Measurement of nasal potential difference in young children with an equivocal sweat test following newborn screening for cystic fibrosis

Isabelle Sermet-Gaudelus,1 Emmanuelle Girodon,2 Delphine Roussel,1 Eric Deneuville,3 Stéphanie Bui,4 Frédéric Huet,5 Marcel Guillot,6 Rola Aboutaam,1 Michel Renouil,7 Anne Munck,8 Marie des Georges,9 Albert Iron,4 Christel Thauvin-Robinet,5 Isabelle Fajac,10 Gerard Lenoir,1 Michel Roussey,3 Aleksander Edelman1

ABSTRACT

Background A challenging problem arising from cystic fibrosis (CF) newborn screening is the significant number of infants with hypertrypsinaemia (HIRT) with sweat chloride levels in the intermediate range and only one or no identified CF-causing mutations.

Objectives To investigate the diagnostic value for CF of assessing CF transmembrane conductance regulator (CFTR) protein function by measuring nasal potential difference in children with HIRT.

Methods A specially designed protocol was used to assess nasal potential difference (NPD) in 23 young children with HIRT (3 months–4 years) with inconclusive neonatal screening. Results were analysed with a composite score including CFTR-dependent sodium and chloride secretion. Results were correlated with genotype after extensive genetic screening and with clinical phenotype at follow-up 3 years later.

Results NPD was interpretable for 21 children with HIRT: 13 had NPD composite scores in the CF range. All 13 were finally found to carry two CFTR mutations. At follow-up, nine had developed a chronic pulmonary disease consistent with a CF diagnosis. The sweat test could be repeated in nine children, and six had sweat chloride values ≥60 mmol/l. Of the eight children with normal NPD scores, only two had two CFTR mutations, both wide-spectrum mutations. None had developed a CF-like lung disease at follow-up. The sweat test could be reassessed in five of these eight children and all had sweat chloride values <60 mmol/l. CF diagnosis was ruled out in six of these eight children.

Conclusion Evaluation of CFTR function in the nasal epithelium of young children with inconclusive results at CF newborn screening is a useful diagnostic tool for CF.

INTRODUCTION

Cystic fibrosis (CF) is caused by mutations in the gene encoding the CF transmembrane conductance regulator (CFTR) protein, which acts as a chloride channel after activation by protein kinase A (PKA).1 Classicaly, the diagnosis is based on a characteristic clinical disease with a sweat chloride (Cl−) concentration >60 mmol/l and/or the identification of two CF-causing mutations.2

Newborn screening (NBS) has modified this diagnostic strategy.3 This public health programme substitutes preclinical features for later clinical symptoms, thus enabling early management of infants with CF and, accordingly, a better long-term prognosis.4 Most CF NBS protocols use immunoreactive trypsinogen (IRT) as the primary screening test and then confirm the CF diagnosis by identifying a CF-causing mutation on each allele or a sweat Cl− concentration ≥60 mmol/l, or both.5

One important problem arising from CF NBS is that at least 1–2% of infants with hypertrypsinaemia (HIRT) (ie, IRT above the 99th percentile) have sweat Cl− levels in the intermediate range (eg, between 50 and 59 mmol/l) and one or no identified CF-causing mutation.6 Some may remain asymptomatic or develop extremely mild phenotypes that would never be noted clinically as part of the CF spectrum. Conversely others will turn out to have symptoms consistent with CF or develop CFTR-related disorders (CFTR-RDs), clinical entities associated with CFTR mutations that do not meet the current diagnostic criteria for CF. The diagnosis may remain inconclusive in these situations when extensive genetic studies identify genetic variations with an unclear pathogenic potential or detect mutations associated with a wide spectrum of phenotypes.7 There is thus a need for additional tools beyond the sweat test to sort this dilemma out.

In such cases, CFTR function evaluation may serve as a surrogate marker for CF diagnosis. It can be indirectly assessed by measuring nasal transepithelial potential difference (NPD) changes after pharmacological activation of PKA.8 CFTR-dependent Cl− secretion is absent in classic CF disease and is normal or only minimally reduced among heterozygotes.9 This test provides a valuable diagnostic tool for patients with CF-like symptoms; to distinguish those with non-classic CF and evidence of CFTR dysfunction from those whose normal CFTR function indicates that they are unlikely to have CF.10 However, no study has reported the use of NPD for infants with persistently elevated IRT and an inconclusive diagnosis.

We adapted our NPD protocol to very young children and conducted a collaborative study in infants with a persistently raised IRT during CF NBS, intermediate sweat chloride concentrations (between 50 and 59 mmol/l) and inconclusive genetic findings. To investigate whether the early demonstration of CFTR dysfunction in nasal epithelium is associated with the subsequent appearance of a CF clinical phenotype, NPD results
were correlated with the children’s clinical phenotype at follow-up 3 years later and with their genotype after extensive genetic screening.

**METHODS**

**Population**

Twenty-three children with HIRT were referred from various CF centres in France from January 2006 to December 2008. All had intermediate sweat Cl− concentrations from 30 to 59 mmol/L. In accordance with the two-step French NBS strategy, 18 infants were referred to CF centres after birth because of neonatal IRT above the 99th percentile of normal values and one mutation identified from a panel of 33 CF-causing mutations. Five other children had no mutation identified at the first step but their IRT remained elevated at 3 weeks of life. The Necker-Enfants Malades Institutional Review Committee approved the protocol, and each parent provided written informed consent.

These children were compared with the local reference population.

- 29 healthy non-smoking control subjects with no family history of CF; a sweat Cl− concentration <30 mmol/l and none of the 30 CFTR mutations most prevalent in the French population.
- 67 patients with CF with typical disease, sweat chloride concentration ≥60 mmol/l and CF-causing mutations on both alleles.

**Nasal potential difference**

The ‘infant’ protocol was derived from our previously published standardised protocol for patients older than 5 years. The test was performed with children lying comfortably in a supine lateral recumbent position. Twenty-one children had light sedation (intrarectal diazepam 0.5 mg/kg for those younger than 6 months or midazolam 0.3 mg/kg for older infants). NPD was measured between a reference electrode positioned on a slightly lateral recumbent position. Twenty-one children had light sedation (intrarectal diazepam 0.5 mg/kg for those younger than 6 months or midazolam 0.3 mg/kg for older infants). NPD was measured after perfusion of the nasal epithelium with Ringer saline solution, and PD changes were recorded after perfusion of the nasal mucosa with Ringer solution was performed with children lying comfortably in a supine position.

The study enrolled 23 children with HIRT (3 months–4 years). At the time of NPD testing, 14 children were younger than 2 years, including five aged from 3 to 6 months.

**RESULTS**

The study enrolled 23 children with HIRT (3 months–4 years). At the time of NPD testing, 14 children were younger than 2 years, including five aged from 3 to 6 months.

**Validation of the diagnostic composite NPD score**

A case-control analysis of our CF and the control population allowed us to determine that a ΔLowCl−-Iso value above −6 mV was indicative of CF. However, although the specificity of this threshold for CF diagnosis was good (96%), its sensitivity for diagnosing the disease was insufficient for our purposes (95%). We therefore used discriminant analysis to develop the following composite score: −0.11 ΔLowCl−-Iso − 0.05ΔAmiloride. We determined a diagnostic cut-off of ≤0.27 for CF that discriminated all patients with CF from all healthy subjects (online supplement). This score was then validated in a second adult population of 88 patients with CF and 53 controls.

**Comparison of the infant and the standard paediatric NPD protocols**

In the preliminary study comparing results obtained from the standard and ‘infant’ protocols in three healthy adults
(22–38 years) and three adolescents with classic CF (11–18 years), results were similar among both the control subjects and the patients with CF (results shown in the online supplement).

The infant protocol was next applied to three young children, two with CF-causing mutations and one healthy control child. The results were in the same range as those of the corresponding reference CF and healthy populations (figure 1).

NPD results in the children with HIRT

Tolerance of the NPD test was good for all patients with HIRT. The NPD results were reliable for 21 of the 23 children. As a group, the patients with HIRT differed significantly from both the patients with CF and the controls for both Cl– secretion and Na+ absorption (table 1). The diagnostic score classified patients into two groups: 13 in the CF range (diagnosis score $\leq 0.27$; HIRT-CF) and eight in the control range (diagnosis score $>0.27$, HIRT-NI). The two groups differed significantly for the response to amiloride and to isoproterenol in low Cl– solution (table 1, figure 2). The patients in the HIRT-NI group had normal Na+ transport, and their $\Delta$LowCl–Iso values, reflecting Cl– secretion, were always above the normal repolarisation threshold of $-6\text{ mV}$. Patients in the HIRT-CF group had NPD results in the CF range with low response to isoproterenol in low chloride solution, and a high response to amiloride.

Characteristics of the patients with HIRT according to CFTR function

The characteristics of the patients enrolled are shown in the online supplement. At birth, the children did not differ significantly between the two groups for IRT and birth weight (table 2). Four of the HIRT-NI group had a history of neonatal hospitalisation and were born with low birth weight and chronic fetal distress, a clinical condition that has been reported to increase IRT transiently.

At evaluation, the two groups did not differ with regard to pulmonary symptoms (table 2). Sweat Cl– concentrations were less elevated in the HIRT-CF group but nevertheless ranged between 50 and 57 mmol/L. After a mean follow-up of 3 years, the HIRT-CF group had a significantly higher rate of CF-like lung disease symptoms ($n=9$ vs none in the HIRT-NI group; $p=0.001$; Fisher exact test). *Pseudomonas aeruginosa* was isolated only among the HIRT-CF group. Chronic *S aureus* colonisation was seen in three patients of the HIRT-CF group, whereas patients with HIRT-NI always had a transient colonisation. Importantly, three patients with HIRT-CF developed bronchiectasis, underscoring the need for an early definitive diagnosis to prevent this irremediable sequela. Two children with a diagnosis score in the CF range had, respectively, a CFTR-related hepatopathy and a pancreatic insufficiency. Sweat Cl– concentrations were significantly higher in the group with abnormal NPD. Six of them had sweat Cl– levels $\geq 60\text{ mmol/l}$ compared with none among those with normal NPD.

Altogether, by the 3-year follow-up, 11 of the 13 children in the HIRT-CF group had phenotypic features consistent with CF (either clinical or sweat test results), and none in the HIRT-NI group ($p=0.0002$; Fisher exact test). A diagnosis of CF was ruled out in six of the eight patients with HIRT-NI but none of the patients with HIRT-CF ($p=0.0005$; Fisher exact test).

Correlation with the mutation classification

Of the three patients with no mutation identified, all had normal NPD responses (table 3). Five patients were F508del heterozygous after the initial search for frequent mutations at CF NBS. Three had an abnormal NPD score. A second mutation was subsequently identified in all three (621+5A $\rightarrow$ G, R955G and Q1291R) while the other two with diagnostic scores in the normal range had no other mutation and were asymptomatic at follow-up.

All 13 patients in the HIRT-CF group carried two CFTR mutations, compared with two patients in the HIRT-NI group (Fisher exact test; $p=0.01$): (1) two patients had two CF-causing mutations (A/A) versus none in the HIRT-NI group; (2) nine patients carried one CF-causing mutation (A) in trans with one
DISCUSSION

This prospective study demonstrates that very young children with HIRT with a borderline sweat test and defective CFTR function in the nasal epithelium are at risk of a worse clinical outcome in later childhood than their counterparts with normal NPD. They are also significantly more likely to carry a CF-causing mutation in trans with another CF- or CFTR-RD-associated mutation.

Limitations of the study

Despite numerous studies that have sought to assess the role of NPD as a diagnostic tool for CF disease, the test has been neither standardised nor validated for diagnostic accuracy. We therefore used discriminant function analysis to determine a composite score that best discriminated between CF and healthy subjects.

Little has been reported about NPD in very young children, mostly because of the challenges of performing this test in a population unable to cooperate. Our methods differed from those in the previous studies by Southern and Gaillard: we used a non-perfusing method (ECG cream) because it provided more stable tracings, a different customised catheter and low chloride solution of slightly different composition. The shortened protocol used in this study yielded results similar to those with the standard protocol in testing three healthy controls and three patients with CF, indicating that (1) the gradient for Cl− secretion obtained with the decreased flow rate of 3 ml/min was sufficient to differentiate healthy controls from patients with CF, and (2) the maximal change after isoproterenol in low Cl− perfusion with the infant protocol was similar to that obtained for the standard protocol in which isoproterenol was added after an initial perfusion with low Cl− solution.

We could not perform a rigorous case-control study including healthy infants and those with CF because of obvious ethical concerns. However, we were able to verify both in a few healthy toddlers and in those with CF older than 6 months of age that the level of Cl− secretion is similar to that in older children, as shown in two previous studies.

Interest in NPD assessment for CF diagnosis in very young children

NPD evaluation, according to the diagnosis score, clearly identified a group of 13 infants with abnormal NPD. Although individual values were more dispersed than in the control group of older patients with two CF-causing mutations, these children had a chloride secretion level approaching that found in typical patients with CF. CFTR function was normal in eight other children.

This diagnostic classification was confirmed at follow-up. Six of the eight patients with normal CFTR function were finally diagnosed with a condition other than CF, and 12 of the 15 patients with HIRT-CF developed either phenotypic features consistent with CF (including chronic productive cough, P. aeruginosa, chronic S. aureus colonisation, bronchiectasis,
pancreatic insufficiency) or sweat Cl\(^{-}\) concentration levels >60 mmol/l.

**Correlation between NPD assessment and CFTR genotype**

Extensive mutation analysis of the patients with HIRT found that all 13 patients in the HIRT-CF group carried two CFTR mutations, including at least one CF-causing mutation. Of the second alleles, eight were not detected by commercial diagnostic assays. This was, in particular, true for the three HIRT F508del heterozygous patients at initial genetic screening who had abnormal NPD scores. These observations suggest that finding an ion transport defect in the nasal epithelium of infants with HIRT who have only one CF-causing mutation at the first stage of the neonatal screening genetic analysis should lead to a more intensive search for a second mutation. Therefore, a two-step diagnostic strategy in children with HIRT with borderline sweat test could include NPD first and be followed by exhaustive genetic screening only if NPD results are in the CF range.

Of the eight patients with normal NPD scores, only two carried two CFTR mutations. Specifically, both had F508del and a wide clinical spectrum mutation associated with normal clinical status in adulthood. These two patients were asymptomatic at follow-up. Conversely, patients with other wide-spectrum mutations and abnormal NPD scores later turned out to have CF. These findings suggest therefore that the identification of a CF-like functional defect in patients carrying such wide-spectrum mutations might be predictive of a CF-like disease, while normal CFTR function would be associated with, at most, mild non-specific disease.

Five children carrying the F508del/R117H;T7 genotypes were investigated. Two developed early CF-like pulmonary disease, and a third had recurrent wheezing. Interestingly, all three had defective CF-like Cl\(^{-}\) secretion. Although we cannot rule out a mutation in a non-coding region or in the promoter region, despite extensive genotyping, these findings suggest that the rare association of CFTR-related clinical symptoms with the F508del/R117H;T7 genotype may be assessed by NPD measurements.

**CONCLUSION**

Although the clinical significance of CFTR dysfunction in the newborns with HIRT can only be definitively determined through systematic long-term follow-up, our results suggest

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Table 2: Clinical characteristics of children with hypertrypsinaemia (HIRT) at birth and at follow-up according to the diagnostic score cut-off

<table>
<thead>
<tr>
<th>Diagnosis score</th>
<th>HIRT-NI</th>
<th>HIRT-CF</th>
<th>p Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>No. of patients</td>
<td>8</td>
<td>13</td>
<td></td>
</tr>
<tr>
<td><strong>At birth</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Age (years)</td>
<td>3.4 (3)</td>
<td>4.1 (1.4)</td>
<td>0.88</td>
</tr>
<tr>
<td>Sweat test &gt;60 mmol/l</td>
<td>0/5</td>
<td>6/9</td>
<td>0.03</td>
</tr>
<tr>
<td>Recurrent lower tract infection*</td>
<td>3/8</td>
<td>8/13</td>
<td>0.38</td>
</tr>
<tr>
<td><strong>BMI Z score</strong></td>
<td>-1.6 (1.5)</td>
<td>-1 (2.3)</td>
<td>0.06</td>
</tr>
<tr>
<td><strong>At follow-up</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Age (years)</td>
<td>3/8</td>
<td>8/13</td>
<td>0.38</td>
</tr>
<tr>
<td>Sweat test &gt;60 mmol/l</td>
<td>0/5</td>
<td>6/9</td>
<td>0.03</td>
</tr>
<tr>
<td>Recurrent lower tract infection*</td>
<td>3/8</td>
<td>8/13</td>
<td>0.38</td>
</tr>
<tr>
<td>Chronic P aeruginosa</td>
<td>0/8</td>
<td>1/13</td>
<td>0.9</td>
</tr>
<tr>
<td>Chronic S aureus</td>
<td>0/8</td>
<td>3/13</td>
<td>0.25</td>
</tr>
<tr>
<td>Transient isolation of S aureus</td>
<td>3/8</td>
<td>4/13</td>
<td>0.9</td>
</tr>
<tr>
<td>Airway obstruction</td>
<td>1/8</td>
<td>4/13</td>
<td>0.6</td>
</tr>
<tr>
<td>Bronchiectasis</td>
<td>0/8</td>
<td>3/13</td>
<td>0.25</td>
</tr>
<tr>
<td>BMi Z-score</td>
<td>-1.2 (1.6)</td>
<td>0 (2)</td>
<td>0.04</td>
</tr>
<tr>
<td>Pancreatic insufficiency</td>
<td>0/8</td>
<td>1/13</td>
<td>0.99</td>
</tr>
<tr>
<td>Alternative diagnosis</td>
<td>6/8</td>
<td>0/13</td>
<td>0.0005</td>
</tr>
</tbody>
</table>

Results are presented as median (IQR) or number of observations. Comparison with Fisher exact test for qualitative variables and Mann–Whitney test for quantitative variables. Patients with HIRT-NI have a diagnostic score >0.27, patients with HIRT-CF have a diagnostic score <0.27.

*At least four episodes per week requiring modification of basal treatment.

BMI, body mass index; CF, cystic fibrosis; IRT, immunoreactive trypsinogen; NPD, nasal potential difference.

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Table 3: Genotypes of the children with HIRT according to the diagnostic score cut-off in the 21 patients with reliable NPD tests; results after extensive genetic analysis

<table>
<thead>
<tr>
<th>CFTR genotypes</th>
<th>Diagnosis score</th>
<th>&lt;0.27 (8 patients)</th>
<th>≤0.27 (13 patients)</th>
</tr>
</thead>
<tbody>
<tr>
<td>A/A</td>
<td>0</td>
<td>F508del/R21+3A → G</td>
<td>F508del/Q1291R</td>
</tr>
<tr>
<td>A/AB</td>
<td>F508del/R347H</td>
<td>W124X/R117C:n=2</td>
<td>W124X/R117C:n=2</td>
</tr>
<tr>
<td></td>
<td>F508del/R117H,T7</td>
<td>2183AA → G/L206W</td>
<td>2183AA → G/L206W</td>
</tr>
<tr>
<td></td>
<td>F508del/3272-26A</td>
<td>F508del/3272-26A</td>
<td>F508del/3272-26A</td>
</tr>
<tr>
<td></td>
<td>F508del/R117H;T7</td>
<td>n=4</td>
<td>n=4</td>
</tr>
<tr>
<td>A/D</td>
<td>0</td>
<td>F508del/R933G</td>
<td>G551D/R352Q</td>
</tr>
<tr>
<td>B/D</td>
<td>G622D/3849+45G → A</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>A/0</td>
<td>F508del/0 n=2</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>0/0</td>
<td>3</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

0, no identified mutation; A, CF-causing mutation; B, mutation associated with cystic CFTR-related disorders; C, mutation with no clinical consequence; D, mutation of unknown or uncertain clinical relevance; AB, mutation that is associated with a wide phenotypic spectrum that might belong to either group A or B.

CFTR, cystic fibrosis transmembrane conductance regulator; HIRT, hypertrypsinaemia; NPD, nasal potential difference.
that assessment of CFTR function in early infancy helps to identify infants at risk of developing a CFTR-related lung disease that requires active follow-up and intense treatment. Conversely, the demonstration of normal CFTR function does not definitively exclude the possibility of mild CFTR dysfunction but appears to rule out typical CF disease with a high degree of probability and should prompt a more thorough investigation for an alternative diagnosis. The avoidance of unnecessary treatment for families and of useless burdensome treatment for children appears to make this test very valuable in clinical practice.26

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Competing interests None.

Ethics approval This study was conducted with the approval of the Necker-Enfants Malades Institutional Review Committee.

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Cystic fibrosis