

Predictors of mortality in acute lung injury during the era of lung protective ventilation

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Received 22 November 2007
Accepted 2 June 2008
Published Online First
19 June 2008

ABSTRACT

Background: Lung protective ventilation has been widely adopted for the management of acute lung injury (ALI) and acute respiratory distress syndrome (ARDS). Consequently, ventilator associated lung injury and mortality have decreased. It is not known if this ventilation strategy changes the prognostic value of previously identified demographic and pulmonary predictors of mortality, such as respiratory compliance and the arterial oxygen tension to inspired oxygen fraction ratio ($\text{PaO}_2/\text{FiO}_2$).

Methods: Demographic, clinical, laboratory and pulmonary variables were recorded in 149 patients with ALI/ARDS. Significant predictors of mortality were identified in bivariate analysis and these were entered into multivariate analysis to identify independent predictors of mortality.

Results: Hospital mortality was 41%. In the bivariate analysis, 17 variables were significantly correlated with mortality, including age, APACHE II score and the presence of cirrhosis. Pulmonary parameters associated with death included $\text{PaO}_2/\text{FiO}_2$ and oxygenation index ((mean airway pressure $\times \text{FiO}_2 \times 100$) $\div \text{PaO}_2$). In unadjusted analysis, the odds ratio (OR) of death for $\text{PaO}_2/\text{FiO}_2$ was 1.57 (CI 1.12 to 3.04) per standard deviation decrease. However, in adjusted analysis, $\text{PaO}_2/\text{FiO}_2$ was not a statistically significant predictor of death, with an OR of 1.29 (CI 0.82 to 2.02). In contrast, oxygenation index (OI) was a statistically significant predictor of death in both unadjusted analysis (OR 1.89 (CI 1.28 to 2.78)) and in adjusted analysis (OR 1.84 (CI 1.13 to 2.99)).

Conclusions: In this cohort of patients with ALI/ARDS, OI was an independent predictor of mortality, whereas $\text{PaO}_2/\text{FiO}_2$ was not. OI may be a superior predictor because it integrates both airway pressure and oxygenation into a single variable.

Despite advances in our understanding of the pathophysiology and treatment of acute lung injury (ALI) and acute respiratory distress syndrome (ARDS), mortality remains high; approximately 30–60% of patients die before hospital discharge.^{1–3} Lung protective ventilation, a strategy that targets lower tidal volumes (V_t) and limits plateau pressure (P_{plat}) to less than 30 cm H_2O is the only clinical intervention that has shown a mortality benefit in large randomised trials.^{4–5}

Observational studies performed before widespread application of lung protective ventilation identified demographic, pulmonary specific and clinical variables that predict mortality in ALI/ARDS.^{2,3,6–9} These included age, Severe Acute Physiology Score (SAPS II), Acute Physiology and Chronic Health Evaluation (APACHE II) score, cirrhosis, immunosuppression and pulmonary specific variables, including the arterial oxygen tension to fraction of inspired oxygen ($\text{PaO}_2/\text{FiO}_2$)

ratio,⁹ respiratory system compliance (Crs)³ and oxygenation index (OI).⁷ To our knowledge, no large study of mortality predictors has been conducted in North America since the implementation of the lung protective ventilation. Thus we conducted a retrospective study of these variables to identify early predictors of mortality in ALI/ARDS after adoption of lung protective ventilation. We hypothesised that this ventilation strategy may attenuate the predictive value of previously identified pulmonary specific measures.

METHODS

Subjects

We studied patients in both medical and surgical intensive care units identified prospectively as part of ongoing clinical trials of ALI/ARDS between 1 July 2002 and 30 June 2003. The study was done at the University of California Moffitt-Long Hospital, a tertiary university referral centre, and at San Francisco General Hospital, a large, inner city hospital and level 1 trauma centre. Retrospective data collection was approved by the institutional review board of the University of California, San Francisco and given the retrospective nature of this study, the requirement for written informed consent was waived. Patients were 18 years of age or older, had received mechanical ventilation and met the North American–European consensus conference definition for ALI/ARDS.¹⁰ No exclusion criteria were used. Ventilator management was at the discretion of the critical care team. However, both hospitals had implemented the lung protective ventilation protocol of the ARDS Net trial.

Data collection

The plan for data collection and the data analysis strategy were defined prospectively, before review of the medical records began. Data were recorded at a daily reference time between 06:00 and 10:00. Arterial blood gases (ABG) used to calculate $\text{PaO}_2/\text{FiO}_2$ were drawn during this reference period. It is the policy of the respiratory care departments that ABG are not obtained within 20 min of suctioning and recruitment manoeuvres are not standard treatment in our hospital system and were unlikely to confound the ABG measurements. A reference quasi-static respiratory compliance (Crs) was found to reflect average daily Crs in a subset of subjects.¹¹

Clinical data were abstracted from the medical record for up to 7 days or until death or extubation, whichever occurred first. These data included the aetiology of ALI/ARDS, coexisting medical illnesses, use of glucocorticoids or other causes of immunosuppression, fluid intake/output and

Table 1 Clinical characteristics of 149 with patients with ALI/ARDS

Characteristic	
Age (years)	48.6 (17.4)
Gender	
Female (n (%))	49 (33)
Male (n (%))	100 (67)
SAPS II	44.3 (14.6)
APACHE II	19.9 (7.8)
LIS	2.6 (0.5)
Mechanical ventilation variables	
Vt (ml/kg PBW)	7.6 (2.1)
PEEP (cm H ₂ O)	7.6 (3.0)
f	22.5 (8.1)
FiO ₂	0.8 (0.2)
PaO ₂ /FiO ₂ (kPa)	19.2 (9.5)
OI (cm H ₂ O/kPa)	89.3 (59.3)
pH	7.34 (0.1)
Base deficit	-3.44 (6.4)
Cr _s (ml/cm H ₂ O)	28.2 (10.3)
Aetiology of ALI/ARDS (n (%))	
Pneumonia	47 (32)
Sepsis	33 (22)
Aspiration	16 (11)
Probable TRALI	11 (7)
Trauma	10 (7)
Pancreatitis	8 (5)
Other or unknown	24 (16)
Underlying medical conditions (n (%))	
Cirrhosis	22 (14)
HIV/AIDS	18 (12)
Heart transplant	8 (5)
Metastatic cancer (solid tumour)	7 (4)
Haematological cancer	5 (3)
Bone marrow transplantation	3 (2)

Data are mean (SD) or n (%).

AIDS, acquired immunodeficiency syndrome; ALI, acute lung injury; ARDS, acute respiratory distress syndrome; APACHE, Acute Physiology and Chronic Health Evaluation; Cr_s, respiratory system compliance; f, respiratory frequency; FiO₂, inspired oxygen fraction; HIV, human immunodeficiency virus; LIS, lung injury score; OI, oxygenation index; PaO₂, arterial oxygen partial pressure; PBW, predicted body weight; PEEP, positive end expiratory pressure; SAPS II, Severe Acute Physiology Score II; TRALI, transfusion related lung injury; Vt, tidal volume.

balance, vital signs and chest radiographic findings. The clinical disorder associated with ALI/ARDS was considered primary if the cause was pneumonia, aspiration, direct lung trauma or inhalational injury. All other causes were considered secondary. Of the 149 patients included in the multivariate logistic regression analysis, 22 patients had partially missing data.

Laboratory data included electrolytes, blood urea nitrogen, creatinine, white blood cell count and haematocrit. Mechanical ventilation variables included ABG, peak inspiratory pressure, P_{plat}, positive end expiratory pressure (PEEP), mean airway pressure (Paw), Vt both in ml and ml/kg predicted body weight (PBW), respiratory frequency (f) and minute ventilation (\dot{V}_E). Calculated variables included the lung injury score,¹² APACHE II,¹³ SAPS II,¹⁴ PaO₂/FiO₂, and Cr_s. OI was calculated as: (mean airway pressure × FiO₂ × 100) ÷ PaO₂.⁹ Higher values of OI indicate poorer oxygenation. For patients with trauma induced ALI/ARDS, the Injury Severity Score¹⁵ was also determined.

Statistical analysis

Death prior to hospital discharge was the primary outcome variable in this study. Patients were followed until death or discharge from hospital. Patients were categorised as survivors

or non-survivors and the variables enumerated above were compared using bivariate analysis. Continuous normally distributed variables were compared using a Student's t test and categorical variables were compared using a χ^2 test. Select variables that were statistically significant, or of a priori clinical significance, were then introduced into a forward, stepwise, logistic regression model. SAS computer software (SAS Institute, Cary, North Carolina, USA) was used for statistical analysis. All interval data are presented as mean (SD). The goodness-of-fit of the logistic regression model was assessed with the Hosmer–Lemeshow test. Standard regression diagnostics and goodness-of-fit testing indicated that the logistic regression models were adequate. Results were considered to be statistically significant if $p < 0.05$.

RESULTS

Between 1 July 2002 and 30 June 2003, 149 patients with ALI/ARDS were identified at the two hospitals and their data were incorporated into this study (table 1). Patients with ALI/ARDS had moderately severe lung injury characterised by a low Cr_s and marked impairment in oxygenation (average PaO₂/FiO₂ 19.2 kPa). On the day of ALI/ARDS diagnosis, patients were ventilated with an average of 7.6 (SD 2.1) ml/kg PBW that subsequently decreased to 7.0 (2.1) ml/kg PBW on day 2, 6.8 (1.8) ml/kg PBW on day 3 and 6.6 (1.4) ml/kg PBW on day 4.

ALI/ARDS was the result of direct pulmonary injury in 48% of patients, while 52% had an indirect or extrapulmonary cause. At enrolment, 19% of patients had a PaO₂/FiO₂ between 27 and 41 kPa (200–300 mm Hg) and 81% had a PaO₂/FiO₂ <27 kPa (200 mm Hg). All but one patient initially diagnosed with ALI developed ARDS (PaO₂/FiO₂ <27 kPa) within 48 h.

The overall hospital mortality in our cohort of patients with ALI/ARDS was 41% (61/149; 95% confidence interval (CI) 33% to 49%), which was higher, but not significantly different from the mortality predicted by APACHE II (36%) and SAPS II (33%). Most patients had a variety of chronic comorbid conditions: 26% were immunosuppressed and 15% had cirrhosis. Patients originally diagnosed with ALI had a lower mortality compared with patients with ARDS (31% vs 44%), but this difference was not statistically significant ($p = 0.21$). There was no significant difference in mortality between patients with a primary or secondary cause of ALI/ARDS. Although women accounted for only 34% of study subjects (50/149), there was a suggestion of increased mortality compared with men: 51% (25/49) vs 36% (36/99), respectively ($p = 0.06$). Of note, on entry into the study, women had a higher average APACHE II score than men (22 vs 18; $p < 0.01$). Non-survivors had a lower PaO₂/FiO₂ and arterial pH, a more negative base deficit and a higher OI (table 2).

In the bivariate analysis, 17 variables were significantly correlated with mortality, including increased age, cirrhosis, higher APACHE II and SAPS II (table 2). Pulmonary variables correlated with death included an elevated OI (73.5 vs 111.8 cm H₂O/kPa; $p < 0.001$), decreased PaO₂/FiO₂ (both at onset of lung injury and worst value in the first 24 h after onset of lung injury), increased FiO₂, and lower PaO₂. In contrast, Vt, f, Cr_s, P_{plat} and PEEP were not statistically correlated with death. Both the presence of haemodynamic compromise (lowest systolic blood pressure, diastolic blood pressure and mean arterial pressure) and acidosis on the day of ALI/ARDS onset were significantly correlated with death. Developing ALI/ARDS from trauma predicted a better prognosis, with a mortality of only 15%.

In a multivariate logistic regression model, both PaO₂/FiO₂ and OI were predictive of death in unadjusted analysis (table 3). Compliance was not statistically predictive of death in

Table 2 Variables associated with an increased risk of death: bivariate analysis

	Survivors (n = 88) Mean (SD)	Non-survivors (n = 61) Mean (SD)	Mean difference/ OR (95% CI)	p Value between groups
Age (years)	44.2 (16.7)	55.1 (17.0)	10.6 (5.2 to 16.1)	<0.001
Trauma	11 (12%)*	2 (3%)*	0.3 (0.1 to 1.3)	<0.05
Gender (% female)	24 (27%)*	25 (41%)*	1.8 (0.9 to 3.7)	<0.1
OI (cm H ₂ O/kPa)	73.5 (42.0)	111.8 (70.5)	33.8 (15 to 52.5)	<0.001
PaO ₂ /FiO ₂ (kPa)	20.7 (8.3)	16.4 (8.3)	-2.5 (-5.8 to -0.7)	0.003
FiO ₂	0.7 (0.2)	0.8 (0.2)	0.04 (-0.03 to 0.1)	0.01
PaO ₂ (kPa)†	10.9 (4.4)	9.6 (2.9)	1.2 (3.2 to 0.8)	0.05
Vt (ml/kg PBW)	7.9 (1.9)	7.2 (2.3)	-0.5 (-1.2 to 0.2)	0.06
f Value	21.6 (7.6)	24.1 (8.5)	1.9 (-0.8 to 4.7)	0.07
P _{plat} (cm H ₂ O)	25.5 (6.0)	27.6 (7.6)	2.1 (-0.1 to 4.6)	0.09
Mean Paw (cm H ₂ O)	14.0 (4.0)	15.3 (5.5)	0.9 (-0.9 to 2.6)	0.1
Cr _s (ml/cm H ₂ O)	29.2 (9.7)	26.7 (11.2)	-2.0 (-5.4 to 1.5)	0.2
PEEP	7.3 (2.8)	7.9 (3.3)	0.6 (-0.5 to 1.6)	0.3
SAPS II	39.2 (13.7)	50.6 (12.4)	10.5 (5.8 to 15.3)	<0.001
APACHE II	17.4 (7.1)	22.5 (7.0)	4.6 (2.1 to 7.2)	<0.001
pH	7.37 (0.1)	7.30 (0.1)	-0.06 (-0.1 to -0.02)	0.001
Base deficit-day 1	-2.15 (5.78)	-4.97 (6.70)	-1.5 (-4.0 to 0.9)	0.008
Cirrhosis (n (%))	7 (8)*	14 (25)*	3.7 (1.4 to 9.2)	0.005

*Percentages of row total.

†Value at time of diagnosis of lung injury. In column 4, continuous values are displayed as mean differences and categorical values are displayed as ORs.

APACHE, Acute Physiology and Chronic Health Evaluation; Cr_s, respiratory system compliance; f, respiratory frequency; FiO₂, inspired oxygen fraction; OI, oxygenation index; PaO₂, arterial oxygen partial pressure; PBW, predicted body weight; PEEP, positive end expiratory pressure; mean Paw, mean airway pressure; P_{plat}, end inspiratory plateau pressure; SAPS II, Severe Acute Physiology Score II; Vt, tidal volume.

unadjusted (OR 1.22, 95% CI 0.86 to 1.72) or adjusted (OR 1.23, 95% CI 0.77 to 1.96) analysis. When adjusted for variables that were significant in bivariate analysis as well as other variables defined a priori (presence of chronic obstructive pulmonary disease, pneumonia, vasopressor use and gender), PaO₂/FiO₂ was no longer a statistically significant predictor of death (OR 1.30, 95% CI 0.83 to 2.04). In contrast, OI remained a robust predictor in adjusted analysis (OR 1.85, 95% CI 1.14 to 3.01). We also carried out multivariate logistic regression using SAPS II instead of APACHE II. Overall, the results were similar; OI was still a significant predictor of death in multivariate adjusted analysis (OR 2.07, 95% CI 1.25 to 3.22) but PaO₂/FiO₂ was not (OR 1.32, 95% CI 0.84 to 2.06).

DISCUSSION

In this retrospective observational study, we aimed to identify early predictors of mortality in patients managed with lung protective ventilation. In particular, we hoped to determine if Cr_s would still be predictive of mortality, as we found during traditional Vt (10 ml/kg) ventilation in the late 1990s.⁵ Our primary finding was that OI, which relates severity of oxygenation impairment (PaO₂) to the intensity of mechanical

Table 3 Unadjusted and multivariate adjusted odds ratio of death for OI, PaO₂/FiO₂ and Cr_s

	Unadjusted OR (95% CI)	Multivariate OR* (95% CI)
OI (per SD increase)	1.89 (1.28 to 2.78)	1.84 (1.12 to 3.04)
PaO ₂ /FiO ₂ (per SD decrease)	1.57 (1.09 to 2.26)	1.28 (0.82 to 2.02)
Cr _s (per SD decrease)	1.22 (0.86 to 1.72)	1.23 (0.77 to 1.96)

OR per standard deviation increase in OI (SD 57.8) and decrease in PaO₂/FiO₂ (SD 8.3) and Cr_s (SD = 10.3). Data were partially missing for 22 patients.

*Controlled for age, sex, presence of chronic obstructive pulmonary disease, pneumonia, trauma, use of vasopressors, pH and APACHE II score.

Cr_s, compliance; OI, oxygenation index; PaO₂/FiO₂, ratio of arterial oxygen partial pressure to inspired oxygen fraction.

ventilation (FiO₂ and mean airway pressure) was a predictor of death, even in an adjusted multivariate analysis.

Over the past 20 years, several studies have reported that mortality from ALI/ARDS has decreased,¹⁶⁻²⁰ while the only therapy shown to have a mortality benefit is lung protective ventilation.⁴ Likewise, observational studies of ALI/ARDS done at the University of California San Francisco hospital system over the past 15 years have also shown a decline in mortality. In the early 1990s, Doyle and colleagues² reported hospital mortality of 58% for patients with ALI/ARDS whereas by the late 1990s Nuckton and colleagues³ found that mortality in patients with ARDS alone was 42%. In this study of patients with ALI/ARDS, mortality was 41%.

Mean Vt on the first day of ALI/ARDS was 7.6 ml/kg PBW which decreased to 6.6 ml/kg PBW by day 4. This level was higher than the Vt levels achieved during the ARDS Net study. In another observational study where the ARDS Net protocol was more strictly adhered to, as evidenced by an average Vt of 6.2 ml/kg PBW that was maintained over the first week of ALI/ARDS, hospital mortality was 32% despite the presence of some of the same comorbid conditions.²¹ This finding suggests the possibility that the relatively higher mortality, despite the intention to use lung protective ventilation, may be a result of delayed recognition of ARDS or less rigorous adherence to the ARDS Net goal of a Vt of 6 ml/kg PBW.

In general, non-pulmonary variables identified as predictors of mortality in studies performed prior to lung protective ventilation were also predictive of death in our study. These variables included age, APACHE II, SAPS II, cirrhosis and pH.^{2, 3, 7} In contrast, many of the pulmonary specific variables identified in previous studies, including Cr_s,³ P_{plat}²² and Vt,³ were not significantly associated with death in our study. Limiting Vt and P_{plat} with lung protective ventilation likely attenuates early alveolar volutrauma, which has been shown in animal models to have early effects on lung vascular permeability and thus compliance.²² It may be that the predictive value of Cr_s observed

in the study by Nuckton and colleagues³ reflected an injurious ventilation strategy and that lung protective ventilation alleviates this early ventilator associated lung injury.

The value of PaO₂/FiO₂ as an early predictor of death in ALI/ARDS is uncertain. Bone and colleagues⁹ observed that although PaO₂/FiO₂ was not different at onset of ARDS, survivors were characterised by a steady increase in PaO₂/FiO₂ over the first week of conventional therapy. Likewise, in a recent review of 13 large observational trials, Ware²³ found that PaO₂/FiO₂ at the onset of ALI/ARDS did not predict clinical outcome, but a persistently low PaO₂/FiO₂ was associated with worse outcomes and may be a marker of failure to respond to conventional therapy.

In contrast with the PaO₂/FiO₂, OI was a robust predictor of mortality, even in the adjusted analysis. This finding supports the results of some prior investigators, although most large observational studies have not measured or reported OI.³⁻⁷ OI may be a better predictor of death than PaO₂/FiO₂ because it accounts for changes in mean airway pressure as well as FiO₂. OI has received more attention in the paediatric literature where Trachsel and colleagues²⁴ found that OI, measured at any time during hospitalisation, was the best pulmonary predictor of death in a group of paediatric patients with acute hypoxic respiratory failure. In addition, OI was identified as the best bedside surrogate for intrapulmonary shunt, the primary pathophysiological derangement of ARDS.²⁵ Lastly, Bayrakci *et al* found that an OI >249 cm H₂O/kPa (33.2 cm H₂O/mm Hg) is a good predictor of the development of chronic lung disease or death in neonates with hypoxaemic respiratory failure. They advocate an OI >249 cm H₂O/kPa (33.2 cm H₂O/mmHg) as a cut-off for initiating ECMO in this patient population.²⁶

The most recent AECC definition discriminates ALI from ARDS based on the level of the PaO₂/FiO₂.¹⁰ The utility of this distinction in predicting morbidity and mortality and in guiding clinical decision making is uncertain. We found no significant difference in mortality between patients originally diagnosed with ALI and those diagnosed with ARDS. In addition, we found that 97% (28/29) of patients originally diagnosed with ALI eventually develop ARDS. A recent multicentre European study involving 463 patients with ALI or ARDS²⁷ found that 54% of patients initially diagnosed with ALI eventually progress to ARDS. Furthermore, patients that progressed to ARDS (PaO₂/FiO₂ ≤27 kPa) had a significantly higher mortality than those who did not. In addition, several other recent studies found no difference in mortality between patients with ALI or ARDS at initial diagnosis.²⁻¹⁶ Likewise, a recent study²⁸ with 1113 ALI/ARDS patients reported that there was no statistically significant mortality difference between patients presenting with ALI (38.5%) or ARDS (41.1%). However, the subset of patients who did not progress to a PaO₂/FiO₂ <27 by day 3 or 7 had a statistically lower mortality of 29%.

The AECC definition of ALI/ARDS was an important step toward standardising a heterogeneous group of patient with lung injury. However, as discussed above, the separation of patients into ALI and ARDS may be of limited prognostic and therapeutic utility. The variability in outcomes of patients with a PaO₂/FiO₂ <41 kPa may be in large part a result of differences in the timing of PaO₂ measurements and the relationship of this measurement to the level of PEEP. Estenssoro and colleagues²⁹ illustrated this in a study of 49 patients in which PaO₂/FiO₂ ratios were measured at the time of diagnosis on zero end expiratory pressure, and over the next 24 h at a level of PEEP determined by the treating clinician. The average PaO₂/FiO₂ at the time of diagnosis was 16.1 kPa (121 mm Hg) at 0 cm H₂O end expiratory pressure, which then increased with increasing

PEEP over the next 24 h. At 6 h, half of the patients no longer met the AECC definition of ARDS, and nearly two-thirds no longer met the definition after 24 h (average PaO₂/FiO₂ after 24 h was 31.2 kPa (234 mm Hg) with PEEP of 12.8 cm H₂O). If the AECC definition of ALI is revised, measurement of PaO₂ at a set level of PEEP or the inclusion of OI into the definition may better risk stratify patients.

There are some limitations of our study. Enrolment of patients was carried out at only two study centres, although one was a university tertiary care hospital and the other a city-county medical centre. This study included 149 patients, which was large enough to identify statistical differences for several pulmonary and non-pulmonary variables, but the statistical power was not sufficient to detect differences between subsets of patients. In particular, our analysis of progression from ALI to ARDS may be limited by small sample size. In addition, power may have been inadequate to detect the impact of PaO₂/FiO₂ and Crs. Moreover, many patients in this study did not achieve the lung protective ventilation goal of a Vt of 6 ml/kg. Tidal volumes were, however, uniformly lower compared with studies performed before the era of lung protective ventilation, and they were progressively reduced over the first 4 days after the diagnosis of ALI/ARDS. Lastly, there was a small amount of missing data in our database; no more than 10 patients had missing data for bivariate analysis and 22 patients had partially missing data in the multivariate model.

In summary, we conducted a study of early predictors of mortality in patients with ALI/ARDS after widespread adoption of lung protective ventilation. We found that demographic and laboratory variables identified in prior studies, including age, APACHE II, cirrhosis and pH are still predictive of death. In contrast, several pulmonary specific variables identified in previous studies, including Crs, P_{plat} and Vt, were not predictive of death. Although PaO₂/FiO₂ was predictive of death in bivariate analysis, it was not statistically predictive in multivariate adjusted analysis. Importantly, we found that OI was the best bedside pulmonary predictor of mortality, and its predictive ability was sustained in multivariate analysis. OI may be superior to PaO₂/FiO₂ in predicting mortality because it integrates the important relationship between airway pressure and oxygenation into a single variable. Based on these results, OI may be a useful marker to identify subsets of patients with a poorer prognosis who might benefit from experimental therapies for ALI/ARDS.

Funding: Funded by NHLBI R01 HL51856.

Competing interests: None.

Ethics approval: Retrospective data collection was approved by the institutional review board of the University of California, San Francisco.

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Lung alert

Inflammation caused by radiofrequency ablation for lung cancer is worse after radiotherapy and in large tumours

The use of radiofrequency ablation (RFA) of solid primary and metastatic pulmonary tumours in poor-risk surgical patients is increasing. The authors report on percutaneous RFA with particular reference to inflammation-related complications in a series of 130 patients undergoing 327 ablation sessions using C-reactive protein (CRP) as a marker for inflammation. RFA was performed with CT guidance using the internally-cooled impedance-modulated Cool Tip system (Valleylab, Boulder, CO, USA). The mean lesion size was 2.4 cm and 71% were metastases. Two hundred and seventeen of the 327 sessions were preceded by previous surgery (n = 34), external beam radiotherapy (EBRT) (n = 17) or chemotherapy (n = 198).

Following RFA the mean CRP value increased from 1.3 mg/dl to 3.4 mg/dl. The reported incidence of inflammation-related complications was 1.2%, although five cases of abscess formation were not included in this group (revised incidence 2.7%). This is lower than previously reported and could be due to continuing antibiotics 24–48 h after the procedure. There were two deaths (0.6%) 8 and 69 days after the procedure, both ascribed to radiation pneumonitis based on the clinical symptoms and distribution of pneumonic change on CT in patients who had previous EBRT. Using multiple logistic regression analysis, large tumour size and previous EBRT were significant risk factors while the number of punctures, type of tumour, chemotherapy or previous surgery were not.

The authors suggest that mechanical lung injury with RFA may worsen development of radiation pneumonitis, although the incidence here is very low. This is important, as there is increasing evidence of improved outcome in sequential treatment with EBRT and RFA. Based on these findings, it might be prudent to perform the EBRT after RFA. If performed afterwards, monitoring of KL-6 and cytokine levels may also be used to predict radiation pneumonitis before referral for RFA.

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