellington, New
Zealand: Wellington Hospital (tertiary public), Hutt Hospital (secondary public) and Kenepuru Hospital (secondary public). Patients presenting to the ED with asthma were approached by the investigator to assess potential eligibility. Patients aged between 18 and 65 years were eligible for inclusion if they met the following criteria: previous doctor diagnosis of asthma, history consistent with a current acute exacerbation of asthma and a forced expiratory volume in 1 s (FEV₁) ≤50% of predicted values at the time of first assessment. Patients with a diagnosis of COPD, or disorders associated with hypercapnic respiratory failure such as neuromuscular disease, chest wall restriction or obesity hypoventilation syndrome, were excluded from the study due to the potential for confounding. Patients who were unconscious, unable to speak or unable to perform spirometry were also excluded. Written informed consent was obtained from each patient.

Study protocol

Patients were randomly assigned to one of two oxygen regimes for 1 h. Patients in the high concentration group received oxygen at a flow rate of 8 l/min via a medium concentration mask (Hudson RCI, Durham, North Carolina, USA) which delivers an FiO₂ of between 0.4 and 0.78.25 26 Patients in the titrated group received oxygen only if their saturation was ≤92% on room air, with oxygen titrated as required at 5 min intervals, to achieve an oxygen saturation of 93–95% according to the protocol outlined in the online supplement Table S1. Flow rates up to 4 l/min were delivered via nasal cannulae (Hudson RCI) and those ≥4 l/min were delivered by medium concentration mask. A computerised randomisation sequence was generated by the biostatistician (MWe) and patients were enrolled and assigned to their treatment group by the clinical research fellows (MWi, KP, BH, KW and RBo). Allocation concealment was achieved by using a secure database which contained the randomisation sequence. Allocation was only revealed to the researchers when the patients were enrolled and their name entered in the database. Neither investigators nor patients could be blinded to the treatment regimes due to the requirement to titrate oxygen therapy in the control group.

A medical history was taken, each patient underwent a physical examination, and asthma therapy was administered in accordance with published guidelines.22 23 All patients received salbutamol 2.5 mg and ipratropium bromide 0.5 mg via an air-driven nebuliser (Portaneb, Respironics, Murrysville, Pennsylvania, USA) on arrival. Patients with severe asthma (FEV₁ 30–50% predicted) received salbutamol 2.5 mg via a nebuliser every 20 min and prednisone 40 mg orally. Those with very severe asthma (FEV₁ <30% predicted) received salbutamol 2.5 mg via a nebuliser every 15 min, hydrocortisone 200 mg intravenously and magnesium sulfate 2 g in 100 ml of normal saline intravenously over 20 min.

Measures

The transcutaneous partial pressure of carbon dioxide (PtCO₂) was used to estimate arterial Paco₂ using a combined oxygen saturation/PtCO₂ monitor (TOSCA, Radiometer, Basel, Switzerland). Transcutaneous CO₂ monitors estimate Paco₂ by heating an earlobe probe to 42°C to enhance blood flow and ‘arterialise’ the underlying capillaries. CO₂ diffuses through the skin and changes the pH of a thin layer of an electrolyte solution in the probe, and the resulting signal is converted to Paco₂. Measurements of PtCO₂, FEV₁, respiratory rate and heart rate were made at baseline (0 min) and at 20, 40 and 60 min. The oxygen saturation was measured continuously throughout the study period and recorded at 5 min intervals.

Statistical analysis

The prespecified primary outcome variable was the proportion of patients with a PtCO₂ >38 mm Hg and FEV₁ ≤50% at 60 min. However, after recruitment of the initial 19 subjects it was apparent that the main determinant of this outcome was the baseline PtCO₂, rather than whether an increase in PtCO₂ had actually occurred. Specifically, of the 5/19 subjects who met the primary end point, two had a decrease in PtCO₂ (from 46 to 39 mm Hg and from 45 to 44 mm Hg) and the other had a minimal increase (from 39 to 40 mm Hg). For this reason, after the initial 19 subjects were studied, the primary outcome was changed to the proportion of patients with a PtCO₂ rise of ≥4 mm Hg at 60 min, and the proportion of patients with a PtCO₂ rise of ≥8 mm Hg and a PtCO₂ ≥38 mm Hg at 60 min was included as a secondary outcome variable. Other secondary outcome variables included the mean change in PtCO₂ from baseline, changes in respiratory rate, heart rate and FEV₁, and the need for hospital admission at the end of the ED treatment period. The proportion of patients with a PtCO₂ rise of ≥8 mm Hg was added as a posthoc outcome variable.

The rate of change of PtCO₂ was determined using a mixed linear model with random intercept and slope terms.27 In the mixed linear model the fixed effects were the randomised treatment as a dichotomous variable, time as a continuous covariate, and a treatment × time interaction term. A random slope and intercept term with the individual participants as subjects and an unstructured covariance specified for the intercept and slope accounted for the correlation of repeated measurements on the same participants. Continuous outcome variables were analysed as change from baseline using independent sample t tests, or for achieved oxygen saturation for which normality assumptions were not met, by a Mann–Whitney test. Logistic regression was used to model the risk of admission, expressed as an OR, both unadjusted for other variables and adjusted for baseline FEV₁, baseline oxygen saturation and baseline PtCO₂. Analysis was by intention to treat. SAS version 9.1 and Minitab version 14 were used.

Sample size calculation

Based on previous research19 we calculated that to detect a difference in the proportion of patients with the primary outcome variable of 20% in the high concentration oxygen group and 5% in the titrated group, with power of 80% at a type 1 error rate of 5%, 75 subjects were required in each group.

RESULTS

Eligible patients were recruited from July 2007 to December 2009. A total of 106 patients were randomised, 53 to the high concentration group and 53 to the titrated group. Three patients were withdrawn from the high concentration oxygen group, two due to protocol violations in which the patients met an exclusion criterion after randomisation (one patient with COPD and one with obesity hypoventilation syndrome), and in one patient a reliable PtCO₂ signal could not be obtained. As a result there were data from 50 patients in the high concentration group and 53 in the titrated group for final analysis. Figure 1 shows the flow of the patients through the study. The two oxygen treatment groups were well matched with respect to age, sex and respiratory rate (table 1). The mean baseline FEV₁ in the high concentration oxygen and titrated oxygen groups was 1.15 and 1.29 litres, respectively.

PtCO₂ levels at baseline ranged from 14 to 50 mm Hg (figure 2). The majority of patients were hypocapnic at baseline, with 68/103 (66%) having a PtCO₂ <38 mm Hg. There were
eight patients with an oxygen saturation <93% at baseline while breathing room air. In the titrated oxygen group, 48/53 (90%) patients did not require oxygen therapy throughout the 60 min treatment period, four patients required 1–3 l/min and one required >3 l/min. In the high concentration group, the oxygen saturation at 60 min was ≥99% in 39/50 (78%) patients and was ≥95% in the remaining 11 patients.

The PtCO2 levels at 60 min ranged from 18 to 52 mm Hg (figure 2). One patient who received high concentration oxygen was withdrawn after 11 min due to safety concerns, following an increase in the PtCO2 from 41 to 52 mm Hg. For the categorical outcome variables, the PtCO2 value at 11 min was used as the final measurement in this patient. A total of 10 patients had a final PtCO2 ≥45 mm Hg. All 10 patients were in the high concentration oxygen group, and in five patients there was an increase in PtCO2 ≥10 mm Hg.

The proportion of patients with an increase in PtCO2 of ≥4 mm Hg at 60 min was significantly greater in the high concentration group, compared with the titrated oxygen group, with an OR of 2.3 (95% CI 1.2 to 4.4; p=0.006) (table 2). The proportion of patients with a rise in PtCO2 ≥4 mm Hg was greater in the high concentration group and 6/53 (11.3%) in the titrated group, RR 3.6 (95% CI 1.6 to 8.2; p=0.001).

Figure 2: The transcutaneous partial pressure of carbon dioxide (PtCO2) levels at baseline and after 60 min in the high concentration (open circles) and titrated (filled circles) oxygen groups. The patient who received high concentration oxygen and was withdrawn after 11 min due to safety concerns, following an increase in the PtCO2 from 41 to 52 mm Hg is not presented in the figure.

an RR of 3.9 (95% CI 1.2 to 15.1, p=0.016). The proportion of patients with a PtCO2 >38 mm Hg and an FEV1 percentage predicted ≤50% after 60 min was 20/49 (40.8%) in the high concentration group and 6/53 (11.5%) in the titrated group, RR 3.6 (95% CI 1.6 to 8.2; p=0.001).

The mean change in PtCO2 from baseline was significantly greater in the high concentration group, with a mean difference between the groups at 60 min of 2.6 mm Hg (95% CI 0.9 to 4.3; p<0.005) (table 3). The proportion of patients with a rise in PtCO2 ≥4 mm Hg was greater in the high concentration group at the 20 and 40 min time points (table 3). The rate of increase in the high concentration group was 0.054 (95% CI 0.035 to 0.074) mm Hg/min and in the titrated group it was 0.012 (95% CI –0.0065 to 0.061) mm Hg/min. The difference in the rate of change was 0.042 mm Hg/min (95% CI 0.069 to 0.15, p=0.005).

There were 26/50 (52%) of the high concentration group admitted to hospital compared with 17/53 (32%) in the titrated group, OR 2.29 (95% CI 1.03 to 5.10, p=0.042). After adjusting for baseline FEV1, baseline oxygen saturation and baseline PtCO2, this OR was 1.7 (95% CI 0.68 to 4.26, p=0.257) (table 4). In the adjusted analysis, a higher baseline FEV1 and oxygen saturation were associated with a reduced risk of admission. There was no difference between the treatment groups in the mean change of respiratory rate, pulse rate or FEV1 over 60 min (see online supplement Table S2).

DISCUSSION

This randomised controlled trial has shown that high concentration oxygen therapy results in a significant increase in PtCO2 levels and an increase in the proportion of patients with an increase in PtCO2 ≥4 mm Hg. The mean change in PtCO2 from baseline was significantly greater in the high concentration group, with a mean difference between the groups at 60 min of 2.6 mm Hg (95% CI 0.9 to 4.3; p<0.005) (table 3). The proportion of patients with a rise in PtCO2 ≥4 mm Hg was greater in the high concentration group at the 20 and 40 min time points (table 3). The rate of increase in the high concentration group was 0.054 (95% CI 0.035 to 0.074) mm Hg/min and in the titrated group it was 0.012 (95% CI –0.0065 to 0.061) mm Hg/min. The difference in the rate of change was 0.042 mm Hg/min (95% CI 0.069 to 0.15, p=0.005).

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compared with titrated oxygen when administered to patients presenting to the ED with severe exacerbations of asthma. We propose that the results are of physiological and clinical significance, as indicated by the two- to fourfold RR of an increase in $\text{Paco}_2$ of at least 4 or 8 mm Hg, respectively, in the group receiving high concentration oxygen. Furthermore, all 10 patients who developed hypercapnia with a final $\text{Paco}_2 \geq 45$ mm Hg had received high concentration oxygen therapy. This observation suggests that the administration of high concentration oxygen in the ED setting is a determinant of the development of respiratory failure, a recognised marker of near fatal asthma.\footnote{Baseline FEV1 (per litre) 0.31 (0.10 to 0.94) 0.039 Baseline $\text{PtCO}_2$ (per mm Hg) 1.04 (0.96 to 1.12) 0.370 High concentration oxygen 1.70 (0.68 to 4.26) 0.257 High concentration oxygen* 2.29 (1.03 to 5.10) 0.042 \textbf{Table 4} Risk of hospital admission \begin{tabular}{lcccc} \hline \textbf{OR (95% CI)} & \textbf{p Value} \\ \hline \textbf{Unadjusted analysis} & & & & \\ High concentration oxygen* & 2.29 (1.03 to 5.10) & 0.042 & \\ \textbf{Adjusted analysis} & & & & \\ High concentration oxygen & 1.70 (0.68 to 4.26) & 0.257 & \\ Baseline oxygen saturation (per %) & 0.80 (0.66 to 0.98) & 0.028 & \\ Baseline $\text{PtCO}_2$ (per mm Hg) & 1.04 (0.96 to 1.12) & 0.370 & \\ Baseline FEV1, (per litre) & 0.31 (0.10 to 0.94) & 0.039 & \\ \hline \end{tabular} \footnote{High concentration oxygen versus titrated oxygen. FEV1, forced expiratory volume in 1 s; $\text{PtCO}_2$, transcutaneous partial pressure of carbon dioxide.}
The increase in PaCO₂ with high concentration oxygen demonstrated in this study is also likely to be an underestimate of the magnitude of the effect that may be seen in standard clinical practice, in which oxygen therapy may be administered for a longer period. The PtcO₂ progressively increased in the high concentration group throughout the 60 min study period, suggesting that some patients may have had further increases in PtcO₂ had the high concentration oxygen regime continued.

The main mechanism for the elevation in PtcO₂ demonstrated in this study is likely to be worsening ventilation–perfusion mismatching as a result of the release of hypoxic pulmonary vasoconstriction and a consequent increase in physiological dead space. This has been demonstrated in studies of the effects of oxygen therapy in both acute severe and chronic asthma,10–15 and is one of the main mechanisms which causes oxygen-induced CO₂ retention in AECOPD.5–7 As a result, one of the important clinical implications of our study is that high concentration oxygen therapy may have the potential to cause an increase in PtcO₂ across a range of respiratory conditions with abnormal gas exchange due to ventilation–perfusion inequality. In support of this interpretation, this physiological response to high concentration oxygen therapy has now been reported in stable COPD,5 7 AECOPD,1 2 4 6 asthma,10–12 18 22 25 obesity hyperventilation syndrome25 26 and diffuse pulmonary fibrosis or infiltration.37 This response contrasts with that observed in normal subjects in whom high concentration oxygen increases the risk of hypoxaemia, in a dose that relieves the hypoxaemia without causing hypercapnia, in patients with severe exacerbations of asthma, in which oxygen is administered only to those with evidence of arterial hypoxaemia, in a dose that relieves the hypoxaemia without causing hyperoxaemia, thereby obtaining the benefits while reducing the potential for harm.

We conclude that high concentration oxygen increases the risk of hypercapnia in patients with severe exacerbations of asthma. Our findings also suggest that the potential increase in PtcO₂ with high concentration oxygen therapy is not limited to asthma and COPD, but may also occur in other respiratory disorders with abnormal gas exchange. Consistent with recent guidelines22–24 we recommend a titrated oxygen regime in patients with severe exacerbations of asthma, in which oxygen is administered only to those with evidence of arterial hypoxaemia, in a dose that relieves the hypoxaemia without causing hyperoxaemia, thereby obtaining the benefits while reducing the potential for harm.

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**Competing interests** None.

**Ethics approval** This study was conducted with the approval of the Central Regional Ethics Committee.

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**REFERENCES**


