Patient adherence in COPD

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ABSTRACT

Patient adherence to treatment in chronic obstructive pulmonary disease (COPD) is essential to optimise disease management. As with other chronic diseases, poor adherence is common and results in increased rates of morbidity, healthcare expenditures, hospitalisations and possibly mortality, as well as unnecessary escalation of therapy and reduced quality of life. Examples include overuse, underuse, and alteration of schedule and doses of medication, continued smoking and lack of exercise. Adherence is affected by patients’ perception of their disease, type of treatment or medication, the quality of patient provider communication and the social environment. Patients are more likely to adhere to treatment when they believe it will improve disease management or control, or anticipate serious consequences related to non-adherence. Providers play a critical role in helping patients understand the nature of the disease, potential benefits of treatment, addressing concerns regarding potential adverse effects and events, and encouraging patients to develop self-management skills. For clinicians, it is important to explore patients’ beliefs and concerns about the safety and benefits of the treatment, as many patients harbour unspoken fears. Complex regimens and polytherapy also contribute to suboptimal adherence. This review addresses adherence related issues in COPD, assesses current efforts to improve adherence and highlights opportunities to improve adherence for both providers and patients.

Chronic obstructive pulmonary disease (COPD) is currently the fourth leading cause of death worldwide, with an overall prevalence rate between 4% and 10%. 1–3 A recent worldwide study showed higher prevalence and more advanced staging of spirometrically confirmed COPD than had typically been reported. 4 Furthermore, the prevalence of COPD is increasing and it is estimated that by the year 2020, COPD will be the third leading cause of death worldwide. The debilitating nature of this highly prevalent disease results in substantial social and economic burdens, including direct and indirect medical as well as other costs. 5, 6

Although not fully reversible, COPD is treatable. Smoking cessation is the most effective means to slow disease progression; however, long term smoking cessation success rates are low (<20%). Important progress has been achieved in the pharmacological and non-pharmacological treatment of COPD. 7–10 While a major goal of therapy is to provide symptom relief, effective management of COPD has been shown to reduce the rate of exacerbations, hospitalisations, possibly mortality and to improve health related quality of life. 11 As health professionals, we are responsible for ensuring that our diagnosis is accurate, the prescribed treatment is appropriate and that treatment is likely to do more good than harm. However, the effectiveness of the treatment also relies on patients’ agreement to adhere to the therapeutic regimen.

As with all chronic diseases, non-adherence in patients with COPD is common and contributes to adverse health outcomes, reduced quality of life and increased healthcare expenditures. 12 According to the WHO, patient adherence to long term therapy in chronic diseases averages 50% in developed countries. 13 Levels of adherence to prescribed treatment in COPD are correspondingly lower. 14 15 The waste and excess costs associated with non-adherence were estimated to be as high as US$500 billion/year. 16 Thus factors that can facilitate patient adherence should be considered when selecting the appropriate treatment.

This review will provide a general overview of adherence related issues in COPD, including the relationship between adherence and the concepts of compliance and concordance. Methods of measuring adherence, factors that influence adherence and specific examples of non-adherence in COPD will be addressed. Finally, we will assess current efforts to improve adherence in patients with COPD and highlight opportunities to improve adherence for both providers and patients.

ADHERENCE: AN OVERVIEW

Compliance, adherence and concordance

Traditionally, compliance has been the term used to assess the extent to which a patient undertakes a treatment regimen and follows medical instructions, such as taking prescribed medication. Inherent to the definition of compliance is the idea that a patient is a passive, acquiescent recipient of expert medical advice with which they should comply. Poor compliance was often viewed as patients choosing to defy their doctors’ orders. However, patients are increasingly viewed as active collaborators in their own health management. A more patient centred perception of medical treatment has emerged, which acknowledges the patient’s role in medical decision making and describes the relationship between a patient and healthcare provider as a partnership. 15

The term adherence, which initially referred solely to adherence to a treatment regimen, has evolved to reflect the changing perceptions of a patient’s role in their own healthcare. The primary difference between “compliance” and the preferred term of “adherence” is that the latter more accurately reflects a patient’s active role in consenting to and following prescribed treatments. 17 18 In 2001, the WHO’s Adherence Project extended the definition to include “the extent to which a person’s behaviour taking medication, following a diet, and/or executing lifestyle changes,
corresponds with agreed recommendations from a health care provider." Adherence is a complex concept, influenced by multiple factors including social/environmental, patient related and treatment related factors (fig 1).

Most recently, the term concordance has been used (largely in the UK) to describe the “therapeutic alliance” that exists between patients and healthcare professionals. Concordance is achieved when the patient and provider discuss and agree on a therapeutic course of action; it therefore encompasses the responsibility and decision making contribution of both parties and empowers the patient to play an active role in disease management. Use of the term “concordance” signifies an important shift in conceptualisation for those who suggest that respect for the patient’s agenda is a fundamental aspect of health care.

Defining adherence

There are several definitions of adherence. Examples of primary non-adherence in COPD include patients never filling prescriptions or failing to begin a prescribed exercise programme. Blais et al evaluated prescription fill rates in 3768 elderly Canadian patients with COPD and found that in 1995 slightly more than half (53.1%) filled at least one prescription for prescribed daily inhaled corticosteroids. Secondary forms of non-adherence include overuse, underuse, forgetfulness and alteration of schedules and doses. Ineffective inhaler technique resulting in suboptimal drug delivery also can be considered a form of non-adherence. Patients may also be non-adherent or partially adherent to healthcare behaviours such as attending follow-up visits with providers or failing to maintain health related behaviour changes over time (eg, smoking cessation, pulmonary rehabilitation). Hughes notes that adherence is not a dichotomous variable (ie, adherent/non-adherent). For example, a patient may take, on average, 50% of their inhaled steroids, relying instead on overuse of fast acting bronchodilators (150% adherence), while attending all scheduled clinic visits (100% adherence) but no pulmonary rehabilitation sessions (0% adherence). Furthermore, there is no universal definition of adherence. The level of adherence to therapy needed to achieve adequate health and health related quality of life outcomes remains unclear at this time. While some studies use average adherence over time, and others utilise a cut-point (commonly 50% or 80%) to classify patients as being adherent, it is usually not known whether this level of adherence is adequate for disease control. In addition, definitions of adherence can vary depending on whether they are outcome oriented (eg, exacerbations, hospitalisations, etc) or process oriented (eg, medication or supplemental oxygen use, number of exercise sessions attended). Rather than simply mandating compliance to physician recommendations, the goal of improving adherence in COPD should centre on maintaining clinically meaningful improvements in patient health status and reducing healthcare costs associated with loss of disease control.

Methods of assessing adherence

There are a number of ways to measure adherence, and each method has strengths and limitations. Most studies of COPD have focused on assessing medication adherence (table 1). Biochemical evaluation of drug levels can confirm ingestion of many medications. However, it is an expensive and invasive process and often reflects other factors resulting in pharmacokinetic variations. Other limitations include the availability of assays, limited monitoring intervals and insensitivity to inhaled medication. Electronic devices can provide objective evidence of the temporal history of medication access. For instance, patients’ pill bottles can be equipped with caps that provide a date and time record of each time the cap was removed. Cap removal suggests (but does not confirm) medication ingestion. Chronologs can be attached to metered dose inhalers to provide a record of dosing and, in some cases, even give an indication of the quality of inhaling technique. Similar devices are also available for use with nebulisers and supplemental oxygen. Electronic monitors are increasingly used in clinical trials to measure adherence. They provide accurate and reliable records of dosing but are expensive to use, subject to malfunction and cannot usually confirm ingestion.

In clinical settings, the easiest approach to assessing adherence is to simply query the patient. However, when compared with data obtained through electronic monitoring, studies have consistently demonstrated that self-reports are inaccurate as patients generally over report medication use. One exception is that the minority of patients who admit to being non-adherent are usually correct. Unfortunately, physician assessments of their patients’ adherence is similarly unreliable. Other common methods of assessment include patient diaries, pill counts, canister weighing and analysis of computerised pharmacy records. There are important limitations to each of these approaches. Pill counts are largely limited to oral medications and assess only whether the correct number of pills have been removed from the bottle with no indication of ingestion, dose or dose frequency. Likewise, canister weighing often used to monitor inhaled bronchodilator or corticosteroid use can also be deceiving. Rand and colleagues showed that dumping of ICS medication (activation of canisters or removal of pills immediately prior to a clinic visit presumably to make oneself appear adherent) occurred in as many as 30% of patients with COPD who were participating in a large multicentre clinical trial over the course of 1 year. Others have reported similar findings. Analysis of pharmacy records provide evidence of drug refill patterns but cannot assess ingestion or patterns of use. Studies comparing patient diaries, pill counts, canister weighting and pharmacy records with data obtained

![Figure 1](image-url)
from electronic monitors confirm that each of these methods tends to significantly overestimate adherence and that actual adherence declines over time. Hence, in clinical settings, reliance on any single method of assessment can be deceitful.

Determinants of adherence

Despite years of research, few factors have been reliably associated with treatment adherence. In a meta-analysis of 50 years of adherence research in chronic diseases, it was found that higher levels of adherence were associated with more circumscribed regimens (ie, medication use versus health behaviour change) and with greater patient resources (social and emotional support). Factors generally not believed to influence adherence include gender, age, educational level and socioeconomic status. Below, we outline factors related to medications and regimens, patients and providers that may influence adherence—many of which are potentially modifiable (see table 2).

Medication and regimen factors

Characteristics of COPD medications and regimens can contribute to non-adherence. In general, the lengthier and more complicated the regimen, the lower the adherence. Frequency of dosing has been identified as one of the most important factors inversely affecting adherence. Patients with COPD are generally older and require medication for other medical conditions. Polypharmacy is another common and important contributor to poor adherence. A nationally representative sample of adults aged 65 years and older in the US found that 41% reported taking five or more prescription medications, and more than half had two or more prescribing providers. Patients taking multiple medications, each with a different dosing pattern, are often frustrated by complicated dosing regimens, which may lead to missed doses.

The route of administration can also influence adherence. Adherence is higher to oral forms of medication than to inhaled medications of the same class (eg, β2 agonists or corticosteroids). This is an issue particularly for patients with asthma or COPD where use of inhaled medications is desirable. Errors in inhaler technique are common and interfere with adequate drug administration. For example, in one Spanish study of patients with COPD admitted to hospital as a result of an exacerbation, 45% of patients had inadequate inhaler technique.

Other medication and regimen factors are also important. Adherence is lower for medications that do not have an immediate or direct effect on symptoms. Additional factors can include drug side effects. Patients may choose to discontinue a treatment to avoid unpleasant side effects, even if it provides clinically meaningful improvements in their condition. In some healthcare systems, inability to pay or reduced access through government programmes may contribute to both primary and secondary non-adherence.

Patient factors

Across chronic diseases, adherence is often better in older persons, including patients with COPD. However, increasing age is also associated with a greater number of comorbid conditions and polypharmacy. Furthermore, both age and COPD duration are associated with cognitive decline; in turn, cognitive impairment, especially memory problems, are associated with non-adherence. Other problems in the elderly may include difficulty reading the small print on medication labels and difficulty opening containers fitted with safety devices.

Adherence is also influenced by a patient’s perception of the disease. Lack of clinical symptoms is often interpreted to mean that the disease can be treated episodically, and daily medications are discontinued. Adherence to treatment regimens is higher when patients believe that their daily health and activities are affected by their disease, or if they anticipate potentially serious consequences resulting from non-adherence. Patients often alter the prescribed dosage of a medication if they perceive it to be excessive, inconvenient or too expensive.

Psychiatric comorbidity is also higher in patients with COPD. Up to 40% are clinically depressed; a similar number also experience moderate to high levels of anxiety. Both depression and anxiety result in lower health status and greater functional impairments. Depression has been independently linked with lower adherence. Perceived and actual social support are also important factors. A stable family life with caregivers who provide support and encouragement is associated with improved adherence.

Healthcare provider and caregiver factors

Healthcare providers and caregivers contribute to patients' perception of their disease, healthcare and medication and,
ultimately, their adherence. For instance, adherence to medication has been reported to increase if the prescribing physician is a specialist compared with a general practitioner. Continuity of care, explanation of the rationale underlying prescribed treatments, written instructions on medication dosing regimens, follow-up supervision and participation in self-management programmes have all been shown to improve patient adherence.

However, there is often a lack of communication between providers and patients. The quality of communication between patients and providers also influences adherence to therapy. Adherence improves when patients report better overall communication with their providers. Specific information about each medication prescribed is also essential to enhancing secondary non-adherence related to misunderstandings. In the study of non-adherence in older adults previously mentioned, nearly one-third reported not having talked to their doctor about all of their different medicines in the past 12 months. Other studies suggest that 29–89% of patients report receiving no instruction from their providers on how to take new medications while up to 62% receive only dosing directions.

While these studies relied on patient recall, there is also objective evidence that patients receive suboptimal counselling, especially when starting new medications. Tarn et al recorded and reviewed 185 outpatient encounters between 44 family and specialty physicians who were prescribing new medications for their patients. Communication was rated using a five point index that gave points for providing medication name, purpose, duration, adverse effects, dose and frequency. On average, physicians addressed 3.1 of the 5 key elements. Directions for dosing were provided less than 60% of the time and patients were informed of the duration of use, adverse effects or adverse events only one-third of the time. Providing more information (eg, purpose of medication, how and when it should be taken, potential side effects) about medications improves adherence, partly because it reduces the likelihood that patients will not understand how to take their medications. Setting appropriate expectations for medication effects such as time to action (immediate versus delayed), effect on symptoms such as dyspnoea and how you will judge if it is working as planned can also improve adherence.

Positive reinforcement of the importance of therapeutic regimens was found to improve or correlate with adherence. For example, patients with COPD requiring supplemental oxygen therapy are frequently embarrassed to be seen in public with an oxygen cylinder, leading to an insufficient number of hours on therapy. In these patients, support and positive reinforcement of adherence from the therapeutic team is particularly important.
PATIENT ADHERENCE IN THE TREATMENT OF COPD

Poor adherence in patients with COPD is a significant concern. Medication adherence by patients with COPD is generally poor, with reports citing adherence rates to various treatment regimens of approximately 50%. In a study of adherence in patients with COPD, 81% of patients consciously decided to forego administration of their medication if they were “feeling good.” In this study, forgetting or deciding not to take a dose was reported as the most frequent cause of non-adherence. Conversely, these patients reported overusing medication during periods of respiratory distress. Additional factors contributing to non-adherence included interruptions or changes in normal routines, adverse side effects, running out of medication and polypharmacy with complex dosing regimens.

Patients with COPD often have comorbidities and are faced with complex therapeutic regimens—all factors associated with lower adherence. They are often prescribed a combination of medications and oxygen therapy in addition to other medications that might be required to treat comorbidities. For example, Dolce et al reported that patients with COPD were prescribed an average of 6.3 medications. The polypharmacy and the need for multiple daily doses involved in treating COPD alone lead to complex dosing regimens. Furthermore, because COPD is commonly seen in older patient populations, previously described issues of adherence in the elderly are also relevant to many in COPD.

Non-adherence to medication in COPD

Several studies were written at a time when most of the drugs currently used for maintenance therapy in COPD were not available. An early study of compliance to a prophylactic short acting inhaled bronchodilator compared with placebo in 95 patients with COPD used an electronic monitoring device to objectively measure drug use patterns. Only 15% of patients used their bronchodilator according to the prescribed dosing frequency and number of puffs. Although adherence rates in clinical trials may be as high as 70-90%, in clinical practice it is in the range of 10-40%. Simplification of dosing regimens by reducing dose frequencies has been associated with an increase in medication adherence in chronic disease.

Recently, this has been confirmed with the use of a long acting anticholinergic once a day in patients with COPD. Turner et al examined sociodemographic, physiological and health status in patients with COPD with prebronchodilator FEV1 <60% predicted to identify characteristics associated with adherence to long term home nebuliser therapy. Overall, 49.4% of 985 patients were determined to be non-adherent to home nebuliser/intermittent positive pressure breathing therapy (<25 min/day). Adherent and non-adherent patients reported 3.5 (9.9) min and 11.6 (10.3) min more of therapy than was recorded by the meter, respectively (p<0.0001). In addition, non-adherent patients were more likely to drop out of the study, miss home and clinic visits, and adhere less to prescribed oral theophylline regimens.

In this same study, compared with non-adherent patients, those who used their nebuliser as prescribed were more likely to report that treatment helped them substantially (75% vs 61%; p = 0.012) and view their physician as being supportive (62% vs 52%; p = 0.047). Overall, adherent patients were older, better educated and had a stable lifestyle. Significant predictors of adherence included white race (deemed reflective of stability/healthcare beliefs), married, abstinent from cigarettes and alcohol, serum theophylline levels ≥9 µg/ml, severe dyspnoea and lower FEV1 (p<0.05). Also, adherent patients had lower pre- and post-bronchodilator FEV1 and dyspnoea, suggesting that perception of their disease may influence adherence.

Another study by Corden et al in patients with COPD reported nebuliser compliance rates of 44%, based on taking >60% of prescribed treatment. At the end of 4 weeks, compliance was negatively correlated with health status total score, suggesting that poor compliance leads to greater impairment in health status. Furthermore, compliance was not related to demographic characteristics (age, sex, socioeconomic status, marital or employment status), disease duration or dosing frequency. Differences in adherence related characteristics reported by the two studies are likely affected by the use of different cut-points for adherence (median cut off versus >60% of prescribed treatment) as well as the fact that patients in the Turner et al study were aware that their adherence was being monitored while those in the Corden et al study were not. Although these two study results are important, conclusions should be drawn with caution as regular nebulised therapy is a cumbersome and expensive treatment, not advocated in current COPD guidelines.

Another treatment that could be of importance for some patients with COPD is long term oxygen therapy. In a study of patients with COPD with documented hypoxia who were prescribed oxygen therapy for an average of 16 h/day, less than half (45%) used their oxygen >15 h/day and 30% used oxygen for <80% of the prescribed duration. In a recent randomised double blind, crossover trial with a comparison of ambulatory oxygen versus ambulatory compressed air, patients used very little ambulatory oxygen. They went out of their home three times more often without cylinders than with oxygen or air cylinders. Liquid oxygen could be a more efficient way to deliver oxygen in the context of ambulation. In another study of patients who had been prescribed liquid oxygen, only 77% reported using liquid oxygen outdoors, and 22% refused to use liquid oxygen away from home. Patients reported feeling ashamed to use oxygen in public, and had a significantly lower life satisfaction according to the Freiburg Personality (FPI-R) questionnaire (p<0.05).

Suboptimal adherence to non-drug therapy in COPD

Adherence to therapeutic interventions, including a healthy lifestyle and regular exercise programme, are crucial health behaviour in the management of chronic respiratory disease. Most of the studies have focused on the short term benefits of pulmonary rehabilitation without addressing the challenges of long term adherence to exercise. Limited studies available suggest that the benefits of pulmonary rehabilitation regress towards baseline values after 6-12 months. However, in some studies benefits appear to be sustained in the absence of any specific maintenance therapy, suggesting that the desired change in lifestyle probably became relatively entrenched.

Although not specific to patients with chronic respiratory disease, valuable knowledge comes from studies of the elderly. Exercise self-efficacy and expected benefits from regular exercise have been shown to be predictors of exercise adherence while depression is associated with poor adherence. In a review of 27 cross sectional and 14 longitudinal studies of individuals 65 years or older, educational level and past exercise behaviour were positively associated with regular exercise. Conversely, perceiving one’s health as “poor” was the biggest barrier to exercise adoption and maintenance. Similar results were reported in a qualitative study of patients with COPD where barriers to lifestyle change included progression of COPD.
and associated comorbid conditions. A recent study of patients with COPD following pulmonary rehabilitation reported the most consistent barriers to adherence were chest infection and disease exacerbation.73

**Strategies to enhance adherence**

Although relatively few studies have evaluated interventions to target adherence to COPD therapy, several important behavioural findings have emerged from the literature. Since dose frequency is inversely related to adherence, once or twice daily dosing should be the goal. Synchronising doses for patients on multiple drug therapy is also important. Whenever possible, medication regimens should be coordinated so that doses are only taken at one or two points during the day. Helping patients identify other lifestyle activities they regularly practice which can cue them to take their medications (eg, brushing teeth morning and night, breakfast and dinner, etc) can also increase adherence.

Providers have an important opportunity to influence adherence through improved communication. Providers must help patients understand the chronic nature of their disease and the rationale for comprehensive treatment, including medication, smoking cessation and exercise. Patients often have little or no understanding of their symptoms, warning signs when they have exacerbations and specific action to be taken.74 However, neither the physician nor nurse alone is responsible for improving communication: assessment of adherence and strategies to optimise enhanced discussions of relevant issues should be woven into routine clinical encounters by each member of the treatment team to ensure repetition and reinforcement of key messages. Providing information in both written and verbal forms, linking it directly to patient symptoms and laboratory results and allowing an opportunity for discussion can increase knowledge and adherence.76 However, patient education alone is rarely successful.77 Providers should provide opportunities for patients to talk about their perceptions of the benefits and costs of therapy. When patients are given an opportunity to identify and discuss their concerns about medications and/or exercise, fears can be allayed and barriers can be addressed.

It is also important to clarify with patients the critical role they have in managing their disease. Important lessons have been learned from COPD and other chronic disease self-management training programmes. For example, counselling is most effective when information about how to self-manage is presented in a structured manner over a period of at least 15 min. Prescribing behavioural components that are tailored to the barriers of individual patients (eg, keeping medications in one place, self-monitoring of symptoms and medication use, etc) is more effective in changing patient behaviour than offering general suggestions. Patients with COPD may be especially vulnerable to non-adherence as most individuals have more difficulty adhering to preventive or chronic medications that do not have an immediate impact on symptoms.16 For example, in patients with asthma, rescue medication use is generally higher than adherence to inhaled steroids.62 Patients also benefit from regular opportunities to discuss use of self-management strategies and problem solving around barriers with different members of the treatment team. Self-management groups led by professional staff or trained lay persons can provide a cost effective and enjoyable way for patients to learn about managing their disease and rehearse new behaviours18 while receiving support from other families and patients with COPD. Recognising the partnership between patient and physician and actively involving patients in establishing a treatment plan that is specifically tailored to meet their needs have been shown to improve adherence. Gallefoss et al54 offered a self-management programme which included educational brochures, two 2 hour education sessions with a nurse or physiotherapist, and a personalised treatment plan for each patient. One year follow-up showed that patients used less rescue medication (eg, β2 agonists), had fewer absences from work and fewer physician visits, leading to reduced healthcare costs.

Recently, a Cochrane review specifically reviewed the use of action plans for COPD.85 All interventions involved the use of a written action plan, an information booklet and self-management education. While patients who were provided with an action plan had a better knowledge of the importance of early intervention and how to implement appropriate treatment for an exacerbation, no overall therapeutic benefit was evident. However, the small sample size limited the power of these studies and more research is needed to clarify the benefits of using action plans in COPD.

**CONCLUSIONS**

Suboptimal adherence is associated with a significant health and economic burden in patients with COPD. As with other chronic diseases, suboptimal adherence is common and results from many factors related to patients, providers, their interactions and treatment components. Adherence to all components of comprehensive treatments should be viewed as an important goal for both providers and patients to work towards in treatments to control COPD. Providers play a critical role in helping patients to understand the nature of the disease, the potential benefits of treatment, addressing concerns about potential adverse effects and in encouraging patients to develop the skills necessary for optimal self-management. Active involvement of patients in designing a health management plan appears to improve adherence. There is a need to develop effective treatments for COPD with simplified treatment regimens (infrequent dosing, simple delivery), rapid onset of action and durable effect, which would increase the probability of patient adherence. In addition, patient self-management education, as part of an ongoing communication between patients and providers, needs to be properly tested. Enhancing patient self-efficacy as part of self-management education is also important to promote long term adherence. Shared decision making during the initial and regular follow-up visits helps to solidify the partnership between patient and physician which leads to improved adherence.

Further research is needed to gain insight into health behaviour change interventions in COPD in order to design and implement more effective self-management programmes. Such programmes offer the potential to confer clinically and cost effective strategies for long term maintenance of pharmacological and non-pharmacological treatment. Long term studies are needed to assess how successfully patients can sustain behaviour changes over time. Thus the identification and management of adherence related factors in COPD will improve not only patient health outcomes but also help improve the health status of patients and reduce the economic and societal burden associated with COPD. Trials are needed to document effects on clinically important patient outcomes, feasibility in usual practice settings and durability.
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