Adherence to inhaled therapy, mortality, and hospital admission in COPD.

Jørgen Vestbo 1,2, *, Julie A Anderson 3, Peter MA Calverley 4, Bartolome Celli 5, Gary T Ferguson 6, Christine Jenkins 7, Katharine Knobil 8, Lisa R Willits 9, Julie C Yates 10, and Paul W Jones 11.

1. Respiratory Medicine Research Group, University of Manchester, Manchester, UK
2. Department of Cardiology & Respiratory Medicine, Hvidovre Hospital & Faculty of Health Sciences, University of Copenhagen, Hvidovre Hospital, Hvidovre, Denmark
3. Respiratory Medicine Development Centre, GlaxoSmithKline, Middlesex, UK
4. Clinical Science Centre, University Hospital Aintree, Liverpool, UK
5. Tufts University School of Medicine, Boston, MA, USA
6. Pulmonary Research Institute of Southeast Michigan, Michigan, USA
7. Woolcock Institute of Medical Research, Sydney, Australia
8. Respiratory Medicine Development Centre, GlaxoSmithKline, Research Triangle Park, NC, USA
9. Respiratory Medicine Development Centre, GlaxoSmithKline, Middlesex, UK
10. Respiratory Medicine Development Centre, GlaxoSmithKline, Research Triangle Park, NC, USA
11. St. George’s, University of London, London, UK

Corresponding author: Professor Jørgen Vestbo
Respiratory Medicine Research Group
ERC Building, 2nd Floor
University Hospital of South Manchester NHS Foundation Trust
Southmoor Road
M23 9LT Manchester
UK

Phone: (Mobile) +45 3035 0317
Fax: +45 3632 3716
Mail: jorgen.vestbo@manchester.ac.uk

Word count: 2835

Key words: Adherence, COPD, inhaled corticosteroids, long-acting bronchodilators, randomised controlled trials.

Running title: Treatment adherence in COPD
Abstract

Background: Little is known about adherence to inhaled medication in COPD and the impact on mortality and morbidity.

Methods: We used data on drug adherence from a randomised, double-blind trial comparing inhaled salmeterol 50μg+fluticasone propionate 500μg bid with placebo and each drug individually in 6112 patients with moderate-severe COPD over 3 years in the TORCH study. All-cause mortality and exacerbations leading to hospital admission were primary and secondary endpoints. The study of adherence was not specified a priori as an ancillary study.

Results: Of the 4880 patients (79.8%) with good adherence defined as >80% use of study medication, 11.3% died in contrast to 26.4% among the 1232 patients (20.2%) with poor adherence. The annual rates of hospitalisation for exacerbations were 0.15 and 0.27, respectively. The association between adherence and mortality remained unchanged and statistically significant after adjusting for other factors related to prognosis with a hazard ratio 0.40 (95% confidence interval 0.35 -0.46), p<0.001). The association was even stronger when analysing on-treatment deaths only. Similarly, the association between adherence and hospitalisation remained unchanged and significant in a multivariate analysis, rate ratio 0.58 (95% confidence interval 0.44-0.73, p<0.001). The association between increased adherence and improved mortality and reduction in hospitalisation was independent of study treatment. The effect of treatment was more pronounced in patients with good adherence than in those with poor adherence.

Conclusion: Adherence to inhaled medication is significantly associated with reduced risk of death and hospitalisation due to exacerbations in COPD. Further research is needed to understand these strong associations.

Abstract word count: 248
**Background**

Adherence to drug therapy has been shown to be associated with better vital prognosis in a large number of randomised controlled trials. The effect is not trivial and seems independent of the treatment given; i.e., the beneficial effect of adherence is similar in patients receiving active treatment with a drug with positive effects as in patients receiving placebo (1). Most of the studies on adherence have utilised data from trials of cardiovascular treatment, as this area contains many large studies with mortality as the main effect parameter. In most of these studies active treatments have had little immediate symptomatic effect or other beneficial effects that could easily be perceived by patients.

Few studies of the effects of adherence to inhaled medication in chronic obstructive pulmonary disease (COPD) have been published and, in general, less is known about adherence in COPD than in asthma (2). In a study of a population of elderly including both patients with asthma and COPD good adherence reduced both hospital admissions and physician visits (3). Most of the medications used for maintenance treatment of COPD have an immediate symptomatic effect easily perceived by patients (4). For this reason, drug adherence in COPD is often assumed to be good and associated with disease severity, making patients with severe disease more likely to be adherent to therapy than patients with mild or moderate disease. Nevertheless, very little data are available to support these beliefs (5).

The Towards a Revolution in COPD Health (TORCH) study was a randomised study which compared salmeterol (SAL), fluticasone propionate (FP), the combination of SAL and FP (SFC), and placebo with overall mortality as the primary end-point (6). The main findings of the study which lasted 3 years and included 6112 patients with moderate-severe COPD have been published elsewhere (7). We hypothesised that adherence to inhaled therapy would have a positive impact on mortality and morbidity in COPD and tested the hypothesis using the TORCH study database.

**Methods**

**Patients**

Patients with a clinical history of COPD who were current or former smokers with a smoking history of at least 10 pack years, aged 40–80 years, a pre-bronchodilator forced expiratory volume in 1 second to forced vital capacity (FEV1/FVC) ratio ≤70% and FEV1 <60% of the predicted value, and reversibility of FEV1 to 400 μg salbutamol of <10% of the predicted value were recruited. The principal exclusion criteria were a diagnosis of asthma or respiratory disorder other than COPD, or an exacerbation during the run-in period. All patients gave informed consent and the study was approved by ethical review boards and conducted in accordance with the Declaration of Helsinki.

**Study design**

TORCH was a multi-centre, randomised, double-blind, parallel-group, placebo-controlled study. After a 2-week run-in period, eligible patients were stratified by smoking status and randomised to receive either SAL 50μg, FP 500μg, SFC 50/500μg, or placebo twice daily for 3 years via a Diskus®/Accuhaler™ inhaler (GlaxoSmithKline, UK). All corticosteroids and inhaled long-acting bronchodilators were stopped before the run-in period but the following COPD medications were allowed: Short acting β2-agonists as occasional or regular therapy, ipratropium as occasional or regular therapy, regular ipratropium and salbutamol as combined inhaler, and theophylline. The primary efficacy
The endpoint of TORCH was all-cause mortality at 3 years. The full methodology has been reported elsewhere (6). The study is registered on the publicly accessible database www.clinicaltrials.gov (NCT00268216), GSK study number SCO30003.

Drug adherence

Study inhalers were collected at study visits every 12 weeks. Patients were supposed to bring all inhalers at these visits and have them exchanged with new ones. Some patients did not adhere to this rule and were then asked to bring the inhalers back at subsequent visits. Inhalers were eventually retrieved but this irregularity in returning inhalers has made it impossible to examine whether adherence changed over time; the Lung Health Study found a gradual decline in adherence over time (8). Study drug adherence was evaluated using a dose counter on the device which counted doses of medication left in the Diskus®/Accuhaler™ inhaler. Patients were not told that we had a particular interest in adherence and no other action likely to encourage patients to simulate use of drugs by emptying the inhalers, “dumping”, prior to clinic visits was taken. Good adherence was defined as an average adherence to study medications of >80% over the whole period the subject was in the study, poor adherence was defined as ≤80%.

Adherence to concurrent medication was not evaluated. Patients withdrawn from the study were asked to come in for a follow-up visit 2 weeks after withdrawal.

Outcome measurements

The patients’ vital status was followed until 3 years after treatment began, regardless of whether they remained on study medication. The primary study endpoint was time to death from any cause at 3 years after treatment began. An independent Clinical Endpoint Committee (CEC) determined the primary cause of death and whether it was COPD-related. The CEC used information from investigators, patient records and other data as available, and was blinded to treatment allocation; the methodology has been reported elsewhere (8). Severe exacerbations were defined as exacerbations requiring hospital admission; they were used as a secondary outcome in both the original study and in this analysis. Exacerbations were only available for those who remained in the study.

Statistical analyses

The analysis of the effect of adherence on mortality was not specified in the Statistical Analysis Plan or the Study Protocol of TORCH. The difference in all-cause mortality between patients with good and poor adherence was analysed by the Cox’s proportional hazards model, using time to death as the outcome variable, good adherence as the explanatory variable, and covariates of smoking status, age, gender, region, baseline FEV₁, body mass index (BMI), MRC dyspnoea score, previous use of inhaled corticosteroids, previous myocardial infarction and treatment. Interactions between treatment and covariates were tested using this model. Severe exacerbation rates were analysed using a generalised linear model (assuming the Negative Binomial distribution) with number of exacerbations as the outcome. The model included adjustment for effects of the same covariates as for mortality with the addition of number of exacerbations reported in the 12 months prior to screening. In addition, a Cox’s proportional hazards model using time to first severe exacerbation as the outcome variable was used including the same covariates.

Results

Of 8554 patients recruited, 6112 comprised the efficacy population; 1524 subjects were allocated to placebo, 1521 to SAL, 1534 to FP, and 1533 to SFC. As documented in the
primary study report the treatment groups were similar at baseline. Overall, 79.8% of the study population had good adherence while in the study. Of the 20.2% with poor adherence, 61% (12.3% of all patients) had less than 70% adherence and only 40% (8.0% of all patients) had less than 60% adherence. Among men 80.3% had good adherence, this was the case for 78.5% of women. There was no association between GOLD stage and adherence, good adherence was seen in 81, 80 and 77% of patients with moderate, severe and very severe COPD, respectively. There was little difference in adherence between treatment groups. Table 1 shows baseline characteristics for patients with good and poor adherence for each of the 4 treatment groups. Compared to those with good adherence, patients with poor adherence differed slightly on a number of parameters. Most notably, patients with poor adherence had lower FEV₁ at baseline and had more dyspnoea evaluated as confirmation of one the statements “I stop for breath after walking about 100 yards or after a few minutes on the level” or “I am too breathless to leave the house or I am breathless when dressing or undressing”; i.e., MRC Dyspnoea Score 4 and 5.
## Table 1.

Demographic and baseline characteristics of all patients randomised to treatment with salmeterol (SAL), fluticasone propionate (FP), salmeterol / fluticason propionate combination (SFC), or placebo, according to degree of adherence. Data are mean (standard deviation) unless otherwise indicated.

<table>
<thead>
<tr>
<th></th>
<th>SAL (N=1521)</th>
<th>FP (N=1534)</th>
<th>SFC (N=1533)</th>
<th>Placebo (N=1524)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>N (%)</strong></td>
<td>294 (19.3)</td>
<td>303 (19.8)</td>
<td>309 (20.2)</td>
<td>326 (21.4)</td>
</tr>
<tr>
<td><strong>Age, years</strong></td>
<td>66.4</td>
<td>66.0</td>
<td>65.6</td>
<td>65.6</td>
</tr>
<tr>
<td><strong>Male gender,</strong></td>
<td>73</td>
<td>76</td>
<td>74</td>
<td>73</td>
</tr>
<tr>
<td><strong>Body Mass Index, kg/m²</strong></td>
<td>25.5</td>
<td>25.4</td>
<td>25.2</td>
<td>25.3</td>
</tr>
<tr>
<td><strong>Current smoker,</strong></td>
<td>44</td>
<td>44</td>
<td>44</td>
<td>48</td>
</tr>
<tr>
<td><strong>Post-bronchodilator</strong></td>
<td><strong>FEV₁, mL</strong></td>
<td>1141</td>
<td>1122</td>
<td>1121</td>
</tr>
<tr>
<td><strong>FEV₁, % predicted</strong></td>
<td>42.4</td>
<td>44.2</td>
<td>44.3</td>
<td>43.6</td>
</tr>
<tr>
<td><strong>MRC Dyspnea score 4 &amp; 5,</strong></td>
<td>27</td>
<td>23</td>
<td>22</td>
<td>20</td>
</tr>
<tr>
<td><strong>SGRQ total score</strong></td>
<td>52.1</td>
<td>49.4</td>
<td>50.1</td>
<td>50.8</td>
</tr>
<tr>
<td><strong>Treatment with inhaled corticosteroids in 1 yr prior to study</strong></td>
<td>40</td>
<td>45</td>
<td>44</td>
<td>56</td>
</tr>
<tr>
<td><strong>More than 1 moderate or severe exacerbation in 1 yr prior to study,</strong></td>
<td>33</td>
<td>33</td>
<td>32</td>
<td>36</td>
</tr>
</tbody>
</table>
Treatment adherence in COPD

FEV₁: Forced expiratory volume in 1 second, MRC: Medical Research Council, SGRQ: St George Respiratory Questionnaire

*Self-reported in the 12 months prior to screening
The overall 3-year mortality in the study was 14.3%. Of the 4880 patients (79.8%) with good adherence defined as >80% use of study medication, 11.3% died in contrast to 26.4% among the 1232 patients (20.2%) with poor adherence. The 3-year mortality in groups adherent to SAL, FP, SFC and placebo was 10.7, 12.9, 9.5, and 12.0%, respectively. In patients with poor adherence, mortality was 25.2, 28.7, 24.9, and 26.7%, respectively. After adjusting for region, age, sex, smoking status, FEV₁, BMI, MRC dyspnoea score, previous use of inhaled corticosteroids, previous myocardial infarction and treatment in a multivariate Cox model, good adherence was associated with a decreased risk of dying at any time in 3 years by 60% (hazard ratio 0.40 (95% confidence interval 0.35-0.46), p<0.001), independent of study treatment. In a model including also health status assessed using the St George’s Respiratory Questionnaire (SGRQ) hazard ratio was 0.41 (0.35-0.48); this analysis only included 4678 subjects as the SGRQ was not translated into all languages. A Kaplan-Meier plot of overall mortality for patients with good and poor adherence is shown in Figure 1. Kaplan-Meier plots for each treatment group is shown in the online supplement. The Cox model was repeated for deaths while on treatment only. Although this reduced number of deaths by 47% to 464 deaths for analysis, the association between adherence and mortality was even stronger, hazard ratio 0.25 (0.20-0.30). Using other cut-off values than 80% for adherence revealed a clear association between rate of adherence and mortality (data not shown): the lower the adherence, the higher the mortality. Causes of death are shown in Table 2. The association between adherence and mortality appeared to be independent of the cause of death.
Table 2.

Causes of death among patients randomised to treatment with salmeterol (SAL), fluticasone propionate (FP), salmeterol / fluticasone propionate combination (SFC), or placebo, according to degree of adherence.

<table>
<thead>
<tr>
<th></th>
<th>SAL (N=1521)</th>
<th>FP (N=1534)</th>
<th>SFC (N=1533)</th>
<th>Placebo (N=1524)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Poor</td>
<td>Good</td>
<td>Poor</td>
<td>Good</td>
</tr>
<tr>
<td>N</td>
<td>294</td>
<td>1227</td>
<td>303</td>
<td>1231</td>
</tr>
<tr>
<td>COPD, n (%)</td>
<td>20 (7)</td>
<td>44 (4)</td>
<td>18 (6)</td>
<td>49 (4)</td>
</tr>
<tr>
<td>COPD-related deaths, n (%)</td>
<td>32 (11)</td>
<td>61 (5)</td>
<td>31 (10)</td>
<td>75 (6)</td>
</tr>
<tr>
<td>Cardiovascular, n (%)</td>
<td>20 (7)</td>
<td>25 (2)</td>
<td>27 (9)</td>
<td>34 (3)</td>
</tr>
<tr>
<td>Pulmonary, n (%)</td>
<td>27 (9)</td>
<td>53 (4)</td>
<td>25 (8)</td>
<td>66 (5)</td>
</tr>
<tr>
<td>Cancer, n (%)</td>
<td>11 (4)</td>
<td>33 (3)</td>
<td>19 (6)</td>
<td>32 (3)</td>
</tr>
<tr>
<td>Other, n (%)</td>
<td>8 (3)</td>
<td>14 (1)</td>
<td>7 (2)</td>
<td>23 (2)</td>
</tr>
<tr>
<td>Unknown, n (%)</td>
<td>8 (3)</td>
<td>6 (&lt;1)</td>
<td>9 (3)</td>
<td>4 (&lt;1)</td>
</tr>
</tbody>
</table>
The overall rate of severe exacerbations requiring hospital admission was 0.17 per patient per year. The annual rates of hospitalisation for exacerbations were 0.15 and 0.27 in patients adherent and non-adherent to study medication, respectively. The 3-year admission rate in groups adherent to SAL, FP, SFC and placebo was 0.14, 0.15, 0.14, and 0.16 per patient per year, respectively. In patients with poor adherence, admission rates were 0.26, 0.26, 0.25, and 0.36 per patient per year, respectively. After adjusting for region, age, sex, smoking status, FEV1, BMI, prior exacerbations, and treatment in a multivariate model, good adherence was associated with a 44% lower severe exacerbation rate (rate ratio 0.56 (0.48-0.65), p<0.001), independent of study treatment. In a model including the SGRQ the rate ratio was 0.54 and in a Cox’s regression model with time to first severe exacerbation as outcome and with the same covariates the hazard ratio was 0.59 (0.52-0.67).

The impact of adherence on the effect of the study drug was evaluated by looking at the primary aim of the TORCH study; i.e., to compare the all-cause mortality rate in the groups randomised to placebo and SFC. The absolute risk reduction for SFC compared to placebo was 1.77% in the group with poor adherence and 2.54% in the group with good adherence. The relative risk reduction was 6.6% in the group with poor adherence and 21.2% in the group with good adherence.

**Discussion**

In the TORCH study we observed considerable differences in survival and risk of severe exacerbations according to the degree of study drug adherence. Overall, adherence rates were high and much higher than are usually reported in long term clinical trials (10). Nevertheless, our choice of 80% adherence as cut-off value for defining poor adherence is similar to that used in earlier studies of adherence e.g. in the cardiovascular area (1). The association between increased adherence and improved survival was significant and independent of study treatment.

Findings in line with these have been shown in other therapeutic areas (1). We did not have information on adherence to concomitant medications. COPD patients often have comorbidities (11, 12) and although it is likely that adherence to study medication also reflects adherence to treatments for comorbidities it seems improbable that the association between adherence and mortality is merely the effect of better treatment of other coexisting chronic disorders. However, it is possible that patients with comorbidities have poor adherence as a result of treatment with multiple medications and as they have a poorer prognosis this could explain some of the association seen. The fact that the effect of adherence is as strong in the placebo group as in the groups receiving active treatment has given rise to the term “the healthy adherer effect”, describing adherence to drug therapy as a surrogate marker for overall healthy behaviour (13-15). We did not find any difference in smoking status at baseline between patients adherent or not adherent to therapy. However, patients adherent to study medication could have better adherence to pulmonary rehabilitation and physical activity in general, which are both associated with better survival (16, 17). Unfortunately, we do not have data on these variables in the TORCH study. However, given the striking effect of adherence, small differences in physical activity are unlikely to explain our findings. Our study also suffers from lack of...
data on adherence over the course of the study period. Such data would have allowed more detailed statistical analyses; however, given the robust findings in this study we doubt that it would have changed the overall conclusion. In addition, there was differential drop-out in TORCH. This has affected the study outcomes but it is not clear how this may affect any association between adherence and prognosis. Patients withdrawing from trials generally have a poorer prognosis than those remaining in a trial and if sicker patients were more likely to have poor adherence this could potentially explain why the association between adherence and prognosis was as strong in the placebo group as in those receiving active treatment. However, this is speculative and not supported by data shown in table 1.

This study is an observational study nested in a randomised controlled trial and the patients have indeed not been randomly allocated to be good and poor adherers. The associations found are therefore likely to reflect the factors determining adherence, including health beliefs, personality, education, etc. We do not have information on these factors and future research needs to address these. We can say, however, that the association is not a result of differences in the usual markers of disease severity, smoking habits or simple demographic descriptors. The fact that adherence is a marker of the individual patient’s general behaviour and personality, and reflects more than just the use of prescribed drugs, should not be a deterrent to utilising the data when prescribing medication. Controlled trials have pointed to interventions such as involving pharmacies more and using telephone reminders to improve adherence and hence disease outcomes (18). Indeed, non-adherence should be seen as a challenge and not a sign of lack of cooperation by the patient. One way of meeting this challenge is by patient education but to date there is little evidence to suggest this has beneficial effects in improving COPD outcomes. Gallefoss (19) and Hesselink et al (20) were unable to show an effect of patient education alone on adherence to inhaled medication and more comprehensive self-management programmes including patient education have shown little effect on adherence and conflicting results on other outcomes (21, 22). It is, nevertheless, still possible that part of the “healthy adherer effect” in TORCH is the result of good adherers being better in recognising exacerbations early and thus treating exacerbations both quicker and better. In view of the results from TORCH indicating more favourable outcomes in patients with high levels of adherence, there is clearly a need for research that identifies features of non-adherence and strategies to address this. It should be kept in mind that just as treatment of COPD needs to address the heterogeneity of the disease, any intervention focused on improving adherence to medication must take into account the diversity of patients’ needs and understanding about the benefits and risks of therapy (23).

Inhaled medications in COPD have symptomatic effects. Even though trial medications in our study have been shown to exert a symptomatic benefit within 2 days (4) adherence was not substantially better in the groups on active treatments compared with those on placebo. This is somewhat surprising as 65% of the patient population had severe or very severe COPD, a patient category where treatment with at least one long-acting bronchodilator is recommended and where patients with exacerbations should be considered for combination treatment (24).

Adherence in the TORCH study was based on counting remaining doses in the returned inhalers. This is not optimal as data from the Lung Health Study suggested that some trial patients appear to “dump” inhaler medication before returning study medication
to investigators (10). The Lung Health Study used metered dose inhalers where it may be easier to rapidly empty the canister than with powder inhalers but we cannot preclude that a similar action may not have been taken by TORCH patients; however, such action is unlikely to explain our findings and would most likely lead an underestimation of the relationship between adherence and clinical outcomes.

In conclusion, we found a surprisingly strong association between adherence and mortality as well as risk of hospital admission due to an exacerbation in this 3-year trial of inhaled medications in patients with moderate-severe COPD. A better understanding of the factors differentiating good and poor adherence to medication, and strategies to improve adherence, could lead to beneficial interventions in COPD.

Acknowledgment
The authors thank all the TORCH patients and investigators for their valuable contribution to this study.

Competing interests
JV, PC, BC, GF, CJ and PJ have all received fees from pharmaceutical companies, including GlaxoSmithKline, for speaking at meetings and participating in advisory board meetings. JV, PC, BC, GF, CJ and PJ have received support for research from pharmaceutical companies, including GlaxoSmithKline. JV's wife was an employee of GlaxoSmithKline until 2004, she is now an employee of AstraZeneca. JA, JY, KK and LW are all GlaxoSmithKline employees and shareholders of GlaxoSmithKline.

Funding
The Study was sponsored by GlaxoSmithKline. A Steering Committee comprising six academics and three representatives of the sponsor developed the original study design and concept, the plan for studying effects of adherence, approved the statistical plan, had full access to the data, and was responsible for decisions with regard to publication. The study sponsor did not place any restrictions with regard to statements made in the final paper.

References:


**Figure legend**

**Figure 1.**

Kaplan-Meier plot of survival in patients adherent to study treatment and patients not adherent.
Online supplement

Adherence to inhaled therapy, mortality, and hospital admission in COPD.

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The graphs show Kaplan-Meier plots of survival in patients adherent to study treatment and patients not adherent, stratified on treatment arm.
FP 500: fluticasone propionate 500 microgram b.i.d., SAL 50: salmeterol 50 microgram b.i.d., SFC 50/500: salmeterol / fluticasone propionate combination 50/500 microgram b.i.d.
Number at Risk
\[\leq 80\% \quad 1232 \quad 1121 \quad 1018 \quad 894
\]
\[> 80\% \quad 4880 \quad 4798 \quad 4633 \quad 4299\]

Probability of Death (%)

Time to Death (Weeks)
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Thorax published online August 23, 2009

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