Antagonism of tumour necrosis factor \( \alpha \) in refractory asthma

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Asthma that is refractory to treatment with inhaled corticosteroids affects 5–10% of patients with asthma; it is an important health and economic problem in the UK and most industrialised nations. It is estimated that \( \text{€17.7 billion} \) is spent on asthma healthcare per annum in Europe,\(^1\) of which a disproportionately large amount is attributed to patients with refractory asthma, on average six times more per patient compared with mild to moderate disease.\(^2\) Patients with this condition suffer considerable morbidity and mortality and they therefore represent an important unmet clinical need. The European Respiratory Society\(^3\) and the American Thoracic Society\(^4\) have identified research into the mechanisms and treatment of refractory asthma as a research priority.

Asthma can be apparently refractory to treatment because of poor treatment adherence or because symptoms are caused by comorbid conditions such as rhinitis, hyperventilation and bronchiectasis.\(^4\) However, a significant number of patients have genuinely severe disease. The patterns of refractory disease are heterogeneous, both between and within patients: some have recurrent exacerbations with satisfactory day-to-day control of symptoms and lung function; in others the predominant feature is symptoms due to persistent airway dysfunction. There is also heterogeneity in the response to treatment, with some patients not achieving control despite maximum corticosteroid therapy and others achieving control, but requiring an unacceptably high dose systemic corticosteroid treatment to do so. We are only beginning to understand how these different clinical patterns of disease relate to airway dysfunction and the pattern of airway inflammation. The ultimate hope is that better understanding of refractory asthma phenotypes might allow additional treatment to be better targeted. This is important, as treatment options are often expensive and potentially toxic. Until treatment can be targeted effectively and consistently across multiple sites, we should be prepared for the frustrating roller coaster of initial encouraging results from single centre studies of small numbers of well characterised patients followed by disappointing results from larger multicentre studies. The anti-tumour necrosis factor \( \alpha \) (TNF\( \alpha \)) story in refractory asthma is a good example of this.

The rationale for investigating the role of TNF\( \alpha \) in refractory asthma is strong. Firstly, many of the pathophysiological abnormalities that set refractory asthma apart from more easily controllable disease, including increased neutrophilic airway inflammation, exaggerated airway remodelling, corticosteroid resistance, fixed airflow obstruction and systemic inflammation, can be explained on the basis of increased TNF\( \alpha \) production within the airway.\(^5,6\) Secondly, factors associated with the development of refractory asthma such as smoking,\(^7\) endotoxin exposure,\(^8\) obesity\(^9\) and chronic infection\(^10\) are associated with activation of the innate immune response and therefore might be expected to increase airway TNF\( \alpha \) production. Thirdly, there is consistent evidence that TNF\( \alpha \) is overexpressed in refractory asthma compared with mild to moderate asthma, not only within the airway\(^11\) but also systemically.\(^12\) Fourthly, administration of inhaled recombinant TNF\( \alpha \) leads to airway hyperresponsiveness in patients with asthma\(^13\) and in normal controls,\(^14\) possibly via a direct effect on airway smooth muscle\(^15\) or associated mast cells.\(^16\) The final impetus for investigating the TNF\( \alpha \) axis in refractory asthma is that it has become practical to do so with the advent of a number of antagonists, which have been shown to be effective and reasonably safe in other diseases associated with TNF\( \alpha \) overproduction.

Initial experience with anti-TNF\( \alpha \) treatment of refractory asthma was very encouraging. In an initial open label, 12 week study\(^1\) and a subsequent 10 week double blind, randomised, placebo controlled, crossover study of 10 subjects,\(^17\) treatment with etanercept was associated with a marked reduction in methacholine airway responsiveness, improved symptoms, better quality of life scores and improved lung function. The findings in less severe asthma have been less compelling. In a double blind, placebo controlled, parallel group design study of 58 patients, treatment with infliximab for 12 weeks resulted in a decrease in the number of patients with mild deterioration in asthma control compared with placebo, but there was no effect on the primary endpoint, change in morning peak expiratory flow.\(^18\) No major safety issues were raised in the three studies and there was considerable enthusiasm to extend this work to multicentre studies of larger populations employing more patient relevant outcomes.

One such study is reported by Morjaria and colleagues\(^19\) in this issue of Thorax (see page 584). They carried out a randomised, placebo controlled, parallel group study of 12 weeks of treatment with etanercept in 39 subjects with refractory asthma. Treatment was associated with a significant reduction in the Juniper Asthma Control Score, reflecting better asthma control, but no significant effect on asthma related quality of life. There was no effect of treatment on airway responsiveness or lung function nor was there a clinically important effect on measures of eosinophilic and neutrophilic airway inflammation.

Why are the findings of this study so much less compelling than the findings of the initial pilot studies? The population studied by Morjaria and colleagues\(^19\) had much less severe airway hyperresponsiveness than the population studied in the pilot studies and it is possible that less clear evidence of benefit was seen because the beneficial effects of TNF\( \alpha \) antagonism is greater in those with more severe or more corticosteroid resistant airway dysfunction. Another possibility is that the population studied by Morjaria et al contained a higher proportion of patients whose refractory symptoms were caused by non-asthma related factors. In support of this, a post hoc analysis suggested that the beneficial effects of treatment on asthma control and quality of life was more marked when the analysis was restricted to the 29 subjects not taking antidepressants. Finally, it is important to consider whether the arbitrary and sometimes illogical exclusion criteria employed in many industry sponsored multicentre studies result in loss of the patients who are most likely to respond to treatment.

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What then can we learn from this story and how can we move the field of new drug development in refractory asthma forward? One obvious but important and often overlooked priority is to study the correct patient population and choose the right outcome measure. Thus if one is investigating an anti-eosinophil treatment, it makes sense to begin investigation in a population with severe eosinophilic airway inflammation and choose an inflammation related outcome measure such as exacerbation frequency. In contrast, if the major effects of the investigational agent are on airway smooth muscle function (as seems to be the case with anti-TNFα treatment), then a better population would be those with severe and corticosteroid resistant airway dysfunction, and better outcome measures would be symptoms, variable airflow obstruction and airway hyperresponsiveness. Another important priority is to identify biomarkers of treatment response. In our initial study the beneficial effects of etanercept were closely related to peripheral blood mono-nuclear cell membrane TNFα expression. Whether this biomarker can be used to identify a population where anti-TNFα treatment can be used effectively and safely should be investigated.

At a later stage in development, or if the main effects of the investigational agent are unclear, then it is important to evaluate a sufficiently large and well characterised population for relationships between baseline demographics, potential biomarkers and treatments response to be identified. Considerable thought should be put into recruitment criteria with the emphasis more on identifying and including the at need population and less on recruiting a pure population based on arbitrary clinical and physiological criteria. If we can do this, we will learn more about the pathophysiology and heterogeneity of refractory asthma and we may have more to offer a group of patients who currently have considerable unmet needs.

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REFERENCES