

Standards of care for occupational asthma

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► Additional data are given in the three Appendices published online only at <http://thorax.bmj.com/content/vol63/issue3>

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Occupational asthma remains a common disease in the UK with up to 3000 new cases diagnosed each year. The Health and Safety Executive (HSE) estimates the cost to our society to be over £1.1 billion for each 10-year period.¹ In October 2001 the Health and Safety Commission agreed a package of measures aimed at reducing the incidence of asthma caused by exposure to substances in the workplace by 30% by 2010. Key to this aim are primary prevention by proper risk assessment and exposure control, together with secondary prevention to ensure reduction in the delay between the development of allergic symptoms at work (normally nasal or respiratory) and appropriate advice to the affected worker and workplace.

Conservative estimates suggest that one in 10 cases of adult onset asthma relate directly to sensitisation in the workplace,² with a smaller subset of workers with acute irritant induced asthma. The latter—formerly termed reactive airway dysfunction syndrome (RADS)—relates to asthma caused by exposure to high levels of airborne irritants.

The prognosis of individuals with occupational asthma is better if they are removed from exposure quickly, particularly within a year of first symptoms.^{3–5} However, removing individuals often leads to unemployment. If the diagnosis of occupational asthma is incorrect, advising individuals whose asthma is not caused by work to be removed from exposure may have unnecessary financial and social consequences.

► **The reported incidence of occupational asthma may be underestimated by as much as 50% (ES3* SIGN 3).** (ES, Evidence Statement with original BOHRF reference number⁶ as suffix.)

SCOPE AND BACKGROUND

The intent of this article is not to document the entire current evidence base related to occupational asthma, as the British Occupational Health Research Foundation (BOHRF) recently completed such an evidence review.⁷ The key points of this article are summarised in box 1.

This article is intended to give guidance to all healthcare professionals dealing with workers exposed to agents that potentially cause asthma, and was designed specifically for this purpose in collaboration with the British Thoracic Society (BTS) Standards of Care Committee. Mindful of the fact that managing patients in practice is very different

from the way it appears in guidelines, this article serves primarily to inform readers of the recent evidence base and translate this into clinical practice.

This article is aimed to be of value to physicians and nurses based in primary and secondary care and occupational health and public health departments. It will also hopefully be useful to employers and interested workers (such as health and safety representatives).

The evidence base quoted is consistent with and normally sourced from the BOHRF guidance, although references that have been published since this guidance are cited in addition. The final version of this document has been agreed by the Standards of Care Committee and collectively produced by the Group of Occupational Respiratory Disease Specialists (GORDS), a secondary care-based group of respiratory physicians with a clinical and research interest in occupational lung disease. This group meets regularly and is coordinated by HSE.

The evidence-based ratings cited through this document relate to the original grading of evidence strength assigned by BOHRF during their evidence-based review. Evidence for each statement was graded using both the SIGN system (Scottish Intercollegiate Guidelines Network grading system) and the Royal College of General Practitioners (RCGP) three star system (1995). In the former, evidence is graded from level 1++ (high quality meta-analyses, systematic reviews of randomised controlled trials or randomised controlled trials with a very low risk of bias) to level 4 (expert opinion). In the latter, three stars denote strong evidence; two stars moderate evidence; one star limited or contradictory evidence; and no stars represent no scientific evidence.

It is not intended—nor should it be taken to imply—that this standard of care overrides existing legal obligations. Duties under the Health and Safety at Work Act 1974, the Management of Health and Safety at Work Regulations 1999, the Disability Discrimination Act 1995, the Control of Substances Hazardous to Health Regulations 2002 and other relevant legislation must be given due consideration.

This document deals primarily with asthma caused by work due to sensitisation to allergens found in the workplace.

PREVENTION

Primary prevention

Primary prevention of occupational asthma can be achieved by carrying out a comprehensive risk

Box 1 Summary of major recommendations of the British Occupational Health Research Foundation (BOHRF) with revised SIGN grading levels

- ▶ The reported incidence of occupational asthma may be underestimated by as much as 50% (ES3* SIGN 3).
- ▶ The most frequently reported agents include isocyanates, flour and grain dust, colophony and fluxes, latex, animals, aldehydes and wood dust (ES4* SIGN 3).
- ▶ Atopy increases the risk of developing occupational asthma caused by exposure to many high molecular weight (HMW) agents that induce the production of specific IgE antibodies (ES9*** SIGN 2+).
- ▶ Occupational rhinitis and occupational asthma frequently occur as co-morbid conditions in IgE associated occupational asthma (ES12** SIGN 2+).
- ▶ The risk of developing occupational asthma is highest in the year after onset of occupational rhinitis (ES14* SIGN 2-).
- ▶ Reducing airborne exposure reduces the number of workers who become sensitised and who develop occupational asthma (ES16** SIGN 2+).
- ▶ Health surveillance can detect occupational asthma at an earlier stage of disease and the outcome is improved in workers who are included in a health surveillance programme (ES20* SIGN 3).
- ▶ Pre to post shift changes in lung function cannot be recommended for the validation or exclusion of occupational asthma (ES27* SIGN 3).
- ▶ The sensitivity and specificity of serial peak expiratory flow (PEF) measurements are high in the diagnosis of occupational asthma (ES31** SIGN 3).
- ▶ Changes in non-specific bronchial responsiveness at and away from work have only moderate sensitivity and specificity for diagnosis (ES35** SIGN 2-).
- ▶ Both skin prick and serological tests are highly sensitive for detecting specific IgE and occupational asthma caused by most HMW agents, but are not specific for diagnosing occupational asthma (ES37** SIGN 2+).
- ▶ The symptoms and functional impairment of occupational asthma caused by various agents may persist for years after avoidance of further exposure to the causative agent (ES42*** SIGN 2+).
- ▶ The likelihood of improvement or resolution of symptoms or of preventing deterioration is greater in workers who have no further exposure to the causative agent (ES43*** SIGN 2++).
- ▶ Redeployment to a low exposure area may lead to improvement or resolution of symptoms or prevent deterioration in some workers, but is not always effective (ES47* SIGN 3).
- ▶ Approximately one-third of workers with occupational asthma are unemployed up to 6 years after diagnosis (ES49** SIGN 2-).
- ▶ Workers with occupational asthma suffer financially (ES50** SIGN 2-).

assessment of the workplace, allowing reduction in exposure to asthmagens and through an appropriate health surveillance programme. The former will allow the identification of hazards with unacceptable risk while the latter will allow a responsible person in the workplace to identify workers at risk of allergic (or

irritant) disease during pre-employment, pre-placement screening and ongoing health surveillance.

An example of primary prevention would be the reduction seen in latex-induced occupational asthma. Work with powdered latex gloves in healthcare workers was probably responsible for occupational asthma due to the aerosolisation of latex caused by opening glove containers and adding powder. Substituting these gloves with, for example, low protein non-powdered latex gloves has been shown to reduce new cases of allergy and asthma.⁸

Similarly, steps to encapsulate certain workplace agents (and hence reducing the production of a dust) have also been shown to be effective at reducing workplace sensitisation.⁹

Exposures in the workplace should be low enough to prevent the onset of asthma in all workers, irrespective of their individual susceptibility.¹⁰ Various host factors have been shown to increase the risk of occupational asthma including genotype—for example, evidence from HLA studies has identified increased susceptibility to various agents.^{11 12} These studies are of interest mechanistically, but the general area of genetic susceptibility to occupational asthma is complex and requires further research. Individual risk factors for the development of occupational asthma may therefore need to be taken into account when attempting to reduce the incidence of new cases of occupational asthma.

While these issues may need to be documented in pre-employment screening for new workers, the presence of a risk factor should not be used to exclude or refuse employment, although their presence may influence the frequency of health surveillance. Furthermore, a previous history of asthma should not normally be used to exclude a worker from employment.

Secondary prevention

Secondary prevention of occupational asthma will also potentially arise as part of a health surveillance programme. In particular, once markers of early possible occupational asthma are identified, removal from exposure may lead to regression of these symptoms, preventing progression to established and disabling disease. Data exist, for example, in relation to such an approach working well in the detergent enzyme industry and for exposure to complex platinum salts.¹³

This approach should be backed up by a clear occupational health policy, so that there is a clear line of referral or investigation for those workers with potential allergy to workplace agents. It is likely that a proportion of these workers will need further health assessment, although the nature and location of this will depend upon local expertise.

A clear example of this approach relates to the reduction in incidence of isocyanate asthma recently seen in the Province of Ontario, Canada. This followed a comprehensive programme to place under surveillance and potentially educate all diisocyanate users about the risks of isocyanate paints. Although the number of newly identified cases of occupational asthma reduced, the programme was not able to identify which component(s) of the programme had led to the observed reduction.¹⁴

Tertiary prevention

Tertiary prevention is largely concerned with reducing the disability associated with occupational asthma in workers already diagnosed with this condition. The standard advice given to such workers is that further exposure to allergens known to cause their asthma is inadvisable. If continued exposure is allowed (this decision must be taken individually

Box 2 A typical health surveillance approach for occupational asthma

- ▶ **Low level:** Respiratory health surveillance when there is only suggestive evidence of a respiratory hazard, little likelihood of exposure or that the substance is normally handled in a way that prevents inhalation:
 - Pre-employment enquiry and spirometry (forced expiratory volume in 1 s (FEV₁) and forced vital capacity (FVC)).
 - Inform workers about likely exposures and symptoms to watch out for.
 - Inform workers to report such symptoms to a responsible person.
 - Annual questionnaire.
- ▶ **High level:** Respiratory health surveillance when there is strong evidence of a hazard with associated risk of exposure:
 - Pre-employment enquiry and spirometry (FEV₁ and FVC).
 - Inform workers about likely exposures and symptoms to watch out for.
 - Inform workers to report such symptoms to a responsible person.
 - Questionnaire at 6 and 12 weeks following start of job.
 - Annual questionnaire thereafter.
 - Consider the use of surveillance spirometry.
 - Consider the use of immunology testing.

In both instances, while the collection of data can be carried out by a responsible trained person (nurse, physician, health and safety manager, occupational health technician), it is the responsibility of a physician to interpret the data in the light of the symptoms experienced in order to give the best advice. The actual content of a health surveillance programme will additionally depend upon local factors. Workers may under-report symptoms, possibly due to the fear of losing their jobs. All those making spirometric measures in occupational settings should have received appropriate training.

with the worker understanding the potential consequences), this must be to the lowest possible levels of allergen, and the worker must be enrolled into a “high level” health surveillance programme, as discussed below.

HEALTH SURVEILLANCE

A health surveillance programme would normally be expected in a workplace that has carried out a risk assessment (required by the Control of Substances Hazardous to Health (COSHH) regulations,¹⁵ regulation 11, paragraph (2)(b)), which has identified a risk associated with a potential asthmagen. While the content of the programme will vary depending on local factors, HSE has produced a document¹⁶ that sets out the typical health surveillance programmes, summarised in box 2. There are also examples of industry-specific best practice for the management and prevention of occupational asthma.¹⁷

There is limited evidence (eg, Gordon *et al*)¹⁸ to support under-reporting of symptoms in such schemes, possibly due to the fear of losing work.

- ▶ **Health surveillance can detect occupational asthma at an earlier stage of disease and the outcome is improved in workers who are included in a health surveillance programme (ES20* SIGN 3).**

EDUCATION

Identification of occupational asthma and workers at risk of this condition is the responsibility of all those in contact with workers exposed to agents known to cause asthma. The COSHH regulations state that workers exposed to allergens should be warned about symptoms that could be due to allergy. In addition, workers should be informed about how agents in the workplace can cause breathing problems and how best to avoid symptoms, and particularly doing so by reducing exposure to asthmagens. It should also be made clear to workers what to do, and to whom they should speak at each workplace, should they develop such symptoms, particularly if these occur between scheduled health surveillance visits.

Educational programmes should be aimed not only at employers but at a variety of healthcare professionals including nurses and doctors (based in industry, primary and secondary care), occupational hygienists and workers with responsibility for health and safety.

The health surveillance programme should include an element of continuing education, although this does not have to be delivered at the same time as the standard surveillance. Indeed, worker education could be included as a separate component of work activity. The key components of such a programme that should be delivered locally using best available resources are shown in box 3. The HSE website on occupational asthma (www.hse.gov.uk/asthma/index.htm) acts as an excellent resource.

An example of a worker/patient information sheet is given in Appendix 3 available as an online supplement.

DIAGNOSTIC PROCESS

The diagnosis of occupational asthma may be relatively straightforward, but may also pose certain problems. The process is normally iterative, requiring time and access to key resources including nursing skills and lung function tests.

The patient journey

Figure 1 shows the typical patient journey, moving from a period of exposure while asymptomatic to a final diagnosis. Little is currently known about the delays experienced at each of these steps. In addition, workers have to recognise that respiratory symptoms may relate to work, a process that will depend in part on adequate education about this potential link. While the BOHRF guidelines suggest that education should occur, limited UK data suggest that this does not happen consistently.¹⁹

Similarly, feedback to the workplace following a diagnosis of occupational asthma, in order to effect appropriate change, is probably variable. While employers have a responsibility to report known cases of occupational asthma to HSE under the Reporting of Injuries, Diseases and Dangerous Occurrences Regulations, 1995 (RIDDOR, <http://www.riddor.gov.uk/>),²⁰ this may not happen in practice. Clearly, employers need to be aware that a case of occupational asthma has been diagnosed in their workforce, but practically this information may not be disclosed to the employer, particularly if the worker has not given consent. Contractually, however, if a worker needs annual certification of fitness to work in an area where there are allergens, the health practitioner could withhold a certificate of fitness where occupational asthma is suspected.

All workers with possible asthma, new onset asthma, worsening control of existing asthma or airways obstruction should be suspected of having occupational asthma. In addition,

Box 3 Content of an educational programme for occupational asthma should revolve around these key statements

- ▶ 1 in 10 cases of adult onset asthma potentially relate to work.
- ▶ There are many causes of occupational asthma, although the top 10 agents are probably responsible for most cases in the UK. Individual causes need to be discussed in detail.
- ▶ Work-related respiratory symptoms (cough, wheeze, chest tightness, shortness of breath) are key to a diagnosis, including nasal and eye symptoms as a possible early marker of allergic disease.
- ▶ Spotting cases (and those at risk) early is important in the workplace, as well as primary and secondary care.
- ▶ The outlook is better when workers are removed early from harmful exposure.
- ▶ Baseline investigations are easy to perform and should include history taking, lung function measures (FEV₁ and FVC, PEF) and serial PEF measures.
- ▶ OASYS II software (or other PEF automated software) should be used to analyse serial PEF.
- ▶ The indications for referral to a specialist centre would include advice on existing investigations, measures of bronchial responsiveness, immunological investigations and challenge tests (specific and non-specific).
- ▶ A confirmed diagnosis has implications for the affected worker (financial and psychosocial), co-workers (both exposed and at-risk) and the workplace (requires intervention to reduce exposure).
- ▶ Health surveillance is an important way of identifying those with early symptoms and signs of allergy.
- ▶ Every effort must be made to re-deploy those with a diagnosis of occupational asthma to reduce the financial impact of this condition.
- ▶ The diagnosis of occupational asthma has legal ramifications.
- ▶ Compensation for occupational asthma may be available from Industrial Injuries Benefit.
- ▶ Compensation for occupational asthma may be available following a civil (common law) legal action.

there may be merit in investigating patients who intend to return into the workplace but are currently not at work due to respiratory ill health. All patients therefore must be asked about work in relation to their respiratory, nasal and eye symptoms, as failure to do so could lead to a missed diagnosis of occupational asthma or allergy.

A decision must be made locally as to the extent of investigation prior to possible referral for specialist advice. In practice, medical history, physical examination, spirometry, serial PEF with a computerised software analysis, chest radiography, immunology and bronchial responsiveness assessment may be carried out in non-specialist centres or by early referral to a specialist centre.

Recent data from a BTS study suggest that, while secondary care-based respiratory departments without a specialist declared interest in occupational lung disease have a certain diagnostic capability, access to computerised serial PEF analysis and measures of airway responsiveness are more fragmented across the UK.²¹ For example, only 12% of selected secondary care facilities currently had access to OASYS style PEF software analysis and 41% to non-specific bronchial responsiveness measures.

Medical history

Figure 2 shows a typical algorithm for assessment of occupational asthma and Appendix 1 (available as an online supplement) shows a typical proforma that could be used to record all relevant clinical information.

Medical history taking is the key to making a diagnosis of occupational asthma, as the presence of work-related nasal or respiratory symptoms is a central feature of occupational rhinitis and asthma. Occupational respiratory history taking is time consuming, and most new patients require up to 1 h for their first assessment. All respiratory symptoms should be recorded and the significance of work-related wheeze, chest tightness, cough, phlegm production and shortness of breath should be treated with equal concern.

History taking and questionnaire responses are sensitive indicators of asthma²²⁻²⁴ but are non-specific, implying that there are substantial proportions of workers who complain of work-related respiratory symptoms who do not have occupational asthma or evidence of workplace sensitisation.²⁵

A period of time between first exposure to a putative agent and the development of work-related respiratory symptoms (the latent period) is recognised as a typical feature of occupational asthma. The duration of the latent period can be as short as a few months (or weeks in rare instances²⁶), or extend for many years,²⁷ and is seen, for example, in asthma due to flour dust exposure.^{28, 29} Sensitisation and occupational asthma are most likely, however, to develop in the first 2 years of exposure for most agents.

Allergic nasal symptoms are important and should be treated seriously in exposed workers. Limited evidence suggests that the risk for occupational asthma is increased in those with work-related allergic rhinitis,^{30, 31} and that this risk is highest in the first 12 months of exposure. Those with work-related rhinitis should be enrolled into a high-level health surveillance programme and consideration given to immunological testing (normally either skin prick testing or specific IgE testing) where appropriate.⁶

- ▶ **Occupational rhinitis and occupational asthma frequently occur as co-morbid conditions in IgE associated occupational asthma (ES12** SIGN 2+).**

Occupational history

A detailed occupational history should also be taken and is essential to the diagnostic process.³² All potential jobs and job tasks should be listed, along with potential and known exposures. Workers should be allowed to talk freely about their jobs and workplace. The list of potential occupational agents that can cause occupational asthma is long and is constantly updated. The HSE publication "Asthmagen?" intermittently updates common causes (www.hse.gov.uk/asthma/asthmagen.pdf) and Appendix 2 (available as an online supplement) lists the current agents identified.

- ▶ **The most frequently reported agents include isocyanates, flour and grain dust, colophony and fluxes, latex, animals, aldehydes and wood dust (ES4* SIGN 3).**
- ▶ **The risk of developing occupational asthma is highest in the year after onset of occupational rhinitis (ES14* SIGN 2-).**

Investigations

This section briefly catalogues the important and relevant investigations for occupational asthma. Investigations are generally mandatory to confirm or exclude occupational asthma

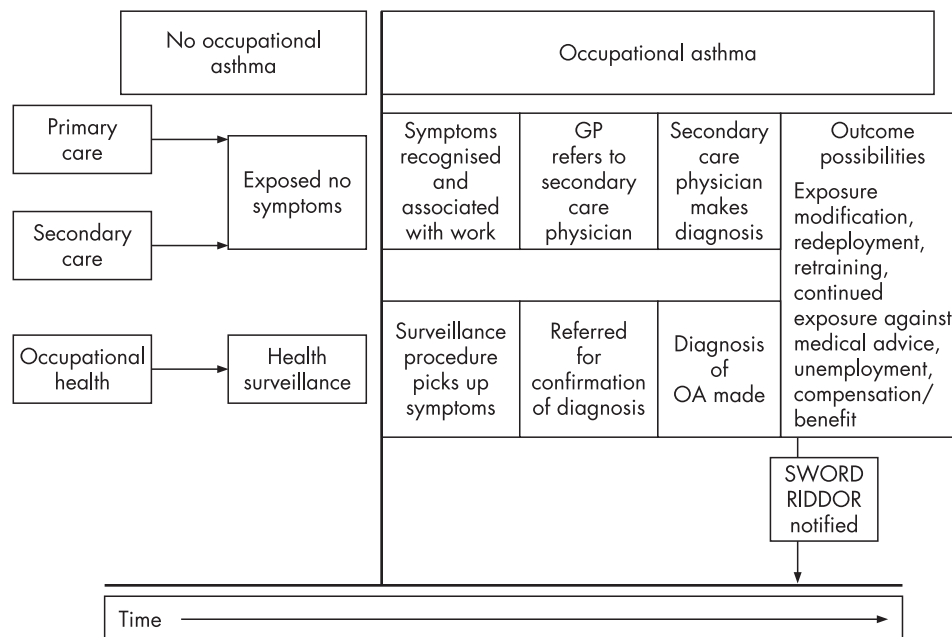


Figure 1 The patient journey. OA, occupational asthma; SWORD, Surveillance of Work-Related Occupational Respiratory Disease Schemes; RIDDOR, Reporting of Injuries, Diseases and Dangerous Occurrences Regulations.

in exposed symptomatic workers currently in the workplace, or those who have abnormal results identified as part of a regular health surveillance programme. A recent UK-based Delphi exercise has identified a list of investigations, and prioritised these for importance when assessing possible occupational asthma.³³ Table 2 summarises this requirement.

Lung function

All suspected cases of occupational asthma should have FEV₁ and FVC measured according to agreed criteria. For example, the Association of Respiratory Technologists and Physiologists (ARTP; www.artp.org.uk) produces standards and offers training for spirometric assessment. The recent European Respiratory Society (ERS) spirometry standardisation document is a useful guide.³⁴ Comparison must always be made with a predicted value and the worker's previous lung function if available.

The use of significant bronchodilator response (15% improvement in FEV₁ and at least 200 ml) to help make a diagnosis of asthma should be consistent with existing BTS asthma guidance (summarised at www.brit-thoracic.org.uk/). Such measures may help to distinguish between asthma and chronic obstructive pulmonary disease (COPD), although clearly workers with smoking-related COPD may also develop occupational asthma. The role of other guidance is important here, with particular relevance to oral or inhaled steroid trials.

Pre- and post-shift measures of FEV₁ are not generally helpful to either confirm or refute a diagnosis of occupational asthma.

- ▶ **Pre- to post-shift changes in lung function cannot be recommended for the validation or exclusion of occupational asthma (ES27* SIGN 3).**

Serial peak expiratory flow

Serial peak expiratory flow (PEF) measures are an important investigation when occupational asthma is suspected and have a considerable evidence base.^{35–38} With appropriate training and explanation, it is possible to achieve high quality recordings in

workers suspected of asthma.³⁹ While they are subject to potential falsification and inaccurate transcription, they offer the best and easiest first-line approach to assessing the physiological response to inhaled agents in the workplace. BOHRF guidance suggests that it is possible to obtain high quality chart recordings from over 70% of patients.

PEF should be recorded at least four times a day for four continuous weeks in the first instance. It is best to aim for 2-hourly readings, so that practically at least four good measures per day will be achieved.⁴⁰ Suitable record forms can be downloaded from www.occupationalasthma.com, www.hsl.gov.uk/cwh/ or www.scottishshield.org. Ideally, inhaled steroids should be withheld until serial PEF measurements are complete. If inhaled medication is required during these investigations, doses should be kept constant and as low as possible to control symptoms.

If the worker is currently not exposed, a 2-week run-in period can be assessed during a period of no workplace exposure followed by a return to work. All recordings should be written on a standard proforma and then entered into suitable software for PEF analysis. This has two main advantages: first, the data are presented graphically, allowing ease of interpretation and second, this allows easier recognition of patterns of PEF between work and rest. At least one software package⁴¹ calculates a work effect index from a discriminant analysis based on pattern recognition. Such analysis allows PEF charts to be graded as positive, equivocal or negative for a diagnosis of occupational asthma. A positive PEF chart (work effect index ≥ 2.5) has a quoted sensitivity of approximately 75% and a specificity of 95%.³⁸ However, the positive and negative predictive values for a result from a chart vary according to the prevalence of occupational asthma in the population studied. Any problems with interpretation of PEF charts should be referred to a specialist centre used to analysing and interpreting these charts. While serial PEF charts document a physiological work effect, they do not confirm a specific cause for this. Portable logging devices are available to measure serial lung function over time, including measures of FEV₁. At present

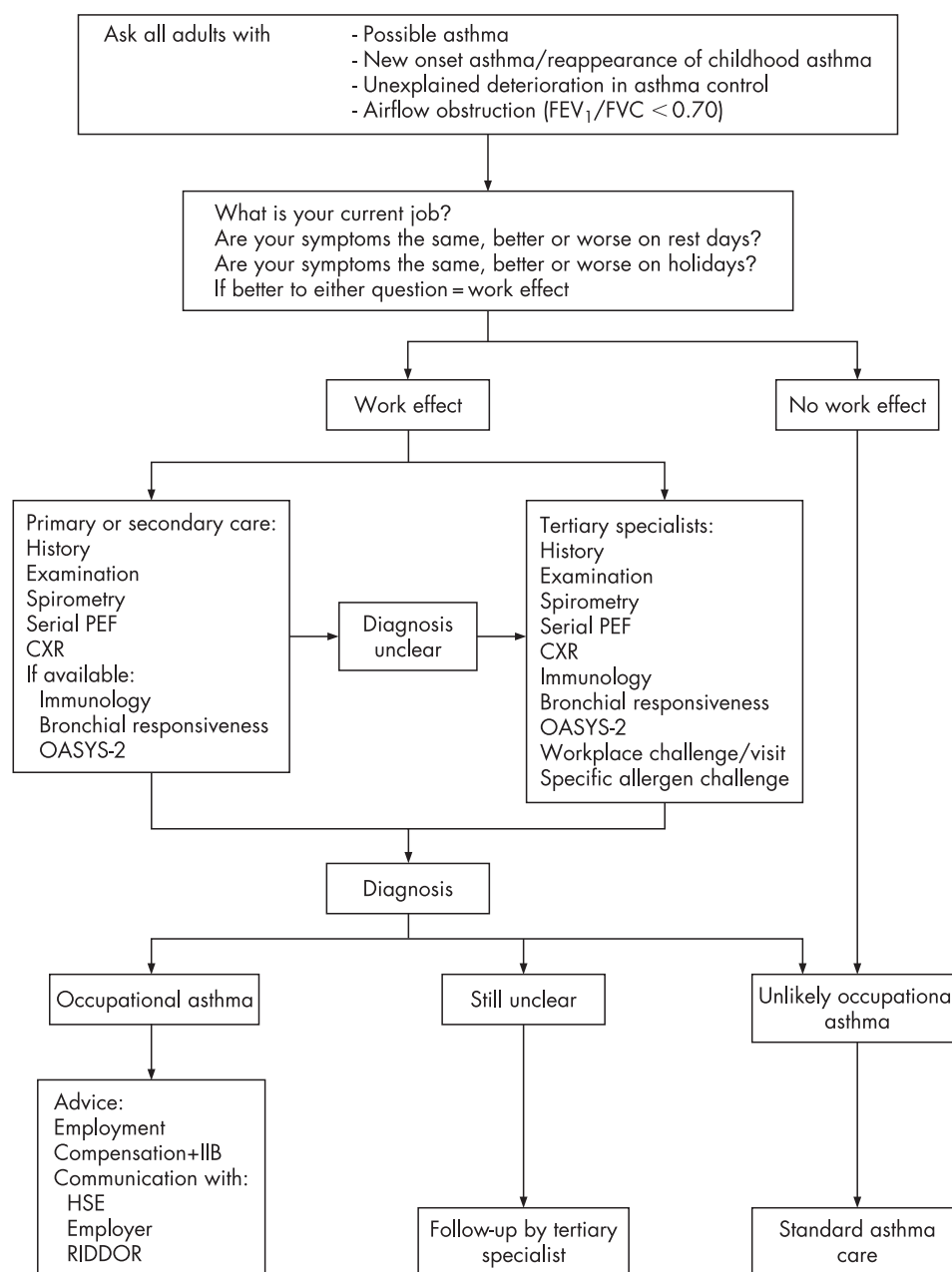


Figure 2 Assessment of patients with possible occupational asthma. FEV₁, forced expiratory volume in 1 s; FVC, forced vital capacity; PEF, peak expiratory flow; CXR, chest radiography; IIB, Industrial Injuries Benefit; HSE, Health & Safety Executive; RIDDOR, Reporting of Injuries, Diseases and Dangerous Occurrences Regulations.

there is little evidence to recommend these over standard PEF meters.

► **The sensitivity and specificity of serial peak expiratory flow (PEF) measurements are high in the diagnosis of occupational asthma (ES31** SIGN 3).**

Immunological testing

Testing for an immunological response to inhaled agents may be carried out as part of a high level health surveillance programme or as part of the clinical investigation in a hospital setting.

Skin prick testing to common aeroallergens (typically house dust mite, grass pollen and cat) may be carried out to define atopic status. While atopy may increase the risk of developing sensitisation to other (including occupational) aeroallergens, its presence should not be used to exclude workers from employment. Atopy

increases the risk of developing occupational asthma caused by exposure to many high molecular weight agents.⁴²⁻⁴⁷

Specific IgE can be measured to common aeroallergens and also to certain occupational allergens. Some of these tests are commercially available (such as flour, latex, storage mites and some crustaceans) and some are available in specialised laboratories such as the Health and Safety Laboratory (www.hsl.gov.uk/) or the Occupational and Environmental Lung Disease Unit at the Royal Brompton Hospital NHS Trust (www2.rbht.nhs.uk/services/respmcd/). Both these laboratories have tests available to a wide range of allergens including laboratory animal urine antigens, acid anhydrides, enzymes, reactive anhydride dyes, arthropods, seafoods and certain drugs. Skin prick testing is preferable for complex platinum salts as there is no validated in vitro test.

Table 2 Facilities for assessing cases of occupational lung disease

Rating	Median panel score	Facility
Absolute necessity in all patients	9	Pre-bronchodilator FEV ₁ as a percent of predicted Pre-bronchodilator FVC as a percent of predicted
Facility must be available	8	Peak flow monitoring and plotting of results OASYS 2 analysis of peak flow records Non-specific provocation challenge in the laboratory Specific IgE to a wide variety of occupational allergens
Facility must be available	7	Carbon monoxide transfer factor (Tlco) Transfer coefficient (Kco) Non-specific challenge serially at work and away from work Specific occupational challenge in the clinical laboratory Chest radiography Total IgE Skin prick testing to common environmental allergens Workplace visit by a clinician Workplace challenge with peak flow monitoring/spirometry Standard haematology/biochemistry (FBC, U&E, liver function, thyroid function, calcium) Access to a toxicology database Specific IgE testing to common environmental allergens
May be useful but not a necessity	6–4	Measurement of workplace exposure levels Assessment of vocal cord dysfunction Portable lung function logging device Standardised occupational history form Training in occupational medicine to at least Dip Occ Med Total lung capacity
Not routinely required	3	Sputum eosinophils
Not routinely required	2	Exhaled nitric oxide Expired carbon monoxide Exhaled breath condensate for analysis of inflammatory markers

Specific IgE testing can also be measured to actual material taken from the workplace, normally as part of a specialised investigation of a workplace or a research study. The presence of specific IgE to workplace allergens identifies sensitisation to the relevant allergen but does not necessarily identify any disease related to this. For example, certain bakers have evidence of specific IgE to wheat flour in the absence of work-related (or indeed any) respiratory or nasal symptoms.^{48 49} The test is therefore not totally specific for occupational asthma and is likely to vary individually between agents.

Specific IgE is not always present in workers (flour and enzyme exposed bakers again serve as a good example) with a confirmed diagnosis of occupational asthma physiologically, and therefore the presence of specific IgE to a workplace allergen in this context is not fully sensitive. Nevertheless, in the presence of identified asthma or rhinitis, a positive IgE to a well characterised occupational allergen can be taken as evidence of an occupational cause when supported by the presence of other clinical features such as work-related symptoms.

While there is large variation between allergen types, specific IgE testing is much more useful in workers exposed to high molecular weight and especially proteinaceous allergens. Groups of allergens where specific IgE testing may be useful include the following: laboratory animal products, flour, enzymes, acid anhydrides, insects, seafoods, certain laxatives and plant products such as latex and woods.

Specific IgE to low molecular weight agents is technically much more difficult to measure and interpret, and a diagnosis of occupational asthma in such cases must not depend on IgE findings. Carrying out and interpreting specific IgE to low molecular weight agents is an area for specialist referral and opinion. Sensitisation to low molecular weight agents is also likely to involve non-IgE mediated mechanisms.

- ▶ **Atopy increases the risk of developing occupational asthma caused by exposure to many high molecular weight agents that induce the production of specific IgE antibodies (ES9*** SIGN 2+).**
- ▶ **Both skin prick and serological tests are highly sensitive for detecting specific IgE and occupational asthma caused by most high molecular weight agents, but are not specific for diagnosing occupational asthma (ES37** SIGN 2+).**

Non-specific airway responsiveness

Measures of airway responsiveness to non-specific agents (eg, histamine, methacholine, cold air) are a useful diagnostic investigation for those with occupational asthma.⁵⁰

Single measures of responsiveness are usually carried out in a respiratory function laboratory, although they can be carried out in the workplace.⁵¹ The interpretation of airway hyper-responsiveness is confounded by the differing measurement techniques used. A normal result from a test of airway reactivity

is not sufficiently useful to exclude occupational asthma as this may be normal in 5–40% of specific challenge positive workers. The BOHRF guidelines suggest that while single measures of airway responsiveness may be useful and its presence increases the likelihood of asthma, the absence of this does not exclude occupational asthma.^{52–53}

Multiple or sequential measures of airway responsiveness are also used when investigating occupational asthma, and are possible to achieve in the outpatient setting.^{54–55} These tests require measures to be made during periods of work and periods of rest. Access to a relevant (normally specialist) respiratory centre is needed. The hypothesis underlying these measures is that, if asthma control and symptoms are less good during periods of exposure at work to allergens, there will be an associated change (more responsive) in airway responsiveness compared with that measured during periods of rest or non-exposure. Sequential measures of airway responsiveness demonstrate sensitivities of 48–67% and specificities of 54–78% for occupational asthma, depending on the definitions used of significant change.

- ▶ **Changes in non-specific bronchial responsiveness at and away from work have only moderate sensitivity and specificity for diagnosis (ES35** SIGN 2–).**

Specific challenge testing

These should be carried out only in specialised (tertiary) centres. A positive test identifies the cause of occupational asthma, provided exposures received are equivalent to those in the workplace. Negative tests do not necessarily exclude occupational asthma as the challenge may not adequately reproduce the full extent of the exposures in the workplace.^{56–58} Exposure received during specific challenges should be measured where practical.

Alternatively, a workplace challenge may be used (a variation of serial PEF assessment). This usually involves frequent monitoring of airway physiology (normally FEV₁ or PEF) on multiple days of work during periods of exposure and non-exposure to the agents thought responsible for causing respiratory symptoms. One way of approaching this type of challenge is to take data from non-exposed days to calculate a mean and 95% confidence interval (CI) of the “expected” FEV₁ at each time point. These are then used to compare with the FEV₁ values measured on exposed days.⁵⁹

Other techniques

Newer techniques are available to investigate potential cases of occupational asthma but are best confined currently to research. These include dynamic estimates of cellular response (based on flow cytometry and cytokine profiling of blood, bronchoalveolar lavage and sputum, exhaled nitric oxide measurement and induced sputum).

MEDICAL MANAGEMENT

The management of occupational asthma does not differ significantly from the management of asthma that is not work related (summarised by the BTS at www.brit-thoracic.org.uk/). Treatment with inhaled steroids is likely to reduce the diagnostic sensitivity of investigations, and hence it is reasonable to withhold these where possible until the diagnosis is clarified.

Once the diagnosis of occupational asthma has been made, this information should be given specifically (preferably in writing) to the patient who should be advised that the

prognosis is improved by early complete removal from exposure. The best way of doing this is to remove the cause from the workplace (for instance, glutaraldehyde from cold sterilisation).

Occupational asthma may develop into a chronic condition, similar to non-occupational asthma, and may require similar intervention with asthma medication.

Communicating with the workplace for those healthcare workers based in primary and secondary care is useful but requires the patient’s written consent. If a worker refuses consent to communicate with the workplace, this should be respected. Patients should be warned of the possible adverse effects on their lungs, and that they are potentially placing co-workers at risk by not permitting the necessary workplace investigations.

Boxes 4 and 5 summarise (respectively) advice for primary care, secondary care and occupational health-based professionals.

- ▶ **The symptoms and functional impairment of occupational asthma caused by various agents may persist for years after avoidance of further exposure to the causative agent (ES42*** SIGN 2+).**
- ▶ **The likelihood of improvement or resolution of symptoms or of preventing deterioration is greater in workers who have no further exposure to the causative agent (ES43*** SIGN 2++).**

CASE MANAGEMENT

Rehabilitation and redeployment

Ideally, affected workers should be redeployed within the same workplace (in a non-exposed task) in order to minimise the consequences discussed below. In practice this rarely happens, and many remain exposed or have their employment terminated. Nevertheless, all healthcare workers responsible for such workers (or patients) should consider possible redeployment within the same worksite following suitable alterations to the workplace.

- ▶ **Redeployment to a low exposure area may lead to improvement or resolution of symptoms or prevent deterioration in some workers but is not always effective (ES47* SIGN 3).**

Communication and ethical issues

These are notoriously difficult areas and overlap with the medicolegal consequences of disease. However, certain basic principles operate.

Individuals have a right to confidentiality within a medical consultation and series of investigations. If a patient consents to the disclosure of information, written information should be shared with his/her employer and, if necessary, with the HSE. The reasons for doing this are many but include the following: (1) employers have a duty to report cases of occupational asthma under the RIDDOR scheme but cannot do so if they are unaware of the index case; (2) if one worker in an exposed workforce has developed occupational asthma, others may be at risk.

Compensation

The patient should also be told of the possibilities for compensation and, specifically, the two main options available to them. First, they should be given advice about the Benefits Agency Compensation Scheme for Occupational Asthma and helped with this. More information can be found at www.dwp.gov.uk/advisers/claimforms. Second, they should be given

Box 4 Key points of relevance to all healthcare workers

- ▶ At least 1 in 10 of all adult onset asthma relates to occupational exposure.
- ▶ All healthcare workers should ask patients with airways disease about their current and previous work.
- ▶ All such patients should be asked about the nature of the agents with which they work. Patients should be allowed to describe these agents and their workplace conditions in their own words.
- ▶ All patients with respiratory symptoms who currently work should be asked about the work relationship of their symptoms (if any), in a neutral tone.
- ▶ A suggested wording for these questions is as follows: "Is your (wheeze/chest tightness/shortness of breath/nasal irritation) the same, better or worse on your rest days/holidays?"
- ▶ The outlook for occupational asthma is better if workers are removed earlier from exposure. All steps in the patient's journey contribute to potential delay.
- ▶ Make early referrals to a specialist with an interest in occupational asthma if such a diagnosis is suspected.
- ▶ All workers currently exposed to agents that can cause asthma and have work-related respiratory symptoms should undergo serial PEF measures. This can be initiated in primary care or in the occupational health setting, in addition to secondary care. Local expertise is likely to influence the local decision to investigate.
- ▶ A diagnosis of occupational asthma should never be based on history alone, and all reasonable attempts must be made to carry out objective tests.
- ▶ The pharmacological treatment of asthma is identical to that of non-occupational asthma. All physicians treating cases should not underestimate their power to prevent further cases by appropriate communication with legislators and workplaces, and by involvement in local education programmes (see box 3).
- ▶ The index case of occupational asthma should not have further harmful exposure to the likely causative agent or process, and should prompt a full assessment of potential workplace exposures.

advice about a potential civil claim. In particular, patients should be told that if a civil claim is anticipated, a time limit might apply following the date of knowledge (the date the individual became aware that their asthma was occupational in origin) beyond which it is not possible to commence a claim. This time period is usually 3 years in England. There are regional UK differences in civil law and it is appropriate to tell patients to take advice from a personal injury lawyer if they are contemplating legal action.

Disability Discrimination Act 1995 (amended 2005)

This Act protects the rights of workers with various forms of disability. The legislation is complex, but effectively protects a disabled person in the workplace thought to be at a "substantial disadvantage in comparison with persons who are not disabled". This may mean that employers have to make one or more suitable adjustments for those with asthma. The Act may also apply to workers who have developed medical problems (such as asthma) directly as a result of workplace exposures.

Box 5 Key points for occupational health professionals

- ▶ All responsible people in the workplace and all relevant occupational health professionals should be aware of the large number of agents known to cause occupational asthma.
- ▶ The greater the level of exposure to agents that cause asthma, the greater the risk to the worker.
- ▶ Pre-employment screening may help to identify those at greater risk of occupational asthma, but the use of poorly discriminating factors such as atopy and smoking should not be used to actively exclude potential workers.
- ▶ Those workers with an established diagnosis of occupational asthma to a known agent should not be exposed to this agent again, and should be given this advice at any potential new job application.
- ▶ Workplaces should actively seek to remove or substitute agents known to cause asthma wherever possible, or reduce exposure where not possible.
- ▶ If respiratory protection is being used, it must be used correctly following a fit test.
- ▶ Employers should tell all workers about the use of agents that can cause asthma, and that all workers should report symptoms (respiratory, nasal) to a responsible person.
- ▶ Health surveillance should be carried out using regular questionnaires. Lung function and allergy tests (skin and blood tests) should be used where appropriate. The HSE MS25 publication deals with this in more detail.
- ▶ The frequency of health surveillance should be at least yearly and more often in the first 2 years of employment as the risk of developing occupational allergy is greatest during the early years of exposure.
- ▶ Health surveillance should be more frequent when a worker develops nasal symptoms suggestive of rhinitis or rhinoconjunctivitis or has asthma when first employed.
- ▶ An established case of occupational asthma or occupational rhinitis should prompt a full assessment of likely exposures in the workplace and the workplaces changed where appropriate to reduce the risk to other workers. The index case of occupational asthma should not have further harmful exposure to the likely causative agent or process.

WORKPLACE INTERVENTION

Exposure control

Risk assessment in the workplace is designed to minimise workplace risk associated with various hazards. This process will normally occur as part of a Control Of Substances Hazardous to Health (COSHH) assessment, further details of which can be found on the HSE website (www.hse.gov.uk/) or from COSHH essentials (www.coshh-essentials.org.uk/).

Fundamental to this process is identifying all potential inhaled hazards and assessing the associated risk in that particular workplace. For example, isocyanate spray paints are hazardous when inhaled but probably pose little risk when in solution form (with the exception of risk of skin sensitisation⁽⁶⁾) prior to activation. It is the act of mixing these chemicals with an activator and aerosolising these when spraying that is associated with a significant risk.

While it is beyond the scope of this article to deal with these issues in detail, the principles of occupational hygiene should be adhered to. In principle, this involves substituting harmful exposures where possible, and if not possible extracting by the

use of local exhaust ventilation. Furthermore, process alteration and relocation may have an important role.

Only when these measures are not possible should respiratory protection be used. In other words, the use of respiratory protection should be thought of as a “last resort” when other control measures fail. If respiratory protection is used, “fit testing” is available to ensure that the worker is adequately protected.

- ▶ **Reducing airborne exposure reduces the number of workers who become sensitised and who develop occupational asthma (ES16** SIGN 2+).**

Psychosocial

Workplaces have complex social and psychological dynamics. The identification of possible cases of occupational asthma and their subsequent management must take these into account. For example, there are limited qualitative data⁶¹ that suggest that workplace alteration alone will not always lead to the appropriate change in behaviour required to reduce risk to workers. Also, workers may not perceive workplace risks if the disease or condition associated with exposure is of long latency. Recent HSE strategy has been to include case histories of affected patients on video in workplace education (for example, in the motor vehicle industry and baking industry) to make the visual impact and enforce the point that occupational asthma can ruin normal lives.

Again, peer pressure from co-workers (both positive and negative) is likely to be important in determining behaviours and compliance with workplace regulation. As a consequence, it is essential to involve all staff, unions and health and safety advisors in all such decisions.

Once workers develop symptoms suggestive of occupational asthma, it is important that these are recognised to be related to work and not misattributed to non-work related causes. Many will fear the economic ramifications of such a diagnosis. This appears to represent a significant barrier to early diagnosis and an “honest” contribution to health surveillance. These problems are compounded by limited redeployment and retraining opportunities within the workplace and consequently poor employment prospects.

From the employer’s point of view, some fear having to meet the costs associated with a case of occupational asthma including sickness costs, absenteeism, potential compensation claims and increased liability insurance. Rather than acting as an incentive for encouraging preventive measures, the compensation system could reduce reporting of occupational asthma by both employers and employees.

A recent HSE funded project to assess the costs of occupational asthma concluded that the majority of costs were borne by the affected worker and the Government (www.hse.gov.uk/research/rpdf/r474.pdf).

- ▶ **Approximately one-third of workers with occupational asthma are unemployed up to 6 years after diagnosis (ES49** SIGN 2–).**
- ▶ **Workers with occupational asthma suffer financially (ES50** SIGN 2–).**

AUDIT TOOL

All patients with suspected occupational asthma should have the following clearly documented in the medical case notes by the second consultation:

- ▶ A full list of occupations held and likely associated occupational exposures.

- ▶ FEV₁ and FVC.
- ▶ If at work, serial PEF measures taken for at least four continuous weeks including rest days with at least four good quality readings per day analysed by a validated method.
- ▶ If exposed to allergen with appropriate specific IgE measure or skin prick test, the result of this test.
- ▶ Letter to patient concerning advice about continuing employment once a diagnosis has been made.
- ▶ Compensation advice appropriate to the case.

FUTURE DEVELOPMENTS

The evidence base continues to grow in relation to occupational asthma. Current areas of particular interest include novel agents, mechanisms of sensitisation and the health effects associated with complex multiple exposures. In addition, the diagnostic process in occupational asthma and choice of the most appropriate investigations is the subject of current debate.

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OCCUPATIONAL ASTHMA

Appendix 1

Suggested proforma for clinical assessment of possible cases

Surname		<i>or attach patient id label</i>	Clinic date		
Forename(s)			Consultant		
DOB			Referred by (tick)	GP	
Unit Number				Occ Health	
NHS Number			Height (cm)	Consultant	
Age	Sex			Other (state)	

Full Occupational History

Occupation	Dates	Tasks	Exposures
	From To		
	From To		
	From To		

Full Occupational History

Occupation	Dates	Tasks	Exposures
	From To		
	From To		
	From To		
	From To		
	From To		

Presenting Feature(s) *tick each appropriate feature*

Symptom	Yes	Tick if work related	Smoking History	Tick Box	Pack Years
Wheeze			Current Smoker		
Cough			Ex Smoker		
Dyspnoea			Never Smoker		
Chest tightness			Cigarettes Cannabis Other inhaled/IV drugs of abuse		
Ocular symptoms					
Nasal symptoms					
Other (<i>state</i>)					

History of current symptoms

Past Medical History

Social History/ Family History

Current Medication

Physical Examination

Investigation	Date	Result(s) or reason not carried out			
Pulmonary Function Pre or Post bronchodilator values?			Measured	Predicted	%Predicted
		FEV ₁			
		FEV ₁ post bd			
		FVC			
		FEV ₁ /FVC			
		TL _{CO}			
		K _{CO}			
		PEF			
CXR / HRCT/ Other <i>(please delete)</i>		Normal <i>(tick)</i>			
		If not what appearances			
Blood screen			Normal <i>(tick)</i>	Abnormal <i>(please state)</i>	
		FBC			
		U&Es			
		LFTs			
		Spec IgE (What agent(s))			
		Other(s) (state)			
Skin prick tests		Allergen	Wheal size mm		
		Control			
Serial PEF on/off treatment <i>(delete)</i>		OASYS work effect index(es)			
		If on Rx what? Other comments			
Non specific bronchial challenge Histamine/ methacholine/ other <i>(please delete)</i> Method used?		PD ₂₀ / PC ₂₀ <i>(delete)</i> =			
		Comments			
Specific bronchial challenge		Agent			
		Comments			
Workplace challenge / Return to work study <i>(delete)</i>		Comments			

Provisional Diagnosis

What patient understands

Patient advice given

Advice to OH(with consent)

Advice given re Potential industrial injury claim

Plan

OCCUPATIONAL ASTHMA

Appendix 2

Agents potentially able to cause occupational asthma as currently listed in;
Asthmagen? Critical Assessments of the Evidence for Agents
Implicated in Occupational Asthma.

Alpha amylases
Azodicarbonide
Bromelains
Carmine
Castor bean dust
Cephalosporins
Chloramine-T
Chloroplatinates and other Halogenoplatinates
Chromium (VI) compounds
Cobalt (metal and compounds)
Cockroach material
Coffee bean dust
Cow epithelium/urine
Crustacean proteins
Diazonium salts
Egg protein
Ethylenediamine
Fish proteins
Flour dust
Glutaraldehyde
Henna
Isocyanates
Ispaghula
Laboratory animal excreta/secretata
Latex
Maleic anhydride
Methyl-terahydrophthalic anhydride
Nickel sulphate
Opiates
Papain
Penicillins
Persulphates
Piperazine
Psyllium
Pthalic anhydride
Rosin-based solder fume
Some Hardwood dusts
Some reactive dyes
Some softwood dusts
Soybean dust
Spyramycin
Storage mites
Subtilisins
Tetrachlorophthalic anhydride
Trimellitic anhydride

OCCUPATIONAL ASTHMA
Appendix 3
Patient / Worker Information Leaflet

Asthma is a common breathing problem, and the symptoms are normally cough, shortness of breath, chest tightness and wheeze. Most asthma starts in childhood, and is very easily treated with medication, normally with inhalers. People with asthma are generally thought to be more allergic to various allergens in the environment, such as grass, cat and dog hair and house dust mites.

The workplace can also contain substances that are an important cause of asthma in a number of adults asthma, and it has been estimated that as much as one case in ten of all asthma relates to harmful exposures in the workplace.

Common examples of jobs that can cause asthma are bakers (flour dust exposure), car body spray painters (isocyanates based paints) and laboratory workers who come in to contact with animals (such as rats and mice).

When asthma in an adult is thought to be due to work place substances, it is termed "occupational asthma". The symptoms of occupational asthma are the same as those caused by normal asthma, although workers may note that their asthma is worse at work, and improves on days off. Occupational asthma occurs normally following several months of work and exposure, although sometimes many years of work and exposure are required to cause asthma.

If you are exposed to any allergens in the workplace, your employer will normally have explained this to you when you started work, and may even have asked you to complete a brief health screen check, including breathing tests. It would be normal also for your employer to keep an eye on your breathing yearly, maybe by repeating a brief health screen and breathing tests, to make sure you are not developing asthma.

It is possible to help yourself by keeping an eye out for symptoms that may be early signs of occupational allergy and asthma. Typically, workers may first develop either problems with their nose (nasal symptoms) or breathing problems at work.

Nasal symptoms; allergens in the air are breathed in both through the mouth and nose. Because of this, nasal symptoms of allergen exposure are common, and are normally noticed as itching and running of the nose in the workplace. This might be an early sign of developing allergy, and should not be ignored. Indeed, if you develop allergic nose problems at work, you are more likely to go on and develop occupational asthma.

Of course, nasal symptoms are common in other conditions such as the common cold, and so it is only important to report these symptoms if they carry on for a few weeks.

Respiratory symptoms; allergens in the air are also breathed into the lungs, and typical symptoms of a developing allergy are shortness of breath, cough, chest tightness and wheeze.

Your employer should also make it clear to you who to report any of these symptoms to in the workplace. This may be the occupational health department, but could be a health and safety representative, or a responsible person in your work area. In addition, you will probably report symptoms like this to your nurse or GP.

It is always worth mentioning what you do for a job to your GP when they ask about your breathing, even if you do not have asthma and it is essential to mention your work to your GP if you have asthma, as your workplace may be harming you. If workers with occupational asthma continue to work in a harmful environment, their breathing can worsen.

Normally, further tests are required to decide if asthma is caused by work. These can include measuring how hard you can blow out, using a portable hand held peak flow meter, blood

tests or other breathing tests carried out at the hospital. Some of these tests can be carried out by your GP, although others may need to be done in hospital, when a specialist may be asked to see you.

If a diagnosis of occupational asthma is confirmed, it is likely that your employer may wish to alter your work environment, to stop exposing you to any harmful substances. This is the best approach to dealing with this condition, as continuing exposure does risk worsening of asthma, and severe attacks.

Workers have certain rights in law, and should be offered a clean and safe working environment, where all risks have been assessed and reduced where necessary. Workers who develop occupational asthma may be able to claim some form of compensation, and further information about this is potentially available from various sources including the Citizens' Advice Bureaux, the Department of Work and Pensions, and the Department of Social Security.

Useful sources of advice;

Workplace Health Connect;

<http://www.hse.gov.uk/workplacehealth/index.htm>

Health, work and well-being;

http://www.dwp.gov.uk/publications/dwp/2005/health_and_wellbeing.pdf

British Lung Foundation;

<http://www.lunguk.org/>

Health and Safety Executive (HSE) Homepage;

<http://www.hse.gov.uk/>

HSE asthma page;

<http://www.hse.gov.uk/asthma/index.htm>

British Occupational Health Research Foundation

<http://www.bohrf.org.uk/>

HSE infoline; 0845 345 0055

British Thoracic Society web site for asthma guidance

http://www.brit-thoracic.org.uk/Guidelinessince%201997_asthma.html