Non-invasive ventilation for the treatment of hypercapnic respiratory failure in cystic fibrosis

Peadar G Noone

Median survival for patients with cystic fibrosis (CF) has improved steadily over the past several decades as a result of a multifaceted treatment approach to the disease.1 Despite this aggressive care, many patients with classic disease eventually develop respiratory failure from progressive airways obstruction and bronchiectasis.2 At this stage, treatment strategies may focus on alleviating both the symptomatic and physiological effects of respiratory failure. Initially respiratory failure may be mainly hypoxic (type 1: arterial oxygen pressure (PaO₂) <8 kPa (or 60 mm Hg), with normal arterial carbon dioxide pressure (PaCO₂)), and supplemental oxygen during sleep and/or exercise may be effective. However, when the disease becomes more severe, hypercapnia may ensue (type 2: PaCO₂ >6 kPa (or 45 mm Hg)). Such patients with chronic respiratory failure usually have obvious clinical signs and symptoms, and blood gas analysis demonstrates a compensated respiratory acidosis.3 Thus it is important to periodically measure arterial blood gases in all patients with severe CF lung disease, to monitor for this serious complication of the disease.

When CF patients develop chronic respiratory failure, symptoms include the usual clinical sequelae of hypoxia and hypercapnia, as well as worsening dyspnoea associated with the increased work of breathing, sleep fragmentation and daytime fatigue, and reduced activities of daily living. Hypoxia with hypercapnia, and an elevation in serum bicarbonate, can support the need for adjunctive treatments. If oxygen treatment alone is judged insufficient, non-invasive ventilation (NIV) may be considered.4 Patients with CF may suffer acute respiratory failure during an exacerbation of moderate to severe disease, or indeed without any identified specific acute insult. A 2002 British Thoracic Society Standards of Care document listed the criteria for the use of NIV in acute respiratory failure.5 Of note,
this document states that NIV should not be used routinely in CF and bronchiectasis because excessive secretions are likely to limit its effectiveness. Despite the dearth of randomised controlled trial data on the effectiveness of NIV in this situation, it is obvious from even a brief scan of the literature (and extensive anecdotal experience) that NIV is used frequently in CF patients with acute respiratory failure.\(^6\)\(^7\) While airway secretions might be a problem with NIV in CF, in practice this does not appear to be a major issue, and indeed there is some evidence that NIV (at least in single session treatments) augments clearance of airway secretions.\(^5\) Thus NIV has become a relied upon treatment modality in acute respiratory failure in CF, avoiding endotracheal intubation with its attendant complications in a substantial proportion of patients.\(^5\)\(^7\)\(^8\) The situation is similar in chronic respiratory failure in CF. NIV use in CF was first described in the early 1990s, primarily in patients awaiting lung transplantation.\(^5\)\(^6\)\(^7\)\(^9\)\(^10\) The term “bridge” to transplantation was coined in reference to the observation that NIV could sustain life until more definitive life prolonging treatment could be offered, and this practice quickly became routine at centres capable of performing lung transplant for CF.\(^4\) Thus it is now common practice to employ NIV in chronic hypercapnic patients with CF, as a “bridge” to transplant, simply to sustain life outside the ICU or hospital setting, or to improve quality of life.

A 2003 comprehensive review of the state of the art of NIV in CF synthesised all of the available published data.\(^11\) It is clear from this document that the best randomised datasets supporting the use of NIV are single centre, hospital based, single session treatments, with small numbers of patients in each study.\(^11\) Specifically, three single session studies (n = 62 subjects) addressed the issue of airway clearance techniques, and lung function, and the data show modest improvements in airway clearance and some parameters of lung function.\(^11\) Two trials (n = 19 subjects) evaluated NIV in a single overnight session in patients with CF, and showed that NIV offers benefits over either air or O\(_2\), by maintaining tidal volume and improving oxygenation without adding to hypercapnia.\(^11\) The conclusions of this detailed review were still rather stark—there was modest evidence to support the use of NIV in patients with CF, and there were no long term data on its safety or efficacy. The conclusion called for a long term, multicentre randomised study in CF, to assess its efficacy in assisting airway clearance, and as a ventilation mode.

So, has that “perfect” study arrived? Not yet, but this issue of *Thorax* does contain a report by Young and colleagues\(^12\) that goes some way towards filling the gap (see page 72). This Australian study looks at the utility of NIV over a longer time period (6 weeks) in a randomised, placebo controlled, crossover study design, comparing nocturnal NIV to low flow oxygen, and to air (as placebo). Although the final numbers were small (n = 8, with seven completing the NIV arm), reflecting the difficulty in recruiting and retaining subjects for these laborious types of studies even in a large CF centre, the study was well designed and executed.

The CF centre, in Melbourne, Australia, has ~250 adults with CF, and despite screening 60 patients, only 14 fitted the inclusion criteria, with nine consenting screening 60 patients, only 14 fitted the inclusion criteria, with nine consenting

The authors discuss the reasons for these limitations—for example, the time commitment required of the subjects and the discomfort of the rather invasive tests required for completion, all reasonable issues for a group of rather ill patients. Inclusion criteria were clinical stability with at least mild obstructive lung disease (in practice, all patients who completed the study had severe disease), positive sleep studies (hypoxia at night) and daytime hypercapnia (>45 mm Hg). Nocturnal NIV was delivered via a nasal or face mask, with great attention to detail in regard to patient education and compliance, and appropriate adjustment of inspiratory and expiratory pressures according to PCO\(_2\) and/or obstructive events. The comparison treatment consisted of nocturnal nasal oxygen titrated to correct oxyhaemoglobin desaturation (>90%), and the placebo was air delivered via a sham O\(_2\) delivery system similar to the actual O\(_2\) delivery. Subjects completed the relevant 6 weeks of intervention, followed by a 2 week washout period, and then switched randomly to one of the remaining two interventions, and so on, until all subjects had completed all three interventions. Key outcome measures were quality of life measures, daytime sleepiness and dyspnoea. Secondary outcomes included sleep architecture, awake and asleep blood gases, pulmonary function tests, neurocognitive tests and shuttle tests.

So, after this rather labour intensive study, what are the results? Demographics first. The group studied were mostly older (mean age 57 years), male, reasonably well nourished (mean body mass index 21.1 kg/m\(^2\)) with, as might be expected, severe lung disease (forced expiratory volume in 1 s 35% predicted on average). None was previously on home oxygen or had prior treatment with NIV. Interestingly, the five who fitted the inclusion criteria, but declined the study, were quite a bit younger (mean age 24 years), had similar lung function but were less well nourished. Most (7/8) tolerated the NIV well at pressures of ~12/5 cm H\(_2\)O, although total usage per night was 4.3 h. The outcome data confirmed the study hypothesis that NIV is superior to low flow O\(_2\) or placebo, in this fairly sick group of older CF patients—chest symptoms scores and dyspnoea were better after NIV (table 2), although other quality of life measures showed no changes. Although NIV was generally well tolerated with almost no adverse effects, oxygen was the preferred treatment for most subjects (4/7 of those finishing all arms of the study). NIV improved nocturnal (but not daytime) hypercapnia measures. Finally, shuttle tests improved by 83 m on average, with several patients improving distances by over 50 m compared with air (on average, shuttle distances dropped on air and oxygen alone). Adverse effects included one pneumothorax, but this occurred on low flow air, and was likely a coincidence.

This paper is a very well constructed study of NIV in CF, although at first glance the numbers seem small and the benefits may seem marginal. Its significance lies in the fact that it is the first randomised, placebo controlled study of NIV over a reasonably long time in CF adults in respiratory failure. As any clinician who deals with this group of patients will recognise, and as noted above, NIV has been used for some time in these ill patients (well documented in the literature for many years’), and the benefits shown in this study are welcome—that is, the improved nocturnal ventilation, symptoms and exercise capacity data. Some patients can be maintained for considerable lengths of time on NIV—for example, during the wait time for lung transplantation. Some patients may decline lung transplantation, or be ineligible for various reasons, yet derive considerable symptomatic and physiological benefit from NIV for long periods of time. It is worth noting that the duration of this study involved 6 week treatment arms, while in practice many patients use NIV for much longer periods of time; thus, the benefits gained may be even greater than those shown in this study.
Another point of note is that NIV use in all patients (CF and non-CF) with respiratory failure has a significant impact on the Lung Allocation Score, a system currently in use in the USA to prioritise the utility of donor lungs for all waiting recipients; thus, those with higher scores (such as CF patients using chronic NIV) gain higher priority for organ acquisition while on the transplant wait list.

Finally, in the era of evidence based medicine, and third party payer expectations of such data, it is useful to have peer reviewed data from studies such as this, to support the use of this treatment modality. In practice, as the authors declare at the conclusion of their paper, only by performing multicentre studies of NIV in CF with larger numbers and perhaps longer study times will it be possible to improve and expand on the findings of this study.

Acknowledgements: The author gratefully acknowledges the helpful comments of James R Yankaskas during the writing of this manuscript.

Competing interests: None.

REFERENCES

Lung alert

CT and lung function patterns in bronchopulmonary dysplasia (BPD) in the post-surfactant era

Advances in neonatal management, particularly the use of surfactant, have been associated with the emergence of a new form of bronchopulmonary dysplasia (BPD). This is thought to be a result of arrested lung development and is characterised by abnormalities of alveolar septation and microvascular maturation. This retrospective review describes chest computerised tomography (CT) and lung function patterns in 41 very low birth weight babies with symptomatic BPD who received optimal treatment including surfactant. These infants underwent CT scanning and lung function testing (VmaxFRC and FRC (functional residual capacity)) 10–20 months after birth for persistent respiratory symptoms.

All CT scans were abnormal, the most frequent patterns being hyperlucent areas (88%), linear opacities (94%), triangular subpleural opacities (71%) and bullae (53%). The number of subpleural and linear opacities, but not hyperlucency, correlated negatively with FRC and positively with duration of oxygen exposure, suggesting different mechanisms for these changes.

This study highlights the changing CT patterns in BPD, reflecting differences in management as well as pathogenesis. The association of CT opacities with low FRC suggests persistent pulmonary fibrotic lesions despite newer therapies. Several CT features are similar to those seen in the pre-surfactant era, with the notable absence of bronchiectasis, and remain associated with the duration of oxygen therapy and mechanical ventilation.


A Krishnan

Correspondence to: A Krishnan, Clinical Research Fellow, Thoracic Medicine, St Vincent’s Hospital, Sydney, Australia; akrishnan@stvincents.com.au
Non-invasive ventilation for the treatment of hypercapnic respiratory failure in cystic fibrosis

Peadar G Noone

Thorax 2008 63: 5-7
doi: 10.1136/thx.2007.086710

Updated information and services can be found at:
http://thorax.bmj.com/content/63/1/5

These include:

References
This article cites 10 articles, 4 of which you can access for free at:
http://thorax.bmj.com/content/63/1/5#BIBL

Email alerting service
Receive free email alerts when new articles cite this article. Sign up in the box at the top right corner of the online article.

Topic Collections
Articles on similar topics can be found in the following collections

Cardiothoracic surgery (676)
Transplantation (184)
Cystic fibrosis (525)
Airway biology (1100)
Clinical trials (epidemiology) (557)
Sleep disorders (132)
Sleep disorders (neurology) (199)
Sleep disorders (respiratory medicine) (199)

Notes

To request permissions go to:
http://group.bmj.com/group/rights-licensing/permissions

To order reprints go to:
http://journals.bmj.com/cgi/reprintform

To subscribe to BMJ go to:
http://group.bmj.com/subscribe/