Measurement of nasal potential difference in young children with an equivocal sweat test following newborn screening for cystic fibrosis

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ABSTRACT

Background A challenging problem arising from cystic fibrosis (CF) newborn screening is the significant number of infants with hypertrypsinemia (HIRT) with sweat chloride levels in the intermediate range and only one or no identified CF-causing mutations. Objectives To investigate the diagnostic value for CF of assessing CF transmembrane conductance regulator (CFTR) protein function by measuring nasal potential difference in children with HIRT. Methods A specially designed protocol was used to assess nasal potential difference (NPD) in 23 young children with HIRT (3 months—4 years) with inconclusive neonatal screening. Results were analysed with a composite score including CFTR-dependent sodium and chloride secretion. Results were correlated with genotype after extensive genetic screening and with clinical phenotype at follow-up 3 years later. Results NPD was interpretable for 21 children with HIRT: 13 had NPD composite scores in the CF range. All clinical phenotype at follow-up 3 years later. Conclusion Evaluation of CFTR function in the nasal epithelium of young children with inconclusive results at CF newborn screening is a useful diagnostic tool for CF.

INTRODUCTION

Cystic fibrosis (CF) is caused by mutations in the gene encoding the CF transmembrane conductance regulator (CFTR) protein, which acts as a chloride channel after activation by protein kinase A (PKA).1 Classically, the diagnosis is based on a characteristic clinical disease with a sweat chloride (Cl−) concentration >60 mmol/l and/or the identification of two CF-causing mutations.2 Newborn screening (NBS) has modified this diagnostic strategy.3 This public health programme substitutes preclinical features for later clinical symptoms, thus enabling early management of infants with CF and, accordingly, a better long-term prognosis.4 Most CF NBS protocols use immunoreactive trypsinogen (IRT) as the primary screening test and then confirm the CF diagnosis by identifying a CF-causing mutation on each allele or a sweat Cl− concentration ≥60 mmol/l, or both.5 One important problem arising from CF NBS is that at least 1–2% of infants with hypertrypsinemia (HIRT) (ie, IRT above the 99th percentile) have sweat Cl− levels in the intermediate range (eg, between 50 and 59 mmol/l) and one or no identified CF-causing mutation.6 Some may remain asymptomatic or develop extremely mild phenotypes that would never be noted clinically as part of the CF spectrum. Conversely others will turn out to have symptoms consistent with CF or develop CFTR-related disorders (CFTR-RDs), clinical entities associated with CFTR mutations that do not meet the current diagnostic criteria for CF. The diagnosis may remain inconclusive in these situations when extensive genetic studies identify genetic variations with an unclear pathogenic potential or detect mutations associated with a wide spectrum of phenotypes.7 There is thus a need for additional tools beyond the sweat test to sort this dilemma out.

In such cases, CFTR function evaluation may serve as a surrogate marker for CF diagnosis. It can be indirectly assessed by measuring nasal transepithelial potential difference (NPD) changes after pharmacological activation of PKA.8 CFTR-dependent Cl− secretion is absent in classic CF disease and is normal or only minimally reduced among heterozygotes.9 This test provides a valuable diagnostic tool for patients with CF-like symptoms; to distinguish those with non-classic CF and evidence of CFTR dysfunction from those whose normal CFTR function indicates that they are unlikely to have CF.10 However, no study has reported the use of NPD for infants with persistently elevated IRT and an inconclusive diagnosis.

We adapted our NPD protocol to very young children and conducted a collaborative study in infants with a persistently raised IRT during CF NBS, intermediate sweat chloride concentrations (between 30 and 59 mmol/l) and inconclusive genetic findings. To investigate whether the early demonstration of CFTR dysfunction in nasal epithelium is associated with the subsequent appearance of a CF clinical phenotype, NPD results...
were correlated with the children’s clinical phenotype at follow-up 5 years later and with their genotype after extensive genetic screening.

**METHODS**

**Population**

Twenty-three children with HIRT were referred from various CF centres in France from January 2006 to December 2008. All had intermediate sweat Cl\(^-\) concentrations from 30 to 59 mmol/l.\(^{11}\) In accordance with the two-step French NBS strategy,\(^{12,13}\) 18 infants were referred to CF centres after birth because of neonatal IRT above the 99th percentile of normal values and one infant was referred to CF centres after birth because of neonatal IRT above the 99th percentile of normal values and one mutation identified from a panel of 33 CF-causing mutations. Five other children had no mutation identified at the first step but their IRT remained elevated at 3 weeks of life. The Necker-Enfants Malades Institutional Review Committee approved the protocol, and each parent provided written informed consent. These children were compared with the local reference population:

- 29 healthy non-smoking control subjects with no family history of CF; a sweat Cl\(^-\) concentration <30 mmol/l and none of the 30 CFTR mutations most prevalent in the French population
- 67 patients with CF with typical disease, sweat chloride concentration ≥60 mmol/l and CF-causing mutations on both alleles.

**Nasal potential difference**

The ‘infant’ protocol was derived from our previously published standardised protocol for patients older than 5 years.\(^{14}\) The test was performed with children lying comfortably in a supine lateral recumbent position. Twenty-one children had light sedation (intrarectal diazepam 0.5 mg/kg for those younger than 6 months or midazolam 0.5 mg/kg for older infants). NPD was measured between a reference electrode positioned on a slightly elevated area of the forehead and a measuring electrode linked to the nasal mucosa by a specially designed single-use sterile double-lumen PVC catheter (EU certificate 0337/B5/02, Marquet Génie Médical, Boissy Saint Leger, France).

The basal potential difference (PD) was recorded from the mucocutaneous junction at 2.5 mm intervals along the lateral margin of the floor to determine the point of maximal baseline PD. Perfusion of the nasal mucosa with Ringer solution was increased according to tolerance from 1 ml/min to 5 ml/min, instead of the 5 ml/min rate of the standard protocol. Baseline PD was measured after perfusion of the nasal epithelium with Ringer saline solution, and PD changes were recorded after perfusion with 100 μM amiloride in saline solution to block Na\(^+\) current (referred to as AAamiloride) and then after 100 μM amiloride plus 10 μM isoproterenol in Cl\(^-\)−free solution, to stimulate PKA-dependent CFTR-related Cl\(^-\) conductance (referred to as AAamiloride-iso). Solutions were changed as soon as a steady voltage tracing was achieved for at least 30 s, and the differences in NPD values were measured between the plateaus of the corresponding solutions.

Oxygen saturation and cardiac frequency were monitored throughout the test. All tests were performed and interpreted by one investigator, blinded to their clinical and genetic characteristics. The results were not given to the families.

In a preliminary study, the same investigator used both the standard applied routinely at Necker hospital and this ‘infant’ protocol to take NPD measurements in three healthy adults and three adolescents with classic CF. The tests were conducted on two successive days, with the standard protocol tested first. The infant protocol was subsequently tested on very young children to verify its feasibility.

**Genotype analysis**

CFTR genotype data were analysed using a comprehensive mutation analysis.\(^{15-16}\)

The mutations were classified according to the literature and the European consensus\(^{17}\) as: (A) mutations that cause CF disease; (B) mutations that result in a CFTR-RD; (C) mutations with no clinical consequences; or (D) mutations of unproven or uncertain clinical relevance. Mutations associated with a wide phenotypic spectrum that might belong to either group A or group B were noted as AB.

**Clinical assessment**

The presence of phenotypic features consistent with a diagnosis of CF was assessed.\(^{2,11,18}\) Chronic sinopulmonary disease was defined as: (1) chronic cough and sputum production; and/or (2) colonisation of respiratory tract samples or oropharyngeal swabs by typical CF pathogens including *Staphylococcus aureus* or *Pseudomonas aeruginosa*; and/or (3) persistent chest radiograph abnormalities (eg, bronchiectasis, atelectasis, infiltrates or hyperinflation); and/or (4) airway obstruction manifested by wheezing and air trapping; and/or (5) recurrent lower respiratory tract infection defined by at least four episodes per year requiring modification of basal treatment.\(^{18}\) Gastrointestinal and nutritional abnormalities included (1) exocrine pancreatic insufficiency;\(^{19}\) and/or (2) failure to thrive; and/or (3) hepatic CF disease.

**Statistics**

Data are presented as means with their SD, or, when not normally distributed, as medians and their IQR. Comparisons between groups were analysed by the Wilcoxon rank sum test or the Mann–Whitney test for quantitative variables and the Fisher exact test for qualitative variables.

Case–control comparisons and discriminant analysis were used to explore which NPD test measurements (alone or in combination) best discriminated all patients with CF from the control population. Standardised beta coefficients were then attributed to each significant variable to form a composite score that provided the best overall discrimination between the two groups. The Fisher linear discriminant function was then applied to determine a cut-off point between the two populations.

**RESULTS**

The study enrolled 23 children with HIRT (3 months–4 years). At the time of NPD testing, 14 children were younger than 2 years, including five aged from 3 to 6 months.

**Validation of the diagnostic composite NPD score**

A case–control analysis of our CF and the control population allowed us to determine that a ΔLowCl\(^-\)/iso value above –6 mV was indicative of CF. However, although the specificity of this threshold for CF diagnosis was good (96%), its sensitivity for diagnosing the disease was insufficient for our purposes (93%). We therefore used discriminant analysis to develop the following composite score: –0.11 ΔLowCl\(^-\)/iso – 0.05ΔAmiloride. We determined a diagnostic cut-off of ≤0.27 for CF that discriminated all patients with CF from all healthy subjects (online supplement). This score was then validated in a second adult population of 88 patients with CF and 33 controls.

**Comparison of the infant and the standard paediatric NPD protocols**

In the preliminary study comparing results obtained from the standard and ‘infant’ protocols in three healthy adults
(22–38 years) and three adolescents with classic CF (11–18 years), results were similar among both the control subjects and the patients with CF (results shown in the online supplement).

The infant protocol was next applied to three young children, two with CF-causing mutations and one healthy control child. The results were in the same range as those of the corresponding reference CF and healthy populations (figure 1).

**NPD results in the children with HIRT**

Tolerance of the NPD test was good for all patients with HIRT. The NPD results were reliable for 21 of the 23 children. As a group, the patients with HIRT differed significantly from both the patients with CF and the controls for both Cl– secretion and Na+ absorption (table 1). The diagnostic score classified patients into two groups: 13 in the CF range (diagnosis score ≥0.27; HIRT-CF) and eight in the control range (diagnosis score >0.27, HIRT-NI). The two groups differed significantly for the response to amiloride and to isoproterenol in low Cl– solution (table 1, figure 2). The patients in the HIRT-NI group had normal Na+ transport, and their ΔLowCl–/Iso values, reflecting Cl– secretion, were always above the normal repolarisation threshold of –6 mV. Patients in the HIRT-CF group had NPD results in the CF range with low response to isoproterenol in low chloride solution, and a high response to amiloride.

**Characteristics of the patients with HIRT according to CFTR function**

The characteristics of the patients enrolled are shown in the online supplement. At birth, the children did not differ significantly between the two groups for IRT and birth weight (table 2). Four of the HIRT-NI group had a history of bronchiectasis, underscoring the need for an early definitive diagnosis to prevent this irreversible sequela. Two children with a diagnosis score in the CF range had, respectively, a CFTR-related hepatopathy and a pancreatic insufficiency. Sweat Cl– concentrations were significantly higher in the group with abnormal NPD. Six of them had sweat Cl– levels ≥60 mmol/l compared with none among those with normal NPD.

Altogether, by the 3-year follow-up, 11 of the 13 children in the HIRT-CF group had phenotypic features consistent with CF (either clinical or sweat test results), and none in the HIRT-NI group (p=0.0002; Fisher exact test). A diagnosis of CF was ruled out in six of the eight patients with HIRT-NI but none of the patients with HIRT-CF (p=0.0005; Fisher exact test).

**Correlation with the mutation classification**

Of the three patients with no mutation identified, all had normal NPD responses (table 3). Five patients were F508del heterozygous after the initial search for frequent mutations at CF NBS. Three had an abnormal NPD score. A second mutation was subsequently identified in all three (621+5A→G, R935G and Q1291R) while the other two with diagnostic scores in the normal range had no other mutation and were asymptomatic at follow-up.

All 13 patients in the HIRT-CF group carried two CFTR mutations, compared with two patients in the HIRT-NI group (Fisher exact test; p=0.01): (1) two patients had two CF-causing mutations (A/A) versus none in the HIRT-NI group; (2) nine patients carried one CF-causing mutation (A) in trans with one

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**Figure 1** Nasal potential difference (PD) tracings of three infants with cystic fibrosis (CF) and a healthy young child. After secured placement of the catheter at the point of maximal negative voltage on the nasal mucosa, baseline PD is measured after perfusion of the nasal epithelium with saline Ringer solution (S1). PD changes are then recorded after: (1) perfusion with 100 μM amiloride in Ringer solution (S2) to block Na+ current (ΔAmiloride); and (2) 100 μM amiloride plus 10 μM isoproterenol in chloride-free solution (S3) to stimulate CF transmembrane conductance regulator (CFTR)-related Cl– conductance (ΔLowCl–/Iso). The tracings of three infants with CF (F508del/E1418X, 6 months old; F508del/F508del, 8 months old; and N1303K/N1303K, 18 months old, respectively) are compared with that of a healthy control (3 years old, negative neonatal screening, sweat Cl– at 25 mmol/l; no mutation found at extensive genetic analysis). In the infants with CF, Na+ transport is exaggerated as shown by the elevated basal PD and the increased response to amiloride, and the chloride transport is absent, as shown by the low response to isoproterenol in low chloride solution.
Cystic fibrosis

Table 1 Nasal potential difference (PD) results in infants with hypertrypsinaemia (HiRT) compared with those with classic cystic fibrosis (CF) and healthy controls (Ctrl)

<table>
<thead>
<tr>
<th></th>
<th>CF</th>
<th>Ctrl</th>
<th>HIRT</th>
<th>HIRT-Nl</th>
<th>HIRT-CF</th>
<th>HIRT-CF/Ctrl</th>
<th>HIRT/Nl/CF</th>
<th>HIRT-Nl/CF</th>
<th>HIRT-CF/CF</th>
<th>HIRT-Nl/HIRT-CF</th>
</tr>
</thead>
<tbody>
<tr>
<td>No. of patients</td>
<td>67</td>
<td>29</td>
<td>21</td>
<td>6</td>
<td>13</td>
<td>4</td>
<td>2</td>
<td>2</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>Age (years)</td>
<td>14 (7.5)</td>
<td>27 (11)</td>
<td>2 (2.1)</td>
<td>1.7 (2.9)</td>
<td>2 (1.8)</td>
<td>&lt;0.04</td>
<td>&lt;0.04</td>
<td>&lt;0.04</td>
<td>&lt;0.04</td>
<td>&lt;0.04</td>
</tr>
<tr>
<td>Maximum basal PD</td>
<td>42 (16)</td>
<td>13 (11)</td>
<td>12.5 (14)</td>
<td>17 (12)</td>
<td>30 (15)</td>
<td>&lt;0.003</td>
<td>&lt;0.04</td>
<td>&lt;0.04</td>
<td>&lt;0.04</td>
<td>0.02</td>
</tr>
<tr>
<td>ΔAmiloride</td>
<td>28 (17)</td>
<td>6 (6.7)</td>
<td>9 (6.7)</td>
<td>6.3 (3.5)</td>
<td>12.2 (7.1)</td>
<td>0.03</td>
<td>&lt;0.04</td>
<td>&lt;0.04</td>
<td>&lt;0.04</td>
<td>0.04</td>
</tr>
<tr>
<td>ΔLowCl- - Iso</td>
<td>0 (7)</td>
<td>15 (11)</td>
<td>8 (5.7)</td>
<td>9 (4)</td>
<td>&lt;0.04</td>
<td>0.008</td>
<td>&lt;0.04</td>
<td>&lt;0.04</td>
<td>0.0006</td>
<td>0.0006</td>
</tr>
</tbody>
</table>

Results are presented as median (IQR). Comparison with Mann–Whitney test.

Patients with HiRT-Nl have a diagnostic score >0.27, patients with HiRT-CF have a diagnostic score ≤0.27.

broad-spectrum mutation (AB) versus only two patients in the group with a normal score; and (3) two patients had a CF-causing mutation (A) in trans with a sequence variation of unknown clinical relevance (D) versus none in the group with normal CFTR function. Of the three patients with two CFTR mutations in the HIRT-Nl group, one carried a mutation without any clinical consequence (3849 + 45G → A), while the other two carried F508del in trans with the R347H broad-spectrum mutation, or the CFTR-RD-associated mutation R117H.

Five patients with HiRT were compound heterozygous for the CF-causing mutations F508del and R117H on an intron 8 T7 background. One displayed a normal PD response and remained asymptomatic at 4 years of age; among the four remaining with an abnormal NPD diagnostic score <0.27, two patients had clinical evidence of CF lung disease at follow-up.

DISCUSSION

This prospective study demonstrates that very young children with HiRT with a borderline sweat test and defective CFTR function in the nasal epithelium are at risk of a worse clinical outcome in later childhood than their counterparts with normal NPD. They are also significantly more likely to carry a CF-causing mutation in trans with another CF- or CFTR-RD-associated mutation.

Limitations of the study

Despite numerous studies that have sought to assess the role of NPD as a diagnostic tool for CF disease, the test has been neither standardised nor validated for diagnostic accuracy.20 We therefore used discriminant function analysis to determine a composite score that best discriminated between CF and healthy subjects. The cut-off point was validated in a second population, so that, according to this rigorous methodology, we can now be confident to apply this score for assessment of CFTR dysfunction.

Little has been reported about NPD in very young children, mostly because of the challenges of performing this test in a population unable to cooperate.21 Our methods differed from those in the previous studies by Southern and Gaillard: we used a non-perfusing method (ECG cream) because it provided more stable tracings, a different customised catheter and low chloride solution of slightly different composition. The shortened protocol used in this study yielded results similar to those with the standard protocol in testing three healthy controls and three patients with CF; indicating that (1) the gradient for Cl secretion obtained with the decreased flow rate of 3 ml/min was sufficient to differentiate healthy controls from patients with CF; and (2) the maximal change after isoproterenol in low Cl perfusion with the infant protocol was similar to that obtained for the standard protocol in which isoproterenol was added after an initial perfusion with low Cl solution.22

We could not perform a rigorous case—control study including healthy infants and those with CF because of obvious ethical concerns. However, we were able to verify both in a few healthy toddlers and in those with CF older than 6 months of age that the level of Cl secretion is similar to that in older children, as shown in two previous studies.8 21

Interest in NPD assessment for CF diagnosis in very young children

NPD evaluation, according to the diagnosis score, clearly identified a group of 13 infants with abnormal NPD. Although individual values were more dispersed than in the control group of older patients with two CF-causing mutations, these children had a chloride secretion level approaching that found in typical patients with CF. CFTR function was normal in eight other children.

This diagnostic classification was confirmed at follow-up. Six of the eight patients with normal CFTR function were finally diagnosed with a condition other than CF, and 12 of the 13 patients with HiRT-CF developed either phenotypic features consistent with CF (including chronic productive cough, P aeruginosa, chronic S aureus colonisation, bronchiectasis,
pancreatic insufficiency) or sweat Cl\(^-\) concentration levels >60 mmol/l.

**Correlation between NPD assessment and CFTR genotype**

Extensive mutation analysis of the patients with HIRT found that all 13 patients in the HIRT-CF group carried two CFTR mutations, including at least one CF-causing mutation. Of the second alleles, eight were not detected by commercial diagnostic assays. This was, in particular, true for the three HIRT F508del heterozygous patients at initial genetic screening who had abnormal NPD scores. These observations suggest that finding an ion transport defect in the nasal epithelium of infants with HIRT who have only one CF-causing mutation at the first stage of the neonatal screening genetic analysis should lead to a more intensive search for a second mutation. Therefore, a two-step diagnostic strategy in children with HIRT with borderline sweat test could include NPD first and be followed by exhaustive genetic screening only if NPD results are in the CF range.

Of the eight patients with normal NPD scores, only two carried two CFTR mutations. Specifically, both had F508del and a wide clinical spectrum mutation associated with normal clinical status in adulthood. These two patients were asymptomatic at follow-up. Conversely, patients with other wide-spectrum mutations and abnormal NPD scores later turned out to have CF. These findings suggest therefore that the identification of a CF-like functional defect in patients carrying such wide-spectrum mutations might be predictive of a CF-like disease, while normal CFTR function would be associated, at most, mild non-specific disease.

Five children carrying the F508del/R117H;T7 genotypes were investigated. Two developed early CF-like pulmonary disease, and a third had recurrent wheezing. Interestingly, all three had defective CF-like Cl\(^-\) secretion. Although we cannot rule out a mutation in a non-coding region or in the promoter region, despite extensive genotyping, these findings suggest that the rare association of CFTR-related clinical symptoms with the F508del/R117H;T7 genotype may be assessed by NPD measurements.\(^{24,25}\)

**CONCLUSION**

Although the clinical significance of CFTR dysfunction in the newborns with HIRT can only be definitively determined through systematic long-term follow-up, our results suggest
that assessment of CFTR function in early infancy helps to identify infants at risk of developing a CFTR-related lung disease that requires active follow-up and intense treatment. Conversely, the demonstration of normal CFTR function does not definitively exclude the possibility of mild CFTR dysfunction but appears to rule out typical CF disease with a high degree of probability and should prompt a more thorough investigation for an alternative diagnosis. The avoidance of unnecessary anxiety for families and of useless burdensome treatment for children appears to make this test very valuable in clinical practice.26

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Competing interests None.

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