ORIGINAL ARTICLE

Effectiveness of chemical pleurodesis in spontaneous pneumothorax recurrence prevention: a systematic review

R J Hallifax,1 A Yousuf,1 H E Jones,2 J P Corcoran,1 I Psallidas,1 N M Rahman1

ABSTRACT

Objectives Spontaneous pneumothorax is a common pathology. International guidelines suggest pleurodesis for non-resolving air leak or recurrence prevention at second occurrence. This study comprehensively reviews the existing literature regarding chemical pleurodesis efficacy.

Design We systematically reviewed the literature to identify relevant randomised controlled trials (RCTs), case–control studies and case series. We described the findings of these studies and tabulated relative recurrence rates or ORs (in studies with control groups). Meta-analysis was not performed due to substantial clinical heterogeneity.

Results Of 560 abstracts identified by our search strategy, 50 were included in our systematic review following screening. Recurrence rates in patients with chest tube drainage only were between 26.1% and 50.1%. Thoracoscopic talc poudrage (four studies (n=249)) provided recurrence rates of between 2.5% and 10.2% with the only RCT suggesting an OR of 0.10 compared with drainage alone. In comparison, talc administration during video-assisted thoracic surgery (VATS) from eight studies (n=2324) recurrence was between 0.0% and 3.2%, but the RCT did not demonstrate a significant difference compared with bleb/bullectomy alone. Minocycline appears similarly effective post-VATS (recurrence rates 0.0–2.9%). Prolonged air leak and recurrence prevention using tetracycline via chest drain (n=726) is likely to provide recurrence rates between 13.0% and 33.3% and autologous blood patch pleurodesis (n=270) between 15.6% and 18.2%.

Conclusions Chemical pleurodesis postsurgical treatment or via thoracoscopy appears to be most effective. Evidence for definitive success rates of each agent is limited by the small number of randomised trials or other comparative studies.

INTRODUCTION

Pneumothorax, air in the pleural space, is a common pathology. Primary spontaneous pneumothorax (PSP) refers to patients with no underlying lung disease, while those with established lung pathology are classified as secondary spontaneous pneumothorax (SSP). The incidence of spontaneous pneumothoraces based on populations in the USA1 and Sweden2 is reported as 18–24 per 100 000 cases per annum for men and 1.2–6 per 100 000 for women. PSP has a reported incidence of 7.4–18 cases (age-adjusted incidence) and 1.2–6 cases per 100 000 population per year for males and females, respectively.1 2 UK data on hospital admission rates (for PSP and SSP combined) demonstrate an incidence of 16.7 cases per 100 000 for men and 5.8 cases per 100 000 for women, with corresponding mortality rates of 1.26 per million and 0.62 per million per annum.3 More recent data from France have demonstrated a similar rate of 22.7 cases per 100 000 population.4

Recurrence rates for spontaneous pneumothorax (SP) are quoted as approximately 30%, with individual studies reporting a recurrence rate of between 17% and 49%.5 12 Initial treatment regimens and strategies for recurrence prevention remain controversial. Recurrence prevention involves an attempt at pleurodesis (permanent apposition of the visceral and parietal pleura to seal the pleural space), which can be chemical (or 'medical') using an agent introduced into the pleural cavity or surgical by apical pleurectomy or pleural abrasion. International guidelines currently recommend pleurodesis for non-resolving pneumothoraces acutely or electively to prevent recurrence after a second occurrence of pneumothorax. However, guidelines do not specify the optimal pleurodesis approach or agent for chemical pleurodesis.13–15

Key messages

What is the key question?

▸ How effective are chemical pleurodesis agents at recurrence prevention in spontaneous pneumothorax?

What is the bottom line?

▸ Talc poudrage at thoracoscopy and talc or minocycline pleurodesis as an adjunct to surgery provide low recurrence rates. Less invasive options include pleurodesis using tetracycline or ‘blood patch’ via chest drain.

Why read on?

▸ This review is the first to systematically assess the evidence for pleurodesis efficacy in recurrence prevention for all chemical pleurodesis agents in cases of spontaneous pneumothorax in both ‘medical’ pleurodesis (ie, no intervention on the lung) and as an adjunct to surgical procedures.
For PSP, the American College of Chest Physicians (ACCP) Delphi consensus statement\textsuperscript{15} recommends surgical pleurodesis via thoracoscopy (including bullectomy) for ongoing air leak (>4 days) or recurrence prevention at second occurrence. In this statement, there was no consensus on the utility of additional talc poudrage at surgical procedure for patients with PSP. Chemical pleurodesis via a chest drain was thought to be acceptable for patients in whom surgery was contraindicated or patients who refused an operative procedure. The statement recommends doxycycline or talc as the preferred agent in cases where chemical pleurodesis is conducted.\textsuperscript{13,14} For SPP, this statement suggests intervention to prevent pneumothorax recurrence at first occurrence (in contrast to PSP), with the surgical approach as first choice and chemical pleurodesis as an option for the high-risk patient or those declining surgery. The ACCP guidance was published in 2001, and therefore may no longer be up to date.

The British Thoracic Society (BTS, 2010)\textsuperscript{14} and the Belgian Society of Pulmonology (BSP, 2005)\textsuperscript{15} guidelines for PSP and SPP both recommend surgical pleurodesis for ongoing air leak acutely and recurrence prevention at second occurrence. They state that “with the advent of VATS for pneumothorax repair and recurrence prevention, the use of surgical chemical pleurodesis has declined significantly”.\textsuperscript{14} Medical chemical pleurodesis is recommended in patients unwilling or unable to undergo surgery, and is therefore more likely to be applicable to patients with SPP. The BTS makes reference to tetracycline as the previous first-line agent for PSP and SPP, but with decline in usage through difficulties in supply in favour of graded talc, with passing mention of minocycline and doxycycline efficacy in animal models.\textsuperscript{14,15} The BSP does not comment on pleurodesis agent.

This study aimed to systematically review the existing literature regarding the efficacy of chemical pleurodesis for recurrence prevention in pneumothorax.

METHODS

Eligibility criteria

We systematically reviewed the literature to identify relevant randomised controlled trials (RCTs), case–control studies and case series (without comparator groups) of ≥10 cases. Case series were specifically included because the authors were aware of a dearth of trials data in this area.

Studies were considered eligible for inclusion with the following criteria: adult patients (≥18 years old) with spontaneous (primary and secondary) pneumothorax, undergoing pleurodesis at first occurrence or subsequent recurrence, or for the treatment of persistent air leak, by instillation via chest tube or in addition to surgical procedure; interventions consisting of chemical pleurodesis with any agent. Comparators included were any of chest tube drainage alone (no pleurodesis), other pleurodesis agent and surgical procedures (eg, mechanical ablation, bleb/bullectomy, pleurectomy). The outcome was pneumothorax recurrence rate (ideally, after at least 1 year of follow-up).

Exclusions consisted of the following: animal or paediatric studies, non-primary studies (ie, letters, editorials and review articles), pleurodesis for malignant pleural effusion, surgical pleurodesis only (with no sclerosant inserted), pleurodesis for postoperative air leak, insufficient data on agent or technique used, inadequate follow-up period (ie, <3 months) and case series with <10 cases. Papers were also excluded if the authors were unable to obtain a translation (if not published in English) or unable to obtain the paper online or via our hosts’ extensive library access collections.

Search strategy

Literature searches of multiple databases (including PubMed, Embase, Medline, Web of Science, Cochrane Library) were performed up to and including June 2016. Results were not restricted by year of publication. Combinations of search terms were used and adapted for each database as appropriate, including “pleurodesis”, “spontaneous”, “pneumothorax”, “chemical”, “talc”, “tetracycline”, “minocycline”, “iodopovidone” and “blood”. In addition to electronic database searches, reference lists, relevant textbooks and review articles were hand-searched and back-referenced (ie, reference lists of review articles examined for additional studies not appearing in initial searches). Abstracts were independently reviewed for relevance by two authors (RJH and AV). Any discrepancies were resolved by discussion (with JPC and IP) with a low threshold for review of the full article. Relevant full journal articles were subsequently assessed again for eligibility.

Data extraction

Data were extracted from the full articles separately by two authors using a prespecified extraction form (Microsoft Excel 2010, Microsoft, USA). Extracted information included lead author, year, geographical area, nature of pneumothorax (primary or secondary, where available), number of participants, intervention agent(s), control/comparator measures, recurrence rate for each arm, follow-up timescale (mean or median when described), study type and quality. In those with mixed populations (eg, including patients with pleural effusions or postoperative air leak), only data pertaining to SP were extracted. Where available, data on number of episodes of pneumothorax (rather than number of patients) were extracted. Early pleurodesis failures that required further procedure or surgical referral were included in the calculated recurrences rates.

Quality and risk of bias assessment

Risk of bias of the included RCTs was assessed using the Cochrane Risk of Bias tool.\textsuperscript{16} This tool addresses seven domains: sequence generation, allocation concealment, blinding of participants and personnel, blinding of outcome assessment, incomplete outcome data, selective outcome reporting and ‘other issues’. We did not formally assess risk of bias in case–control studies or case series.

Data analysis

Given the considerable heterogeneity in study design, pleurodesis agents, control groups and outcomes across identified studies, formal data synthesis via meta-analysis was not conducted as any results would not be clinically meaningful. ORs with 95% CIs were calculated where possible as a measure of the effectiveness in reducing pneumothorax recurrence relative to a control. For studies with no comparison group, we display simply estimates of the pneumothorax recurrence rate in the single arm, with a 95% CI (calculated on the log scale). When zero recurrences occurred, we approximated the upper limit of the CI for recurrence rate by 3/n\textsuperscript{16} (Section 16.9.4) and applied the standard approximation of adding 0.5 to all cell counts before calculating ORs and their CIs\textsuperscript{16} (Section 16.9.2).

RESULTS

After deduplication of search results, 560 abstracts were reviewed (see figure 1). In total, 468 were excluded as not eligible for our
review at this stage (case reports, reviews, surgical series or description of practice, animal models, paediatric cases, pleurodysis for pleural effusions only, duplicate data or basic science articles) and we were unfortunately unable to obtain full-text copies of 13 papers published prior to 1995 (in foreign language). Of the remaining 92 potentially eligible papers, an additional 42 studies were identified as not being eligible for data extraction (20 within inadequate data or no long-term follow-up data, 6 reviews, 6 with <10 cases, 4 were duplicate data from other publications, 4 conference posters or abstracts only and 2 only related to pleural effusion management) when full texts were screened. Hence, 50 relevant studies were identified. We summarise results from 50 studies.

These 50 studies varied in size, quality and study design: 9 RCTs, 10 prospective case series (3 with non-randomised comparator groups) and 31 retrospective case series (10 with non-randomised comparator groups). The indication for pleurodesis varied; 16 (32%) studies stated an indication of pneumothorax recurrence or ongoing air leak, 7 (14%) enrolled only those at first occurrence, 6 (12%) assessed patients with ongoing air leak, 5 (10%) studied recurrent pneumothorax only and 3 studies (6%) enrolled a mixture of first occurrence, recurrent pneumothorax and ongoing leak or haemothorax. The remaining 13 studies (26%) did not specify the indication for recurrence prevention precisely enough to categorise this further.

Assessment of bias of the RCTs showed a low risk of reporting, detection or attrition bias as the outcomes of pneumothorax recurrence were well reported and the loss to follow-up was low. The randomisation process was generally well described and adequate, with the exception of some of the older studies that did not describe the process and a more recent study that appeared to be alternating treatment arms based upon trial number. None of the studies were blinded, some providing explanation of why this was not attempted: difficulties of matching colour of agent or that the expected pain associated with minocycline instillation would be likely to unmask the blinding.

Talc
Twenty-four studies used talc as a chemical pleurodesis agent. Twelve assessed the efficacy of talc poudrage for the treatment of PSP: four in which talc poudrage was performed at medical thoracoscopy with no associated intervention on the lung (table 1) and eight in which talc poudrage was administered post-video-assisted thoracoscopic surgery (VATS) with bleb electrocoagulation, bleb resection or apical bullectomy (table 2).

Of the four studies in which talc poudrage was performed without intervention on the lung (table 1), only one was an RCT. It demonstrated a lower recurrence rate among those receiving talc poudrage compared with those treated with drainage alone (talc 5.1% vs drainage 34.0%, OR 0.10, 95% CI 0.03

![Image](http://thorax.bmj.com/)

**Figure 1** Preferred reporting items for systematic reviews and meta-analyses (PRISMA) flow diagram of study selection.
Efficacy of talc pleurodesis for primary spontaneous pneumothorax at thoracoscopy (no intervention on lung) (table 2)

<table>
<thead>
<tr>
<th>Study author</th>
<th>Year</th>
<th>Study design</th>
<th>Total number of cases</th>
<th>Intervention recurrence rate (95% CI)</th>
<th>Control/reference recurrence rate (95% CI)</th>
<th>OR (vs control/reference) (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tschopp et al</td>
<td>2009</td>
<td>RCT</td>
<td>108</td>
<td>5.1% (1.6% to 16.2%) drainage only (47)</td>
<td>34.0% (18.6% to 62.2%) drainage only (47)</td>
<td>0.17 (0.03 to 0.90)</td>
</tr>
<tr>
<td>Halifax RJ et al</td>
<td>2002</td>
<td>RCT</td>
<td>18</td>
<td>2.6% (0.4% to 19.2%) drainage only (23)</td>
<td>26.1% (10.3% to 66.2%) drainage only (23)</td>
<td>0.08 (0.01 to 0.69)</td>
</tr>
<tr>
<td>Györök et al</td>
<td>2007</td>
<td>Retrospective case</td>
<td>59</td>
<td>10.2% (4.4% to 23.7%) thoracoscopy only</td>
<td>9.5% (2.2% to 40.9%) thoracoscopy only</td>
<td>0.97 (0.24 to 3.74)</td>
</tr>
<tr>
<td>Adewole et al</td>
<td>2015</td>
<td>Retrospective case</td>
<td>21</td>
<td>9.5% (2.2% to 40.9%) thoracoscopy only</td>
<td>9.5% (2.2% to 40.9%) thoracoscopy only</td>
<td>0.97 (0.24 to 3.74)</td>
</tr>
</tbody>
</table>

*Primary spontaneous pneumothorax patients only.

The remaining 12 studies used talc to treat both patients with PSP and SSP (table 3). One RCT estimated a reduction in recurrence rates by performing talc pleurodesis via chest drain compared with drainage alone, OR 0.16 (95% CI 0.03 to 0.85). A two small studies instilled talc under local anaesthetic and reported 0% recurrence in 24 patients and 20 patients respectively. However, four larger series including 521 patients undergoing talc pleurodesis at thoracoscopy found recurrence rates of 5.6–16.1% (including early failures). One study comparing talc to autologous blood pleurodesis found lower recurrence in the talc group (OR 0.48) but wide CIs (0.10 to 2.24). A retrospective case series of 122 patients using talc pleurodesis via chest drain found a similar recurrence rate of 13.3%. A further three retrospective surgical studies, including 317 patients undergoing VATS bullectomy and talc poudrage for PSP and SSP, found recurrence rates of 1.4–4.5%. The (non-randomised) comparator groups of the two studies (n=312) found the recurrence rate for talc via chest drain for SSP to be 2.9% and 30.8%. Although the difference in the latter study was statistically significant, the two patient groups were very different: patients receiving talc via chest drain were those not deemed fit for VATS.

Tetracycline

Eleven studies evaluated the efficacy of tetracycline pleurodesis for SP via chest drains or thoracoscopy without intervention on the lung (table 4). These were of variable quality including both PSP and SSP but comprised three RCTs including a total of 366 patients. Two RCTs, from 1990 and 1989, randomised patients to 0.38. A case series study with a (non-randomised) control arm provided similar estimates: talc 2.6% versus drainage 26.1%, OR 0.08 (95% CI 0.01 to 0.69). Two case series, without comparators, estimated 10.2% recurrence in patients presenting with recurrent PSP or ongoing air leak (including early failures), and 9.5% in combination of first episode (62%) and recurrent (38%) PSPs. All four studies had follow-up periods of at least 24 months (table 1). In the eight surgical studies evaluating talc poudrage for PSP post-VATS with bleb electrocaogulation, bleb resection or apical bullectomy, the follow-up period was variable from 10 to 62 months (table 2). There was only one RCT, which was carried out in 141 patients undergoing VATS with bleb resection or electrocaogulation. The recurrence rate among patients receiving talc and dextrose was 2.4% compared with 6.0% in the control arm of surgery only. However, the CI for the OR was very wide, indicating that we cannot be confident about this finding: OR 0.38 (95% CI 0.04 to 3.82). Further, similar results were also seen by simply introducing dextrose without talc. Observed recurrence rates across the other seven surgical studies were between 0.0% and 3.2%. Only two of these had (non-randomised) comparator groups, one of which provided statistical evidence for a reduced recurrence rate in those receiving talc compared with pleural abrasion: talc 1.5% versus pleural abrasion 4.0%, OR 0.38 (95% CI 0.15 to 0.97). Indications for surgical intervention in these studies were recurrent PSP or ongoing air leak, including first presentation in two studies, one solely treating first occurrence and two in which this aspect was not specified. The surgical procedures undertaken varied both within and across the studies. Some studies stated that bleb/apical electrocaogulation or resection was only performed if visible abnormalities were seen whereas others performed the procedure in all cases.

The following 12 studies used talc to treat both patients with PSP and SSP (table 3). One RCT estimated a reduction in recurrence rates by performing talc pleurodesis via chest drain compared with drainage alone, OR 0.16 (95% CI 0.03 to 0.85). Two small studies instilled talc under local anaesthetic and reported 0% recurrence in 24 patients and 20 patients respectively. However, four larger series including 521 patients undergoing talc pleurodesis at thoracoscopy found recurrence rates of 5.6–16.1% (including early failures). One study comparing talc to autologous blood pleurodesis found lower recurrence in the talc group (OR 0.48) but wide CIs (0.10 to 2.24). A retrospective case series of 122 patients using talc pleurodesis via chest drain found a similar recurrence rate of 13.3%. A further three retrospective surgical studies, including 317 patients undergoing VATS bullectomy and talc poudrage for PSP and SSP, found recurrence rates of 1.4–4.5%. The (non-randomised) comparator groups of the two studies (n=312) found the recurrence rate for talc via chest drain for SSP to be 2.9% and 30.8%. Although the difference in the latter study was statistically significant, the two patient groups were very different: patients receiving talc via chest drain were those not deemed fit for VATS.

Tetracycline

Eleven studies evaluated the efficacy of tetracycline pleurodesis for SP via chest drains or thoracoscopy without intervention on the lung (table 4). These were of variable quality including both PSP and SSP but comprised three RCTs including a total of 366 patients. Two RCTs, from 1990 and 1989, randomised patients to 0.38. A case series study with a (non-randomised) control arm provided similar estimates: talc 2.6% versus drainage 26.1%, OR 0.08 (95% CI 0.01 to 0.69). Two case series, without comparators, estimated 10.2% recurrence in patients presenting with recurrent PSP or ongoing air leak (including early failures), and 9.5% in combination of first episode (62%) and recurrent (38%) PSPs. All four studies had follow-up periods of at least 24 months (table 1). In the eight surgical studies evaluating talc poudrage for PSP post-VATS with bleb electrocaogulation, bleb resection or apical bullectomy, the follow-up period was variable from 10 to 62 months (table 2). There was only one RCT, which was carried out in 141 patients undergoing VATS with bleb resection or electrocaogulation. The recurrence rate among patients receiving talc and dextrose was 2.4% compared with 6.0% in the control arm of surgery only. However, the CI for the OR was very wide, indicating that we cannot be confident about this finding: OR 0.38 (95% CI 0.04 to 3.82). Further, similar results were also seen by simply introducing dextrose without talc. Observed recurrence rates across the other seven surgical studies were between 0.0% and 3.2%. Only two of these had (non-randomised) comparator groups, one of which provided statistical evidence for a reduced recurrence rate in those receiving talc compared with pleural abrasion: talc 1.5% versus pleural abrasion 4.0%, OR 0.38 (95% CI 0.15 to 0.97). Indications for surgical intervention in these studies were recurrent PSP or ongoing air leak, including first presentation in two studies, one solely treating first occurrence and two in which this aspect was not specified. The surgical procedures undertaken varied both within and across the studies. Some studies stated that bleb/apical electrocaogulation or resection was only performed if visible abnormalities were seen whereas others performed the procedure in all cases.

The following 12 studies used talc to treat both patients with PSP and SSP (table 3). One RCT estimated a reduction in recurrence rates by performing talc pleurodesis via chest drain compared with drainage alone, OR 0.16 (95% CI 0.03 to 0.85). Two small studies instilled talc under local anaesthetic and reported 0% recurrence in 24 patients and 20 patients respectively. However, four larger series including 521 patients undergoing talc pleurodesis at thoracoscopy found recurrence rates of 5.6–16.1% (including early failures). One study comparing talc to autologous blood pleurodesis found lower recurrence in the talc group (OR 0.48) but wide CIs (0.10 to 2.24). A retrospective case series of 122 patients using talc pleurodesis via chest drain found a similar recurrence rate of 13.3%. A further three retrospective surgical studies, including 317 patients undergoing VATS bullectomy and talc poudrage for PSP and SSP, found recurrence rates of 1.4–4.5%. The (non-randomised) comparator groups of the two studies (n=312) found the recurrence rate for talc via chest drain for SSP to be 2.9% and 30.8%. Although the difference in the latter study was statistically significant, the two patient groups were very different: patients receiving talc via chest drain were those not deemed fit for VATS.
<table>
<thead>
<tr>
<th>Study author</th>
<th>Year</th>
<th>Study design</th>
<th>Total number of cases</th>
<th>Intervention (n)</th>
<th>Cointervention</th>
<th>Intervention recurrence rate (95% CI)</th>
<th>Control/reference arm (n)</th>
<th>Control/reference recurrence rate (95% CI)</th>
<th>OR (vs control/reference)(95% CI)</th>
<th>Follow-up period (months)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Chung</td>
<td>2008</td>
<td>RCT (3 arms)</td>
<td>141 Talc and dextrose (42) VATS+bleb resection or electrocoagulation</td>
<td>2.4% (0.3% to 17.3%) Drainage only (50)</td>
<td>6.0% (1.9% to 19.3%)</td>
<td>24 MN, 20 MN 0.38 (0.04 to 3.82)</td>
<td>Dextrose only (49)</td>
<td>2.0% (0.3% to 14.8%)</td>
<td>18 MN 0.33 (0.03 to 3.25)</td>
<td>24 MN, 20 MN</td>
</tr>
<tr>
<td>Ramos-Izquierdo</td>
<td>2010</td>
<td>Prospective series</td>
<td>133 Talc poudrage VATS±bleb electrocoagulation</td>
<td>3.2% (1.2% to 8.7%)</td>
<td>–</td>
<td>36 MN</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Dubois</td>
<td>2010</td>
<td>Prospective series</td>
<td>72 Talc poudrage VATS+apical bullectomy</td>
<td>0% (0.0% to 4.2%)</td>
<td>–</td>
<td>12 MN</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Moreno-Merino</td>
<td>2012</td>
<td>Retrospective case series</td>
<td>787 Talc poudrage VATS+bullectomy 1.5%* (0.7% to 3.5%) Pleural abrasion †</td>
<td>4.0%* (2.4% to 6.6%) VATS-bullectomy (23)</td>
<td>8.7% (2.0% to 17.1%)</td>
<td>Unclear 0.20 (0.01 to 4.42)</td>
<td>Pleural abrasion † (23)</td>
<td>6.0% (1.3% to 19.3%)</td>
<td>24* 24* 0.38 (0.04 to 3.21)</td>
<td>36*</td>
</tr>
</tbody>
</table>

Includes early treatment failures requiring reintervention.

Historical comparison.

Thoracoscopic talc poudrage was performed in patients with normal pleura or bullae <2 cm diameter, bullectomy was performed in those with bullae ≥2 cm.

MD, median; MN, mean; RCT, randomised controlled trial; VATS, video-assisted thoracic surgery.


Respiratory research group.bmj.com on October 1, 2017 - Published by group.bmj.com
Table 3  Efficacy of talc pleurodesis on spontaneous pneumothorax (PSP and SSP): medically and surgically treated

<table>
<thead>
<tr>
<th>Study author</th>
<th>Year</th>
<th>Study design</th>
<th>Total number of cases</th>
<th>PSP or SSP? (n)</th>
<th>Intervention (n)</th>
<th>Intervention recurrence rate (95% CI)</th>
<th>Control/reference arm (n)?</th>
<th>Control/reference recurrence rate (95% CI)</th>
<th>Follow-up period (months)</th>
<th>OR (vs control/reference) (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>RCTs—medical</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Almind6</td>
<td>1989</td>
<td>RCT*</td>
<td>96*</td>
<td>PSP (71)</td>
<td>Talc via chest drain (29)</td>
<td>8.3% (2.0% to 35.4%)</td>
<td>Drainage only (34)</td>
<td>36.0% (15.9% to 81.5%)</td>
<td>55</td>
<td>0.16 (0.03 to 0.85)</td>
</tr>
<tr>
<td><strong>Prospective series—medical</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Noppen32</td>
<td>1997</td>
<td>Prospective case series</td>
<td>54</td>
<td>PSP (31)</td>
<td>Talc at thoracoscopy (54)</td>
<td>7.4% (2.7% to 20.5%)</td>
<td>–</td>
<td>–</td>
<td>18</td>
<td>–</td>
</tr>
<tr>
<td>Milanez33</td>
<td>1994</td>
<td>Prospective case series</td>
<td>18</td>
<td>PSP (15)</td>
<td>Talc at thoracoscopy (18)</td>
<td>5.6% (0.7% to 41.7%)</td>
<td>–</td>
<td>–</td>
<td>38.5</td>
<td>–</td>
</tr>
<tr>
<td><strong>Retrospective case series—medical (with comparator group)</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Aihara36</td>
<td>2011</td>
<td>Retrospective case series</td>
<td>36</td>
<td>PSP (0)</td>
<td>Talc via chest drain (14)</td>
<td>24.1% (6.0% to 76.8%)</td>
<td>Blood (22)</td>
<td>36.4% (15.3% to 86.7%)</td>
<td>15</td>
<td>0.48 (0.10 to 2.24)</td>
</tr>
<tr>
<td><strong>Retrospective case series—medical (no comparator group)</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Van de Brekel34</td>
<td>1993</td>
<td>Retrospective case series</td>
<td>356†</td>
<td>Unclear</td>
<td>Talc at thoracoscopy (356)</td>
<td>12.1% (8.8% to 16.6%)</td>
<td>†</td>
<td>†</td>
<td>12–240</td>
<td>–</td>
</tr>
<tr>
<td>Weissberg37</td>
<td>1993</td>
<td>Retrospective case series</td>
<td>122</td>
<td>Unclear</td>
<td>Talc via chest drain (122)</td>
<td>13.3% (7.7% to 22.9%)</td>
<td>–</td>
<td>–</td>
<td>Unclear</td>
<td>–</td>
</tr>
<tr>
<td>Tschopp35</td>
<td>1997</td>
<td>Retrospective case series</td>
<td>93</td>
<td>PSP (65)</td>
<td>Talc at thoracoscopy (93)</td>
<td>16.1% (9.3% to 28.0%)</td>
<td>–</td>
<td>–</td>
<td>60</td>
<td>–</td>
</tr>
<tr>
<td>Nandi30</td>
<td>1980</td>
<td>Retrospective case series</td>
<td>24</td>
<td>PSP (0)</td>
<td>Talc via chest drain (24)</td>
<td>0% (0.0% to 12.5%)</td>
<td>–</td>
<td>–</td>
<td>2–24</td>
<td>–</td>
</tr>
<tr>
<td>Pletinckx31</td>
<td>2005</td>
<td>Retrospective case series</td>
<td>20</td>
<td>PSP (5)</td>
<td>Talc at thoracoscopy (54)</td>
<td>0% (0.0% to 15.0%)</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td><strong>Retrospective case series—surgical (with comparator group)</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Shaikhrezai18</td>
<td>1984</td>
<td>Retrospective case series</td>
<td>519</td>
<td>PSP (444)</td>
<td>VATS+bullectomy+talc poudrage (246)</td>
<td>1.2% (0.4% to 3.8%)</td>
<td>VATS+bullectomy +abrasion (273)</td>
<td>2.9% (1.5% to 5.9%)</td>
<td>73</td>
<td>0.41 (0.11 to 1.56)</td>
</tr>
<tr>
<td>Kim40</td>
<td>2011</td>
<td>Retrospective case series</td>
<td>61</td>
<td>PSP (0)</td>
<td>VATS+bullectomy+talc (22)</td>
<td>4.5% (0.6% to 33.8%)</td>
<td>Drainage+talc via drain (39)</td>
<td>30.8% (15.6% to 60.7%)</td>
<td>Unclear</td>
<td>0.11 (0.01 to 0.89)</td>
</tr>
<tr>
<td><strong>Retrospective case series—surgical (no comparator group)</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>de Campos19</td>
<td>2001</td>
<td>Retrospective case series</td>
<td>49</td>
<td>Unclear</td>
<td>VATS+bullectomy+talc (49)</td>
<td>2.0% (0.3% to 14.8%)</td>
<td>–</td>
<td>–</td>
<td>24–60</td>
<td>–</td>
</tr>
</tbody>
</table>

Follow-up range shown if no average given.
*RCT had three arms (total numbers include tetracycline arm, n=33).
†Thoracotomy and bullectomy were performed in those with bullae >2 cm at thoracoscopy—not included in analysis.
MD, median; MN, mean; PSP, primary spontaneous pneumothorax; RCT, randomised controlled trial; SSP, secondary spontaneous pneumothorax; VATS, video-assisted thoracic surgery.
<table>
<thead>
<tr>
<th>Study author</th>
<th>Year</th>
<th>Study design</th>
<th>Total number of cases</th>
<th>PSP or SSP (n)</th>
<th>Intervention (n)</th>
<th>Intervention recurrence rate (95% CI)</th>
<th>Control/reference arm (n)?</th>
<th>Control/reference recurrence rate (95% CI)</th>
<th>Follow-up period (months)</th>
<th>OR (vs control/reference) (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>RCTs—medical</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Light⁴</td>
<td>1990</td>
<td>RCT</td>
<td>229</td>
<td>PSP (46)</td>
<td>Tetracycline via chest drain (113)</td>
<td>25.0% (16.2% to 38.0%)</td>
<td>Drainage only (116)</td>
<td>40.7% (28.0% to 58.7%)</td>
<td>29–34[^MN]</td>
<td>0.48 (0.27 to 0.85)</td>
</tr>
<tr>
<td>Almind⁶</td>
<td>1989</td>
<td>RCT*</td>
<td>96*</td>
<td>PSP (71)</td>
<td>Tetracycline via chest drain (33)</td>
<td>13.0% (3.9% to 43.9%)</td>
<td>Drainage only (34)</td>
<td>36.0% (15.9% to 81.5%)</td>
<td>55[^MN]</td>
<td>0.27 (0.06 to 1.15)</td>
</tr>
<tr>
<td>Wied⁴¹</td>
<td>1983</td>
<td>RCT</td>
<td>41</td>
<td>PSP (41)</td>
<td>Tetracycline at thoracoscopy (18)</td>
<td>0% (0.0% to 16.7%)</td>
<td>Silver nitrate (22)</td>
<td>0% (0.0% to 13.6%)</td>
<td>14[^MD]</td>
<td>–</td>
</tr>
</tbody>
</table>

**Prospective series—medical**

| Alfageme⁴²  | 1994 | Prospective case series | 146 | PSP (96) | Tetracycline via chest drain (78) | 18.9%† (10.6% to 33.8%) | Drainage only (68) | 35.3% (19.9% to 62.7%) | 45[^MN] | 0.43 (0.19 to 0.97) |
| Waterworth⁴⁷ | 1995 | Prospective series      | 32  | PSP (32) | VATS+bullectomy+tetracycline (32) | 9.4% (2.9% to 30.8%) | –                          | –                          | 19[^MD] | –                        |

**Prospective series—surgical**

| Gao⁴³       | 2005 | Retrospective case series | 138 | PSP (96) | Tetracycline via chest drain (45) | 33.3% (17.9% to 62.0%) | Drainage only (70) | 50.0% (31.3% to 79.9%) | 6–69 | 0.50 (0.23 to 1.09) |
| Tanaka⁴⁴    | 1993 | Retrospective case series | 78† | SSP (78) | Tetracycline via chest drain (32) | 18.8% (7.7% to 45.6%) | Drainage only (46) | 47.8% (26.8% to 85.3%) | 48[^MN] | 0.25 (0.09 to 0.73) |
| van den Brande⁴⁵ | 1989 | Retrospective case series | 20  | PSP (20) | Tetracycline and 30% glucose via chest drain (10) | 30.0% (7.8% to 100%) | Drainage only (10) | 50.0% (14.5% to 100%) | 26[^MN] (intervention) and 18[^MN] (control) | 0.43 (0.07 to 2.68) |

**Retrospective case series—surgical (with comparator group)**

| Lee⁴⁶       | 2008 | Retrospective case series | 91  | PSP (91) | VATS+bullectomy+tetracycline (27) | 0.0% (0.0% to 11.1%) | Drainage only (64) | 10.9% (5.0% to 24.0%) | 16[^MN] | 0.14 (0.01 to 2.53) |

**Retrospective case series—medical (no comparator group)**

| Olsen⁴⁴     | 1992 | Retrospective case series | 390§ | PSP (390) | Tetracycline at thoracoscopy (390) | 15.6% (11.9% to 20.6%) | §              | §              | 43[^MD]               | –                         |
| Primrose⁶⁵  | 1984 | Retrospective case series | 19¶ | Unclear | Tetracycline via chest drain (19) | 47.4% (19.2% to 100%) | –              | –              | Unclear               | –                         |

---

[^MN]: Follow-up range shown if no average given.
[^MD]: Retrospective case series—medical (no comparator group)
[^MN]: RCT had three arms (total numbers include talc arm, n=29).
[^MD]: Includes eight early treatment failures.
[^MN]: Excluding patients being observed or aspirated only and those having thoracotomy.
[^MN]: Thoracotomy and bullectomy were performed in those with bullae >2 cm at thoracoscopy—not included in analysis.
[^MN]: Small subgroup of patients undergoing pleurodesis.
to either tetracycline versus chest drain or drainage only.\textsuperscript{6} \textsuperscript{7} Both reported lower rates in the tetracycline arm but only one study was statistically significant, OR 0.48 (95% CI 0.27 to 0.85).\textsuperscript{7} The other small RCT of tetracycline versus silver nitrate at thoracoscopically found recurrence rate of 0% in both arms.\textsuperscript{43} Four non-randomised retrospective studies of tetracycline versus drainage alone all estimated reduced recurrence rates in the tetracycline group. However, all but one had wide CIs crossing the null value of 1: OR 0.50 (95% CI 0.23 to 1.09), 0.25 (95% CI 0.09 to 0.73), 0.43 (95% CI 0.07 to 2.68) and 0.14 (95% CI 0.01 to 2.53).\textsuperscript{42–45} It should be noted that four studies included only patients with first occurrence of SP\textsuperscript{44} 41 43 44 with the remaining seven studies not specifying indication for recurrence prevention. One surgical study of tetracycline after VATS bullectomy reported no recurrences (0.0%) in contrast to 10.9% in a group treated non-surgically with chest tube drainage alone.\textsuperscript{46} However, another older prospective surgical series showed a recurrence rate of 9.4% with no control group.\textsuperscript{47}

**Blood**

Five studies of blood patch pleurodesis were included in the analysis (n=270, table 5). There were no RCTs compared with drainage alone. Two studies prospectively enrolled patients after SP with persistent air leak. One non-randomised study estimated an OR of 0.47 (95% CI 0.17 to 1.32) for recurrence in patients following autologous blood pleurodesis compared with drainage alone (15.6% blood pleurodesis, including early failures requiring surgery, vs 28.1% drainage).\textsuperscript{48}

As noted in the ‘Talc’ section above, a small retrospective comparison study of talc via chest drain versus blood patch was inconclusive.\textsuperscript{39} Two further small retrospective series of patients unfit for surgery with recurrence or persistent air leak found long-term recurrence of 16.0%\textsuperscript{49} and 16.1%\textsuperscript{50} with no comparator groups.

**Minocycline**

Three RCTs in patients with PSP (n=498) found recurrence rates of 0%\textsuperscript{17} and 1.9% after the instillation of minocycline after lung re-expansion post-VATS procedure\textsuperscript{51} but 29.2% after instillation via chest drain only (ie, medical management, no intervention on the lung) in patients with first presentations of PSP\textsuperscript{52} (table 6). This RCT provided evidence of a reduction in recurrence compared with drainage only in non-surgical patients (minocycline 29.2% vs drainage only 49.1%, OR 0.43, 95% CI 0.24 to 0.75).\textsuperscript{52} Among surgical patients, Chen et al\textsuperscript{53} also observed a reduced recurrence rate compared with patients receiving saline, but the strength of statistical evidence was weak (minocycline 1.9% vs saline 8.1%, OR 0.23, 95% CI 0.05 to 1.09). The third surgical RCT, comparing minocycline versus mechanical abrasion, was small and inconclusive (minocycline 0.0% vs mechanical abrasion 5.0%, OR 0.18, 95% CI 0.01 to 3.89).\textsuperscript{17}

There were also two retrospective non-randomised studies with control arms. One suggested that minocycline significantly reduced recurrence rates with minocycline post-VATS compared with saline (minocycline 2.9% vs 9.8% saline, OR 0.27, 95% CI 0.09 to 0.83) in a historical comparison.\textsuperscript{55} The other comparative study in patients with prolonged air leak post-VATS for SP found increased recurrence rates in the minocycline group compared with OK-432 (estimated failure rate 36.7% minocycline vs 5.3% OK-432, OR 10.42, 95% CI 1.30 to 83.5).\textsuperscript{74}
Other agents

A retrospective surgical series of 81 cases reported a recurrence rate of 6.2% when using iodo-povidone during VATS despite only 37% undergoing bullae resection. Two small studies in India assessed the efficacy of iodo-povidone via chest drain. One randomised trial (n=35) had no recurrence in either the iodo-povidone or talc pleurodesis arms and a retrospective review of 27 cases found a recurrence rate of 7.4%.56

Two studies used silver nitrate as a chemical pleurodesis agent: one RCT (see Table 4) reported 0% recurrence after instillation at thoracoscopy but described increased pleural fluid production and longer hospital stay than using tetracycline.41 A retrospective surgical study (n=184, 3 years follow-up) used silver nitrate after VATS bullectomy with a recurrence rate of 1.1%, but had no comparison group.41 A retrospective series reviewing recurrence prevention at first occurrence found a significant recurrence rate using gentamicin via chest drain, although this was more efficacious than drainage alone (3-year recurrence rates 26.1% and 50.0%, respectively (OR 0.35, 95% CI 0.12 to 1.00, with a reported p value <0.05).43 A small study including 17 spontaneous pneumothoraces found only one recurrence (5.9%) after administration of quinacrine.56 In a group of 57 patients with SSP deemed too high risk for surgery with ongoing air leak, fibulin glue (diluted fourfold) was instilled via chest drain. The long-term (60 months) recurrence rate was 10.5%.59 The addition of acromycin post-VATS bullectomy reportedly reduced recurrence to 3.8% from 20.0%, in a non-randomised historical comparison group, but CIs were wide (OR 0.16, 95% CI 0.02 to 1.48), despite the authors stating a p value <0.05.60

DISCUSSION

This is the first study to our knowledge to systematically review the evidence for the effectiveness of pleurodesis in recurrence prevention for all chemical pleurodesis agents in cases of SP in both medical pleurodesis (ie, no intervention on the lung) and as an adjunct to surgical procedures. Patients with postoperative surgical air leak were specifically excluded as patients having undergone thoracic surgery (eg, for wedge resection or lobectomy) with subsequent air leak are likely to be a different population from those with spontaneous pneumothoraces. For the same reason, unlike previous reviews of pleurodesis efficacy, patients undergoing pleurodesis for malignant pleural effusion recurrence prevention were excluded.

Given the considerable heterogeneity across studies in design, outcomes and interventions, formal data synthesis via meta-analysis was not conducted as we do not believe the results would be clinically meaningful. Only 9 of 50 studies were RCTs. Also, 13 of the 41 other studies (case series) provided comparator groups but these were historical comparisons or non-randomised comparator groups. The lack of head-to-head comparisons limits the ability to formally compare relative effectiveness of different agents in this review. This is in contrast to a recent Cochrane review of pleurodesis agents in malignant pleural effusion, in which a network meta-analysis of pleurodesis agents was possible with 62 RCTs identified.61

Studies in which the control arms were drainage with a chest drain only (ie, no other agent) suggest recurrence rates of 26.1–30.1%. Talc pleurodesis would appear to be effective at reducing recurrence for PSP when used via poudrage at thoracoscopy, with two studies with comparator arms giving recurrence rates of 5.1% and 2.6% with OR 0.10 (95% CI 0.03 to 0.38) and 0.08 (95% CI 0.01 to 0.69), respectively, when comparing talc

### Table 6

<table>
<thead>
<tr>
<th>Study author &amp; year</th>
<th>Study design</th>
<th>Total number of cases</th>
<th>Intervention &amp; control arm</th>
<th>Control recurrence rate (95% CI)</th>
<th>Intervention recurrence rate (95% CI)</th>
<th>Follow-up period (months)</th>
<th>Odds ratio (OR) (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hallifax RJ, et al.</td>
<td>Thorax 2016;</td>
<td>214</td>
<td>Minocycline via chest drain</td>
<td>23.2% (19.2% to 24.4%)</td>
<td>None</td>
<td>19</td>
<td>0.43 (0.24 to 0.77)</td>
</tr>
<tr>
<td>Chen et al. 2013</td>
<td>RCT</td>
<td>202</td>
<td>Minocycline via chest drain</td>
<td>15.0% (0.0% to 31.1%)</td>
<td>Drainage only (31)</td>
<td>20</td>
<td>0.23 (0.05 to 1.09)</td>
</tr>
<tr>
<td>Chen et al. 2013</td>
<td>RCT</td>
<td>82</td>
<td>Minocycline via chest drain</td>
<td>0% (0.0% to 1.1%)</td>
<td>No agent (99)</td>
<td>20</td>
<td>0.18 (0.01 to 3.89)</td>
</tr>
<tr>
<td>Alaygou et al. 2011</td>
<td>RCT</td>
<td>364</td>
<td>Minocycline via chest drain</td>
<td>2.9% (1.3% to 5.6%)</td>
<td>Mechanical abrasion (40)</td>
<td>10</td>
<td>0.27 (0.09 to 0.85)</td>
</tr>
<tr>
<td>Chen et al. 2013</td>
<td>Retrospective case series</td>
<td>794</td>
<td>Minocycline via chest drain</td>
<td>5.3% (0.7% to 39.4%)</td>
<td>OK-432 (19)</td>
<td>16</td>
<td>10.42 (1.30 to 83.50)</td>
</tr>
</tbody>
</table>

*Minocycline introduced postsurgery once lung had re-expanded.
†Only patients with postoperative air leak after VATS were included.
Although we identified a few well-conducted RCTs, the majority of identified studies were observational, many of which were non-comparative. Many included studies were also retrospective, with a high risk of reporting bias. Thirteen papers published pre-1995 in foreign (non-English) language and not available in print were not included. Indications for pleurodesis varied across the studies including those solely assessing patients at first occurrence, those with recurrent pneumothorax or air leak patients, and those with ongoing air leak only. Size of pneumothorax at presentation and details of previous treatment were not always provided. There was variation both within and across surgical studies as to the specific co-incident procedure being undertaken (alongside chemical pleurodesis). The exact procedure was often determined on visual inspection of the lung (e.g., bleb/bullae resection or electrocoagulation only performed if visible blebs and bullae seen) but results usually only reported as overall recurrence rates. This results in significant clinical heterogeneity in the published data, and therefore interpretation of results across study types should be guarded.

**CONCLUSION**

This comprehensive systematic review of the literature demonstrates that numerous agents have been used for chemical pleurodesis for recurrence prevention in SP. Chemical pleurodesis alongside surgical treatment or via thoracoscopy appears most effective in preventing recurrence, but is not suitable for all patients. Evidence for relative success rates between agents is limited by the small number of randomised and prospective comparative trials. Well-controlled and conducted RCTs are now required using a number of candidate agents to assess optimal management in SP recurrence prevention and treatment.

**REFERENCES**


**Twitter** Follow Hayley Jones at @hayley0110

**Acknowledgements** The authors thank Elinor Harriss from Bodleian Health Care Libraries (University of Oxford) for her invaluable assistance with literature searches and article recovery.

**Contributors** All authors included on a paper fulfil the criteria of authorship. RH, AJ, JPC, IP and NMR were involved in project conception, drafting and final approval of the manuscript. RH and AJ conducted abstract review and data analysis. HEJ reviewed methodology, analysis and presentation of the results. Elinor Harriss (Bodleian Health Care Libraries, University of Oxford) assisted with literature searches and article recovery. RH is the guarantor.

**Competing interests** RH is funded by a Clinical Training Fellowship from the Medical Research Council (MR/L017091/1).

**Provenance and peer review** Not commissioned; externally peer reviewed.

**Data sharing statement** The corresponding author (RH) holds the data analysis. No unpublished data exist.

**Open Access** This is an Open Access article distributed in accordance with the terms of the Creative Commons Attribution (CC BY 4.0) license, which permits others to distribute, remix, adapt and build upon this work, for commercial use, provided the original work is properly cited. See: http://creativecommons.org/licenses/by/4.0/


Effectiveness of chemical pleurodesis in spontaneous pneumothorax recurrence prevention: a systematic review
R J Hallifax, A Yousuf, H E Jones, J P Corcoran, I Psallidas and N M Rahman

Thorax published online November 1, 2016

Updated information and services can be found at: http://thorax.bmj.com/content/early/2016/11/01/thoraxjnl-2015-207967

These include:

Supplementary Material
Supplementary material can be found at: http://thorax.bmj.com/content/suppl/2016/11/02/thoraxjnl-2015-207967.DC1

References
This article cites 47 articles, 27 of which you can access for free at: http://thorax.bmj.com/content/early/2016/11/01/thoraxjnl-2015-207967#BIBL

Open Access
This is an Open Access article distributed in accordance with the terms of the Creative Commons Attribution (CC BY 4.0) license, which permits others to distribute, remix, adapt and build upon this work, for commercial use, provided the original work is properly cited. See: http://creativecommons.org/licenses/by/4.0/

Email alerting service
Receive free email alerts when new articles cite this article. Sign up in the box at the top right corner of the online article.

Topic Collections
Articles on similar topics can be found in the following collections
Open access (261)
Screening (epidemiology) (366)
Screening (public health) (366)
Cardiothoracic surgery (676)
Drugs: infectious diseases (968)
Clinical trials (epidemiology) (557)
Epidemiologic studies (1829)

Notes

To request permissions go to: http://group.bmj.com/group/rights-licensing/permissions
To order reprints go to: http://journals.bmj.com/cgi/reprintform
To subscribe to BMJ go to: http://group.bmj.com/subscribe/