Neural respiratory drive as a physiological biomarker to monitor change during acute exacerbations of COPD

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ABSTRACT
Background Acute exacerbations of chronic obstructive pulmonary disease have a significant negative impact on both patients and healthcare systems. Currently, there are no physiological biomarkers that effectively monitor clinical change or predict respiratory readmission. Acute exacerbations impose a change in the respiratory muscle load-capacity-drive relationship. It was hypothesised that lack of a fall in neural respiratory drive would identify patients at risk of treatment failure and early hospital readmission.

Methods An observational study was performed at two UK teaching hospitals. Routine clinical physiological parameters and neural respiratory drive index (NRDI), calculated as the product of second intercostal space parasternal electromyography (EMG) activity normalised to the peak EMG activity during a maximum inspiratory sniff manoeuvre and respiratory rate, were recorded daily from admission to discharge.

Results 30 acutely unwell patients of mean (SD) age 72 (10) years, forced expiratory volume in 1 s 0.60 (1.65) l, NRDI 455 (263) AU and median length of stay 6 days were studied. Changes in NRDI correlated with changes in Borg score (r = +0.60; p < 0.001), discriminated between patients deemed to have clinically improved rather than deteriorated (mean difference 339 AU; 95% CI 234 to 444; p < 0.001) and identified those patients readmitted within 14 days (mean difference 203 AU; 95% CI 39 to 366; p = 0.017).

Conclusions NRDI is a feasible clinical physiological parameter in patients with an acute exacerbation of chronic obstructive pulmonary disease and can provide useful information on treatment response and risk of readmission.

INTRODUCTION
Acute exacerbations of chronic obstructive pulmonary disease (AECOPD) are a major economic burden to healthcare systems.1 2 In addition, exacerbations have a significant impact on health-related quality of life (HRQOL) with admission avoidance and reduced length of hospital admission becoming national targets.3 Recently, healthcare systems, including the National Health Service, have focused on providing enhanced community care to facilitate early discharge.4 6 Acute care organisations have incorporated early warning scores, integrating basic cardiorespiratory and other physiological variables into a composite score, as predictors of clinical deterioration. However, the clinical usefulness of such scoring systems remains controversial.7–11 All these monitoring systems require accurate characterisation of disease severity and response to treatment to identify patients that are either deteriorating or only slowly improving to allocate patients to higher levels of clinical care. At present there are few physiological biomarkers available that have sufficient sensitivity and specificity to identify patients of AECOPD failing to respond to treatment.12

Neural respiratory drive (NRD) using diaphragm electromyography (EMGdi) reflects the balance between respiratory muscle load and capacity and has been shown to relate to disease severity in stable COPD.13 Despite its potential clinical usefulness, this technique has limited application in the acute setting as it requires the placement of an oesophageal electrode.13 14 Clinical interest has therefore been directed towards measuring the EMG of the parasternal intercostal muscles as these obligatory muscles of inspiration15 can be studied in a non-invasive manner.16 Parasternal EMG (EMGpara) signals, recorded from surface electrodes, have a direct relationship with respiratory muscle load17 and have been shown to respond to acute change.18 19

The use of EMGpara to monitor treatment response in AECOPD has not previously been...
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reported. To be a useful clinical tool in the acute setting, the measurement must be reproducible in a stable setting and therefore one aim of this study was to establish the inter-occasion reproducibility of NRD in both healthy subjects and in patients with stable COPD. Furthermore, we hypothesised that changes in NRD would reflect clinical response to treatment during an AECOPD and a failure of NRD to fall would predict subsequent readmission to hospital.

METHODS

Subjects

The study protocol was approved by the local research ethics committees and all participants provided written informed consent. Two studies were performed to (1) validate the reproducibility of EMGpara in (a) healthy subjects and (b) patients with stable COPD and (2) investigate the ability of EMGpara as a physiological biomarker to monitor change in AECOPD. The methods and results of the reproducibility studies are given in the online supplement. The acute study recruited patients admitted with AECOPD via the emergency department. AECOPD was defined based on clinical features and basic investigations. Initial patient management was according to standard local guidelines with oral corticosteroids, antibiotics and a combination of metered dose inhalers and nebulised bronchodilators. Patients were identified by the COPD team and subsequently screened and recruited by the research team, with the first EMGpara measurement recorded within 24 h of hospital arrival. Repeat EMGpara measurements and the clinical dataset were recorded daily until the patient was reported as stable and suitable for hospital discharge.

Baseline data

Demographic and anthropometric data were collected. Borg and MRC dyspnoea scores were used to assess subjective breathlessness. HRQL data were obtained using the Chronic Respiratory Disease Questionnaire. Spirometry was performed with a hand-held device (EasyOne Diagnostic Spirometer, ndd Medical Technologies, Switzerland) according to standard guidelines. Repeat measurements were taken during admission. The patient was seated and rested for at least 5 min; bronchodilator therapy was withheld for the previous 4 h. Heart rate (HR), oxygen saturations (SpO2) and respiratory rate (RR) were measured over 1 min. Clinical data (HR, SpO2, RR, temperature (T), blood pressure (BP) and medical early warning score (MEWS)) and the supervising senior clinician’s summary opinion on clinical course were recorded from the medical notes following the sniff manoeuvres is shown in figure 1. Reproducibility of EMGpara was confirmed in 10 healthy volunteers and 10 patients with stable COPD. Further details can be found in the online supplement.

EMGpara measurement

The second intercostal space (ICS) was identified using surface bony landmarks and the skin was prepared prior to placement of electrodes. EMGpara signal acquisition and processing was analogous to the method described previously for EMGdi. Details are provided in the online supplement. The resting signal was normalised to the maximum value obtained from a reproducible maximum sniff manoeuvre to produce the EMGpara%max. To reflect changes in respiratory pattern, the product of EMGpara%max and RR was calculated to produce the neural respiratory drive index (NRDI; arbitrary units (AU)). Nasal cannulae connected to a differential pressure transducer (Validyne DP45, Validyne, Northridge, California, USA) identified inspiratory and expiratory phases of breathing.

Data analysis and statistics

Reproducibility was assessed using coefficient of variability and Bland–Altman analysis. Relationships between EMGpara, EMGpara%max and NRDI and lung function parameters and HRQL data were analysed using regression analysis. Data were analysed using independent or paired t test where appropriate. Data that were not normally distributed, as defined by the Kolmogorov–Smirnov test, were transformed and then analysed as parametric data or, if the logarithm of the data remained non-normal, a non-parametric equivalent was used. Data analysis was conducted using SPSS software. All data are presented as mean ± SD unless otherwise stated, with p<0.05 considered as statistically significant.

RESULTS

Reproducibility of EMGpara

EMGpara data are reported as root mean squared (RMS; µV). A representative trace of a patient with stable COPD during the sniff manoeuvres is shown in figure 1. Reproducibility of EMGpara was confirmed in 10 healthy volunteers and 10 patients with stable COPD. Further details can be found in the online supplement.

Change in EMGpara in patients with AECOPD

Thirty patients were recruited with a mean age of 72±10 years (47% male). On admission, the median MRC dyspnoea score was 5 (2–5). The median previous admission frequency and length of stay was 3 (0–13) and 6 days (2–34), respectively. Baseline data are provided in table 1 and full details can be found in the online supplement (table E1). Three patients received non-invasive ventilation with all cases initiated in the first 4 h of admission in the emergency department. Nine patients were discharged with home oxygen, all of whom were previously prescribed long-term oxygen therapy.

Twenty-four patients had recordings on two occasions, five patients had recordings on three occasions and one patient had recordings on four occasions, producing 57 data pairs. ΔBorg score had a significant relationship with ΔEMGpara (r=+0.50; p=0.001), ΔEMGpara%max (r=+0.57; p<0.001) and ΔNRDI (r=+0.60; p<0.001) as shown in figure 2 and ΔFEV1 (r=−0.58; p=0.002). No relationship was observed with ΔSpO2 (p=0.16) or ΔRR (p=0.08).

Significant differences were observed in mean change between ‘improvers’ and ‘deteriorators’ in all three EMGpara indices. However, there were no significant between-group differences in changes in RR, HR, SpO2 or forced expiratory volume in 1 s (FEV1) (table 2). A significant (p=0.02) but clinically small (+0.5) difference was observed in MEWS between ‘improvers’ and ‘deteriorators’. Patients who improved had a statistically significant reduction in dyspnoea (ΔBorg −1.5; 95% CI −0.7 to −2.3), RR (ΔRR −1.8 bpm; 95% CI −0.2 to −3.3) and an increase in FVC (ΔFVC 0.22 l; 95% CI 0.05 to 0.40), with no statistically significant differences demonstrable in physiological variables in the ‘deteriorators’. Further data on daily changes in NRD can be found in the online supplement.

Receiver operating characteristics (ROC) plots (figure 3A) with change cut-offs >+6.6 for EMGpara%max and >+160 AU

for NRDI had sensitivities of 83% (95% CI 54% to 100%) and 100% (95% CI 100% to 100%) and specificities of 96% (95% CI 88% to 100%) and 100% (95% CI 100% to 100%) for both EMG\textsubscript{paras}/\textsubscript{max} and NRDI, respectively. ROC plots of the standard clinical variables either did not statistically differ from the null hypothesis or could not produce a cut-off providing sensitivity >80% without reducing specificity to <90% (figure 3B).

Change in EMG\textsubscript{para} between admission and discharge to predict readmission

A significant difference in ΔEMG\textsubscript{paras}/\textsubscript{max} and ΔNRDI between admission (first measurement within 24 h of admission) and discharge (final measurement within 24 h of clinical stability) was demonstrated between patients readmitted within 14 days as a consequence of a respiratory deterioration and those who remained at home. However, ΔMEWS, ΔFEV\textsubscript{1} and number of previous admissions did not differ between patients who were and were not readmitted (table 3).

ROC plots (figure 4A) were calculated with cut-offs of a change in EMG\textsubscript{paras}/\textsubscript{max} >0% and NRDI >50 AU during admission, producing sensitivities of 67% (95% CI 36% to 97%) and 67% (95% CI 36% to 97%) and specificities of 62% (95% CI 41% to 83%) and 71% (95% CI 52% to 91%) for EMG\textsubscript{paras}/\textsubscript{max} and NRDI, respectively. None of the ROC plots for routine clinical variables differed significantly from the null hypothesis (figure 4B).

DISCUSSION

This study has shown that second ICS parasternal NRDI, calculated as a product of EMG\textsubscript{para} and RR normalised for maximum EMG\textsubscript{paras} produced a reproducible physiological biomarker in patients with stable COPD and has greater sensitivity and specificity than standard clinical physiological parameters to identify patients with AECOPD failing to respond to treatment. Furthermore, the failure of NRDI to fall during AECOPD requiring hospitalisation identifies patients who are more likely to be readmitted with a further respiratory deterioration.

Table 1  Standard clinical parameters and indices of neural respiratory drive on admission

<table>
<thead>
<tr>
<th></th>
<th>Emergency department</th>
<th>Baseline measurements</th>
</tr>
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<tbody>
<tr>
<td>MEWS</td>
<td>3 (0–7)</td>
<td>2 (0–4)</td>
</tr>
<tr>
<td>FEV\textsubscript{1} (l)</td>
<td>0.60 ± 1.65</td>
<td></td>
</tr>
<tr>
<td>FVC (l)</td>
<td>1.53 ± 0.82</td>
<td></td>
</tr>
<tr>
<td>PaO\textsubscript{2} (kPa)</td>
<td>10.0 ± 3.5</td>
<td></td>
</tr>
<tr>
<td>PaCO\textsubscript{2} (kPa)</td>
<td>6.3 ± 1.4</td>
<td></td>
</tr>
<tr>
<td>EMG\textsubscript{paras}/\textsubscript{max} (%)</td>
<td>20.3 ± 9.9</td>
<td>20.3 ± 9.9</td>
</tr>
<tr>
<td>NRDI (AU)</td>
<td>455 ± 263</td>
<td></td>
</tr>
</tbody>
</table>

Data presented as median (range) and mean ± SD.

EMG\textsubscript{paras}/\textsubscript{max} EMG\textsubscript{para} during tidal breathing normalised for maximum EMG\textsubscript{para} produced during a sniff manoeuvre; FEV\textsubscript{1}, forced expiratory volume in 1 s; MEWS, medical early warning score; NRDI, neural respiratory drive index (RM\textsubscript{paras} × EMG\textsubscript{para}/\textsubscript{max}); PaO\textsubscript{2}, arterial partial pressure of oxygen; PaCO\textsubscript{2}, arterial partial pressure of carbon dioxide; RR, respiratory rate.

Figure 2  Data from 37 pairs of readings generated from consecutive recordings of physiological variables in 30 patients. Open circles represent ‘improvers’ and closed circles ‘deteriorators’. Comparison of change in Borg score with change in (A) EMG\textsubscript{para} (r = 0.50; p = 0.001), (B) EMG\textsubscript{paras}/\textsubscript{max} (r = 0.57; p < 0.001), (C) NRDI (r = 0.60; p < 0.001), (D) FEV\textsubscript{1} (r = -0.58; p = 0.002). EMG\textsubscript{para}, parasternal electromyogram activity; EMG\textsubscript{paras}/\textsubscript{max}, EMG\textsubscript{para} during tidal breathing normalised for maximum EMG\textsubscript{para} produced during a sniff manoeuvre; NRDI, neural respiratory drive index (EMG\textsubscript{paras}/\textsubscript{max} × RR). *Data from 26 pairs of data.
Chronic obstructive pulmonary disease

Table 2  Difference between consecutive recordings of measured physiological variables in 30 patients from day of baseline measurement to repeat reading

<table>
<thead>
<tr>
<th></th>
<th>ΔMEWS</th>
<th>ΔRR</th>
<th>ΔSpO2</th>
<th>ΔFEV1</th>
<th>ΔEMGpara</th>
<th>ΔEMGpara%max</th>
<th>ΔNRDI</th>
</tr>
</thead>
<tbody>
<tr>
<td>'Deteriorators'</td>
<td>0.50</td>
<td>4.5</td>
<td>6.0</td>
<td>1.2</td>
<td>7.8</td>
<td>6.2</td>
<td>4.3</td>
</tr>
<tr>
<td>'Improvers'</td>
<td>0</td>
<td>-1.8</td>
<td>3.8</td>
<td>0.9</td>
<td>0.06</td>
<td>-3.5</td>
<td>-113</td>
</tr>
<tr>
<td>Mean difference</td>
<td>0.02</td>
<td>0.05</td>
<td>0.6</td>
<td>0.7</td>
<td>0.003</td>
<td>0.001</td>
<td>&lt;0.001</td>
</tr>
</tbody>
</table>

Data presented as mean±SD or *median (range).

Seven patients were unable to perform spirometry on one or more occasions so analysis of FEV1 was performed on 'improvers' (n=20) and 'deteriorators' (n=10).

EMGpara%max, EMGpara during tidal breathing normalised for maximum EMGpara produced during a sniff manoeuvre; RR, respiratory rate; NRDI, neural respiratory drive index

Figure 3  Receiver operating characteristic (ROC) plot of change in (A) EMGpara%max and NRDI and (B) RR, MEWS, and FEV1 for detection of clinical deterioration. EMGpara%max, EMGpara during tidal breathing normalised for maximum EMGpara produced during a sniff manoeuvre; FEV1, forced expiratory volume in 1 s; NRDI, neural respiratory drive index; RR, respiratory rate; MEWS, medical early warning score.

Critique of the method

Patient selection

The patients with AECOPD recruited were not consecutive admissions and therefore subject to selection bias. Despite this limitation, the demographics and severity of patients were similar to previously reported data. Furthermore, the goal of this study was to provide pilot data to demonstrate the feasibility and clinical usefulness of using non-invasive EMG monitoring as a physiological biomarker in the acute setting.

Surface EMGpara measurement

Although the issues of surface EMG recording are well described, we acknowledge that contamination from other chest wall muscles cannot be excluded. However, we carefully observed patient and electrode position during data acquisition to maximise the contribution of second ICS parasternal muscle to the inspiratory EMGpara signal and minimising the non-respiratory muscle activity of other muscles. Needle electrode technique could be used to isolate parasternal muscle activity but, similar to oesophageal measurement of diaphragm electrical activity, this invasive technique is not suitable for the acute setting.

Validity and reproducibility of EMGpara

EMGpara, as a measure of NRD, was shown to have satisfactory inter-occasion reproducibility in both healthy subjects and patients with stable COPD. Although the degree of variability with EMGpara in patients with COPD was greater in this study than that shown previously by our own group using EMGdi and EMGpara in patients with cystic fibrosis, the inter-occasion correlation for both healthy subjects and stable COPD was >0.80, which is a level that has previously been used to indicate acceptable inter-test agreement for surface electromyography.

Definition of clinical change

The lack of an objective measure to monitor clinical progress in the acute setting to allow comparative changes with EMGpara is an obvious limitation of this study. All patients met an event-based criterion for a severe exacerbation of COPD requiring hospital admission. It is acknowledged that there is no 'gold standard' to predict or measure acute clinical progress in AECOPD and therefore we compared EMGpara with standard clinical parameters and the summary opinion of the supervising senior physician. While this is a broad definition, it is widely used in both research and clinical practice and is the benchmark by which other novel influential assessment tools have been judged. Despite the limitations inherent in this choice of outcome, it allows the data to be easily interpreted. Clinical gestalt is the interpretation and analysis by the physician of the patient’s report of their clinical state as well as the findings from the physical examination incorporating standard physiological variables and clinical parameters, which are subsequently processed as part of a learnt complex clinical algorithm to determine the clinical state of the patient and the response to treatment. In order to have measured the performance of this novel technique against a more definable objective marker, the study population may have to be limited to those patients in respiratory failure. However, this would have limited the applicability of the study and would have been difficult to demonstrate that measures of NRD added to already established and widely available techniques to measure clinical progress in this group.

Significance of findings

Parasternal muscle activity

Chest wall respiratory muscles have increased importance in patients with advanced COPD as progressive hyperinflation...
impacts adversely on diaphragm positioning and efficiency, which results in a compensatory increase in chest wall and accessory respiratory muscle activity. In particular, the uppermost parasternal intercostal muscles have been shown to be important inspiratory muscles. Furthermore, these parasternals have minimal post-inspiratory activity with the second ICS parasternal muscle demonstrating similar activity to the diaphragm. However, during increasing hyperinflation, as observed during an AECOPD, the resting length of the parasternals is less affected than the diaphragm, such that the parasternals make a greater contribution to inspiratory pressure generation. This increase in parasternal activity is also associated with higher levels of dyspnoea. These data provide the scientific rationale to develop EMG para as a physiological biomarker to track changes in clinical state in patients with AECOPD. Patients failing to respond to therapy have persistent hyperinflation with sustained elevation in EMG para activity compared with those responding to therapy and associated respiratory muscle unloading who have a decline in EMG para activity as the lung volumes and diaphragm and parasternal activity return to baseline. Finally, NRD was expressed as a product of EMG para and RR normalised for maximum EMG para to produce NRDI, which incorporates the peak RMS inspiratory parasternal muscle activity per unit time as a ratio of maximum NRD, similar to pressure time index, which has been extensively used in previous studies as a dynamic measure of respiratory muscle fatigue.

### Dyspnoea

Dyspnoea provides a significant symptom burden in COPD. An objective method of assessing the severity of breathlessness has previously been lacking, with clinicians using subjective assessment tools. Physiological indicators of disease severity in COPD, such as FEV1, are acknowledged to be poorly predictive of dyspnoea. In contrast, changes in NRD have been shown to explain variance in exercise-induced dyspnoea. In the current study we observed a similar relationship between change in Borg score and change in EMG para. Furthermore, as the initial measurements were recorded following commencement of emergency therapy, in some patients there were relatively small changes in second ICS parasternal muscle electrical activity and breathlessness, indicating that that this technique is sensitive enough to monitor relatively modest changes even after treatment initiation. These data therefore support the use of non-invasive second ICS parasternal EMG as a physiological biomarker of NRD that reflects perception of dyspnoea severity during AECOPD. Furthermore, as FEV1 has a weak relationship with dyspnoea, there is potential for EMG para to be applied to patients in the stable state to monitor progression of disease and detect exacerbation onset, although more work is required to fully elucidate this relationship.

### Monitoring response to treatment

NRD was shown to monitor response to treatment in patients admitted with AECOPD when calculated as EMG para%max and NRDI. The reproducibility data indicate that the cut-off chosen for maximum sensitivity and specificity of detection for clinical change (EMG para%max >6.6%) represents a genuine and detectable change in NRD as it is above the 95% upper limit of agreement on the Bland–Altman plot. In this population of patients with AECOPD this would have correctly tracked deterioration in five out of six occasions. This high sensitivity and specificity was further improved with the addition of respiratory rate to produce the NRDI, which correctly identified all episodes of deterioration in this sample set. This

### Table 3

<table>
<thead>
<tr>
<th>Readmitted</th>
<th>Not readmitted</th>
<th>Mean difference (95% CI)</th>
<th>p Value</th>
<th>£MEWS*</th>
<th>£FEV1</th>
<th>Previous admissions*</th>
<th>£EMGpara%max</th>
<th>£NRDI</th>
</tr>
</thead>
<tbody>
<tr>
<td>0 (−1 to 2)</td>
<td>0 (−3 to 2)</td>
<td>0.1 (0.14 to 0.11)</td>
<td>0.8</td>
<td>0.09 ±0.15</td>
<td>4 (0−14)</td>
<td>1.98 ±0.36</td>
<td>6.6%</td>
<td>134</td>
</tr>
</tbody>
</table>

Data presented as mean ± SD or *median (range). Seven patients were unable to perform spirometry on two occasions so analysis of FEV1 was performed on readmitted (n=6) and not readmitted (n=17).

EMG para%max, EMG para during tidal breathing normalised for maximum EMG para produced during a sniff manoeuvre; EMG para parasramal electromyogram activity; FEV1, forced expiratory volume in 1 s; MEWS, medical early warning score; NRDI, neural respiratory drive index (respiratory rate × EMG para%max).
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Competing interests None.

Ethics approval This study was conducted with the approval of the King’s College Hospital REC.

Provenance and peer review Not commissioned; externally peer reviewed.

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