

# Efficacy and safety of lower versus higher CO<sub>2</sub> extraction devices to allow ultraprotective ventilation: secondary analysis of the SUPERNOVA study

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## ABSTRACT

Retrospective analysis of the SUPERNOVA trial exploring the hypothesis that efficacy and safety of extracorporeal carbon dioxide removal (ECCO<sub>2</sub>R) to facilitate reduction of tidal volume ( $V_T$ ) to 4 mL/kg in patients with acute respiratory distress syndrome (ARDS) may differ between systems with *lower* (area of membrane length 0.59 m<sup>2</sup>; blood flow 300–500 mL/min) and *higher* (membrane area 1.30 m<sup>2</sup>; blood flow between 800 and 1000 mL/min) CO<sub>2</sub> extraction capacity. Ninety-five patients with moderate ARDS were included (33 patients treated with *lower* and 62 patients treated with *higher* CO<sub>2</sub> extraction devices). We found that (1)  $V_T$  of 4 mL/kg was reached by 55% and 64% of patients with the lower extraction versus 90% and 92% of patients with higher extraction devices at 8 and 24 hours from baseline, respectively ( $p<0.001$ ), and (2) percentage of patients experiencing episodes of ECCO<sub>2</sub>R-related haemolysis and bleeding was higher with *lower* than with *higher* extraction devices (21% vs 6%,  $p=0.045$  and 27% vs 6%,  $p=0.010$ , respectively). Although  $V_T$  of 4 mL/kg could have been obtained with all devices, this was achieved frequently and with a lower rate of adverse events by devices with *higher* CO<sub>2</sub> extraction capacity.

## INTRODUCTION

Reduction of tidal volume ( $V_T$ ) to 3–4 mL/kg of predicted body weight (PBW) and of end-inspiratory plateau pressure ( $P_{PLAT}$ ) to  $\leq 25$  cmH<sub>2</sub>O integrated by extracorporeal carbon dioxide removal (ECCO<sub>2</sub>R) has been proposed in patients with acute respiratory distress syndrome (ARDS).<sup>1</sup>

We recently reported results of the SUPERNOVA trial, a phase II study that assessed feasibility and safety of ECCO<sub>2</sub>R in patients with moderate ARDS.<sup>2</sup> The study was conducted using *lower* (cross-sectional area of membrane lung 0.59 m<sup>2</sup>, blood flow 300–500 mL/min; Hemolung, ALung Technologies, Pittsburgh, Pennsylvania, USA) and *higher* (cross-sectional area of membrane lung 1.30 m<sup>2</sup>, blood flow between 800 and 1000 mL/min; iLA, Novalung, Heilbronn, Germany and Cardiohelp, Getinge, Rastatt, Germany) CO<sub>2</sub> extraction devices.<sup>2</sup> We perform a retrospective analysis of the SUPERNOVA trial to explore the hypothesis that efficacy and safety of ECCO<sub>2</sub>R to allow  $V_T$  of 4 mL/kg and  $P_{PLAT} \leq 25$  of cmH<sub>2</sub>O may vary between *lower* vs *higher* CO<sub>2</sub> extraction devices.

## METHODS

Patients older than 18 years with moderate ARDS<sup>3</sup> were included. Inclusion and exclusion criteria have been previously reported.<sup>2</sup>

$V_T$  and positive end-expiratory pressure (PEEP) were in September as previously described (base-line).<sup>4</sup>  $V_T$  was reduced to 4 mL/kg PBW, titrating PEEP to target  $P_{PLAT}$  of 23–25 cmH<sub>2</sub>O and ECCO<sub>2</sub>R hence was initiated.

Effectiveness was assessed as the proportion of patients who achieved a  $V_T$  of 4 mL/kg with PaCO<sub>2</sub> not increasing more than 20% from base-line (with arterial pH  $>7.30$ ). Safety was assessed as the number of patients experiencing severe and ECCO<sub>2</sub>R-related adverse events. Physiological variables were recorded at baseline, 8 and 24 hours.

Proportions and continuous variables between *lower* and *higher* extracting devices were compared using  $\chi^2$ , Fisher exact tests, Student t-test or Wilcoxon rank-sum test. Continuous variables assessed at 8 and 24 hours were compared with previously described statistical analysis plans.<sup>2</sup>

## RESULTS

Thirty-three patients (35%) were treated with the *lower* and 62 patients (65%) with the *higher* CO<sub>2</sub> extraction devices. At baseline, values of PaO<sub>2</sub>/FiO<sub>2</sub> were higher and use of recruiting manoeuvres was less frequent in patients on *lower* vs *higher* CO<sub>2</sub> extraction devices (table 1) ( $p<0.05$ ).

A 15.5 Fr catheter was used with the *lower* extraction device; an 18 (18–20) Fr catheter was used in patients on *higher* extraction devices ( $p=0.0001$ ). At 12 hours after inclusion, doses of heparin and activated partial thromboplastin time ratio were similar between lower and higher extraction devices (21 000 (18 000; 27 950) vs 20 000 (14 000; 26 400),  $p=0.425$  and  $49.1 \pm 14.9$  vs  $57.6 \pm 21.6$ ,  $p=0.074$ , respectively).

Respiratory rate and minute ventilation were higher in patients on the *lower* versus *higher* extraction devices ( $p<0.001$ ) (figure 1). Time course of ventilator and blood gas parameters are shown in table 1. Compared with baseline,  $P_{PLAT}$  decreased by 10%–20% in both *lower* and *higher* extraction groups ( $p<0.001$ ), and at 24 hours was significantly lower in patients treated with *lower* than in patients with *higher* extraction devices ( $p=0.003$ ). Compared with baseline, PaCO<sub>2</sub> decreased at 8 and 24 ( $p<0.01$ ) hours in patients treated with the *higher* extraction devices while it increased at 8 hours in patients treated with

**Table 1** Time course of ventilator settings and blood gas analysis

	Baseline		8 hours		24 hours	
	Lower CO <sub>2</sub> extraction (N=33)	Higher CO <sub>2</sub> extraction (N=62)	Lower CO <sub>2</sub> extraction (N=33)	Higher CO <sub>2</sub> extraction (N=62)	Lower CO <sub>2</sub> extraction (N=33)	Higher CO <sub>2</sub> extraction (N=62)
P <sub>PLAT</sub> (cmH <sub>2</sub> O)	26.7±2.81	26.7±3.30	23.6±2.70†	24.3±3.96‡	21.9±3.15‡	24.4±4.03§
PEEP (cmH <sub>2</sub> O)	13.58±3.73	13.55±3.77	13.84±3.11‡	14.54±3.88†	12.96±3.23	14.16±4.15†
Driving pressure (cmH <sub>2</sub> O)	13.2±4.13	13.2±4.15	9.67±3.35‡	9.65±4.08‡	8.93±3.78†	10.4±4.49§
PaO <sub>2</sub> /FIO <sub>2</sub>	185±57.1	159±66.9*	197±66.2	154±61.5*	198±62.9	153±56.3*
PaCO <sub>2</sub> (mm Hg)	45.9±9.09	49.0±9.72	52.5±13.8†	44.8±9.28*†	49.0±13.6	45.5±8.33†
pH	7.33±0.09	7.35±0.09	7.30±0.09	7.40±0.08*†	7.35±0.08	7.41±0.07*†

Data are mean±SD.

\**p*<0.05 lower vs higher CO<sub>2</sub> extraction.

†*p*≤0.01 vs baseline.

‡8 missing values.

§5 missing values.

PaCO<sub>2</sub>, arterial PCO<sub>2</sub>; PaO<sub>2</sub>/FIO<sub>2</sub>, arterial to inspiratory O<sub>2</sub> fraction; P<sub>PLAT</sub>, end-inspiratory plateau pressure; PEEP, positive end-expiratory pressure.

the lower extraction system (*p*=0.01). PaCO<sub>2</sub> at 8 hours was lower with the *higher* than with the *lower* extraction system (*p*=0.008).

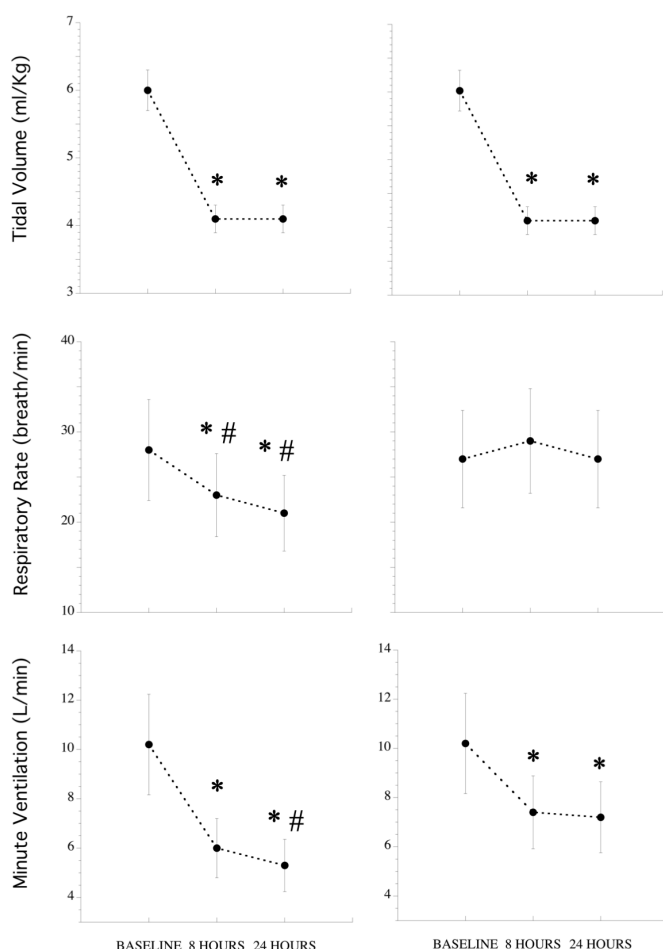
Percentage of patients reaching a V<sub>T</sub> of 4 mL/kg PBW was 55% and 64% with the *lower* versus 90% and 92% with *higher* extraction devices at 8 and 24 hours, respectively (*p*<0.001).

Two severe adverse events were attributed to ECCO<sub>2</sub>R (right frontal massive parenchymal haematoma with the *lower* and pneumothorax at insertion cannula in the internal jugular vein with the *higher* extraction devices). Percentage of patients experiencing

ECCO<sub>2</sub>R-related adverse events was 48% with the *lower* and 34% with the *higher* extraction devices (*p*=0.242). Percentage of patients experiencing episodes of haemolysis and bleeding was higher with *lower* than with *higher* extraction devices (*p*<0.05) (table 2).

## DISCUSSION

Analysis of the SUPERNOVA trial suggests that systems using higher flow (800–1000 mL/min) and a larger membrane (1.30 m<sup>2</sup>) are more effective in facilitating ultraprotective ventilator settings than using lower flow (<500 mL/min) and a smaller membrane (0.59 m<sup>2</sup>). Since there is no evidence of a safe upper limit for


**Figure 1** Time course of respiratory variables. \**p*<0.001 lower vs higher CO<sub>2</sub> extraction; #*p*<0.01 vs baseline.

**Table 2** Numbers of patients experiencing ECCO<sub>2</sub>R-related adverse events occurring between enrolment and day 28

Patients experiencing ECCO <sub>2</sub> R-related adverse events n (%)	Lower CO <sub>2</sub> extraction (N=33)	Higher CO <sub>2</sub> extraction (N=62)
<b>Mechanical</b>		
Lung clotting membranes	3 (9)	10 (16)
Leading to circuit change	1 (3)	5 (8)
Leading to ECCO <sub>2</sub> R discontinuation	2 (6)	5 (8)
Pump malfunction	2 (6)	1 (2)
Catheter displacement	2 (6)	0 (0)
<b>Clinical</b>		
Haemolysis	7 (21)	4 (6)*
Bleeding	9 (27)	4 (6)†
Related to cannula insertion	2 (6)	1 (2)
At cannula site	6 (18)	1 (2)*
Significant	3 (9)	3 (5)
Infectious complications	2 (6)	0 (0)
Thrombocytopenia	4 (12)	8 (13)
Hypofibrinogenemia	0 (0)	2 (3)

\**p*<0.05.

†*p*<0.01.

#Haemolysis: serum-free haemoglobin ≥100 mg/L or haematocrit reduction not related to haemorrhage or other causes of blood loss, jaundice, haemoglobinuria, impaired renal function. Hypofibrinogenemia: fibrogen <1.5 g/L. Significant bleeding: any bleeding event requiring administration of 1 U of packed red cells. Thrombocytopenia: platelet count below 50×10<sup>9</sup>/L. ECCO<sub>2</sub>R, extracorporeal carbon dioxide removal.

protective ventilator settings,<sup>5</sup> outcomes may be improved by aggressively lowering  $V_T$  using devices that can remove more  $\text{CO}_2$ , allowing lower respiratory rates, which were shown to be lung protective<sup>6</sup> by decreasing mechanical power.<sup>7</sup> It should be acknowledged that clearance and total amount of  $\text{CO}_2$  removed by ECCO<sub>2</sub>R with *lower* and *higher* extraction devices were not quantified.

Fitzgerald and coworkers recently performed a comprehensive systematic review that included 14 studies with 495 patients treated with ECCO<sub>2</sub>R and found that complication rates ranged from 0% to 25%.<sup>8</sup> Clotting/membrane malfunction, bleeding and increasing the requirement for blood transfusion were the most common complications.<sup>8</sup> We have found that, although the *lower* extraction device used smaller cannulas and lower blood flow than the ones used with *higher* extraction devices, the percentage of patients with haemolysis and bleeding episodes was significantly higher in the former (table 2). Moreover, it should be noted that heparose and activated partial thromboplastin time ratio were similar between *lower* and *higher* extraction devices. This observation may be particularly relevant since (1) the balance between excessive anticoagulation (bleeding, haemolysis) and insufficient anticoagulation (clotting) is crucial for the safety and feasibility of ECCO<sub>2</sub>R, and (2) the importance of monitoring coagulation during ECCO<sub>2</sub>R is confirmed. Moreover, since in the present study contraindications for systemic anticoagulation and bleeding disorders were observed in 30% of patients,<sup>2</sup> feasibility and safety of randomised clinical trials using *lower*  $\text{CO}_2$  extraction devices may be problematic.

In conclusion, these data suggest that reductions of  $V_T$  to 4 mL/kg and of  $P_{\text{PLAT}}$  to  $\leq 25$  of  $\text{cmH}_2\text{O}$  are consistently achievable only

with the *higher* extraction devices. Future randomised clinical trials assessing the overall benefit and harm of ultraprotective ventilation should be carried out with ECCO<sub>2</sub>R devices equipped with a larger membrane lung and blood flows between 800 and 1000 mL/min.

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