# The utility of exhaled nitric oxide in patients with suspected asthma

#### **ABSTRACT**

The value of  $FE_{NO}$  measurements in patients with symptoms suggestive of asthma is unclear. We performed an observational study to assess the ability of  $FE_{NO}$  to diagnose asthma and to predict response to inhaled corticosteroids (ICS). Our findings suggest  $FE_{NO}$  is not useful for asthma diagnosis but is accurate at predicting ICS response.

## INTRODUCTION

Data suggest that up to 40% of patients diagnosed and treated for asthma with inhaled corticosteroids (ICS) have no evidence that this treatment is needed. Overdiagnosis of asthma is common because symptoms of the condition are non-specific<sup>2</sup> and there are no readily available tests to confidently exclude asthma.

Proposed National Institute for Health and Care Excellence (NICE) guidelines consider measurement of FE<sub>NO</sub> levels in the diagnostic algorithm,<sup>3</sup> although a recent meta-analysis concluded that the sensitivity and specificity of FE<sub>NO</sub> was insufficient for accurate diagnosis.<sup>4</sup> An alternative approach for the use of FE<sub>NO</sub> in patients with symptoms suggestive of asthma is to identify individuals who are likely to have TH2 high inflammation and therefore likely to respond to ICS.<sup>5</sup>

We aimed to establish if  $FE_{NO}$  measurements can (1) accurately diagnose asthma in patients presenting to primary care and (2) reliably distinguish which patients will benefit from ICS treatment.

#### **METHODS**

Adult patients with respiratory symptoms suggestive of asthma who were thought to require ICS treatment by their general practitioner (GP) were prospectively identified (see online supplementary data).

After consenting to the study (REC12/EM/0241), participants attended a baseline visit at which FE<sub>NO</sub> (NIOX MINO; Aerocrine, Tolna, Sweden), spirometry, methacholine challenge, sputum induction for sputum cell count, asthma control questionnaire (ACQ) and asthma control test (ACT) were measured and those willing returned the following day for reversibility testing with 400 µg salbutamol inhaled via a spacer. Participants then started their GP-prescribed ICS, which

was predominantly beclomethasone dipropionate (200  $\mu$ g twice daily) via a metered dose inhaler, and returned at 4 and 12 weeks for repeat FE<sub>NO</sub>, spirometry, methacholine challenge, ACQ and ACT. Diagnosis of asthma was defined as one or both:

- ► Reversibility of ≥12% and ≥200 mL in FEV<sub>1</sub>
- ► Provocative concentration of methacholine (PC<sub>20</sub>) of ≤8 mg/mL

Response to ICS was predefined as a combination of two of any of the following objective criteria or one objective criterion and one subjective criterion from the following predetermined criteria: Objective:

- ► Increase in FEV<sub>1</sub> from baseline of ≥12%
- ▶ Increase in  $PC_{20} \ge$  one doubling dose<sup>6</sup>
- Decrease in FE<sub>NO</sub> of ≥20% for baseline values >50 ppb or decrease of ≥10 ppb for baseline values ≤50 ppb<sup>7</sup>
   Subjective:
- ▶ Decrease in ACQ of  $\geq$ 0.5
- ▶ Increase in ACT of  $\ge$ 3 points

Data were entered into Stata (Statacorp, Texas, USA) and receiver operator characteristics (ROC) analysis was carried out in Stata and GraphPad Prism.

#### **RESULTS**

Seventy-seven participants were included in the study, of whom 74 completed the investigations (see online supplementary data for consort diagram). Demographic details are shown in table 1. A diagnosis of asthma was made in 28 out of 74 subjects (38%). Of these, 10 were diagnosed by reversibility criteria alone and 12 were diagnosed by  $PC_{20}$  alone, with 6 being positive on both investigations. The ROC curve to assess the utility of baseline  $FE_{NO}$  level as a diagnostic test for asthma had an area under the curve (AUC) of 0.62 (p=0.09) (figure 1).

A response to ICS was seen in 27 out of 67 subjects (40%) after 4 weeks and 28 out of 60 subjects (47%) after 12 weeks. Of the 28 subjects with asthma, 16 (57%) had a response to ICS after 4 weeks, with 12 of them having sustained this response after 12 weeks. Of the non-asthmatic subjects, 11 also demonstrated

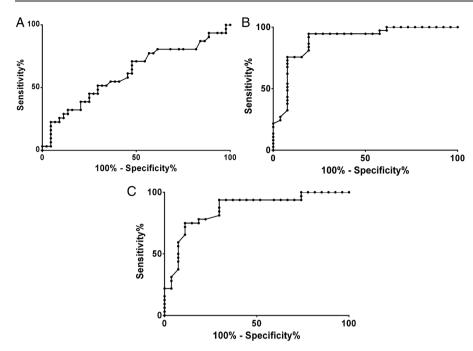
 Table 1
 Demographics and clinical characteristics of subjects with and without asthma

	Subjects with asthma Frequency (%) (except*)	Subjects without asthma Frequency (%) (except*)
Total no. included for analysis	28	46
Median age (range)	29 (18–70)*	22 (18–73)*
Sex: male	11 (39)	23 (50)
Ethnic group		
Asian or Asian British	1 (3.6)	5 (10.9)
Black or Black British	4 (14.3)	0 (0)
Mixed ethnicity	1 (3.6)	0 (0)
White or White British	22 (78.6)	41 (89.1)
Smoking history		
Current	5 (17.9)	5 (10.9)
Ex-smokers	4 (14.3)	9 (19.6)
Non-smokers	19 (67.9)	32 (69.6)
Positive family history of asthma	13 (46.4)	21 (45.7)
History/symptoms of GO reflux	5 (17.9)	9 (19.6)
History/symptoms of eczema	4 (14.3)	6 (13.0)
History/symptoms of rhinitis	9 (32.1)	8 (17.4)
History/symptoms of hay fever	12 (42.9)	20 (43.5)
History of NSAID allergy	1 (3.6)	1 (2.2)
Positive skin prick for $\geq 1$ aeroallergen	17 (60.7)	26 (56.5)
	Mean (SD)	Mean (SD)
FEV <sub>1</sub> % predicted	86.7 (14.0)	96.9 (15.6)
FEV <sub>1</sub> :FVC ratio (%)	76 (10)	82.1 (8.4)
Reversibility (%)	12.6 (11.7)	3.6 (6.3)
Blood eosinophil count (×10 <sup>9</sup> /L)†	0.35 (0.4)	0.2 (0.1)
Baseline ACQ score	1.96 (0.81)	1.42 (0.82)
Baseline ACT score	15.8 (4.4)	17.2 (4.2)

†Data presented are median and IQR as variable not normally distributed.

ACQ, asthma control questionnaire; ACT, asthma control test; GO, gastro-oesophageal; NSAID, non-steroidal anti-inflammatory drug.

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**Figure 1** (A) Receiver operator characteristic (ROC) curve analysis showing the sensitivity (%) and 100% specificity of  $FE_{NO}$  levels for asthma diagnosis. (B) ROC curve analysis showing the sensitivity (%) and the 100% specificity of  $FE_{NO}$  levels for predicting inhaled corticosteroids (ICS) response after 4 weeks of ICS treatment. (C) ROC curve analysis showing the sensitivity (%) and the 100% specificity of  $FE_{NO}$  levels for predicting ICS response after 12 weeks of ICS treatment.

a response to ICS after 4 weeks. The ROC curve for baseline  $FE_{NO}$  level as a predictor of ICS response after 4 weeks had an AUC of 0.89 (p<0.0001) (figure 1). The optimal  $FE_{NO}$  cut-off point for predicting non-response to ICS was <27 ppb (negative predictive value 93%) and for predicting response was >33 ppb (positive predictive value 92%).  $FEV_1$ ,  $PC_{20}$  and blood eosinophil count did not perform as well as predictors of ICS response with AUCs between 0.32 and 0.67 (see online supplementary data).

The ability of  $FE_{NO}$  level to predict steroid response after 12 weeks was consistent with response at 4 weeks (ROC AUC=0.86 p<0.0001) (figure 1).

### **DISCUSSION**

Our results suggest that  $FE_{NO}$  measurement in people presenting to primary care with symptoms suggestive of asthma is more useful at predicting response to ICS than diagnosing asthma. Although our cohort was relatively small we feel our results demonstrate that using  $FE_{NO}$  to diagnose asthma needs further investigation before being recommended in guidelines.

The poor sensitivity and specificity of  $FE_{NO}$  in diagnosing asthma may be due to the heterogeneity of the condition with different inflammatory subtypes expressing high or low levels of TH2

inflammation.<sup>5</sup> Hence the true utility of this test may be in detecting the presence of underlying TH2 inflammation to guide appropriate treatment with ICS, as also suggested previously by Smith *et al.*<sup>8</sup> The finding that only 57% of subjects with confirmed asthma responded to ICS further highlights the limitations of this diagnostic label.

Our study was subject to several limitations including the lack of a formal measure of ICS adherence and the definition of asthma and ICS response. Defining asthma is notoriously problematic owing to the lack of a confirmatory gold standard; defining response to ICS is also difficult. Our chosen response criteria are likely to be 'over-sensitive' in detecting a response, but are therefore less likely to miss potential responders. We included a fall in FE<sub>NO</sub> which is not used routinely but was frequently the only objective change in patients with a subjective improvement in cough and has the advantage of being easily performed in primary care. Also, it has previously been established that a reduction in TH2 inflammation is associated with a reduced risk of exacerbations,<sup>5</sup> bringing some validity to this approach.

We propose that  $FE_{NO}$  measurement in patients with symptoms suggestive of airways disease could be used to identify

patients in whom ICS response is highly unlikely. This could avoid unnecessary ICS treatment and encourage further investigation of the cause of the symptoms and, therefore, more effective treatment.

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Contributors TWH had full access to all the data in the study, is the guarantor of the content of the manuscript, including the data and analysis, and takes responsibility for the integrity of the data and the accuracy of the data analysis, including any adverse effects. TWH, TMM and EW were co-applicants on the NIHR research for patient benefit grant. MJM, EW and WG-T contributed substantially to data collection. Sputum samples were processed by GM. MJM, EW, GH, TMM, DES and TWH contributed substantially to the study design, data analysis and interpretation and the writing of the manuscript.

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