INVESTIGATION

Pleural effusions are a common medical problem with more than 50 recognised causes including disease local to the pleura or underlying lung, systemic conditions, organ dysfunction and drugs.1

Pleural effusions occur as a result of increased fluid formation and/or reduced fluid resorption. The precise pathophysiology of fluid accumulation varies according to underlying aetiologies. As the differential diagnosis for a unilateral pleural effusion is wide, a systematic approach to investigation is necessary. The aim is to establish a diagnosis swiftly while minimising unnecessary invasive investigations and facilitating treatment, avoiding the need for repeated therapeutic aspirations when possible.

Since the 2003 guideline, several clinically relevant studies have been published, allowing new recommendations regarding image guidance of pleural procedures with clear benefits to patient comfort and safety, optimum pleural fluid sampling and processing and the particular value of thoracoscopic pleural biopsies. This guideline also includes a review of recent evidence for the use of new biomarkers including N-terminal pro-brain natriuretic peptide (NT-proBNP), mesothelin and surrogate markers of tuberculous pleuritis.

CLINICAL ASSESSMENT AND HISTORY

Aspiration should not be performed for bilateral effusions in a clinical setting strongly suggestive of a transudate unless there are atypical features or they fail to respond to therapy. (☞)

An accurate drug history should be taken during clinical assessment. (☞)

The history and physical examination of a patient with a pleural effusion may guide the clinician as to whether the effusion is a transudate or an exudate. This critical distinction narrows the differential diagnosis and directs further investigation.

Clinical assessment alone is often capable of identifying transudative effusions. Therefore, in an appropriate clinical setting such as left ventricular failure with a confirmatory chest X-ray, such effusions do not need to be sampled unless there are atypical features or they fail to respond to treatment.

Approximately 75% of patients with pulmonary embolism and pleural effusion have a history of pleuritic pain. These effusions tend to occupy less than one-third of the hemithorax and the dyspnoea is often out of proportion to the size of the effusion.2 3 As tests on the pleural fluid are unhelpful in diagnosing pulmonary embolism, a high index of suspicion is required to avoid missing the diagnosis.

The patient’s drug history is also important. Although uncommon, a number of medications have been reported to cause exudative pleural effusions (box 1). Useful resources for more detailed information include the British National Formulary and the web site http://www.pneumotox.com/.

An occupational history including details about known or suspected asbestos exposure and potential secondary exposure via parents or spouses should be documented. An algorithm for the investigation of a unilateral pleural effusion is shown in figure 1.

INITIAL DIAGNOSTIC IMAGING

Plain radiography

Posteroanterior (PA) chest X-rays should be performed in the assessment of suspected pleural effusion. (☞)

The plain chest radiographic features of pleural effusion are usually characteristic. The posteroanterior (PA) chest X-ray is abnormal in the presence of about 200 ml of pleural fluid. However, only 50 ml of pleural fluid can produce detectable posterior costophrenic angle blunting on a lateral chest X-ray.4

In the intensive care setting, most chest X-rays are performed as AP supine examinations, resulting in free pleural fluid lying posteriorly in the dependent portion of the chest. Consequently, effusions are seen as an increase in hemithorax opacity with preserved vascular shadows on the supine X-ray. Other signs include the loss of the sharp silhouette of the ipsilateral hemidiaphragm and fluid tracking.

Box 1 Commonly prescribed drugs known to cause pleural effusions (over 100 cases reported globally)

- Methotrexate
- Amiodarone
- Phenytoin
- Nitrofurantoin
- β-blockers

down into the oblique or horizontal fissures resulting in apparent fissural thickening. The volume of pleural fluid is commonly underestimated on a supine chest x-ray and ‘normal’ appearances do not exclude the presence of an effusion. Subpulmonic effusions occur when pleural fluid accumulates between the diaphragmatic surface of the lung and the diaphragm. They are often transudates, can be difficult to diagnose on the PA film and may require an ultrasound scan. The PA film will often show a lateral peaking of an apparently raised hemidiaphragm which has a steep lateral slope with a gradual medial slope (see figure 2). The lateral x-ray may have a flat appearance of the posterior aspect of the hemidiaphragm with a steep downward slope at the major fissure.

Ultrasound

- **Bedside ultrasound guidance significantly increases the likelihood of successful pleural fluid aspiration and reduces the risk of organ puncture. (B)**

- **Ultrasound detects pleural fluid septations with greater sensitivity than CT. (C)**

Ultrasound guidance improves the rate of successful pleural aspiration. Several studies have shown that fluid can be successfully obtained using ultrasound in up to 88% of patients after a failed clinical and plain chest x-ray-guided attempt. Ultrasound guidance reduces the incidence of iatrogenic pneumothorax following thoracentesis and several studies have shown this effect to be independent of the size of the effusion. This benefit appears to be lost when the ‘X marks the spot’ method is employed, presumably due to differences in patient positioning between the ultrasound and the procedure.

Clinical judgement with review of the chest x-ray was compared with ultrasonography in planning the diagnostic aspiration site in a prospective study including 255 clinician assessments of 67 patients. The sensitivity and specificity of clinical judgement compared with the gold standard of ultrasound was 76.6% and 60.3%, respectively. Ultrasound increased...
the number of accurate sites by 26%; 15% of clinically determined sites would have resulted in the puncture of liver, spleen or lung and, although there was increasing risk with small or loculated effusions, 60% of potential organ punctures occurred in radiologically large or moderate effusions.

Ultrasound is superior to plain radiography in diagnosing and quantifying pleural effusions and distinguishes pleural fluid from thickening with high specificity, particularly when colour Doppler is employed. It is particularly useful in the diagnosis of small effusions or in recumbent patients (eg, ventilated and critically ill) due to the low sensitivity of plain radiography in these situations.

The diagnostic role of thoracic ultrasound in the early investigation of pleural effusions extends beyond the identification and safe aspiration of fluid.

Ultrasound detects septations within pleural fluid with greater sensitivity than CT scanning. A septated appearance may be observed in malignant effusions or pleural infection and occurs with similar frequency in the two diagnoses.

Ultrasound positively identifies exudative effusions when pleural fluid is complex, septated or echogenic, although simple (anechoic) effusions can be exudates or transudates.

Ultrasound features can distinguish malignant from benign effusions. Qureshi et al demonstrated 95% specificity for a malignant diagnosis, 95% for parietal pleural thickening >1 cm, 100% for visceral pleural thickening, 95% for diaphragmatic thickening >7 mm and 100% for diaphragmatic nodules as visualised on ultrasound examination. Overall sensitivity of ultrasound in the differentiation of malignant from benign effusions was 79% (95% CI 61% to 91%) and specificity of 100% (95% CI 82% to 100%), with specificity comparing favourably with CT scanning (89%).

**PLEURAL ASPIRATION**

- A diagnostic pleural fluid sample should be aspirated with a fine-bore (21G) needle and a 50 ml syringe. 
- Bedside ultrasound guidance improves the success rate and reduces complications (including pneumothorax) and is therefore recommended for diagnostic aspirations.
- Pleural fluid should always be sent for protein, lactate dehydrogenase, Gram stain, cytology and microbiological culture.

This is the primary means of evaluating pleural fluid and its findings are used to guide further investigation.

Pleural ultrasound should be used at the bedside to select a pleural aspiration site with safety. Ultrasound increases the chances of successful aspiration and minimises the need for repeated attempts. Direct ultrasound-guided aspiration or ultrasound at the bedside immediately before the procedure is preferable to the ‘X marks the spot’ approach. A lateral site is preferred, provided that adequate fluid is demonstrated here on ultrasound as the risk of intercostal vessel trauma increases with more posterior or medial punctures (see figure 3).

Patient consent and further technical details of pleural aspiration are covered in the guideline on pleural procedures. Table 1 shows sample collection guidance for specific pleural fluid tests.

A green needle (21G) and 50 ml syringe are adequate for diagnostic pleural aspirations. If there is diagnostic suspicion of pleural infection and a pleural fluid pH is to be measured, aspirated fluid should immediately be drawn into a heparinised blood gas syringe which should then be capped while awaiting analysis to avoid exposure of the fluid to the air. The remaining sample should be divided between sample pots for microbiological (5 ml), biochemical (2–5 ml) and cytological (remaining sample which should be 20–40 ml) analysis. Microscopic examination of Gram-stained pleural fluid sediment is necessary for all pleural fluid samples. If infection is suspected, some of the pleural fluid should be sent in blood culture bottles which increases diagnostic accuracy, particularly for anaerobic organisms.

**Figure 2** Chest x-ray showing a moderate left pleural effusion and subpulmonic effusion on the right. Note the lateral peaking of the right hemidiaphragm. Reproduced with permission from Professor David Milne, Auckland University.

**Figure 3** CT scan (A) before and (B) 2 days later after a pleural aspiration with inappropriate medial approach and intercostal artery puncture with resultant haemothorax requiring surgical intervention. Note the active bleeding indicated by the arrow.
Table 1  Pleural fluid tests and sample collection guidance

<table>
<thead>
<tr>
<th>Test</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Recommended tests for all sampled pleural effusions</td>
<td>2–5 ml in plain container or serum blood collection tube depending on local policy. Blood should be sent simultaneously to biochemistry for total protein and LDH so that Light’s criteria can be applied.</td>
</tr>
<tr>
<td>Microscopy and culture (MC and S)</td>
<td>5 ml in plain container. If pleural infection is particularly suspected, a further 5 ml in both anaerobic and aerobic blood culture bottles should be sent</td>
</tr>
<tr>
<td>Cytological examination and differential cell count</td>
<td>Maximum volume from remaining available sample in a plain universal container. Refrigerate if delay in processing anticipated (eg, out of hours)</td>
</tr>
</tbody>
</table>

Other tests sent only in selected cases as described in the text:
- pH
- Glucose
- Acid-fast bacilli and TB culture
- Triglycerides and cholesterol
- Amylase
- Haematocrit

LDH, lactate dehydrogenase; PH, pulmonary hypertension; TB, tuberculosis

There is conflicting evidence regarding the optimum volume of pleural fluid for diagnosis of malignancy; sensitivity depends on the cellularity of the sample and processing technique as well as volume submitted. It is sensible to send as large a volume as possible from the 50–60 ml sample obtained following diagnostic aspiration as other tests only require small volumes. At room temperature the sample for cytology should be sent to the laboratory as quickly as possible but, if a delay is anticipated, the specimen can be refrigerated at 4°C for up to 14 days with no deterioration in the diagnostic yield for malignancy (table 1).

Appearance
- The appearance of the pleural fluid and any odour should be recorded. (✔)
- A pleural fluid haematocrit is helpful in the diagnosis of haemothorax. (✔)

Table 2 summarises the appearance of pleural effusions due to specific causes. Fluid may appear serous, blood-tinged, frankly bloody or purulent. Centrifuging turbid or milky pleural fluid will distinguish between empyema and lipid effusions. If the supernatant is clear, the turbid fluid was due to cell debris and empyema is likely while, if it is still turbid, chylothorax or pseudochylothorax are likely. The unpleasant smell of anaerobic infection may guide antibiotic choices and the smell of ammonia suggests urinothorax.

Grossly bloody pleural fluid is usually due to malignancy, pulmonary embolus with infarction, trauma, benign asbestos pleurases or post-cardiac injury syndrome.

A haemothorax can be distinguished from other blood-stained effusions by performing a haematocrit on the pleural fluid. A pleural fluid haematocrit >50% of the patient’s peripheral blood haematocrit is diagnostic of a haemothorax.

Differentiating between a pleural fluid exudate and transudate
- Light’s criteria should be used to distinguish between a pleural fluid exudate and transudate (box 2). (B)
- In order to apply Light’s criteria, the total protein and lactate dehydrogenase (LDH) should be measured in both blood and pleural fluid. (B)

Categorisation of pleural effusions into transudates and exudates is an important early step in narrowing the differential diagnosis and directing subsequent investigations and management (see boxes 5 and 4).

Classically, pleural fluid protein >30 g/l has indicated an exude and <30 g/l a transudate. This classification is not accurate when serum protein is abnormal or when the pleural fluid protein is close to 30 g/l and, as this is very common, the application of Light’s criteria is always recommended.

A considerable number of other biochemical markers have been compared with Light’s criteria but the latter, with a diagnostic

<table>
<thead>
<tr>
<th>Fluid</th>
<th>Suspected disease</th>
</tr>
</thead>
<tbody>
<tr>
<td>Putrid odour</td>
<td>Anaerobic empyema</td>
</tr>
<tr>
<td>Food particles</td>
<td>Oesophageal rupture</td>
</tr>
<tr>
<td>Bile stained</td>
<td>Chylothorax (biliary fistula)</td>
</tr>
<tr>
<td>Milky</td>
<td>Chylothorax/pseudochylothorax</td>
</tr>
<tr>
<td>‘anchovy sauce’ like fluid</td>
<td>Ruptured amoebic abscess</td>
</tr>
</tbody>
</table>

Box 2 Light’s criteria
- Pleural fluid is an exude if one or more of the following criteria are met:
  - Pleural fluid protein divided by serum protein is >0.5
  - Pleural fluid lactate dehydrogenase (LDH) divided by serum LDH is >0.6
  - Pleural fluid LDH >2/3 the upper limits of laboratory normal value for serum LDH.

Box 3 Causes of pleural transudates

<table>
<thead>
<tr>
<th>Very common causes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Left ventricular failure</td>
</tr>
<tr>
<td>Liver cirrhosis</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Less common causes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hypoalbuminaemia</td>
</tr>
<tr>
<td>Peritoneal dialysis</td>
</tr>
<tr>
<td>Hypothyroidism</td>
</tr>
<tr>
<td>Nephrotic syndrome</td>
</tr>
<tr>
<td>Mitral stenosis</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Rare causes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Constrictive pericarditis</td>
</tr>
<tr>
<td>Urinothorax</td>
</tr>
<tr>
<td>Meigs’ syndrome</td>
</tr>
</tbody>
</table>
Box 4 Causes of pleural exudates

<table>
<thead>
<tr>
<th>Common causes</th>
</tr>
</thead>
<tbody>
<tr>
<td>▶ Malignancy</td>
</tr>
<tr>
<td>▶ Parapneumonic effusions</td>
</tr>
<tr>
<td>▶ Tuberculosis</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Less common causes</th>
</tr>
</thead>
<tbody>
<tr>
<td>▶ Pulmonary embolism</td>
</tr>
<tr>
<td>▶ Rheumatoid arthritis and other autoimmune pleuritis</td>
</tr>
<tr>
<td>▶ Benign asbestos effusion</td>
</tr>
<tr>
<td>▶ Pancreatitis</td>
</tr>
<tr>
<td>▶ Post-myocardial infarction</td>
</tr>
<tr>
<td>▶ Post-coronary artery bypass graft</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Rare causes</th>
</tr>
</thead>
<tbody>
<tr>
<td>▶ Yellow nail syndrome (and other lymphatic disorders eg, lymphangioleiomyomatosis)</td>
</tr>
<tr>
<td>▶ Drugs (see table 2)</td>
</tr>
<tr>
<td>▶ Fungal infections</td>
</tr>
</tbody>
</table>

accuracy of 93–96%, remains a robust method.\textsuperscript{31, 32} This discriminatory accuracy is unlikely to be surpassed as the ‘gold standard’ for comparison in clinical diagnosis which itself carries an error rate.

In congestive cardiac failure, diuretic therapy increases the concentration of protein, lactate dehydrogenase (LDH) and lipids in pleural fluid and, in this context, Light’s criteria are recognised to misclassify a significant proportion of effusions as exudates.\textsuperscript{33, 34} Although the use of continuous likelihood ratios rather than a dichotomous division of transudates versus exudates has been proposed, particularly to overcome loss of accuracy of Light’s criteria when pleural protein and LDH levels are close to cut-off values, there is probably little value in this cumbersome statistical method beyond careful interpretation of test results in the light of clinical judgement.\textsuperscript{35}

N-terminal pro-brain natriuretic peptide (NT-proBNP)

NT-proBNP is a sensitive marker of both systolic and diastolic cardiac failure. Levels in blood and pleural fluid correlate closely and measurement of both has been shown in several series to be effective in discriminating transudates versus exudates with congestive heart failure from other transudative or exudative causes.\textsuperscript{36–39} The cut-off value of these studies, however, varied widely from 600 to 4000 pg/ml (with 1500 pg/ml being most commonly used), and most studies excluded patients with more than one possible aetiology for their effusion. NT-proBNP has been shown to correctly diagnose congestive heart failure from other transudative effusions.\textsuperscript{50} Cardiac failure is also a common cause of a lymphocytic effusion. Very high lymphocyte proportions (>80%) occur most frequently in TB, lymphoma, chronic rheumatoid pleurisy, sarcoidosis and late post-coronary artery bypass grafting (CABG) effusions (see box 5).\textsuperscript{44}

Neutrophil-predominant pleural effusions are associated with acute processes. They occur in parapneumonic effusions, pulmonary embolism, acute TB and benign asbestos pleural effusions.\textsuperscript{28, 45}

Pleural effusions in which ≥10% of cells are eosinophils are defined as eosinophilic.\textsuperscript{46} The most common cause of pleural fluid eosinophilia is air or blood in the pleural space.\textsuperscript{57} Pleural eosinophilia is a relatively non-specific finding as it can occur in parapneumonic effusions, drug-induced pleurisy, benign asbestos pleural effusions, Churg–Strauss syndrome, lymphoma, pulmonary infarction and parasitic disease.\textsuperscript{48, 49} Malignancy is also a common cause; a malignant diagnosis was made in 57% of 60 eosinophilic effusions in one series.\textsuperscript{46}

pH

In non-purulent effusions, when pleural infection is suspected, pleural fluid pH should be measured providing that appropriate collection technique can be observed and a blood gas analyser is available. (B)

Inclusion of air or local anaesthetic in samples may significantly alter the pH results and should be avoided. (B)

In a parapneumonic effusion, a pH of <7.2 indicates the need for tube drainage. (B)

Pleural fluid acidosis (pH <7.30) occurs in malignant effusions, complicated pleural infection, connective tissue diseases (particularly rheumatoid arthritis), tuberculous pleural effusions and oesophageal rupture and, in isolation, it does not distinguish between these causes.\textsuperscript{50}

Pleural fluid acidosis reflects an increase in lactic acid and carbon dioxide production due to locally increased metabolic activity as well as a fall in hydrogen ion flux across abnormal pleural membranes. Increased consumption of glucose without replacement in the same conditions means that pleural fluid often has both a low pH and low glucose concentration.\textsuperscript{51}

Box 5 Causes of lymphocytic pleural effusions (ie, lymphocytes account for >50% of nucleated cells)

<table>
<thead>
<tr>
<th>Causes</th>
</tr>
</thead>
<tbody>
<tr>
<td>▶ Malignancy (including metastatic adenocarcinoma and mesothelioma)</td>
</tr>
<tr>
<td>▶ Tuberculosis</td>
</tr>
<tr>
<td>▶ Lymphoma</td>
</tr>
<tr>
<td>▶ Cardiac failure</td>
</tr>
<tr>
<td>▶ Post-coronary artery bypass graft</td>
</tr>
<tr>
<td>▶ Rheumatoid effusion</td>
</tr>
<tr>
<td>▶ Chylothorax</td>
</tr>
<tr>
<td>▶ Uraemic pleuritis</td>
</tr>
<tr>
<td>▶ Sarcoidosis</td>
</tr>
<tr>
<td>▶ Yellow nail syndrome</td>
</tr>
</tbody>
</table>

Any long-standing pleural effusion tends to become populated by lymphocytes. Pleural malignancy, cardiac failure and tuberculosis are common specific causes of lymphocyte-predominant effusions. (C)

If the pleural fluid differential cell count shows a predominant lymphocytosis (>50% cells are lymphocytes), the most likely diagnoses worldwide are malignancy and tuberculosis (TB).\textsuperscript{43} Cardiac failure is also a common cause of a lymphocytic effusion. Very high lymphocyte proportions (>80%) occur most frequently in TB, lymphoma, chronic rheumatoid pleurisy, sarcoidosis and late post-coronary artery bypass grafting (CABG) effusions (see box 5).\textsuperscript{44}

Neutrophil-predominant pleural effusions are associated with acute processes. They occur in parapneumonic effusions, pulmonary embolism, acute TB and benign asbestos pleural effusions.\textsuperscript{28, 45}

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pH

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Inclusion of air or local anaesthetic in samples may significantly alter the pH results and should be avoided. (B)

In a parapneumonic effusion, a pH of <7.2 indicates the need for tube drainage. (B)

Pleural fluid acidosis (pH <7.30) occurs in malignant effusions, complicated pleural infection, connective tissue diseases (particularly rheumatoid arthritis), tuberculous pleural effusions and oesophageal rupture and, in isolation, it does not distinguish between these causes.\textsuperscript{50}

Pleural fluid acidosis reflects an increase in lactic acid and carbon dioxide production due to locally increased metabolic activity as well as a fall in hydrogen ion flux across abnormal pleural membranes. Increased consumption of glucose without replacement in the same conditions means that pleural fluid often has both a low pH and low glucose concentration.\textsuperscript{51}
In malignant pleural effusions low pH has been associated with shorter survival, more extensive disease and a lower chance of successful pleurodesis. A meta-analysis including 417 patients with malignant pleural effusions found that a pleural pH <7.28 was associated with a median survival of 2.5 months and a 3-month survival of 38.9% (95% CI 31.1% to 46.8%) compared with a median survival of 4.3 months and 3-month survival of 61.6% (95% CI 55.7% to 67.4%) if the pH was >7.28.

In clinical practice, the most important use for pleural fluid pH is aiding the decision to treat pleural infection with tube drainage. A meta-analysis of studies examining pleural pH and the need for chest tube drainage or surgery in patients with a parapneumonic effusion found that a pH <7.2 was the most specific discriminator of complicated pleural infection. This is covered in detail in the pleural infection guideline.

In loculated parapneumonic effusions, fluid pH has been shown to vary significantly between locules so that a pH >7.2 in a patient with other clinical indicators of complicated pleural infection should be viewed with caution.

The collection and analysis technique can have a clinically significant impact on pleural fluid pH results. A prospective study found that exposure of fluid to air in the syringe increased the measured pleural fluid pH by ≥0.05 in 71% of samples and inclusion of 0.2 ml local anaesthetic produced a mean reduction in pH of 0.15 (95% CI 0.13 to 0.18). Pleural fluid should therefore be collected and transported without exposure to atmospheric air and local anaesthetic avoided for diagnostic aspirations where the pH will be used to guide management. Pleural pH does not change significantly if processing is delayed for up to an hour at room temperature. An arterial blood gas analyser should be used. In routine clinical practice it is often difficult to adhere to these collection requirements and, when they cannot be achieved, overall clinical assessment may be preferable to reliance on a suboptimal pleural fluid pH result.

**Glucose**

In the absence of pleural pathology, glucose diffuses freely across the pleural membrane and the pleural fluid glucose concentration is equivalent to blood.

A low pleural fluid glucose level (<3.4 mmol/l) may be found in complicated parapneumonic effusions, empyema, rheumatoid pleuritis and pleural effusions associated with TB, malignancy and oesophageal rupture. The most common causes of a very low pleural fluid glucose level (<1.6 mmol/l) are rheumatoid arthritis and empyema.

Although glucose is usually low in pleural infection and correlates with pleural fluid pH values, it is a significantly less accurate indicator for chest tube drainage than pH.

When pleural fluid glucose is measured, the sample should be sent in a fluoride oxalate tube.

**Amylase**

- Routine measurements of pleural fluid amylase or its isoenzymes are not warranted. It can, however, be useful in suspected cases of oesophageal rupture or effusions associated with pancreatic diseases. Approximately 10% of malignant effusions have raised pleural fluid amylase levels, although there is probably no role for pleural amylase estimation in the routine investigation of malignant effusions.

Isoenzyme analysis can be useful but is not readily available in many laboratories. Elevation of salivary amylase suggests oesophageal rupture or malignancy. Pleural effusions associated with pancreatic disease usually contain pancreatic amylase. The incidence of pleural effusion with acute pancreatitis exceeds 50%. Patients with acute pancreatitis and a pleural effusion tend to have more severe disease and a higher likelihood of subsequently developing a pseudocyst than those without effusions. If oesophageal rupture is entertained as a differential diagnosis, urgent more specific investigation by contrast radiography or endoscopy is indicated.

There are few data regarding the measurement of pleural fluid lipase, although case reports of pleural effusions secondary to pancreatitis have described its elevation alongside amylase.

**CYTOLOGY**

- Malignant effusions can be diagnosed by pleural fluid cytology in about 60% of cases. (B)
- The yield from sending more than two specimens (taken on different occasions) is very low and should be avoided. (B)
- Immunocytochemistry should be used to differentiate between malignant cell types and can be very important in guiding oncological therapy. (C)

If malignancy is suspected, cytological examination of the pleural fluid is a quick and minimally invasive way to obtain a diagnosis. Series examining the diagnostic rate for malignancy of pleural cytology have reported a mean sensitivity of about 60% (range 40–87%). The yield from sending more than two specimens of pleural fluid taken on different occasions is low. One study found a yield of 65% from the first specimen, a further 27% from the second specimen and only 5% from the third. The diagnostic yield for malignancy depends on sample preparation, the experience of the cytologist and on tumour type. The diagnostic rate is higher for adenocarcinoma than for mesothelioma, squamous cell carcinoma, lymphoma and sarcoma.

Swolek et al found that submission of a 60 ml pleural fluid sample produced a significantly better sensitivity for the diagnosis of malignancy than 10 ml, but previous studies have shown that sending volumes >50 ml did not improve the diagnostic yield. The evidence for sending large volumes of pleural fluid is not strong enough to justify the increased risk of complications associated with the use of a venflon and three-way tap for initial diagnostic aspiration. As much fluid as possible should be sent for cytology from the available diagnostic sample (likely to be 20–40 ml) and, when the initial result is negative but malignancy is suspected, the sending of a higher volume sample following a second aspiration should be considered. If the initial aspiration is both therapeutic and diagnostic, ≥60 ml should be sent for cytological examination.

Pleural fluid should be sent in a plain container which allows the cellular portion to separate, forming a fibrinous ‘clot’ which may enmesh malignant cells. These can then undergo histological examination and are reported with the fluid cytology. Some departments, however, prefer the use of bottles containing sodium citrate to keep the cells in free suspension. No other anticoagulants or preservatives should be used as they may interfere with cellular adherence to slides and immunocytochemistry.
### Pleural diagnosis of mesothelioma.

A cytology sample, cell block or a clot can be performed on a patient with suspected mesothelioma. Immunocytochemistry should be used to differentiate between malignant mesothelioma and metastatic adenocarcinoma cells and immunocytochemistry can assist in their differentiation. However, whenever there is extensive morphological overlap between malignant mesothelioma and lymphoma and ovarian carcinoma, metastatic pancreatic carcinoma, and metastatic adenocarcinoma, immunocytochemistry can be used to support a conservative approach to monitoring when lymphoma is suspected on morphological examination, ideally a sample should be submitted for flow cytometry for further typing, but immunocytochemistry can be used if this is unavailable (table 3).

<table>
<thead>
<tr>
<th>REPORT</th>
<th>INTERPRETATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>Inadequate</td>
<td>No mesothelial cells or only degenerate cells present</td>
</tr>
<tr>
<td>No malignant cells seen</td>
<td>Adequate sample without evidence of malignancy (does not exclude malignancy)</td>
</tr>
<tr>
<td>Atypical cells</td>
<td>May be of inflammatory or malignant origin. Sending a further sample may be helpful</td>
</tr>
<tr>
<td>Suspicious for malignancy</td>
<td>Occasional cells with malignant features but not definitively malignant</td>
</tr>
<tr>
<td>Malignant</td>
<td>Unequivocal malignant cells present which require typing by immunocytochemistry</td>
</tr>
</tbody>
</table>

The yield for malignancy increases if both cell blocks (which are formed by centrifuging the sample and extracting the solid cellular portion) and smears are prepared from pleural fluid samples.

Table 3 provides an interpretation of common pleural fluid cytology reports seen in clinical practice.

Once malignancy has been confirmed morphologically, immunocytochemistry should be used to differentiate between different malignant cell types. This can be performed on a cytology sample, cell block or a clot.

TUMOUR MARKERS

- Pleural fluid and serum tumour markers do not currently have a role in the routine investigation of pleural effusions. (C)

At a cut-off level that achieves 100% specificity for the diagnosis of malignancy, a panel of pleural fluid tumour markers including CEA, CA-125, CA 15-3 and CYFRA has been shown to reach a combined sensitivity of only 54%, such that a negative result cannot be used to support a conservative approach to monitoring and investigation.

Mesothelin, however, has been shown to have more promising diagnostic characteristics (see evidence table B available on the BTS website at www.brit-thoracic.org.uk).

Mesothelin

Mesothelin is a glycoprotein tumour marker that is present at higher mean concentrations in the blood and pleural fluid of patients with malignant mesothelioma than in patients with other causes of pleural effusion. Studies examining mesothelin levels in serum and/or pleural fluid have demonstrated a sensitivity of 48–84% and specificity of 70–100% for the diagnosis of mesothelioma. The negative predictive value of the test is limited by false negatives in sarcomatoid mesothelioma. Positive results have also been recognised in bronchogenic adenocarcinoma, metastatic pancreatic carcinoma, lymphoma and ovarian carcinoma.

A positive serum or pleural fluid mesothelin level is highly suggestive of pleural malignancy and might be used to expedite a tissue diagnosis, but a negative result cannot be considered reassuring. Pleural fluid mesothelin has been shown to have additional value beyond pleural fluid cytology in the diagnosis of mesothelioma and might be used for its positive predictive value to clarify indeterminate cytology results. Although mesothelin has a greater diagnostic accuracy than other tumour markers, its real clinical utility in the investigation of an undiagnosed pleural effusion, particularly in combination with routine clinical and radiological assessment, warrants further study before its use can be routinely recommended.

### FURTHER DIAGNOSTIC IMAGING

#### Computed tomography (CT)

- CT scans for pleural effusion should be performed with contrast enhancement of the pleura and before complete drainage of pleural fluid. (C)
- CT scans should be performed in the investigation of all undiagnosed exudative pleural effusions and can be useful in distinguishing malignant from benign pleural thickening. (C)
- A CT scan should be requested for complicated pleural infection when initial tube drainage has been unsuccessful and surgery is to be considered. (C)

When investigating a pleural effusion, a contrast-enhanced thoracic CT scan should be performed before full drainage of the fluid as pleural abnormalities will be better visualised. Free-flowing pleural fluid is seen as a selle-shaped opacity in the most dependent part of the thorax. Suspended air bubbles within the fluid imply septations (figure 4), but CT does not distinguish the internal characteristics of pleural fluid with the same sensitivity as ultrasound.

CT is particularly helpful in the diagnosis of empyema when the pleura enhances intensely around the fluid which usually forms a lenticular opacity (figure 4). CT also distinguishes empyemas from lung abscesses.

There are features of contrast-enhanced thoracic CT scanning which can help differentiate between benign and malignant disease (figure 5). In a study of 74 patients, 59 of whom had malignant disease, Leung et al showed that malignant disease is favoured by nodular pleural thickening, mediastinal pleural thickening, parietal pleural thickening >1 cm and circumferential pleural thickening. These features had specificities of 94%, 94%, 88% and 100%, respectively, and sensitivities of 51%, 36%, 56% and 41%. The accuracy of the criteria of Leung et al for the detection of pleural malignancy has been confirmed in several prospective studies.

Differentiation of pleural mesothelioma...
Abrams needle biopsies are only diagnostically useful in situations where a diagnostic pleural aspiration is negative or inconclusive. (C)

The contrast-enhanced thoracic CT scan of a patient with a pleural effusion will often show a focal area of abnormality not evident on T2-weighted images. A review of Abrams pleural biopsy yield from 2893 examinations showed a diagnostic rate of only 57% for malignancy.96 The yield over pleural fluid cytology alone is increased by only 7–27% for malignancy.68 69 Complications of Abrams pleural biopsy include site pain (1–15%), pneumothorax (3–15%), vasovagal reaction (1–5%), haemothorax (<2%), site haematoma (<1%), transient fever (<1%) and, very rarely, death secondary to haemorrhage.

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Thoracoscopy is the investigation of choice in exudative pleural effusions where a diagnostic pleural aspiration is inconclusive and malignancy is suspected. (C)

In patients with a symptomatic exudative pleural effusion where a diagnostic pleural aspiration is negative or inconclusive, thoracoscopy is suggested as the next choice investigation since the procedure will be relatively uncomplicated and pleurodesis is likely to be indicated.

Thoracoscopy

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In patients with a symptomatic exudative pleural effusion where a diagnostic pleural aspiration is negative or inconclusive, thoracoscopy is suggested as the next choice investigation since the procedure will be relatively uncomplicated and pleurodesis is likely to be indicated.

Local anaesthetic thoracoscopy

Local anaesthetic thoracoscopy can be performed by physicians or surgeons and is considered to be a safe and well-tolerated procedure. Major complications (eg, empyema, haemorrhage and pneumonia) occur in only 2.3% (95% CI 1.9% to 2.8%) and death is rare at
Thoracoscopic pleural biopsies are the test most likely When pleural biopsies are taken, they should be sent for. Routine diagnostic bronchoscopy should not be Video-assisted thoroscopic surgery (VATS)

This is performed by thoracic surgeons and requires a general anaesthetic. It is therefore not a suitable option for frail individuals and those with other severe comorbidities. This procedure reports similarly high diagnostic sensitivity rates of approximately 95% for malignancy and is also relatively safe with a low complication rate. In one series of 566 examinations, the most common side effect was subcutaneous emphysema with cardiac dysrhythmia and air embolism occurring in <1% and no deaths.122

One advantage of VATS over local anaesthetic thoracoscopy is that the surgical operator is able to proceed to other thoracic surgical options, if appropriate, at the time of the procedure. In particular, a judgement can be made as to whether the lung is trapped or free to expand. In trapped lung syndrome, pleurodesis is likely to be less effective so an indwelling pleural catheter can be placed at the time of VATS (see BTS guideline on thoracoscopy).

**Bronchoscopy**

- Routine diagnostic bronchoscopy should not be performed for undiagnosed pleural effusion. (C)
- Bronchoscopy should be considered if there is haemoptysis or clinical or radiographic features suggestive of bronchial obstruction. (C)

Bronchoscopy has a limited role in the investigation of patients with an undiagnosed pleural effusion as its diagnostic yield is very low.123–126 It should be reserved for patients whose radiology suggests the presence of a mass or loss of volume or when there is a history of haemoptysis, possible aspiration of a foreign body or a trapped lung with a suspicion of a proximal lung mass.

If bronchoscopy is deemed necessary, it should be performed after pleural drainage in order to perform adequate examination without extrinsic airway compression by pleural fluid.

**SPECIFIC CONDITIONS AND TESTS**

**Tuberculous pleurisy**

- When pleural biopsies are taken, they should be sent for both histological examination and culture to improve the diagnostic sensitivity for TB. (B)
- Thoracoscopic pleural biopsies are the test most likely to yield positive mycobacterial culture (and therefore drug sensitivity) results. (B)
- Surrogate markers of pleural TB are useful ‘rule out’ tests in low incidence countries. Adenosine deaminase is the most thoroughly validated to date. (B)

Tuberculous pleuritis is a type IV hypersensitivity reaction to mycobacterial protein and the mycobacterial load in the pleural fluid is usually low. Pleural fluid microscopy for acid-fast bacilli therefore has a sensitivity of <5% and pleural fluid culture of 10–20%.127 Thoracoscopic pleural biopsy has been shown to have a sensitivity of >70% for culture of pleural tissue and overall diagnostic sensitivity approaches 100% when evidence of caseating granulomas on pleural biopsy histology is combined with culture.100

**Surrogate markers of pleural TB**

Tuberculous pleuritis is a treatable cause of a lymphocytic pleural effusion. It is desirable to exclude the diagnosis in patients with lymphocytic effusions, avoiding inappropriate and side-effect-prone empirical antituberculous therapy. In patients who are unfit for invasive investigations, pleural fluid or blood biomarkers of infection can be useful. Adenosine deaminase (ADA) is an enzyme present in lymphocytes, and its level in pleural fluid is significantly raised in most tuberculous pleural effusions. A meta-analysis of 63 studies on the diagnostic use of ADA confirmed a sensitivity of 92%, specificity 90% and positive and negative likelihood ratios of 9.0 and 0.10, respectively.128 Raised ADA levels can also be seen in empyema, rheumatoid pleurisy and, occasionally, in malignancy. Restricting the use of ADA to lymphocytic effusions or measurement of isoenzyme ADA-2 can reduce the false positives significantly.129 ADA is very cheap and quick to perform and remains stable when stored at 4°C for up to 28 days.130 It is useful in patients with HIV or those immunosuppressed (e.g., renal transplant). In countries with a low prevalence of TB, ADA is a useful ‘rule out’ test.

Unstimulated interferon γ levels in pleural fluid have also been shown to have similar diagnostic accuracy as ADA in a meta-analysis.131 The former, however, is more expensive. Interferon γ release assays (IGRAs) have been studied. Applied to blood in areas with a low incidence of TB, sensitivities as high as 90% have been reported but specificity is limited by an inability of the tests to distinguish latent from active TB.132 Small studies have applied IGRAs to pleural fluid with demonstration of superior sensitivities (96.4%), although the commercial tests are not yet validated for fluids other than blood.133 While further studies are awaited, overall diagnostic performance, ease of use and cost are unlikely to rival that of ADA.134

In well-resourced healthcare settings, the greatest chance of obtaining mycobacterial culture and sensitivities should be pursued via thoracoscopic pleural biopsies. However, a large review of 7549 cases of tuberculous pleuritis by the Center for Disease Control showed that drug resistance patterns of pleural TB in the USA broadly reflected those of pulmonary TB in the same region.135 If mycobacterial culture and sensitivities are not achieved, the treatment regime should reflect that of the local resistance patterns.

**Connective tissue diseases**

Rheumatoid arthritis and systemic lupus erythematosus (SLE) are the most common connective tissue diseases to involve the pleura. Pleural effusions occur in connective tissue disease due to primary autoimmune pleuritis or secondary to renal, cardiac, thromboembolic disease or drug therapy.

**Rheumatoid arthritis-associated pleural effusions**

- Most chronic pleural effusions secondary to rheumatoid arthritis have a very low glucose level of <1.6 mmol/l (29 mg/dl). (D)

Pleural involvement occurs in 5% of patients with rheumatoid arthritis.136 Rheumatoid arthritis-associated pleural effusions occur more frequently in men, although the disease itself is more common in women.137 Chronic rheumatoid effusions are the most common cause of pseudochylous (cholesterol) effusions in countries with a low incidence of TB, but they can also be serious or haemorrhagic in appearance.138 139 The measurement of triglycerides and cholesterol in milky effusions will confirm the diagnosis of a pseudochylous picture and, in the presence of
rheumatoid arthritis, this makes other causes for the effusion unlikely. Rheumatoid arthritis is unlikely to be the cause of a chronic effusion if the glucose level in the fluid is >1.6 mmol/l, serving as a useful screening test. 50–80% of rheumatoid pleural effusions have a pleural fluid glucose to serum ratio of <0.5 and a pH <7.30. 140 However, in acute rheumatoid pleurisy, the glucose and pH may be normal. 141 Measurement of C4 complement in pleural fluid may be of additional help, with levels <0.04 g/l in all cases of rheumatoid pleural disease and in only 2 of 118 controls reported in one study. 141 Rheumatoid factor can be measured on the pleural fluid and often has a titre of >1:320. 142 However, it can be present in effusions of other aetiology and mirrors the serum value, adding little diagnostically. 141

Systemic lupus erythematosus (SLE)

- Pleural fluid antinuclear antibodies should not be measured routinely as it reflects the serum level and is therefore usually unhelpful. (C)

Pleuritis is the first manifestation of SLE in 5–10% of patients but is an early feature in 25–50% and is usually accompanied by multisystem involvement. Pleural effusions are frequently small and are bilateral in 50% of patients. 143

No test definitively positively distinguishes SLE pleuritis from other causes of exudative effusions. Biochemical features are not distinctive or consistent. 144 145 Elevated pleural fluid antinuclear antibodies (ANA) and an increased pleural fluid to serum ANA ratio is suggestive of SLE pleuritis, but elevation is also sometimes seen in malignant effusions. 146 Porcel et al. measured pleural fluid ANA titres in 266 patients with pleural effusions of established cause including 15 with SLE pleuritis. They demonstrated a sensitivity of 100% (95% CI 97% to 100%) and a specificity of 94% (95% CI 91% to 97%) for the pleural fluid test but, consistent with previous reports, the results were identical when testing serum. 147 There is no additional value in measuring pleural fluid ANA above the serum test. (C)

Pleural effusions due to pulmonary embolism

Pleural effusions detectable on chest x-ray occur in 23–48% of patients with pulmonary emboli. 148 Effusions are small (less than one-third of the hemithorax) in up to 90% of cases, although moderate and massive effusions are also recognised. 3 149 Pleural fluid ACE levels are also increased in up to 50% of cases. 150 No test definitively positively distinguishes SLE pleuritis from other causes of exudative effusions. Biochemical features are not distinctive or consistent. 144 145 Elevated pleural fluid antinuclear antibodies (ANA) and an increased pleural fluid to serum ANA ratio is suggestive of SLE pleuritis, but elevation is also sometimes seen in malignant effusions. 146 Porcel et al. measured pleural fluid ANA titres in 266 patients with pleural effusions of established cause including 15 with SLE pleuritis. They demonstrated a sensitivity of 100% (95% CI 97% to 100%) and a specificity of 94% (95% CI 91% to 97%) for the pleural fluid test but, consistent with previous reports, the results were identical when testing serum. 147 There is no additional value in measuring pleural fluid ANA above the serum test. (C)

Box 6 Common causes of chylothorax and pseudochylothorax

<table>
<thead>
<tr>
<th>Chylothorax</th>
</tr>
</thead>
<tbody>
<tr>
<td>Trauma: thoracic surgery (especially if involving posterior mediastinum, eg oesophagectomy), thoracic injuries</td>
</tr>
<tr>
<td>Neoplasm: lymphoma or metastatic carcinoma</td>
</tr>
<tr>
<td>Miscellaneous: disorders of lymphatics (including lymphangioleiomyomatosis), tuberculosis, cirrhosis, obstruction of central veins, chyloascites</td>
</tr>
<tr>
<td>Idiopathic (about 10%)</td>
</tr>
</tbody>
</table>

Pseudochylothorax

- Tuberculosis
- Rheumatoid arthritis

True chylous effusions (chylothorax) result from disruption of the thoracic duct or its tributaries such that chyle is present in the pleural space.

Trauma, particularly following thoracic surgery, probably causes about 50% with medical causes including malignancy (particularly lymphoma), TB and lymphatic malformations accounting for most of the remaining half (box 6). 150

Unlike other exudative effusions, the diagnosis of chylothorax or its underlying cause cannot usually be established from thoracoscopy or pleural biopsies. In non-surgical cases, a CT scan of the thorax to exclude mediastinal pathology (especially lymphoma) is mandatory. The site of leak may be demonstrated by lymphangiography.

Chylothorax must be distinguished from pseudochylothorax or ‘cholesterol pleurisy’ which results from the accumulation of cholesterol crystals. Rheumatoid pleurisy and tuberculous pleuritis are the most commonly reported causes of a pseudo-cholesterol effusion. 153 154 Pseudochylothorax usually arises from chronic (often years) pleural effusion and the pleura is usually markedly thickened. 152 Exceptions do exist and clinicians are encouraged not to discard the diagnosis in the absence of chronicity and thickened pleura. 153

Chylothorax and pseudochylothorax can be discriminated by lipid analysis of the fluid. Demonstration of chylomicrons confirms a chylothorax, whereas the presence of cholesterol crystals diagnoses pseudochylothorax. A true chylothorax will usually have a high triglyceride level, usually >1.24 mmol/l (110 mg/dl) and can usually be excluded if the triglyceride level is <0.56 mmol/l (50 mg/dl). In a pseudochylothorax a cholesterol level >5.18 mmol/l (200 mg/dl) or the presence of cholesterol crystals is diagnostic irrespective of triglyceride levels (see table 4). 152–154

Chylothorax can be a result of transdiaphragmatic migration of chylous ascites, which can be secondary to hepatic cirrhosis. In these cases, the pleural effusion is often a transudate.

Table 4 Pleural fluid lipid values in pseudochylothorax and chylothorax

<table>
<thead>
<tr>
<th>Feature</th>
<th>Pseudochylothorax</th>
<th>Chylothorax</th>
</tr>
</thead>
<tbody>
<tr>
<td>Triglycerides</td>
<td>&gt;5.18 mmol/l (200 mg/dl)</td>
<td>&gt;1.24 mmol/l (110 mg/dl)</td>
</tr>
<tr>
<td>Cholesterol</td>
<td>Usually low</td>
<td>Absent</td>
</tr>
<tr>
<td>Cholesterol crystals</td>
<td>Often present</td>
<td>Absent</td>
</tr>
<tr>
<td>Chylomicrons</td>
<td>Absent</td>
<td>Usually present</td>
</tr>
</tbody>
</table>

Chylmicron pathway
There is a propensity for the effusion to resolve asymptomatic, often with pleural fluid which is haemorrhagic. There is a propensity for the effusion to resolve within 6 months, leaving behind residual diffuse pleural thickening. As there are no definitive tests, the diagnosis can only be made with certainty after a prolonged period of follow-up and consideration should be given to early thoracoscopy with pleural biopsy in any patient with a pleural effusion and a history of asbestos exposure, particularly in the presence of chest pain. Table 5 summarises clinical and pleural fluid characteristics of other important causes of unilateral pleural effusions.

### MANAGEMENT OF PERSISTENT UNDIAGNOSED EFFUSIONS

Even after a complete investigation including thoracoscopic biopsies, a significant number of patients with pleural exudates are diagnosed with ‘non-specific pleuritis’ and no specific diagnosis can be made. A retrospective study of 75 such patients found that only 8.3% of these turned out to be malignant over a 2-year follow-up period. The majority of patients with non-specific pleuritis (91.7%) followed a benign course, with spontaneous resolution of the effusion in 81.8% of cases. In patients not fit enough for thoracoscopy, it is sensible to reconsider diagnoses with a specific treatment (eg, TB, pulmonary embolism, lymphoma and chronic heart failure). A considerable number of undiagnosed pleural effusions in this category are due to a malignant process. Watchful waiting may be the appropriate management in this setting.

### REFERENCES


### Table 5 Other important causes of pleural effusions

<table>
<thead>
<tr>
<th>Condition</th>
<th>Clinical features</th>
<th>Pleural fluid characteristics</th>
<th>Special investigations and management</th>
</tr>
</thead>
<tbody>
<tr>
<td>Early post-CABG pleural effusion</td>
<td>Occur within 30 days of CABG. Left &gt; right.</td>
<td>Exudate. Blood (haematocrit &gt;5%). Often eosinophilic</td>
<td>Only perform diagnostic aspiration if the patient is febrile, complains of pleural chest pain or the effusion is very large. Most settle spontaneously</td>
</tr>
<tr>
<td>Late post-CABG pleural effusion</td>
<td>Occur &gt;30 days post-CABG. Left &gt; right.</td>
<td>Exudate. Clear/yellow.</td>
<td>Diagnostic aspiration to exclude other causes and confirm the diagnosis. Repeated therapeutic thoracentesis usually successful for symptomatic effusions.</td>
</tr>
<tr>
<td>Urinothorax</td>
<td>Due to obstructive uropathy. Urine tracks through the retroperitoneum to the pleural space.</td>
<td>Pleural fluid creatinine &gt; serum creatinine. Transudate. Low pH</td>
<td>Usually resolves with relief of the renal obstruction</td>
</tr>
<tr>
<td>Ovarian hyperstimulation syndrome</td>
<td>Life-threatening reaction to ovulation induction (hCG or clomiphene). May be pleural effusion alone (usually right sided) or whole syndrome with: massive ascites, renal and hepatic failure, thromboemboli and ARDS</td>
<td>Exudate with both protein and LDH in exudative range</td>
<td>Repeated therapeutic aspirations often required to relieve dyspnoea</td>
</tr>
<tr>
<td>Lymphoma-related pleural effusion</td>
<td>Effusion may be associated with mediastinal lymphadenopathy on CT but often there are no clinical features to distinguish from other causes of pleural effusion</td>
<td>Exudate. Lymphocytic. Positive cytology in around 40%. Chylothorax in around 15%</td>
<td>Pleural fluid flow cytometry and cytogenetics may be useful. Thoracoscopic pleural biopsies are often negative but required to exclude other causes if diagnosis unclear</td>
</tr>
</tbody>
</table>

ARDS, adult respiratory distress syndrome; CABG, coronary artery bypass graft; hCG, human chorionic gonadotrophin.


