Occasional review

Gender differences in airway behaviour over the human life span

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Gender differences in airway behaviour and in the clinical manifestations of airway disease occur throughout the human life span and are related to biological as well as sociocultural factors. Though they have not escaped recognition, they have received less attention than gender differences in the rates of, for instance, cardiovascular disease, both in terms of research and their implications for clinical practice. Physiologists have in general paid more attention than clinicians to gender differences in airway behaviour, even though gender differences are an important determinant of the clinical manifestations of airway disease. Similarly, in population based (epidemiological) studies of airway disease, gender is invariably considered a standardising variable rather than a determinant worthy of investigation in its own right. However, there have been some notable historical exceptions.

Historical perspective
In 1846 John Hutchison, a London surgeon, presented a report to the Royal Medical and Chirurgical Society entitled On the capacity of the lungs and the respiratory functions with a view of establishing a precise and easy method of detecting disease by the spirometer. He had developed the spirometer specifically for this research and his study is one of the first, if not the first, population based (epidemiological) study in respiratory health. One of the outcome measurements he used in his research was the “vital capacity” (VC), a term he coined to describe “the greatest voluntary expiration following the deepest inspiration”. His study population comprised over 2000 men drawn from various professions and occupations (including soldiers, sailors, guardsmen, policemen, gentlemen, giants, and dwarfs) and 26 young girls, and he identified height, weight, and age as the important determinants of VC. His epitome (as he called his summary) does not include a comment on whether there were gender differences, probably wisely given the limited number of women in his study sample. He did, however, focus on gender differences in breathing movements, which he measured by tracing in profile “the shadows under a strong light, during different stages of respiration . . . in upwards of 1500 cases”, and he noted that “in men these are chiefly by the diaphragm; in women chiefly by the ribs” but that “extraordinary breathing” (by this he meant the VC) is the same in the two sexes. Though he could not, in his own words, “account for this”, he did not believe it was due to “their peculiar costume” but speculated that “it might be a provision against those periods when the abdomen contains the gravid uterus”.

Over 30 years later, in 1879, a book entitled Man and woman: a study of human secondary sexual characters was published by Havelock Ellis. After reviewing the definitions of “secondary sex characters” used by previous writers, none seemed appropriate for his research so he proposed the following classification: as primary those related to “the sex organs that may fairly be regarded as essential for reproduction”; as secondary “those by which we distinguish male from female” (he regarded the breast as “the chief of the secondary sexual characters or as occupying a borderland between primary and secondary characters”); and as tertiary other less obvious differences which he called “relative and only perceptible when we take averages into account” and he pointed out that of these there are many.

In his book, which is extensively referenced and covers a remarkably wide range of topics, Ellis discusses those pertinent to airways disease in three chapters. In a chapter on Metabolism he notes that “it is well recognised that the vital capacity is decidedly less in women than in men” even when height was taken into account (VC was on average between 350 and 500 ml greater in men than in women of the same height). These differences he attributed in part to biological factors (“women have less need of air than men”), based on their lower production of carbonic acid, and in part to cultural factors (“the result of artificial constriction of dress”), referring to the corsets worn by women of the day. In the chapter on Metabolism, Ellis also discussed gender differences in susceptibility to the various treatments as well as to poisons, citing as evidence that men were more susceptible than women to the effects of alcohol (delirium tremens was virtually never seen in women) and to chloroform (most deaths under chloroform anaesthesia occurred in men often undergoing only minor surgery). On the other hand, women were noted to be more susceptible to the effects of opium, mercury, antimony and antipyrine, all of which were accepted medical treatments of the era. In a chapter on The Viscera Ellis noted that “in nearly every dimension...
man’s larynx is larger, the entire male larynx being about one third larger than the female”. Finally, in a chapter on the Functional Periodicity of Women Ellis enlarged on the physiological changes which accompany menstrual bleeding. These include increased pigmentation of the nipples and a change in the timbre of the voice and a lowering of its pitch, such that one specialist noted in a letter to him that “in all Continental engagements with female singers, provision is made for suspension of duty during the menstrual period, but this does not obtain in English contracts”. Ellis then went on to report in detail the recent discoveries reported in 1890 by Professor Ott of St Petersburg at an International Congress of Obstetricians and Gynaecologists.

Ott set out to measure the physiological or biological (as opposed to the psychological and emotional) processes which accompany menstrual bleeding. His study covered over 68 monthly cycles in about 60 women in good health followed for 1–3 months. Daily measurements were made of body temperature, muscular force, VC, respiratory muscle force, and the rapidity of tendon reflex action, not all measurements being carried out in all women. For instance, VC was measured daily using a Hutchinson spirometer on 19 women over 19 menstrual cycles. He then combined the four sets of measurements to give an index of what he called “the functional energy of the feminine organism” which he expressed on a scale of 0–100% and which he argued gave “a correct general view of the monthly physiological curve”. His results showed that this index of “functional energy” increased to a maximum level of 75% which occurred three days before menstruation and then started to fall immediately before or with the onset of menstrual bleeding to a low 35% during the period, before returning again to the mid cycle level. In the face of what he describes as numerous research studies documenting the absence of cyclic monthly fluctuations in prepubertal girls and postmenopausal women, not only of temperature (which Ott had recorded in his studies) but also of other physiological parameters such as blood pressure and heart rate (which he did not measure), he felt justified in attributing the cyclical variation in his index of “functional energy” to factors related to the female organs of reproduction during the reproductive period of a woman’s life. Though the daily measurements of VC were not displayed or reported separately in Ott’s study, apparently they followed the curve of the other components of his “functional energy index”. (Hutchinson reported a coefficient of variation for VC of the order of 3% in adult men, well below the variation of 35% implicit in Ott’s “functional energy index”.)

A century later it is not inappropriate to review what has subsequently been added to our knowledge of the determinants of gender differences in airway behaviour, to ask the question whether the lungs in fact exhibit secondary and/or tertiary sexual characteristics as Have-
distinguishing morphometric, physiological, and behavioural characteristics evident even in utero and these can conveniently be grouped into the following categories 4: (1) dimensional determinants which have been characterised by morphometric and physiological studies including studies of structure/function relationships and which in general favour female lungs; (2) immunological determinants which are of particular importance in the context of conditions such as asthma which are characterised by variability in airway behaviour both in the short and long term, and (3) hormonal determinants which relate to the cyclical changes which take place throughout the reproductive phase of a woman’s life. All contribute to the sex differences in airway behaviour which occur across the human life span but in different ways and in different proportions in different age/time windows, so each will be considered separately.

**Dimensional determinants of airway behaviour and structure/function relationships**

The structure of the lungs is an important determinant of their ventilatory function; this, in turn, is related to their mechanical properties and is reflected in the relationships of volume, flow, and time during a forced expiratory manoeuvre. The relationship of the forced expiratory flow rates at a given lung volume (a measure sensitive to airway size) to lung volume (a measure sensitive to lung size)16–18 is also a measure of this structure/function relationship. This is because the static lung elastic recoil at any given lung volume is also the driving pressure behind flow during the effort independent part of the flow-volume curve when resistance has moved upstream and the equal pressure point (“choke” point) has moved out to the small airways17–19—that is, during most of the expiratory flow rates at a given lung volume (a measure sensitive to airway size) to lung volume (a measure sensitive to lung size)16–18 is also a measure of this structure/function relationship.

CONCEPT OF DYSANAPSIS

To explain the marked variability of maximal expiratory flow rates between individuals with lungs of comparable volume, the term dysanapsis was proposed to describe what appeared to be this loose coupling between airway and lung size.17 This was attributed to disproportionate growth between the airways, whose number is fixed at birth and whose development appears to be complete by the 16th week of gestation, and the air spaces which continue to multiply rapidly well into the early postnatal period and more slowly at least up to the age of two years and possibly longer. Thereafter the increase in lung volume occurs mainly from enlargement of the existing air spaces. Mead, who was responsible for the concept of dysanapsis, concluded from an analysis of the relationship of lung size to airway size in 21 men that it was airway length rather than diameter that determines the effort independent forced expiratory flow rates.

He reasoned that “large lungs were penalised in two ways with respect to their airways because they operate with gas drainage systems that are, relatively speaking, both longer and narrower than those of small lungs”.17 In addition, he compared the results from these 21 men with those of seven women and five boys and was surprised to find that, in the women, for the same lung volume the index of dysanapsis that he had used ($V_{\text{max}}/VC \times \text{P}(\text{at})$; where $V_{\text{max}} = \text{maximum flow rate at 50% lung volume and } P(\text{static})_L = \text{maximum transpulmonary pressure}$)17 was half that in the men, and that the values in the five boys were similar to those in the seven women. From this and theoretical calculations based on fluid dynamics he concluded that “the airways of men were approximately 17% larger in diameter than the airways of women”, and speculated that “the adult sex difference in airway size develops relatively late in the growth phase”.

Dysanaptic growth has been the focus of investigation in several other studies using morphometric20–24 and physiological techniques25–29 as well as epidemiological30–35 and clinical approaches.34 35 In particular by paediatricians to whom changes in growth patterns are an ever present clinical issue. Since investigation of sex effects involves comparing lungs of different sizes, a major issue has been how to compare the function of lungs of different sizes—that is, how to “standardise” for size differences. In other words, given the considerable within and between gender variation in growth rates and in the patterns of growth,11 14 15 24 27 30–37 should lung or somatic size be the reference? The data underlying the answers to some of these issues are summarised in tables 115 24 27 32 and 2. 11 14 24–27 29–31 36–44 Although only human data are cited, they are backed by a considerable body of experimental animal data well documented elsewhere.4 15 24 41 44

**PRENATAL, PERINATAL, AND POSTNATAL SEX DIFFERENCES IN LUNG GROWTH AND DEVELOPMENT**

While there appear to be no prenatal sex differences in the relationship of lung to somatic growth, at birth the lungs of girls are on average smaller than those of boys and they may have fewer respiratory bronchioles.13 On the other hand, maturation appears to be more advanced in the female than in the male fetus as early as from about 16 to 26 weeks in terms of mouth movements14 which reflect fetal breathing (now thought to be a critical determinant of lung development15), and in the period from about 26 to about 36 weeks in terms of phospholipid profiles reflecting its surfactant production.44 46 In addition, in the last four weeks of gestation, and possibly earlier, specific airway resistance ($\Delta R_{\text{aw}}$) is lower in female than in male fetuses, suggesting prenatal sex differences in growth patterns of airways in relation to air spaces. Female neonates also tend to have higher size
Table 1 Prenatal, perinatal, and early postnatal sex differences in lung growth and development

<table>
<thead>
<tr>
<th>Age</th>
<th>Development period</th>
<th>Morphology and physiology</th>
<th>Sex differences in morphology, maturation, and physiology</th>
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<tr>
<td>Prenatal*</td>
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<tr>
<td>1–5 weeks</td>
<td>Embryonic11</td>
<td>Morphology: Lung bud formation11,11</td>
<td>Morphology: No sex differences in relationship of lung growth to somatic growth49</td>
</tr>
<tr>
<td>6 to –15 weeks</td>
<td>Pseudoglandular15</td>
<td>Morphology: Differentiation of airways, airway branching pattern complete by 16 weeks15; airways smooth muscle appears in trachea and starts to contract spontaneously16 Ciliated goblet cells appear at –12 weeks16</td>
<td>Maturation: Mouth movements in female more advanced than male fetus43</td>
</tr>
<tr>
<td>16 to –26 weeks</td>
<td>Canalicular33</td>
<td>Morphology: Vascularisation occurs: acini develop11; Clara cells appear by 26th week11,14,15; fetal respiration begins to influence lung development33,43</td>
<td>Morphology: See above43</td>
</tr>
<tr>
<td>26 to –36 weeks</td>
<td>Saccular33</td>
<td>Morphology: Alveoli first appear at 30 weeks and are uniformly present at 36 weeks; air space wall thickness declines rapidly after 24 weeks33</td>
<td>Maturation: See above: also female fetus approximately 1 week ahead of male in lung phospholipid profile which reflect surfactant production and maturation44</td>
</tr>
<tr>
<td>Perinatal and postnatal 36–40 weeks</td>
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<td>Morphology: Alveolar multiplication occurs14</td>
<td>Morphology: See above under 16 to –26 weeks43</td>
</tr>
<tr>
<td>Birth to –1 week</td>
<td>Morpophy: Proportion of goblet to ciliated cells increases33,43 Rapid alveolar multiplication continues11,14</td>
<td>Morphology: Female lungs smaller than male lungs, may have fewer respiratory bronchioles44</td>
<td>Maturation: More advanced in female than male lungs: see above under 16 to –26 and 26 to –36 weeks</td>
</tr>
<tr>
<td>1 to –52 weeks</td>
<td>Physiologic: Neoneates have proportionately larger airways relative to lung volume (FRC); higher size corrected forced expiratory flow rates40,41 and lower specific airway resistance than older infants44</td>
<td></td>
<td>Physiology: Female lungs have lower specific airway resistance than male lungs44</td>
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Compiled from references 15, 24, 27, 33, 34, 38–41, 44–46.

*Prenatal age expressed as weeks after conception.

corrected flow rates and specific airway conductance (sGaw) than male neonates, suggesting that the ratio of their large to small airways is higher.43 To what extent these differences can be attributed to differences in the phospholipid profile and to the role of surfactant in maintaining small airway patency is a matter of speculation. Despite their smaller size, the lungs of female neonates are at lower risk than those of male neonates for developing both transient tachypnoea of the newborn11 and respiratory distress syndrome (RDS),44 and they are also more responsive to hormone accelerators of surfactant production, presumably because of their more mature lung phospholipid profiles.44,46

SEX DIFFERENCES IN LUNG GROWTH AND DEVELOPMENT DURING CHILDHOOD, ADOLESCENCE AND EARLY ADULTHOOD

The sex differences in the maturation and physiological performance of the lungs documented in early childhood persist throughout childhood into adolescence and adulthood (table 2). However, their profile, extent, and nature vary with different age/height/maturity windows and, since this is often not taken into account, it is not surprising that different studies have led to different conclusions.33,34 Nevertheless, there is some overall coherence in the findings. Firstly, the sex differences in lung size shown in infancy (using body length as the reference) are present throughout childhood and adolescence and persist into adulthood (using height as the reference), even during the brief period in adolescence when, because of differences in the age of onset of the pubertal growth spurt, girls are on average taller than boys of the same age (from 11 to 13 years).43 Secondly, the sex differences in effort independent forced expiratory flow rates (higher in girls and women than in boys and men) are most in evidence in early childhood, decreasing towards adolescence when the percentage ratio of residual volume (RV) to total lung capacity (TLC) increases in girls but not in boys.43 As a result, forced expiratory flow rates, when standardised for size to FVC (and expressed as FVC per second), are higher in girls and women than in boys and young men, but not when standardised to TLC (and expressed as TLC per second).43 Thirdly, although the adolescent growth spurt starts earlier in girls than in boys, it appears to be of comparable duration, approximately two years, and fusion of the epiphyses occurs earlier in girls than in boys and their span to height ratio is less throughout their adult life.43 Thus, in adolescent girls, age related increases in FVC plateau or cease when somatic growth (height vs age) ceases, in contrast to adolescent boys in whom VC continues to increase well into the mid 20s, though at a slower rate. This is also partly because the maximum respiratory pressures generated by adolescent girls are lower than those generated by adolescent boys at all lung volumes due to what has been called a “muscularity effect” in boys and attributed to the influence of male sex steroid hormones. Also pertinent is the increase in muscle bulk, especially of the shoulder girdle. Finally, sex differences in lung volumes measured by acoustic reflection techniques to lung volume and to forced expiratory flow rates
Table 2 Sex differences in lung growth and development in childhood, adolescence, and early adulthood

<table>
<thead>
<tr>
<th>Age (years)</th>
<th>Somatic and lung growth patterns</th>
<th>Sex differences in somatic and lung growth and physiology</th>
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<tr>
<td>Childhood 1–2 years</td>
<td>Somatic growth: – linear with age</td>
<td>Lung growth: Lung size continues to increase at least until growth of the chest wall is complete;9; female lungs are smaller than male lungs based on morphometry;10 11</td>
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<td></td>
<td>Lung growth: Alveolar multiplication continues at least until age 2, possibly longer, distal airways grow faster than central airways,12;13 trachea grows faster than in utero14</td>
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<td></td>
<td></td>
<td>Physiology: Females have larger airways in relation to lung size than males,15 specific airway resistance lower at any given height in females than in males16</td>
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<td>Up to 6 years</td>
<td>Somatic growth: – linear with age</td>
<td>Lung growth: See above under 1–2 years13</td>
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<td>–6 to 10 years</td>
<td>Lung growth: Appears to be dysprastic from early childhood as reflected in airway parenchymal somatic growth patterns17 18;19 20</td>
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<td></td>
<td>Lung growth: Accelerates with age; peak velocity for volumes precedes peak velocity for flow rates by –1 year; FVC does not grow at same rate as TLC25</td>
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<td></td>
<td>Lung growth: Specific airway resistance decreases up to age –18 in females, not in males.14 FEV1 and FVC/FVC higher in females than males, but not peak flow rates14</td>
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<td></td>
<td>Lung growth: Growth velocity for FVC peaks at age –12 (range 8–17) in females and at age –14 (range 10–16) in males22</td>
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<td>Specific airway resistance decreases up to age –18 in females, not in males.14 Specific airway resistance decreases up to age –18 in females, but not peak flow rates14</td>
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<td>Lung growth: Growth velocity for FVC plateaus in females after height ceases to increase with age, but continues at a slower pace in males till the mid 20s, attributed to a muscularity effect.23 Growth of TLC, and of flow rates relative to TLC slower in females than in males, i.e. growth appears to be dysprastic in females and isotropic in males in most but not all studies14 24 25</td>
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<td></td>
<td>Physiology: Specific airway resistance decreases up to age –18 in females, not in males.14 FEV1 and FVC/FVC higher in females than males, but not peak flow rates14</td>
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<td>Adolescence and early adulthood –10 to mid teens</td>
<td>Somatic growth: Accelerates with age; peak velocity for height occurs at age –11.4 in girls and at age –13.5 in boys and precedes peak velocity for growth of lung volume by –1 year (SD –1 year)22 23 24</td>
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<td></td>
<td>Lung growth: Accelerates with age; peak velocity for volumes precedes peak velocity for flow rates by –1 year; FVC does not grow at same rate as TLC25</td>
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<td></td>
<td>Lung growth: See above under 1–2 years13; females generate lower respiratory pressures at all ages than males27 28 29</td>
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<td>Lung growth: Growth velocity for TLC at age –13.5 in boys and precedes peak velocity for growth of lung volume by –1 year (SD –1 year)22 23 24</td>
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<td></td>
<td>Lung growth: Growth velocity for TLC at age –13.5 in boys and precedes peak velocity for growth of lung volume by –1 year (SD –1 year)22 23 24</td>
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<td>Middle to late teens</td>
<td>Somatic growth: As above for age –10 to mid teens30 31; duration of growth spurt similar regardless of early, middle or late maturity32 33</td>
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<td>Lung growth: Height stable but weight increases33 34</td>
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<td>Lung growth: Linear age related increase in lung function slows with the end of the adolescent growth spurt35 36 37 38 39</td>
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<td></td>
<td>Lung growth: See above under 1–2 years13; height ceases to increase with age by –16 years (range 8–17) in females and slows at –18 years in males30 31; females generate lower respiratory pressures at all ages than males27 28 29</td>
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<td>Lung growth: See above under 1–2 years13; females generate lower respiratory pressures at all ages than males27 28 29</td>
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<tr>
<td>Late teens to mid 20s</td>
<td>Somatic growth: Height stable but weight increases33 34</td>
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<td>Lung growth: Linear age related increase in lung function slows with the end of the adolescent growth spurt35 36 37 38 39</td>
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Compiled from references 15, 24–27, 29–31, 39–43.

FEF = forced expiratory flow rate; TLC = total lung capacity; FVC = forced vital capacity; FEV1 = forced expiratory volume in one second.

In adults also support the concept that, in both men and women, the growth of the lung parenchyma and its airways occurs independently and that the configuration of the adult female lung is the result of proportional growth of its airways in relation to its parenchyma, but that of the adult male lung is the result of dysparaptic growth—that is, growth of the airways has lagged behind that of the lung parenchyma.20 21 These dimensional advantages in female lungs are reflected in the reference (predicted) values for flow rates used routinely in the interpretation of pulmonary function tests carried out in clinical laboratories. An example is the FEV1/FVC ratio for which prediction formulae derived from different populations, regions, and continents are all consistent in yielding higher values for girls and women than for boys and men.22–25

SEX DIFFERENCES IN LUNG STRUCTURE AND FUNCTION IN ADULTHOOD

From age 30 onwards—that is, after the age period covered in table 2—age related changes occur in the morphometry of human lung reflecting a rearrangement of its internal anatomy.9 These include a decrease in the volume of alveolar air and of lung connective tissue, changes associated with a decrease in lung elastic recoil and maximal expiratory flow rates, and airway closure at higher lung volumes.2 Changes related to ageing in the mechanical properties of the lungs develop later and proceed more slowly in women than in men.2 With increasing age the large airways of men also lose elastic recoil which may counteract the unfavourable effects of loss of parenchymal recoil and explain the relative preservation of their peak flow rates.2 No reports of comparable data have been found in women.

Immunological determinants of airway behaviour

Atopy is an important determinant of airway behaviour, a marker of immunological status, and an important—if not the most important—host risk factor for asthma.22–54 The term atopy, which is derived from the Greek and means “out of place”, was originally introduced in 1923 to describe a subgroup of clinical allergies which were subject to genetic influences and involved reaginic or skin sensitising antibodies.52 The term evolved in response to advancing knowledge of the underlying mechanisms. For instance, in 1975 Pepys proposed that it be used to describe that form of immunological activity of the subject in which “IgE antibody is readily produced in response to ordinary exposure to common allergens of the subject’s
environment. A current more compact definition describes atopy as an adverse immune reaction involving IgE antibodies. In population based studies phenotype biomarkers which have been used to characterise atopy include skin prick reactivity to common allergens, total or specific serum immunoglobulin (IgE), blood eosinophil counts and, more recently in children up to two years of age, mononuclear cell proliferative and cytokine responses to specific allergens and tetanus toxoid. These biomarkers differ in their evolution with age, their relationship to each other is complex, and not all studies have examined either for overall or for age specific sex differences. In reviewing published material (particularity epidemiological studies) for sex differences in the immunological determinants of airway behaviour, between study differences in methodology need to be taken into account. For instance, skin test reactivity has been variously defined as (1) a mean erythema of diameter 10.5 mm or more at the 20 minute reading; (2) a mean weal diameter of 2 mm or more than the diameter of the control weal at the 20 minute reading; and (3) a quantitative index based on the sum of the diameters of all positive weals after subtracting the diameter of the control weal from each. All these definitions of atopy depend on the number of allergens included in the test battery which is usually made up of several indoor allergens (house dust mite, cat and dog dander) common to most studies as well as some locally important outdoor allergens (trees and/or grasses), usually different between studies.

SEX DIFFERENCES IN ATOPY ASSESSED BY SKIN TEST REACTIVITY

In population based studies sex differences in atopy (assessed as skin test reactivity to one or more of a panel of allergens) have been reported throughout childhood and into early adulthood, with rates in girls and young women being lower than rates in boys and young men up to at least the age of 15 years and in most studies up to 25 years of age, but not consistently thereafter. However, the relationship between atopy and sex is also complex and differs according to the age/sex/gender windows examined. This is illustrated in the Tucson, Arizona studies in which a cohort of 1333 subjects aged 3 to >75 years at enrollment underwent allergy skin tests on two occasions at time intervals ranging from six to 10 years. On the one hand, the acquisition rates of skin test positivity—that is, the increase in its prevalence between surveys—with age, which followed a similar pattern in girls and boys and in women and men, was greatest from childhood through the late teens and early 20s when prevalence levels peaked. Therefore acquisition rates tended to decline, quite rapidly until the 30s at which the decline was slower but it accelerated again after the age of 50 years. Also, when averaged over the whole age range studied (6–74+ years), no sex differences in the prevalence of skin test positivity were reported in the first survey (39.2% and 38.9% in women and men, respectively) or in the second survey (50.3% and 51.2% in women and men). On the other hand, the rates in girls aged 5–14 years and in women aged 25–34, 35–44, and 45–54 years (that is, covering their reproductive years) were higher than those of boys and men of the same age groups at both surveys. The authors, in commenting on these age specific sex differences in prevalence rates of skin positivity, conclude correctly that, depending on the age and number of subjects in a study, an apparent sex effect may be noted, an effect which they considered spurious. However, despite the fact that the sex differences in age specific rates which their data show are in general agreement with published data, they nevertheless cite overall rates for age 3–75+ years (not age specific) in comparing their data with published material and conclude, this time incorrectly by their own reconnaissance, that “the (skin) reaction rate in men and women was virtually identical”. In addition to allergen exposure (including its frequency and intensity), the environmental determinants of skin test positivity include sibling status and smoking (active and passive). In the Tucson study acquisition and prevalence rates of skin reactivity rates were higher in non-smokers and ex-smokers than in current smokers, an effect which the authors suggest may be due to atopic individuals not taking up smoking, comparable to the findings in occupational studies that those exposed to dusts at work have lower rates of skin test positivity than those not so exposed. In contrast to the Tucson study, skin reactivity rates were higher in smokers than in ex-smokers and non-smokers in a population based study carried out in 1905 subjects aged 8–73 years residing in the Po River Delta area in Italy. However, neither study provides information on interactions between sex, age and smoking interactions. In other studies which report no sex differences in skin test positivity, age specific comparisons do not appear to have been carried out.

SEX DIFFERENCES IN ATOPY ASSESSED BY TOTAL AND SPECIFIC SERUM IgE

In contrast to the sex differences in atopy assessed as skin test positivity which vary and change direction across the human life span, sex differences in atopy assessed as total serum IgE levels are consistent across the human life span, with levels in girls and women being lower than those in boys and men at all ages in most but not all studies. Sex differences which they consider possible are noted, an effect which the authors suggest may be due to atopic individuals not taking up smoking, comparable to the findings in occupational studies that those exposed to dusts at work have lower rates of skin test positivity than those not so exposed. Also, in contrast to atopy assessed as skin test positivity, the prevalence of which in the Tucson study, for instance, increased with age and remained at its highest levels, both in terms of prevalence and intensity of the skin reactions, in the 25–44 age group, atopy assessed by serum IgE levels was highest in childhood, declined quite rapidly towards age 25 years, then levelled off before declining again rapidly after the age of 45 years. Sex differences in allergen specific (compared to total) IgE, however, were less consistent and tended to depend on the prevalent antigens to which a community is exposed. For instance, in a population study of...
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When atopy is being considered as a confounder, risk factors with misleading results, particularly addressing aetiological associations, they point to the complexity of these relationships. These and other similar results have led these authors to suggest abandoning the use of the definition of "atopic" as "the presence of specific IgE to one or more allergens" in epidemiological research.

Other investigations have found that boys are more at risk for skin sensitisation to an indoor allergen such as house dust mite is likely to have taken place in early childhood when boys are more at risk for skin sensitisation than girls.58

In addition to allergen exposure, other environmental determinants of total serum IgE levels which have been identified include smoking (active and passive), occupational exposure, urbanisation, and migration.67 Gender interactions with environmental factors which have only been examined in some studies are obviously complex.67 For instance, in a UK population based study of 882 adults aged 20–44 years, the only sex differences in an allergen specific IgE level (three were tested) was for an outdoor allergen, grass, which was lower in women than in men.67 In addition, in the same study total IgE was higher in men than in women, but only 1% of its variability was explained by smoking. Smoking was, however, associated with an increased risk of sensitisation to house dust mite but a decreased risk of sensitisation to grass and cat, another illustration of the complexity of these relationships. These and other similar results have led these authors to suggest abandoning the use of the definition of "atopic" as "the presence of specific IgE to one or more allergens" in epidemiological research and addressing aetiological associations. They point out that use of this definition may mask associations of specific allergens with proposed risk factors with misleading results, particularly when atopy is being considered as a confounder or as an explanatory variable.67 The mechanisms underlying the interacting between smoking and IgE remain to be explained.67

IMMUNE STATUS (FETAL AND MATERNAL) DURING PREGNANCY AND THE IMPORTANCE OF EARLY LIFE EVENTS

Pregnancy involves two physiologies, that of the fetus and that of the mother. The placenta is a barrier to most blood constituents and yet fetus and mother are in close immunological proximity and transfer of IgG from mother to fetus is a natural feature of pregnancy. During pregnancy there also appears to be a bidirectional interaction between the maternal immune and reproductive systems, with the maternal immune system either enhancing or inhibiting the development of the feto-placental unit, and the feto-placental unit redirecting maternal immunity away from cell mediated and towards enhanced humoral responsiveness.59 The immune environment of the fetus is thus skewed toward the Th2 type of immune response due to cytokines produced by the amnion and placenta, and spontaneous abortion is likely to occur if this immune profile does not develop in the fetus.59 The cord blood of babies born to atopic mothers have also been shown to respond to aeroallergens as well as food allergens to which the mother was exposed during pregnancy.54 74–76

Many of the cytokines are under the control of oestrogen levels as they increase during pregnancy and multiple effects of oestrogen on the fetal/maternal immune systems have been shown.54 Whether the sex of the fetus affects any of these relationships does not appear to have been studied.

Prenatal, perinatal, and early life events appear to be crucial in programming the infant’s immune system during this period when it hovers in the balance between the Th2 and Th1 response to exposure to common allergens—that is, between the development of atopy and the asthma phenotype or not.72 74 79 82

In addition to a family history of allergic conditions, breast feeding, having older siblings, recurrent early childhood infections (respiratory and/or intestinal), and certain vaccinations and inoculations such as BCG have been associated with a reduction in the risk of developing childhood allergy and/or asthma in studies adjusted for age, subsample, smoking, and season of the year.73 74 76 77 83–87 By contrast, urbanisation and/or migration, particularly if it involves adopting a “westernised” lifestyle, is associated with an increase in atopy and allergic conditions such as asthma.81 84–86

Sex and/or gender differences in these relationships do not appear to have been examined. Programming of the fetal immune system by the mother could also explain the greater effect of maternal versus paternal allergy on the later development of allergy and asthma in the child.54 77 Only one study was encountered which commented on sex differences in the fetal immune programming by the mother. In a study of 777 newborn infants in Detroit an association between a maternal history of asthma and an elevated cord blood IgE was shown for newborn girls but not for boys.51

Hormonal determinants of airway behaviour

Despite the evidence cited by Ellis over 100 years ago to the effect that the airways of women exhibit secondary sexual characteristics, and despite the recognition of the role of progesterone in driving the hyperventilation of pregnancy by Hasselbach as early as 1912,52 the role of hormonal factors in airway behaviour is still poorly understood.54–56 There is, however, compelling evidence that, throughout a woman’s reproductive life, her airways are subject to the influence of the cyclical variations in sex hormones which occur in relation to circadian rhythms, in relation to menstrual cycles and the use of the oral contraceptive pill, in relation to pregnancy, and in relation to the menopause and postmenopausal hormone substitution therapy. At the same time, measurement of these hormonal factors on the airway behaviour and ventilatory function of women appears to be
favourable, at times not. Many of the studies which provide information on the hormonal determinants of airway behaviour have been conducted in women with mild to moderate asthma. However, the classic study of Ott reported in 1890 on healthy subjects, the early studies by physiologists and several recent studies have included non-asthmatic women as a reference group. Not all recent studies distinguish between natural cycles and cycles experienced by women on oral contraceptives.

Circadian and Menstrual Fluctuations and Oral Contraceptive Use
Circadian variation occurs in airway responsiveness to challenge by histamine, acetylcholine, and house dust mite allergen, the airways of diurnally active individuals being least responsive around noon time and most responsive around midnight. These fluctuations may exceed 100%.

In non-asthmatic women the ventilatory requirements for a given work load (and for a given oxygen uptake) are increased in the order of 30% during the luteal phase compared with the follicular phase of the menstrual cycle, and running exercise has been shown to be associated with a significant rise in post exercise plasma cortisol concentrations in a study of 12 non-asthmatic subjects. In addition, in non-asthmatic women in the premenstrual or perimenstrual period spirometric measurements and the gas transfer factor may be reduced while the levels of peak expired nitric oxide (NO), a marker of the biological activity of the endothelium derived relaxing factor, has been documented as approximately half those seen at mid cycle. However, in most studies of airway responsiveness to methacholine over the menstrual cycle, the changes are small and are reported as insignificant.

Also, in non-asthmatic women and in a case report of an asthmatic woman, theophylline clearance has been shown to be significantly higher during the luteal phase than in the follicular phase of the cycle. In other studies of non-asthmatic women the lymphocyte β2-adrenoceptor (β2-AR) density and airway responsiveness to adenosine monophosphate (AMP) challenge have been shown to increase, and exogenous progesterone (not oestrogen) given during the follicular phase to upregulate β2-AR density during the luteal phase compared with the follicular phase. By contrast, in studies of asthmatic women decreases in β2-AR density have been reported in the luteal phase, and exogenous administration of progesterone (not oestrogen) given during the follicular phase paradoxically decreased β2-AR density and cyclic AMP airway responsiveness. Finally, age may also play a part in determining hormonal effects on β2-adrenergic responsiveness. In young women paradoxical downregulation of β2-AR has been demonstrated. In perimenopausal and postmenopausal women attenuated luteal phase prostaglandin production and possibly anovulatory cycles may be associated with concomitant loss of β2-AR in the premenstrual or perimenstrual period and be a factor exacerbating premenstrual asthma in a susceptible subgroup of asthmatic women.

Premenstrual aggravation of their asthma occurs in many asthmatic women (up to 40% in some studies) and can be severe and even life threatening with fatal cases often reported at the onset and the end of the reproductive period of their lives. In a US study of 182 asthmatic women emergency room visits for their asthma occurred more frequently during the premenstrual period than in the pre-ovulatory, peri-ovulatory, or post-ovulatory stages of the cycle. In another US study of 221 asthmatic women 29 (13%) reported reproductive factors as a trigger of their asthma but, in contrast to the last study, emergency room visits were more frequent in the pre-ovulatory (follicular) phase than in the other phases of their cycles. In a case series of 57 asthmatic women other determinants of premenstrual asthma included dysmenorrhoea, asthma severity, and the presence and level of bronchial reactivity. In studies of women whose asthma symptoms are exacerbated premenstrually, airway responsiveness to methacholine has usually but not always been found to be increased—that is, provocative concentration causing a 20% fall in FEV1 decreased—in the mid luteal phase (around day 21) and FEV1 and peak flow rates are usually decreased by approximately 10% in the late luteal phase (around day 24). In two case series of 11 and 14 asthmatic women, respectively, premenstrual aggravation of their asthma symptoms was evident from reviewing their daily diary cards recording symptoms using visual analogue scales and/or peak flow measurements, even among those not previously aware of any cycle related changes.

In one of these studies oestriadiol administration was associated with improvement in asthma symptoms and dyspnoea index scores, but did not appear to be related to β2-adrenergic receptor density. In addition, in asthmatic women hypercapnic chemosensitivity is increased during the luteal phase of the menstrual cycle and their adrenocortical response to the stress of exercise may also be impaired. Asthmatic women on the oral combined contraceptive pill also exhibit attenuated cyclical changes in airway reactivity and in peak flow variability associated with suppression of the normal luteal phase rise in sex hormones seen in non-asthmatic women not on the combined oral contraceptive pill. Mechanisms underlying premenstrual aggravation of asthma symptoms are complex and are still poorly understood. The observation that levels of exhaled nitric oxide increase during the luteal phase of the cycle in non-asthmatic women suggests that premenstrual asthma is associated with an increase in airway inflammation. Another mechanism...
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proposed is the elimination of the cyclical changes in β2 adrenoreceptor regulation seen in non-asthmatic women experiencing normal cycles. A hypothesis based on evidence that these cyclical changes can be controlled by oral contraceptives, by a gonadotrophin releasing hormone analogue (GnRH), or by oestrogen which attenuates the premenstrual responsiveness to adenosine phosphate in asthmatic women whose condition is stable. Other mechanisms which could explain the exacerbations of asthma associated with a sudden fall in progesterone premenstrually and perimenstrually include a decrease in smooth muscle contractility, microvascular leak, alterations in immunosuppressive activity, and a possible bronchodilator effect of progesterone. Current research into these and other mechanisms underlying premenstrual aggravation of asthmatic symptoms, will, it is hoped, furnish the information required to establish standard treatment guidelines and protocols.

In a paper entitled “Is asthma an endocrine disease?”, an interesting hypothesis is developed to the effect that the disturbance of oestrogen levels which follows discontinuation of oral contraceptives by the mother may be one of the factors contributing to the rising prevalence of childhood and adult asthma seen in the latter half of the 20th century in industrialised countries as they adopt the sociocultural changes which accompany what has been called a “westernised” lifestyle. In industrialised countries where asthma rates are also rising, other environmental factors associated with urbanisation are usually thought to be more important—for example, in Ethiopia exposure to pesticides has been implicated.

PREGNANCY

Pregnancy is accompanied by changes in lung and chest wall mechanics, progressive increases in transfer factor which stabilise at approximately 26 weeks, and increased ventilation at rest and on effort attributed to increased progesterone levels. Peak flow rates, however, appear to remain stable throughout pregnancy. During pregnancy asthma may remain unchanged, it may improve, or it may get worse in roughly similar proportions. For instance, in a US study of 330 asthmatic women prospectively managed with symptom diary cards, these proportions were 33%, 28%, and 35%, respectively. After 36 weeks most women experienced their asthma symptoms less frequently and they were less severe. In women whose asthma symptoms worsened during pregnancy, this usually occurred between 29 and 32 weeks. Similar findings were reported in a Finnish study of 198 asthmatic women followed through pregnancy using changes in asthma medication required for symptom control as the marker of severity, and in a Canadian case series of 20 asthmatic women of childbearing age, actively seeking pregnancy, in whom methacholine challenge was used as the marker of asthma severity. In another Finnish study of 504 pregnant asthmatic women acute attacks were more likely to occur between 17 and 24 weeks. In a case report of acute severe intractable asthma in the first trimester of pregnancy in a 19 year old woman, rapid improvement followed abortion.

In none of the studies cited above was the sex of the fetus reported, nor apparently was its potential influence on the mother’s asthmatic symptoms during pregnancy considered. However, in one study carried out in London in 34 pregnant asthmatic women studied by diary cards, there was a tendency for asthma symptoms to decrease during pregnancy among the mothers of male fetuses and to increase among mothers of female fetuses. Thus, eight women reported that their asthma had improved (in eight the fetus was male, in no case female); 12 reported that their asthma had got worse (in four the fetus was male, in eight female), and in the 14 in whom their asthma had remained unchanged during pregnancy the fetus was male in six and female in eight. Despite the recognition that the female sex hormones appear to play an important role in asthma, despite the differences between women in how pregnancy affects their asthma, and despite the fact that the masculinisation of the embryo occurs with conception and that during pregnancy there appears to be a bidirectional interaction between the maternal immune and reproductive systems with the fetal immune system redirecting maternal immunity towards enhanced “humoral” responsiveness, the interesting question of if and how the sex of the fetus affects the mother’s asthma appears to have attracted little research interest.

MENOPAUSE AND HORMONE REPLACEMENT THERAPY

Postmenopausal women are less at risk for developing asthma than premenopausal women. For example, in the US Nurses’ Health Study, a prospective investigation of major disease in a cohort of over 93 000 women, the relative risk of developing asthma in postmenopausal versus premenopausal women was 0.65 (95% CI 0.46 to 0.92), a trend partly reversed by hormone replacement therapy. In a case series of 15 postmenopausal women with mild to moderate asthma, hormone replacement therapy was associated with clinical worsening but also, paradoxically, with a decrease in the diurnal variation of FEV1 and FEV1/FVC, an effect which the authors attributed to a direct effect on bronchomotor tone. Postmenopausal women are also at risk for developing osteoporosis including kyphoscoliosis which, because of the consequent mechanical disadvantages to ventilation, may impose an additional burden on their handling of any postmenopausal changes in airway behaviour.

Environmental and sociocultural determinants of airway behaviour and their interaction with biological determinants

In contrast to gender differences in biological (dimorphic, immunological and hormonal) determinants of airway behaviour which operate throughout the human life span (though...
with variable strength and sometimes with opposite effects at different ages), *environmental exposures*4,5,137–141 and *sociocultural factors*142–144 which affect airway behaviour are likely to be comparable between the genders at certain periods in life—for example, home exposures and socialisation in early childhood—and different for others—for example, work exposures and social pressures in young adulthood.4 In addition, the impact of both environmental and sociocultural factors on gender differences (or similarities) in airway behaviour is likely to vary between communities, cultures and countries, given the dependence of environmental factors on factors such as the level of urbanisation and industrialisation and of sociocultural factors on factors such as the acceptability of smoking among women and the extent to which they participate in the commercial, entertainment, athletic, and sporting life of the communities in which they live.3 There is also increasing evidence of interaction (with effect modification) of biological determinants with environmental and sociocultural factors in the clinical manifestations of airway disease, in particular in the perception, reporting, and interpretation of respiratory symptoms.4,5

ENVIRONMENTAL EXPOSURES

In industrialised countries exposures common to both genders include environmental exposure to tobacco smoke (ETS) or passive smoking and alcohol use (usually more and less frequently experienced by women than men, respectively).4,5 In the case of active smoking, not only may the rates differ between genders, but these differences may be changing as the rates of active smoking in adolescent girls and women rise and rates in adolescent boys and men fall.5 Other exposures common to both genders include diet and nutrition (for instance, in the home environment in childhood) whereas in adolescence and early adulthood diets may again diverge, only to converge again between spouses and partners in adulthood.4,5 Socioeconomic factors and environmental exposures to community and workplace air pollution, for instance, are likely to show similar trends.

Women are also more likely to influence the home environment than men—for example, in determining diet and nutrition—and in maintaining the home free of dust and other potential allergens.4,5 By the same token, they are more likely than men to be exposed to solvents, home cleaning materials, and personal hygiene and beauty products. Women are also more likely to be exposed to potentially harmful pollution by nitrogen dioxide (NO₂) from gas cookers and pilot lights, as are young children in the home.145–148 On the other hand, women are less likely than men to experience harmful exposures related to leisure or home improvement activities—for example, welding fumes, isocyanate use, wood dusts and wood glues—as well as to exposures incurred at work in blue collar jobs or heavy industry.140 There is also some evidence of lower levels of perception and reporting of these occupational exposures in women than in men.140

In addition to gender differences in exposures to environments potentially harmful to airway behaviour, there is increasing evidence of gender differences in the biological response—that is, in the susceptibility—to such exposures, for instance to tobacco smoke, irritants and allergens.4,5,137,145–149 In the case of tobacco exposure, this may in part be methodological150 and in part implicit in the interaction between gender and smoking. There is also some evidence that in young women the target structure for tobacco effects is their pulmonary vasculature while in young men it is their airways.151 Other potential sources of bias and reasons for the underestimation of the effects in women are (1) selection bias due to the “healthy” smoker effect, the result of those whose airways are less able to sustain the habit remaining non-smokers151; (2) information bias due to the fact that, though reported smoking levels may be similar for men and women, the confounding effects of passive smoking, which are likely to be higher in women than in men, are not always taken into account in analysis151; and (3) diagnostic bias due to the observation that symptoms of airway dysfunction (such as shortness of breath with wheezing) reported by women may attract a different diagnosis from the diagnosis attracted when the same symptoms are reported by men.152 Also, inferences about gender differences in airway behaviour, derived from epidemiological studies, depend largely on the constitution of the non-smoking (reference) group and this, in turn, depends on the definition of “non-smoker” and the reasons (probably very different) why women do not smoke and why men do not smoke.152–154 For example, pressures to quit smoking because of participation in competitive or commercialised sports are likely to affect fewer young women than young men in communities exhibiting a “westernised” lifestyle; in other communities pressures on women not to smoke may be for religious reasons.

The operation of these potential gender biases is also likely to affect study inferences differently, depending on the study outcome and study question. Again, in the case of tobacco exposure, if the study outcome is a lung function measurement or a measurement of airway responsiveness, then selection bias due to the “healthy” smoker effect is likely to diminish estimates of gender differences in the response to environmental exposures in early adulthood. If the study outcome is a symptom, then gender differences in the response to tobacco exposure are also likely to be underestimated due to the confounding effect of passive smoking, usually experienced by more women than men. If the study outcome is a reported diagnosis, and if the smoking habit is taken into account in reaching a diagnosis, then the resulting diagnostic bias is likely to lead to over reporting of asthma and under reporting of COPD. In women, cases occurred at work in blue collar jobs or heavy industry.
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shortness of breath being less common reported by women than by men.4 153

Figure 1 Gender differences in the relationship of reported shortness of breath to FEV1. Prevalence (%) of reported shortness of breath as a function of quintiles of FEV1. Based on data gathered in the PAARC (Pollution Atmosphérique et Affections Respiratoires Chroniques) population based survey conducted in 1975 in seven French cities on approximately 20 000 adults aged 25–59 years, excluding subjects with reported respiratory or cardiac disease. The quintiles of FEV1 were defined separately for men and women, taking account of age. Reproduced from Kauffmann and Becklake with permission.

women, and overestimation of its role in the genesis of emphysema in men. In other words, the operation of these various biases affecting gender comparisons may explain why the important question of whether there are gender differences in the susceptibility to tobacco exposure remains controversial.4 5

SOCIOCULTURAL FACTORS

Sociocultural factors are thought to play a role in the gender differences in the perception, reporting, and diagnostic interpretation of respiratory symptoms such as shortness of breath, cough, sputum production, and sleep disordered breathing (including snoring), all except shortness of breath being less commonly reported by women than by men.4 155

Shortness of breath, a symptom associated with impaired ventilatory function and a clinical feature of COPD and emphysema,152 is consistently reported more frequently by women than by men in population based studies4 132 136 145 146 148 even though mortality rates for COPD are consistently higher in men than in women over the age of 45 in most industrialised countries.4 150 151 Morbidity rates4 132 as well as rates for time off work show the same gender gradients. While gender differences in environmental exposures may have contributed to the gender gradients in reporting certain symptoms, they cannot explain the gender differences in the age standardised reporting rates for shortness of breath by level of FEV1, shown in fig 1 which is based on data from a study entitled “Pollution Atmosphérique et Affections Respiratoires Chroniques (PAARC)”19 carried out in seven cities across France and involving more than 20 000 adults aged 25–59 years.4 150 151

In that study, as fig 1 shows, though reporting rates for shortness of breath decreased with increasing levels of FEV1, in a similar fashion in both men and women, at all levels of FEV1, reporting rates were higher in women than in men, differences for which the perception of shortness of breath may be responsible. These gender differences not only remained but increased after standardising for potential confounders such as smoking, occupational exposure, educational level, obesity, and FEV1, level.4

GENDER DIFFERENCES IN THE PERCEPTION, REPORTING AND INTERPRETATION OF RESPIRATORY SYMPTOMS

Interactions between biological determinants of airway behaviour (related to sex) and environmental and sociocultural determinants (related to gender) are complex as is their influence on how symptoms are perceived, reported, and interpreted by individual subjects and by their health care providers.4 5 On the one hand there is increasingly strong evidence of greater susceptibility of girls and women than boys and men to the effects of tobacco smoke4 136 145 146 148 but, on the other hand, there is also increasing evidence that what have been interpreted as sociocultural differences in the perception, reporting, interpretation (both by the individual and the health care provider) and in the management of symptoms of airway dysfunction and disease may have a biological basis.4 5

Gender differences in the perception of breathlessness is perhaps the most compelling example of the complexity of the interaction between environmental and sociocultural factors with biological factors. For instance, the perception of altered respiratory function by women may be more sensitive but less specific than by men as a result of their airway function being subject to cyclical hormonal variations (particularly of progesterone with its stimulating effects on minute ventilation) throughout their reproductive years. From this it follows that dyspnoea, a key element in quality of life scales,162 may be perceived by women as a more global indicator of health than by men. Psychological factors such as depression have also been linked to the reporting of respiratory symptoms12 132 though gender differences in rates of reporting by psychological status do not appear to have been examined. In addition, in cultures in which prowess in competitive or commercialised sport is prized, it may be culturally more acceptable for a woman to report shortness of breath than for a man to do so.

By contrast, cultural factors appear to be more important in the lower rates of reporting sputum production among women than men. For instance, in the population based PAARC survey4 a question on “swallowed phlegm,” was systematically asked in case of a negative answer to the question “do you usually bring up phlegm?” When increased mucus production was defined as answering “yes” to either question on phlegm, only 15% of men with mucus production reported swallowing phlegm compared with 41% of women. There may also be gender differences in cough reflex sensitivity...
which in turn might affect the perception of phlegm.\textsuperscript{135} There is also evidence of gender differences in the diagnostic interpretation, practiced by doctors on the symptoms associated with chronic airway disease.\textsuperscript{4,5 155} In an analysis of 361 new cases of obstructive lung disease (asthma, emphysema, and bronchitis) recorded over eight years in the community-based study carried out in Tucson, Arizona, a diagnosis of asthma was most often assigned to younger subjects, a diagnosis of emphysema to older subjects, and a diagnosis of chronic bronchitis more or less evenly assigned across all age groups.\textsuperscript{152} Also, in older subjects, all symptoms (wheeze, dyspnoea, and attacks of shortness of breath with wheeze) occurred frequently in association with all three diagnoses. However, most subjects who reported emphysema were men and most who reported asthma and chronic bronchitis were women, suggesting a gender bias in physician diagnosis. Finally, the male:female ratio for obstructive sleep apnoea, originally estimated as 8:1 on the basis of mainly clinical studies, has been shown to be of the order of 2:1 in population-based studies,\textsuperscript{155} the difference being attributed to the reluctance of women to report or seek medical advice for snoring. There may also be a biological explanation for the lower reported rates of snoring in women related to the greater stability of their oropharynx and upper airways and attributed to the effects of female hormones (possibly progesterone) on genioglossus muscle activity.\textsuperscript{155}

**Gender differences in the manifestations of obstructive airway disease over the human life span**

To what extent do the age-related gender differences in airway behaviour described above provide an explanation for the gender differences in the clinical manifestations of airway disease which also change over the human life span? These have been documented in mortality statistics,\textsuperscript{4,7 158 164} morbidity data,\textsuperscript{164–168} and in population-based epidemiological studies using various markers of airway disease,\textsuperscript{53 64 169–174} and these are reviewed here to address the question posed.

The airways in question and the criteria used to define and/or describe and/or diagnose for the present purposes\textsuperscript{175–184} are as follows. *Asthma* has commonly been defined using clinical criteria as "a condition characterised by airflow limitation which is spontaneously reversible or reversible on treatment"\textsuperscript{177}; current definitions also describe asthma in terms of its underlying mechanisms as "a chronic inflammatory disorder of the airways in which many cells play a role. In susceptible individuals this inflammation causes symptoms (which) are usually associated with widespread but variable airflow limitation at least partly reversible either spontaneously or with a treatment. The inflammation causes an associated increase in airway responsiveness to a variety of stimuli".\textsuperscript{178} *Chronic bronchitis* is usually defined as chronic or recurrent bronchial hypersecretion and is described in terms of its main symptom, sputum production.\textsuperscript{175 176} *Emphysema* is usually described using anatomical or pathological criteria\textsuperscript{177} as "permanent enlargement of the air spaces distal to the terminal bronchioles accompanied by destruction of their walls and without obvious fibrosis"\textsuperscript{175 185} and, more recently, using imaging criteria.\textsuperscript{180}

**Chronic obstructive pulmonary disease (COPD)** has been defined as a condition characterised by "the presence of chronic bronchitis or emphysema associated with airflow obstruction; the airflow obstruction may be accompanied by airway hyperactivity and may be partly reversible".\textsuperscript{175 185} In epidemiological studies COPD is commonly described in terms of its lung function deficit, either as a reduced FEV\(_1\) level (cross-sectional studies),\textsuperscript{175 184 185} or an accelerated rate of FEV\(_1\) decline (longitudinal studies).\textsuperscript{6 178 179}

As with many other clinical syndromes there is considerable overlap between the clinical features of COPD and those of emphysema, chronic bronchitis, and asthma.\textsuperscript{152 162 165} Nevertheless, the term COPD continues to be widely used by clinicians, perhaps because it permits the expression of uncertainty in an individual case as to the degree of reversibility of the airflow limitation and of the airway hyperresponsiveness, and perhaps to indicate that recognition of the diagnostic continuum embodied in what has come to be known as the Dutch hypothesis of the natural history of chronic non-specific lung disease.\textsuperscript{181} In addition, given that *airway hyperresponsiveness* is (1) considered a major marker of airway dysfunction in asthma,\textsuperscript{178 182} (2) may be a factor in the development of COPD,\textsuperscript{6 179 185} and (3) together with wheeze within the last year is used to define clinically important current asthma for epidemiological studies,\textsuperscript{176} gender differences in the determinants of airway responsiveness will be considered separately.

**AIRWAY RESPONSIVENESS**

Airway responsiveness (AR) to non-specific challenges is cited as an example of the expression of the complex relationships between inherited genetic characteristics and exposure to the different environmental factors that determine airway behaviour.\textsuperscript{183 186} Airway hyperresponsiveness (AHR) has been defined as "an abnormality of the airways which allows them to narrow too easily and too much,"\textsuperscript{180} reflecting respectively the threshold at which the response to the challenge starts and the slope of the dose-response relationship of the response. The prevalences of AHR are greater than the prevalences of asthma symptoms and/or diagnosis in the same population.\textsuperscript{182 187} The absence of a generally accepted clinical definition of asthma has led to the suggestion that, for epidemiological studies, a useful definition and one compatible with a clinical diagnosis of asthma would be current wheeze and a PD\(_{20}\) (FEV\(_1\)) response to challenge with histamine or methacholine to a cumulative dose of 4 µmol or less.\textsuperscript{182}

Our analysis of the evolution of gender differences in AHR from infancy through childhood and adolescence into adulthood and...
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dierences in airway behaviour over the human life span

... rates are higher188 and sRaw is lower39 than in

dierences include the choice of the provocation agent—for example, histamine,190 193 methacholine,189 192 196 199 adenosine monophosphate172; how the agent is delivered—for instance, via a Mefar metered dose inhaler as in the ECRHS protocol,197 delivery by a DeVillbis spray,193 a Wiesbaden Doppel inhaler device,195 a Wright nebuliser,191 or a compressed air device driving a small volume of air through the airway in infants188; and the inhalation procedure followed—for example, a single inspiration from functional residual capacity (FRC) to total lung capacity (TLC) with breath holding190 compared with several slow inspirations192 or tidal breathing.191 In addition, studies differ as to the procedure used to achieve a maximum forced expiration (in children and adults a voluntary coached effort109 112 114 115 117 118 compared with a forced expiration achieved by sudden pressurisation of a plastic jacket surrounding the rib cage and abdomen in infants188); the fall in FEV1, used to define AHR (for example, PD15 in children193 and PD10 in adults189 191 193 195 196 197); and the maximum cumulative dose of the challenging agent administered (ranging, for instance, from 2.0 mg191 to 32.0 mg).191 While bias due to these methodological differences will threaten between study comparisons, it is less likely to threaten within study comparisons of gender differences, for example, the thrust of our review.

An additional and perhaps even more important issue is how to standardise for differences in lung and airway size in comparisons across the various age/gender windows.188 192 200 Thus, the increased airway responsiveness originally reported for infants compared with older children appears to be largely explained by less entrainment of air during the inhalation of the challenge, and hence the administration of a higher dose to the airways of the infants studied than to older children.188 Likewise, the comparisons of younger with older children are likely to be confounded by size (somatic, lung) and airway calibre,188 as are comparisons in adults within and between genders in which FEV1, FEV1/VC%, predicted, and FEV1/FVC% have all been used to standardise for lung size and airway calibre.191 192 198 For these reasons it is not surprising that findings (and their interpretation) differ between studies on the issue of gender differences and on their evolution over the human life span. Nevertheless, a certain coherence emerges when interpreted in light of what has already been said about gender differences in the dimensional, immunological and hormonal determinants of airway behaviour in relation to different age/gender windows.

In the neonatal period, size corrected flow rates are higher188 198 and sRaw is lower198 than in early childhood (table 1). In addition, in childhood the airway responses of girls to inhaled challenges to provoke (for example, methacholine) or to reduce (for example, atropine) airway tone are less than those of boys despite their smaller airway size.193 195 Furthermore, in childhood the airways of girls respond to a deep breath by dilating whereas those of boys do not, a response which affects their larger and probably also their small airways since surfactant appears to play a part.19 In keeping with these findings is the observation that throughout childhood the prevalence of airway hyperresponsiveness is also less in girls than in boys.193 195 By adolescence the level of airway responsiveness has decreased considerably in both girls and boys, although girls still retain the advantage of what appears to be a lower level of airway muscle tone despite forfeiting some dimensional advantages due to the greater growth of the large airways of boys in adolescence, even in studies not standardised for size.193 In studies of adolescents192 198 199 201 gender differences will depend on the constitution of the study population(s) and the relative proportions of girls who have reached puberty (when endocrine factors are likely to have increased their airway responsiveness) and of boys (in whom musculature effects are likely to have diminished their dimensional disadvantages compared with girls).

In adulthood there is less consistency in the gender differences in airway responsiveness reported, some of which may be due to differences in the age windows studied—for instance, if premenopausal and postmenopausal women are not separated in the analysis. Nevertheless, several (possibly most) studies192 196 199 201 202 including some not standardised for lung size,196 have found higher prevalence rates of airway hyperresponsiveness in women than in men; among the exceptions were studies in which crude prevalence rates were higher in women than in men but reversed after standardisation for airway size.191 195 Of particular interest is the observation that there are also gender differences in the determinants of airway hyperresponsiveness, tobacco exposure being implicated in women and atopy in men.192 197 201 202 Overall, the evidence suggests that the airways of women show cyclical changes through their reproductive years during which they exhibit higher prevalence rates of airway hyperresponsiveness than men, suggesting that their airways are organs which exhibit sex-related hormone effects. The mechanisms underlying gender differences in airway responsiveness are speculative. For instance, oestradiol is thought to affect the concentration of acetylcholine, the quality of mucus secretion, the production of prostaglandins, and the density of β1 and β2 adrenergic receptors in the lung. Many, though not all, gender differences in the factors influencing airway behaviour are also likely to be determinants of gender differences in airway hyperresponsiveness.

Asthma

Although there is evidence from the Tucson, Arizona birth cohort study205 that asthma
CHRONIC OBSTRUCTIVE PULMONARY DISEASE

Gender differences in mortality rates for COPD, of the order of 20–60% lower in women than in men, are reported for most industrialised countries and are consistent even though absolute ratios per 100 000 differ considerably between countries. Once admitted to hospital for COPD, women are less likely to die than men. On the other hand, if they require mechanical ventilation their survival rates have been shown to be lower than those of men. In the USA during the period 1979–85 COPD mortality increased in men and women aged 55 years and over, but gender differences decreased due to the rise in death rates in women being faster than those in men. This was coincident with, and has been attributed to, an increase in tobacco use amongst women and a levelling off or fall in tobacco use by men. Similar mortality trends have been described in Canada. Morbidity from chronic airway disease in Canada also increased with age and exhibited the same gender gradients consistent with the US data.

According to the Dutch hypothesis of its natural history, chronic airway disease is the consequence of the interaction of host factors in the form of two separately inherited genetic tendencies (to develop allergic reactions and to...
develop airway hyperresponsiveness), modulated by gender and age, interacting with environmental agents (allergens in the first instance, irritants in the second) and acting through a common pathway of airway inflammation. The phenotype expression can range from asthma through to chronic airflow limitation. It remains to be determined whether gender differences in the rates for COPD will equalise once women achieve a cumulative smoking exposure equal to that achieved by men over the past several decades. Given the large numbers of subjects exposed to tobacco smoke, environmental exposure in the form of smoking must be considered a major determinant, if not the most important determinant, of COPD in terms of its public health impact. However, occupational exposures to dust and gases at work are now generally accepted as a cause of chronic airflow limitation (that is, COPD) and, for some exposures and in some communities, the ill effects appear to be comparable to those of tobacco use.

In addition, in many countries, industrialised or industrialising, the number of women exposed at work is not trivial (up to 40% in some communities) with their estimated attributable risk being up to 20% in some studies of chronic bronchitis and up to 30% for some studies of COPD—that is, comparable to the estimates of attributable risk in men.

The now quite strong evidence of an increased susceptibility of women to the effects of tobacco (see above under “Environmental exposures”) is a strong incentive to pursue this line of investigation further and to address other environmental exposures in a similar fashion.

**Gender differences in airway behaviour across the human life span: clinical, public health and research implications**

The clinical implications of gender differences in lung size, structure, and function (see tables 1 and 2) have been incorporated into the clinical practice of respiratory medicine, paediatric and adult, in the form of gender specific reference (predicted) values used worldwide in clinical lung function laboratories.

The main function of these reference values is to take into account size and age differences which, for clinical purposes, are regarded as noise—that is, sources of variation not of interest—since the signal of interest in the clinical context is what is attributable to airway disease and/or dysfunction. Prediction formulae are derived from mathematical modeling of data, usually gathered in population based studies designed for other purposes. In these studies those who are symptomatic or exhibit other markers of disease are usually excluded and, in the case of adults, also those who smoke. The modelling underlying the data that has furnished most of the reference values for children, boys and girls alike, in the transition from childhood to adolescence is more complex than that underlying the changes which occur in adult life. This is not only because of the wide variations in the onset of puberty and in the peaks and duration of somatic and lung growth, but also because of the alinearity of somatic versus lung growth that accompanies puberty and the dynamic nature of human development itself.

Nevertheless, a model which avoided the phase shift between the adolescent growth spurt in height and in lung volume proved remarkably robust when applied to data sets independently collected in five European countries, lending support to the feasibility of a single set of prediction equations for spirometric values (FEV₁, FEV₁/FVC) most models function fairly well in the mid range of the characteristics of the populations which generated the data: for adults this is usually for age 30–50 years and for height 1.60–1.70 m for women and 1.65–1.80 m for men. This is because most models assume a linear relationship of lung function with age and height and, while this is approximately correct for the mid ranges, the linear model is likely to be incorrect at the extremes of age and height, the two determinants most usually included in the prediction formula. The consequences are usually an overestimation of the predicted lung function in the elderly and an underestimation in the young.

Other clinical implications devolve from the need to consider which determinants of airway behaviour (and of airway disease) predominate in the age/gender window in which patient under consideration and to focus the history accordingly. For instance, in women in their reproductive years enquiry into cyclical variation of symptoms, even if not already noticed by the patient, may bear on management as might knowledge of the gender of the fetus during pregnancy in an asthmatic subject. In a family in which one or both parents have a family history of asthma, male children may be more susceptible to environmental triggers than female children.

In terms of public health implications potentially most important is the evidence, still not strong but suggestive, that the airways of girls (and women) may be more sensitive to the effects of tobacco than those of boys and men. The question immediately arises as to whether this increased susceptibility is specific to tobacco smoke or is non-specific and therefore likely to apply to other environmental exposures, particularly work related exposures, a line of investigation important to pursue. In addition to supplying yet more evidence of the need to reduce the initiation of the smoking habit in adolescent girls and/or to diminish its intensity, these gender differences suggest that the materials and publicity used in these campaigns may need to be gender specific.

There are several research implications of which perhaps the most important is that, in descriptive and aetiological research, standardisation for gender should be replaced by stratification so that gender differences in occurrence, risk factors, and natural history (and, in the case of women, the relevant age/time window) can be studied. In clinical trials of drugs used to modify airway behaviour these results should be analysed in a gender specific manner but, for women, information on their reproductive history and current...
status—that is, whether on oral contraceptives (premenopausal) or hormone replacement (postmenopausal)—should be taken into account in the evaluation of the treatment outcomes.

Summary

(1) Gender differences in airway behaviour occur throughout the human life span. Implicated in these differences are biological and sociocultural/environmental determinants related to sex and gender, respectively. Biological determinants of these gender differences in airway behaviour can be characterised as dimensional (related to structure/functional relationships), immunological, and hormonal.

(2) Dimensional determinants: gender differences in airway dimensions relative to lung size and in structure/function relationships exist throughout the human life span and are characterised by higher flow rates for a given lung volume in girls and women than in boys and men. These differences are present in utero, have been demonstrated as early as one month of life, and persist through childhood into adulthood. Before puberty boys start to reduce their dimensional disadvantage and, in adolescent girls, the growth of their airways relative to their size (and air spaces) is slower than in adolescent boys. Nevertheless, the lungs of girls retain their dimensional advantages over the lungs of boys and these persist throughout their adult life. Thus, even though women remain on average shorter than men throughout adulthood, and even though the lungs of adult women remain smaller than those of adult men, they exhibit on average higher flow rates in relation to their lung size than men.

(3) Immunological determinants of airway behaviour include atopy, probably the most important host determinant of airway behaviour. The phenotype biomarkers of atopy evolve differently with age. For instance, the acquisition of skin test positivity—that is, the increase in its prevalence rate with age—follows the same pattern in both sexes, being greater in childhood through early adulthood, but prevalence rates are lower in girls than in boys. Prevalence rates in both sexes coincide in the mid 20s and stabilise at a lower level in the 30s, after which they both fall at an accelerated rate in the 50s. Also, although prevalence rates averaged over the human life span show no sex differences, in those studies where age specific rates have been examined, these are higher in women in their reproductive years than those of men of the same age, but lower in girls than boys in childhood and again in women than men after the menopause. By contrast, sex differences in total serum IgE levels are present across the human life span, with levels in girls and women being consistently below those in boys and men at all ages. The evolution of total serum IgE also differs from that of skin test positivity, being highest in the first year of life, then decreasing rapidly to levels on average half those seen in childhood by the 30s and 40s and more slowly thereafter. Sex differences in allergen specific IgE are, however, less consistent. In one study of adults the only sex differences were for an outdoor allergen, grass, with lower levels in women than men. Gender differences in the determinants of the biomarkers of atopy other than allergen exposure (such as sibling and socioeconomic status, active and passive smoking, and occupational exposure) appear to have been less consistently examined. There is no evidence of gender differences in the relationship of skin positivity with sibling status. Higher and lower total serum IgE levels have been found in non-smokers than in smokers although gender differences do not appear to have been examined. The mechanisms of interaction between most environmental exposures and smoking remain to be explained.

(4) Hormonal determinants. Throughout the human life span female airways are responsive to their sex hormones and to their cyclical fluctuations, supporting the hypothesis formulated by Havelock Ellis over a century ago that their airways are among the organs which exhibit secondary sex characteristics. These fluctuations impose a pattern of variation on airway behaviour to which male airways are not subjected. In the fetus and the neonate, given their more mature surfactant production, female airways (particularly the small airways) have an advantage over those of the male neonate in terms of airway patency as well as relative size. In childhood the airways of girls are less responsive to non-specific challenges such as methacholine, an advantage in part due to their dimensional advantages. This difference diminishes progressively towards adolescence. As girls enter the reproductive period of their life and their airways become subject to the cyclical fluctuation of their sex hormones, they exhibit premenstrual changes which include increases in their airway responsiveness to methacholine, in their chemosensitivity, in their ventilatory demands especially on exercise, and decreases in their spirometric lung function and transfer factor. These cyclical fluctuations are modified by the use of oral contraceptives. During pregnancy there appears to be a bidirectional interaction between the maternal and fetal immune systems. For instance, T cells in the cord blood of babies born to atopic mothers respond to aeroallergens as well as food allergens to which the mother was exposed during her pregnancy. Prenatal, perinatal and early life events also appear to be crucial in programming the fetal immune system as it hovers between the development or not of atopy and the asthma phenotype. During puberty the airways of the mother remain under the influence of her sex hormones, and there is also some evidence...
that the fetal sex hormones exert an influence on the mother’s airway behaviour with her airways being less reactive if the fetus is male. After the menopause the level of airway reactivity decreases as does the risk of asthma, but this may reverse with hormone replacement therapy. Finally, despite the evidence that sex hormones influence many aspects of airway behaviour, the underlying physiological mechanisms remain poorly understood and the clinical implications poorly defined. Hormonal factors are probably the most important determinants of airway behaviour in women throughout their adult life and are probably the main determinants of the gender differences in the manifestations of chronic airway disease during their reproductive years.

(5) Environmental and sociocultural determinants. Gender differences in the environmental and sociocultural determinants of airway behaviour operate over the human life span but with varying strength and sometimes with opposite effects at different ages. In childhood environmental exposures are likely to be shared and are thus similar for girls and boys; in adolescence they are likely to be different but they probably converge again in adult life. Gender differences in the environmental determinants of airway behaviour may be partly biological—due, for instance, to different target structures for tobacco smoke (the pulmonary vasculature in girls and the airways in boys)—and/or partly because of gender differences in the environmental exposures experienced, and/or because of gender differences in the perception, reporting, and interpretation of the biological responses that these exposures evoke in, for instance, respiratory symptoms. For environmental exposures such as tobacco smoke, methodological differences—in part due to differences in the construction of the non-smoking reference group—may have contributed to an underestimation (until relatively recently) of the greater susceptibility of women compared with men to its ill health consequences. Socio-cultural determinants clearly play a role in gender differences in the perception, reporting, and diagnostic interpretation placed on respiratory symptoms; for instance, acknowledging shortness of breath appears to be culturally more acceptable in women and acknowledging sputum production and sleep disordered breathing, including snoring, in men. In addition, for a given FEV₁ deficit, rates of reporting breathlessness are consistently higher among women than among men in population based studies, evidence of biological differences in the perception of breathlessness by women compared with men. Reasons for this are speculative; for instance, the perception of breathlessness by women may be sensitised by the fact that their airways are subject and responsive to the hormonal fluctuations that occur throughout their reproductive life.

Conclusions

Airway behaviour is subject to the influence of sex related (biological) as well as gender related (sociocultural) determinants across the human life span. Awareness of these relationships provides a framework within which to interpret the gender differences in the clinical manifestations of airway disease which also occur across the human life span. Generalisations about gender effects are not only inappropriate but may also be misleading if derived from studies confined to limited age/time windows. Awareness of these gender differences in airway behaviour and in the manifestations of airway disease is important for clinical and public health practice as well as for research, whether descriptive, aetiological, or evaluative. In all these domains, rather than ‘standardising’ for sex, the differential influence of sex and gender on airway behaviour should always be taken into account and the consequences of these gender differences in airway behaviour on the occurrence of airway diseases, acute and chronic, should be the target of investigation.

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