asthma

volume appears to be more of a gadget than a great progressive tool.

**Contributors** Both authors contributed equally to the article

Competing interests None.

**Provenance and peer review** Commissioned; internally peer reviewed.

Published Online First 15 April 2012

*Thorax* 2012;**67**:663—665. doi:10.1136/thoraxjnl-2012-201679

#### **REFERENCES**

- Janssens JP, Derivaz S, Breitenstein E, et al. Changing patterns in long-term noninvasive ventilation: a 7-year prospective study in the Geneva Lake area. Chest 2003;123:67—79.
- Lloyd-Owen SJ, Donaldson GC, Ambrosino N, et al.
   Patterns of home mechanical ventilation use in
   Europe: results from the Eurovent survey. Eur Respir J
   2005;25:1025—31.
- Mehta S, McCool FD, Hill NS. Leak compensation in positive pressure ventilators: a lung model study. Eur Respir J 2001;17:259—67.
- Storre JH, Bohm P, Dreher M, et al. Clinical impact of leak compensation during non-invasive ventilation. Respir Med 2009;103:1477—83.
- Windisch W, Storre JH, Sorichter S, et al. Comparison of volume- and pressure-limited NPPV at

- night: a prospective randomized cross-over trial. *Respir Med* 2005;**99**:52—9.
- Tuggey JM, Elliott MW. Randomised crossover study of pressure and volume non-invasive ventilation in chest wall deformity. *Thorax* 2005;60:859—64.
- Storre JH, Seuthe B, Fiechter R, et al. Average volume-assured pressure support in obesity hypoventilation: a randomized crossover trial. Chest 2006:130:815—21.
- Windisch W, Freidel K, Schucher B, et al. The Severe Respiratory Insufficiency (SRI) Questionnaire: a specific measure of health-related quality of life in patients receiving home mechanical ventilation. J Clin Epidemiol 2003;56:752—9.
- Gosh D, Rzehak P, Elliott MW, et al. Validation of the English severe respiratory insufficiency Questionnaire. Eur Respir J. Published Online First: 19 December 2011. doi:10.1183/09031936.00152411
- Janssens JP, Metzger M, Sforza E. Impact of volume targeting on efficacy of bi-level non-invasive ventilation and sleep in obesity-hypoventilation. *Respir Med* 2009;**103**:165—72.
- Ambrogio C, Lowman X, Kuo M, et al. Sleep and non-invasive ventilation in patients with chronic respiratory insufficiency. Intensive Care Med 2009;35:306—13.
- Crisafulli E, Manni G, Kidonias M, et al. Subjective sleep quality during Average volume assured pressure support (AVAPS) ventilation in patients with hypercapnic COPD: a Physiological Pilot study. Lung 2009;187:299—305.

- Oscroft NS, Ali M, Gulati A, et al. A randomised crossover trial comparing volume assured and pressure preset noninvasive ventilation in stable hypercapnic COPD. COPD 2010:7:398–403
- Murphy P, Davidson AC, Hind M, et al.
   Volume Targeted versus pressure support
   non-invasive ventilation in Super-Obese patients
   with chronic respiratory failure: a randomised
   controlled trial. Thorax 2012;67:727—34.
- Leger P, Bedicam JM, Cornette A, et al. Nasal intermittent positive pressure ventilation. Long-term follow-up in patients with severe chronic respiratory insufficiency. Chest 1994;105:100—5.
- Simonds AK, Elliott MW. Outcome of domiciliary nasal intermittent positive pressure ventilation in restrictive and obstructive disorders. *Thorax* 1995;50:604—9.
- Windisch W, Haenel M, Storre JH, et al. High-intensity non-invasive positive pressure ventilation for stable hypercapnic COPD. Int J Med Sci 2009;6:72—6.
- Windisch W. Noninvasive positive pressure ventilation in COPD. Breathe 2011;8:114—23.
- Dreher M, Storre H, Schmoor C, et al. High-intensity versus low-intensity noninvasive ventilation in stable hypercapnic COPD patients: a randomized cross-over trial. Thorax 2010;65:303—8.
- Dreher M, Ekkernkamp E, Storre JH, et al. Noninvasive ventilation in COPD: impact of inspiratory pressure levels on sleep quality. *Chest* 2011;140:939—45.

granulocytic

mixed

>3%).

# Stability of inflammatory phenotypes in asthma

### Ruth H Green, Ian Pavord

While asthma has long been recognised as a heterogeneous disease, recent interest has concentrated on the identification of phenotypes based on the pattern of inflammation in the airways. The application of induced sputum as a non-invasive 'inflammometer' has facilitated this process, resulting in the recognition of apparently distinct 'eosinophilic' and 'non-eosinophilic' phenotypes. The characterisation of patients in this way appears attractive since the response to treatment, particularly with inhaled corticosteroids, has been shown to differ according to the pattern and extent of inflammation. This has contributed to the concept of a 'holy grail' of individualised therapy based on phenotypic expression and a flurry of studies aiming to further

explain and refine the phenotypic diversity seen in both adults and children with asthma. A number of questions remain, however, and one important one raised by Fleming *et al*<sup>1</sup> is whether there are differences in the nature and significance of airway inflammation between adults and children with asthma.

Adult studies using induced sputum

have consistently identified distinct eosinophilic and non-eosinophilic asthma subgroups. While the use of inhaled corticosteroids, which effectively suppress sputum eosinophilia, is a significant confounder, normal sputum eosinophil counts have been reported in up to 25% of adult patients with untreated symptomatic asthma<sup>2</sup> and for over 50% of adult patients treated with high doses of inhaled corticosteroids.3 Simpson and colleagues have suggested that airway inflammation in adult asthma could be further categorised into four inflammatory subtypes, namely, neutrophilic asthma (neutrophils >61%), eosinophilic asthma (eosinophils

(neutrophils and eosinophils increased) and paucigranulocytic asthma where neutrophils and eosinophils are both within the normal range.<sup>4</sup> In populations of patients with stable adult asthma, the majority treated with inhaled corticosteroids, paucigranulocytic asthma appeared to be the most common inflammatory phenotype followed by neutrophilic inflammation.<sup>5</sup> Non-eosinophilic asthma has also been reported in children with asthma.<sup>7 8</sup> Paucigranulocytic asthma was the predominant finding in children with stable asthma, but in contrast with adults eosinophilic inflammation was more likely and neutrophilic inflammation uncommon.8 In adults studied during the stable phase, clinical features are similar across the inflammatory phenotypes although sputum eosinophilia appears to predict a greater likelihood of asthma exacerbation<sup>9</sup> and non-eosinophilic patients may be more likely to be female subjects and non-atopic than the remaining group.<sup>2</sup> Findings in children differ in that the presence of eosinophilic inflammation appears to predict more severe persistent asthma with impaired lung function and increased AHR.8 10 Differences in inflammatory phenotypes have also been reported between adults and children presenting with an acute severe

Department of Respiratory Medicine, Glenfield Hospital, Leicester, UK

**Correspondence to** Dr Ruth H Green, Department of Respiratory Medicine, Glenfield Hospital, Leicester LE3 9QP, UK; ruth.green@uhl-tr.nhs.uk

Thorax August 2012 Vol 67 No 8

exacerbation of asthma with adults being much more likely to have neutrophilic or paucigranulocytic sputum whereas in children the common finding is of eosinophilic or mixed granulocytic inflammation.<sup>5</sup>

Adults and children with asthma may also differ in the way their inflammatory profile predicts treatment response, particularly response to corticosteroids. In adults, there is a body of evidence to show that non-eosinophilic asthma is associated with an attenuated response to corticosteroids both in the short and long term. 11-13 Whether the same is true in paediatric asthma is not clear and there is some evidence to suggest the contrary. Children with difficult asthma given systemic corticosteroids in the form of either oral prednisolone or intramuscular triamcinalone demonstrated improvements in FEV<sub>1</sub> irrespective of whether they had eosinophilic or noneosinophilic sputum before treatment.<sup>14</sup> Furthermore, in adults, the presence of sputum eosinophilia almost invariably predicts a response to intramuscular triamcinalone (where corticosteroid adherence can be assured) 15 but much higher rates of corticosteroid resistance have been reported in children.<sup>16</sup>

One problem with most studies that aim to characterise inflammatory phenotypes in asthma is that they confine their analyses to cross-sectional data measured at a single interval thus assuming phenotypic stability, a potentially significant limitation given that asthma, by definition, is a variable disease. The study by Fleming et al challenges this assumption by examining the hypotheses that inflammation would be found more frequently in children with severe compared with mild or moderate asthma and that sputum inflammatory phenotypes would be stable in children with asthma. Their findings are notable in that raised levels of inflammatory cells were common across the range of asthma severity but that significant phenotypic variability was seen with 63% of children demonstrating a change in inflammatory phenotype on repeated assessment. This variability does not appear to be due to changes in inhaled corticosteroid treatment, since changes in phenotype were not associated with changes in doses of inhaled corticosteroids although variable adherence in treatment cannot be fully excluded. Fleming et al discusses other possible mechanisms for this phenotypic instability including variations in allergen or viral exposure over time.

So, do these findings provide evidence for yet more differences between adult and paediatric asthma or do they go further and challenge our understanding of the concept of an inflammatory phenotype in asthma altogether? Does the suggestion of phenotypic instability draw into question the utility of inflammometry in individualised asthma management? The available evidence suggests that adult asthma is associated with greater phenotypic stability than that reported by Fleming and colleagues in children. Early studies demonstrated that induced sputum differential cell counts are highly repeatable in the short term in adults with stable asthma with 95% of repeated sputum eosinophil measures lying within a twofold range of the original measurement when samples were taken 6 days apart. 17 Simpson et al showed that the absence of a sputum eosinophilia was a consistent finding 4 weeks and 5 months after it was first demonstrated<sup>18</sup> and we identified a subgroup of patients with predominantly non-eosinophilic sputum on repeated observations made over a period of 12 months.9 Jayaram et al showed that the pattern of sputum inflammation was similar at baseline and during exacerbations in adults with asthma studied longitudinally for 2 years, indicating that patients with non-eoinsophilic asthma were far less likely to have eosinophilic exacerbations. 19 Finally, in a prospective double-blind placebo controlled trial of inhaled corticosteroids in non-eosinophilic asthma patients had a bronchoscopy at baseline and then underwent repeated induced sputum six times over 6 months. None of the 11 patients studied demonstrated an airway eosinophilia at any point and at bronchoscopy all had normal basement membrane thickness. 13 This supports the suggestion that the noneosinophilic phenotype is stable in adults since increased basement membrane thickness has been shown to be a long term marker of eosinophilic airway inflammation.20 The fact that inflammometry using induced sputum has been shown to be a successful strategy to prevent asthma exacerbations in adults 9 19 but not in children<sup>21</sup> may also support the theory that the stability or significance of inflammation in the two groups differ, although there are other potential explanations including a failure to optimally suppress eosinophilic inflammation in the paediatric study.<sup>21</sup> Nevertheless it is possible that, given the apparent variability in inflammation over time in children, a management strategy using inflammometry to guide asthma treatment which included more frequent measurements of airway inflammation would yield improved results in a paediatric population.

To conclude, phenotypic analysis using induced sputum does still appear to have value, not least as an inflammometer to guide corticosteroid treatment in adults with refractory disease but question marks remain, particularly in children. Clearly asthma is a complex disease, and attempts to classify it on the basis of a single dimension such as inflammation represent a gross oversimplification. Even those studies which have gone further analysing multiple aspects of the disease using mathematical modelling techniques<sup>22</sup> <sup>23</sup> have not as yet included the dimension of time. Doing so adds yet another layer of complexity but a failure to include longitudinal changes in inflammation and other variables is likely to lead to inaccurate results. As proposed recently by Anderson,<sup>24</sup> perhaps we should now target our energies on the search of 'endotypes'-stable subgroups defined by unique and specific genetic or molecular characteristics rather than 'phenotypes' which, defined by biomarkers of disease activity, lead to uncertainty with time and changes in therapy.

#### Competing interests None.

**Provenance and peer review** Commissioned; internally peer reviewed.

Published Online First 27 April 2012

*Thorax* 2012;**67**:665—667. doi:10.1136/thoraxjnl-2012-201657

### REFERENCES

- Fleming L, Tsartsali L, Wilson N, et al. Sputum phenotypes are not stable in Children with asthma. Thorax 2012;67:675—81.
- Green RH, Brightling CE, Woltmann G, et al. Analysis
  of induced sputum in adults with asthma: identification
  of subgroup with isolated sputum neutrophilia and poor
  response to inhaled corticosteroids.

  Thorax.2002;57:875—9.
- Gibson PG, Simpson JL, Saltos N. Heterogeneity of airway inflammation in persistent asthma: evidence of neutrophilic inflammation and increased sputum interleukin-8. Chest 2001;119:1329—36.
- Simpson JL, Scott R, Boyle MJ, et al. Inflammatory subtypes in asthma: assessment and identification using induced sputum. Respirology 2006;11:54—61.
- Wang F, He XY, Baines KJ, et al. Different inflammatory phenotypes in adults and children with acute asthma. Eur Respir J 2011;38:567—74.
- Porsbjerg C, Lund TK, Pedersen L, et al. Inflammatory subtypes in asthma are related to airway

666 Thorax August 2012 Vol 67 No 8

- hyperresponsiveness to mannitol and exhaled NO. *J Asthma* 2009;**46**:606—12.
- Pin I, Radford S, Kolendowicz R, et al. Airway inflammation in symptomatic and asymptomatic children with methacholine hyperresponsiveness. Eur Respir J 1993;6:1249—56.
- Gibson PG, Simpson JL, Hankin R, et al. Relationship between induced sputum eosinophils and the clinical pattern of childhood asthma. *Thorax* 2003;58:116—21.
- Green RH, Brightling CE, McKenna S, et al.
   Asthma exacerbations and sputum eosinophil counts: a randomised controlled trial. Lancet 2002;360:1715—21.
- He XY, Simpson JL, Wang F. Inflammatory phenotypes in stable and acute childhood asthma. Paediatr Respir Rev 2011;12:165—9.
- Cowan DC, Cowan JO, Palmay R, et al.
   Taylor DREffects of steroid therapy on inflammatory cell subtypes in asthma. Thorax 2010;65:384—90.
- Pavord ID, Brightling CE, Woltmann G, et al. Noneosinophilic corticosteroid unresponsive asthma. Lancet 1999;353:2213—14.
- Berry M, Morgan A, Shaw DE, et al. Pathological features and inhaled corticosteroid response of

- eosinophilic and non-eosinophilic asthma. *Thorax* 2007:**62**:1043—9
- Lex C, Jenkins G, Wilson NM, et al. Does sputum eosinophilia predict the response to systemic corticosteroids in children with difficult asthma? Pediatr Pulmonol 2007;42:298—303.
- ten Brinke A, Zwinderman AH, Sterk PJ, et al. "Refractory" eosinophilic airway inflammation in severe asthma: effect of parenteral corticosteroids. Am J Respir Crit Care Med 2004;170:601-5.
- Bossley CJ, Saglani S, Kavanagh C, et al. Corticosteroid responsiveness and clinical characteristics in childhood difficult asthma. Eur Respir J 2009;34:1052—9.
- Pizzichini E, Pizzichini MM, Efthimiadis A, et al. Indices of airway inflammation in induced sputum: reproducibility and validity of cell and fluid-phase measurements. Am J Respir Crit Care Med 1996;154:308—17.
- Simpson JL, McElduff P, Gibson PG. Assessment and reproducibility of non-eosinophilic asthma using induced sputum. *Respiration* 2010;79:147—51.
- Jayaram L, Pizzichini MM, Cook RJ, et al.
   Determining asthma treatment by monitoring sputum

- cell counts: effect on exacerbations. *Eur Respir J* 2006:**27**:483—94.
- Ward C, Pais M, Bish R, et al. Airway inflammation, basement membrane thickening and bronchial hyperresponsiveness in asthma. *Thorax* 2002;57:309—16.
- Fleming L, Wilson N, Regamey N, et al. Use of sputum eosinophil counts to guide management in children with severe asthma. *Thorax* 2012:67:193—8.
- Haldar P, Pavord ID, Shaw DE, et al. Cluster analysis and clinical asthma phenotypes. Am J Respir Crit Care Med 2008;178:218—24.
- Moore WC, Meyers DA, Wenzel SE, et al; National Heart, Lung, and Blood Institute's Severe asthma Research Program. Identification of asthma phenotypes using cluster analysis in the severe asthma Research Program. Am J Respir Crit Care Med 2010;181:315—23.
- Anderson GP. Endotyping asthma: new insights into key pathogenic mechanisms in a complex, heterogeneous disease. *Lancet* 2008;372:1107—19.

## **Bronchodilator responsiveness:** interpret with caution

James Fingleton, 1 Mark Weatherall, 2 Richard Beasley 1

Bronchodilator responsiveness (BDR) is widely considered to be a key diagnostic criterion for asthma, and is used to differentiate asthma from obstructive pulmonary disease (COPD). Currently, the threshold of a 12% increase in FEV<sub>1</sub> from baseline following inhaled salbutamol, with at least a 200 ml increase in absolute terms, is recommended as a response indicative of asthma, although recent British guidelines recognise the poor discriminatory function of this criterion.<sup>2</sup> Thus, despite this criterion being commonly used in clinical practice, there is uncertainty regarding its clinical utility, in particular its ability to differentiate asthma from COPD, or indeed, normal subjects.

One approach to enable a better understanding of the clinical utility of BDR is to determine the worldwide distribution of

Correspondence to Professor Richard Beasley, Medical Research Institute of New Zealand, Private Bag 7902, Newtown, Wellington 6242, New Zealand; richard.beasley@mrinz.ac.nz

BDR in health and disease, which has been undertaken by Tan and colleagues, and reported in Thorax.3 The authors report BDR in terms of change in FEV<sub>1</sub> and FVC following 200 µg of salbutamol delivered by metered dose inhaler via a spacer, in around 10000 adults aged 40 years and older from 14 countries in North America, Europe, Asia and Africa who participated in the Burden of Obstructive Lung Disease study. The Burden of Obstructive Lung Disease methodology is robust and has many strengths, not the least of which is its multi-national nature and the central review of all spirometry, which increases confidence in the reliability of the lung function values obtained. The results of this study are, therefore, likely to be unbiased, and precise estimates of the populations described. The authors report that the most reliable metric of BDR was the change in FEV1 relative to predicted  $FEV_1$  ( $\Delta FEV_1p$ ). In healthy non-smokers, the threshold or upper limit of normality for ΔFEV<sub>1</sub>p was 10% without heterogeneity across populations. The authors also report the more commonly used measure of change in FEV<sub>1</sub> from baseline, and give a threshold of 12%.

The values reported are consistent with the current ATS/ERS Task Force cut-offs for defining a clinically significant bronchodilator response. The authors propose that this strengthens the applicability of this measure for global interpretation of bronchodilator testing on the basis that values above this cut-off are beyond 95% of the distribution of healthy individuals and, as such, can be considered 'abnormal,' thus reflecting the presence of disease.

Although it is also proposed that such a cut-off discriminates healthy subjects from obstructed individuals, this unfortunately is not the case. Further analysis of their data indicates that BDR discriminates poorly between healthy subjects and individuals with airflow obstruction regardless of comorbid asthma (FEV<sub>1</sub>/FVC <0.7, FEV<sub>1</sub> % predicted <80%). The authors found that BDR was consistent with a Gaussian (normal) distribution. The mean (SD) values for BDR expressed as  $\Delta FEV_1p$  in healthy individuals was 2.6% (4.8) and 4.2% (5.7) in obstructed individuals. The Gaussian distribution gives the proportion of those above the cut-off of 10% as 6.1% (healthy), and 15.4% (obstructed). For healthy versus obstructed, the sensitivity was 15.4%, specificity 93.9%, likelihood ratio test positive 2.5, and test negative 0.9. These values, particularly for likelihood ratio negative, are not consistent with a good discriminatory test. Values for likelihood ratio positive and negative that are considered to represent clinically relevant changes in post-test probabilities of disease are 5 and 0.2, respectively.

<sup>&</sup>lt;sup>1</sup>Medical Research Institute of New Zealand, Wellington, New Zealand; <sup>2</sup>University of Otago Wellington, Wellington, New Zealand