TUBERCULOSIS

Risk factors for multidrug resistant tuberculosis in Europe: a systematic review

A Faustini, A J Hall, C A Perucci

Background: The resurgence of tuberculosis (TB) in western countries has been attributed to the HIV epidemic, immigration, and drug resistance. Multidrug resistant tuberculosis (MDR-TB) is caused by the transmission of multidrug resistant Mycobacterium tuberculosis strains in new cases, or by the selection of single drug resistant strains induced by previous treatment. The aim of this report is to determine risk factors for MDR-TB in Europe.

Methods: A systematic review was conducted of published reports of risk factors associated with MDR-TB in Europe. Meta-analysis, meta-regression, and sub-grouping were used to pool risk estimates of MDR-TB and to analyse associations with age, sex, immigrant status, HIV status, occurrence year, study design, and area of Europe.

Results: Twenty nine papers were eligible for the review from 123 identified in the search. The pooled risk of MDR-TB was 10.23 times higher in previously treated than in never treated cases, with wide heterogeneity between studies. Study design and geographical area were associated with MDR-TB risk estimates in previously treated patients; the risk estimates were higher in cohort studies carried out in western Europe (RR 12.63; 95% CI 8.20 to 19.45) than in eastern Europe (RR 8.53; 95% CI 6.57 to 11.06). National estimates were possible for six countries. MDR-TB cases were more likely to be foreign born (odds ratio (OR) 2.46; 95% CI 1.86 to 3.24), younger than 65 years (OR 2.53; 95% CI 1.74 to 3.74), male (OR 1.58; 95% CI 1.16 to 2.05), and HIV positive (OR 3.52; 95% CI 2.48 to 5.01).

Conclusions: Previous treatment was the strongest determinant of MDR-TB in Europe. Detailed study of the reasons for inadequate treatment could improve control strategies. The risk of MDR-TB in foreign born people needs to be re-evaluated, taking into account any previous treatment.

Abbreviation: MDR-TB, multidrug resistant tuberculosis
and circulated, as suggested by the high percentages of multidrug resistance among both new and previously treated cases.

Although many studies in Europe have focused on the prevalence of MDR-TB, only a few have dealt with risk factors and have analysed multidrug resistance separately from other drug resistances. We report here the results of a systematic review to determine the risk factors associated with MDR-TB in Europe.

METHODS
Identification and selection of papers
A systematic review of published reports dealing with risk factors for MDR-TB in Europe was carried out. Studies were identified through a computerised search of the following databases: PubMed (1993–2003), Embase (1993–2003), Toxline (1993–2003), Serfile (1998–2003), CAB abstract (1993–2003). Medline was searched through PubMed. The search terms tuberculosis, drug resistance, and multidrug resistance and any of the following—risk factors, epidemiologic determinants, predictors, treatment-outcome, treatment failure, surveillance, surveys, transmission, and spreading—were used as a combination of free text and thesaurus terms in different variations. The documents produced on the WHO website were systematically searched. The International Journal of Tuberculosis and Lung Disease was selected as the key journal for hand searching.

Reports of original studies from European countries were included in the review, while comments, editorials and reviews were excluded. Articles were included if they contained information allowing estimates of association between MDR-TB and risk factors. We did not report variables associated with MDR-TB as clinical predictors such as cavitary disease.

Articles were excluded if they had <10 cases or only extrapulmonary TB, if they dealt with a Mycobacterium other than tuberculosis, if they were limited to high risk groups such as HIV positive or neoplastic patients or prisoners, or if they were limited to a particular outbreak. We also excluded case reports and studies presenting results on diagnostic/laboratory methods, drug efficacy tested in vitro or through clinical trials, TB vaccination, indications for management or treatment of MDR-TB, and surgical management of resistant tuberculosis.

Outcomes measures and definitions
MDR-TB was defined in each paper as tuberculosis resistant to at least isoniazid and rifampicin (HR). The study population was estimated as the number of TB patients with a positive culture for M. tuberculosis who underwent drug-sensitivity analysis. European countries were selected according to the WHO regional classification, which includes Israel and Turkey.

Statistical analysis
Where appropriate, we used pooled risk estimates, odds ratios (ORs), and relative risks (RRs) of MDR-TB in a random effects meta-analysis (Stata Version 7). We included in pooled ORs the risk estimates obtained in all surveys, irrespective of study design. The standard error and 95% confidence intervals (CI) of risk estimates were calculated from data reported in each paper. We assessed heterogeneity between studies by using the $\chi^2$ test, and variation due to heterogeneity across the studies by calculating the $I^2$. The factors we analysed to determine the association with MDR-TB were previous treatment, foreign born status, HIV status, age under 45, age under 65, and male sex. Whenever possible we stratified the results by country or geographical area of Europe. A regression analysis by year of TB occurrence, study design, and the proportions of patients at risk for other factors was performed.

RESULTS
Of 1721 articles selected from the initial PubMed search on MDR-TB risk factors, 119 were from European countries. No additional references were identified from the other

### Table 1  Risk estimates of MDR-TB for factors reported in original studies (incomplete data)

<table>
<thead>
<tr>
<th>Reference</th>
<th>Time/place</th>
<th>Design</th>
<th>Population studied* N (%)</th>
<th>No of MDR-TB cases</th>
<th>Factors</th>
<th>Risk ratio estimates of MDR-TB (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lockman et al. 1994–1996, Estonia</td>
<td>Matched case-control study</td>
<td>833 (nr)</td>
<td>46</td>
<td>Female sex</td>
<td>OR 3.5 (1.1 to 14.6)*</td>
<td></td>
</tr>
<tr>
<td>Gilad et al. 1992–1997, Negev, Israel</td>
<td>Retrospective laboratory based survey</td>
<td>249 (nr)</td>
<td>21</td>
<td>Immigrants from former Soviet Union</td>
<td>OR 4.10 (1.48 to 11.83)</td>
<td></td>
</tr>
<tr>
<td>Anon et al. 1995–1998, Ivanova, Russia</td>
<td>Frequency matched case-control study</td>
<td>514 (nr) new cases</td>
<td>26</td>
<td>Homelessness</td>
<td>OR 3.1 (1.1 to 8.8)*</td>
<td></td>
</tr>
<tr>
<td>Flamant-Sallour et al. 1994, France</td>
<td>Laboratory based case-control study</td>
<td>7752 (nr)</td>
<td>51</td>
<td>Previous treatment</td>
<td>OR 24.2 (6.9 to 85.1)**</td>
<td></td>
</tr>
<tr>
<td>Kimerling et al. 1999, Tomsk City, Siberia, Russia</td>
<td>Retrospective laboratory based survey</td>
<td>244 (nr) new cases</td>
<td>32</td>
<td>Age &lt;40 years</td>
<td>OR 1.2 (0.6 to 2.5)</td>
<td></td>
</tr>
<tr>
<td>Migliori et al. 1998–1999, Italy</td>
<td>Retrospective laboratory based survey</td>
<td>810 (30)</td>
<td>51</td>
<td>Good residence</td>
<td>OR 0.8 (0.3 to 1.8)</td>
<td></td>
</tr>
<tr>
<td>Fietta et al. 1990–2000, Pavia, Italy</td>
<td>Retrospective population based survey</td>
<td>279 (nr)</td>
<td>39</td>
<td>DV+</td>
<td>OR 1.42 (3.5 to 57.4)</td>
<td></td>
</tr>
</tbody>
</table>

MDR-TB, multidrug-resistant tuberculosis; OR, odds ratios; RR, relative risk; CI, confidence intervals; nr, not reported.

*The number of confirmed cases of TB further tested for drug sensitivity.
†Versus drug susceptible and other resistant TB if not otherwise specified.
‡The risk of MDR-TB for previous treatment has been included in meta-analysis as complete data were available.
§Versus drug susceptible TB.
¶Matched for date and region of notification.
**Versus other cases resistant to more than one drug.
†††Multivariable analysis.
²Defined as having a permanent place to live.
databases. Two additional papers were identified from a manual search of International Journal of Tuberculosis and Lung Disease and four from references in other papers. Out of 125 studies reviewed in full, 29 were selected for the review on the basis of the inclusion criteria. Five studies were excluded from the meta-analysis because they did not report complete data for some risk factors.

Twelve European countries were represented in the review: the former USSR, Poland, Hungary, and Turkey from eastern Europe; Italy, UK, France, Switzerland, Spain, Portugal, Netherlands, and Germany from western Europe. The studies were carried out between 1984 and 2000, and the number of cases studied ranged from 119 to 25,217. Chronic TB was included in three studies reviewed in full, 29 were selected for the review on the basis of the inclusion criteria. Five studies were excluded in one, and may or may not have been included in 20 papers. All the studies were prospective or retrospective surveys; nine were laboratory based, 10 population based, and five hospital based. The relative risk estimates were reported in six population based, 10 population based, and five hospital based. The studies were carried out between 1984 and 2000, and the number of cases studied ranged from 119 to 25,217. Chronic TB was included in three studies reviewed in full, 29 were selected for the review on the basis of the inclusion criteria. Five studies were excluded in one, and may or may not have been included in 20 papers. All the studies were prospective or retrospective surveys; nine were laboratory based, 10 population based, and five hospital based. The relative risk estimates were reported in six population based, 10 population based, and five hospital based.

MDR-TB patients were more likely to have received previous treatment in 22 studies, with a pooled risk estimate 10 times higher for treated than for new patients; the association was weak and not significant in only one study (fig 1); one additional study did not analyse this factor.

The European area (p = 0.004) and the study design (p = 0.04) were associated with the risk estimates of MDR-TB for previously treated patients, while no relation was found with the proportion of patients who were male, younger than 45, HIV positive, foreign born, or the year in which the TB occurred.

We could calculate national pooled risk estimates of MDR-TB for treated patients only in six countries: the former USSR (OR 4.46), Italy (OR 24.56), Poland (OR 9.72), the UK (OR 10.55), France (OR 15.19), and Germany (OR 15.17). The pooled risk estimates for previously treated patients were lower in eastern Europe (OR 6.35; 95% CI 4.27 to 9.49) than in western Europe (OR 13.99; 95% CI 10.19 to 19.21). The pooled risk estimates for previously treated patients was a little lower when estimated through the ORs in all studies (OR 10.23; 95% CI 7.63 to 13.70) than when estimated through RRs in the eight cohort studies (OR 12.02; 95% CI 8.11 to 17.81).
Figure 3  Odds ratio (OR) of multidrug resistant tuberculosis (MDR-TB) for foreign born patients.

Neither location nor study design explained the heterogeneity between the studies. Heterogeneity was only explained when pooled MDR-TB risk was estimated in studies that used both a cohort design and analysed differences in risk by European area (fig 2).

MDR-TB patients were more likely to be foreign born in eight studies carried out in western Europe, although one study did not find any association between foreign status and MDR-TB (fig 3). Foreign status was not analysed at all in 15 studies, including all nine performed in eastern Europe. An additional study explicitly excluded foreign born patients.

No association was found between the risk of the MDR-TB individual being foreign born and the proportion of patients who were male, younger than 45 years, HIV positive or previously treated, the year the TB occurred, or the study design.

MDR-TB patients were more likely to be younger than 65 years (fig 4). The pooled risk of MDR-TB for people younger than 45 was lower (OR 1.52; 95% CI 1.13 to 2.03). Sixty-five years (fig 4). The pooled risk of MDR-TB for people who were male, younger than 45 years, HIV positive or previously treated, the year the TB occurred, or the study design.

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MDR-TB patients were more likely to be HIV positive (fig 5), although one study in France and one in Italy did not find any association with this variable, and in a further study the association was limited to new cases. Sixteen studies did not analyse this factor at all and one other excluded HIV positive patients.

Finally, MDR-TB was associated with being a prisoner in the five studies which included prisoners (OR 1.75; 95% CI 0.90 to 3.40). The estimated risk of MDR-TB for prisoners was studied more frequently in countries of the former Soviet Union than in the rest of Europe.

DISCUSSION
Limitations of this study
The most important limitation of the study is the large number of papers with missing data on risk factors. Fifteen papers did not provide information on age, 16 did not report foreign status, and 12 did not list the sex of the study subjects. The large heterogeneity observed for the pooled estimate of MDR-TB in previously treated patients is likely to be due to methodological differences between the studies, such as different referent groups, misclassification of new and previously treated cases, and the inclusion of chronic cases.

There were sufficient studies on imprisonment to calculate the pooled risk factor. Other social factors such as homelessness and urban residence were reported in very few papers, although they were found to be associated with MDR-TB. Unemployment, alcohol abuse, low education, and low socioeconomic status were not found to be associated with MDR-TB. A more precise definition of

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### Table 1

<table>
<thead>
<tr>
<th>Study</th>
<th>Year</th>
<th>Age &lt; 65 yrs</th>
<th>Age ≥ 65 yrs</th>
<th>Foreign born MDR Other</th>
<th>Insides born MDR Other</th>
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</thead>
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<tr>
<td>Crudd</td>
<td>2003</td>
<td>28</td>
<td>1</td>
<td>219.00</td>
<td>46.00</td>
</tr>
<tr>
<td>Djuric</td>
<td>2003</td>
<td>28</td>
<td>1</td>
<td>219.00</td>
<td>46.00</td>
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<tr>
<td>Girardi</td>
<td>1996</td>
<td>22</td>
<td>1</td>
<td>219.00</td>
<td>46.00</td>
</tr>
<tr>
<td>Combined (n=4)</td>
<td>2003</td>
<td>28</td>
<td>1</td>
<td>219.00</td>
<td>46.00</td>
</tr>
</tbody>
</table>

### Table 2

<table>
<thead>
<tr>
<th>Study</th>
<th>Year</th>
<th>Females</th>
<th>Males</th>
<th>MDR Other</th>
<th>MDR Other</th>
</tr>
</thead>
<tbody>
<tr>
<td>Crudd</td>
<td>2003</td>
<td>42</td>
<td>224</td>
<td>2296</td>
<td>525</td>
</tr>
<tr>
<td>Djuric</td>
<td>2003</td>
<td>91</td>
<td>2101</td>
<td>14013</td>
<td>9958</td>
</tr>
<tr>
<td>Girardi</td>
<td>1996</td>
<td>22</td>
<td>317</td>
<td>131</td>
<td>135</td>
</tr>
<tr>
<td>Combined (n=8)</td>
<td>2003</td>
<td>42</td>
<td>224</td>
<td>2296</td>
<td>525</td>
</tr>
</tbody>
</table>

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Figure 4  Odds ratio (OR) of multidrug resistant tuberculosis (MDR-TB) for age <65 years and male sex in Europe.
resistant strain of the patient could have already been infected with a multidrug-resistant (MDR) strain of *M. tuberculosis* and/or a re-infection with a resistant strain may be likely. Previous treatment has been widely recognized as inducing multidrug resistance of *M. tuberculosis* and the prevalence of MDR-TB has been estimated to be up to 10 times higher after previous treatment.68 Only a few studies in this context have addressed the reasons for inadequate treatment.68 Delayed diagnosis, delayed recognition of drug resistance, inappropriate chemotherapy regimens, and inadequate treatment are strong predictors of MDR-TB.68,69 These factors are also strongly suggested by the need for analysis of determinants of MDR-TB.68,69

Effect of treatment

Previous treatment has been widely recognized as inducing multidrug resistance of *M. tuberculosis* and the prevalence of MDR-TB has been estimated to be up to 10 times higher after previous treatment.68 Even in this review, previous treatment was the strongest risk factor for MDR-TB in Europe, but it showed peculiar characteristics. The higher risk observed in western than in eastern Europe was probably due to the higher prevalence of newly diagnosed MDR-TB in eastern Europe, but the strength of the association we observed in western Europe was twice as high as that expected. Values as high as 31.18 and 34.37 were found in Italy122 and in Germany,123 respectively. These observations strongly suggest the need for analysis of determinants of inadequate treatment. Delayed diagnosis, delayed recognition of drug resistance, inappropriate chemotherapy regimens, inadequate or irregular drug supply, and poor compliance by both patients and clinicians have each been reported as a reason for inadequate treatment.4 Only a few studies in this review specified the reasons for inadequate treatment—for example, defaulting treatment,4 receiving previous treatment in prison,42 and being given fewer than four drugs.22

Geographical distribution and study design explained the heterogeneity observed between the studies in risk of MDR-TB by previous treatment. However, these results were reported in only eight of the 23 studies and other factors not explored here could have contributed to the differences observed. Firstly, the risk estimates for previous treatment were obtained in most studies comparing MDR-TB with sensitive and other drug resistant TB, but a few used other reference groups such as patients infected with strains resistant to multiple drugs other than HR or isoniazid.122

Secondly, chronic cases should have been analyzed separately because it is very unlikely that the risk factor that caused MDR-TB is identified. A chronic case has been defined by the WHO as a patient who is smear positive at the end of a retreatment regimen. Even though some studies tried to exclude chronic patients,124 verifying these criteria is difficult, especially in surveys based on routine data, while other studies explicitly included chronic TB.125,126 Their inclusion may have erroneously increased the risk of MDR-TB observed for previous treatment in western countries.

A third problem is the possible misclassification of cases with respect to previous treatment. Misclassification may occur when patients do not reveal previous TB.68 Since a history of TB may be more actively sought in those with known resistance, this can lead to differential misclassification.125 Even in prospective surveys, previous TB is likely to be denied by older patients or illegal immigrants.68,126,127 A patient could have already been infected with a multidrug resistant strain of *M. tuberculosis* at the time of previous treatment, and/or a re-infection with a resistant strain may have occurred independent of the first episode of TB.68

Molecular analysis can be used to estimate possible clinical misclassification. In South Africa the percentage of resistant TB in new cases was estimated to be 52% by restriction fragment length polymorphism (RFLP) but only 29% on the basis of clinical history.128

Finally, selection bias was possible in studying TB patients for drug sensitivity as these patients were chosen by clinical criteria. Studying representative samples of all TB patients could reduce this selection bias.4

Influence of immigration

Immigration has been suggested as one factor leading to the increased prevalence of MDR-TB in European countries.45 This review found that MDR-TB patients were more likely to be foreign born, although the strength of the association was much less than for previous treatment. The association between MDR-TB and being foreign born could be due to a higher risk of transmission of MDR strains of *M. tuberculosi*s for immigrants, but it may be confounded by previous treatment. Although we could not analyse how many foreign born patients were newly diagnosed or previously treated, a few studies in this review dealt with this problem. A French study stratifying results by country of birth found a higher risk of MDR-TB in both new and previously treated patients from sub-Saharan Africa, while patients from North Africa were at higher risk of MDR-TB only after a previous treatment.129 In the UK, cases treated abroad were found to be at higher risk of MDR-TB than those treated nationally regardless of birthplace.130 These results suggest that previous treatment may be the most important risk factor for MDR-TB in immigrants as well as in natives, and underline the importance of controlling for this in analysing the risk of migration.

Role of age and sex

There was a clear association observed between MDR-TB and age under 65 years, but the association was weak and more heterogeneous for ages under 45. This result could reflect the year in which effective anti-tuberculosis drugs such as rifampicin were introduced.4

MDR-TB patients were more likely to be male in western Europe, where previous treatment was the most important determinant of MDR-TB. In eastern Europe, where the risk of transmission is greater, male sex was not a risk factor for MDR-TB. Although we did not find any influence of sex on the association between MDR-TB and previous treatment, we cannot exclude an effect of sex in modifying the association between previous treatment and MDR-TB. It could be hypothesised that women are more compliant with treatment and therefore less likely to receive inadequate treatment.

Role of HIV

Initially it seemed that HIV status was a risk factor for MDR-TB, but nosocomial outbreaks largely accounted for the association and, currently, the prevalent hypothesis is that...
HIV infection favours the transmission of multidrug resistant strains of *M. tuberculosis*. Although one study of the five reviewed showed that MDR-TB patients were more likely to be HIV positive only when they were new TB cases, we think it unlikely that HIV positive patients were treated inadequately more frequently than others.

The pooled risk of MDR-TB we observed for HIV status could have been affected by a selection bias occurring in favour of HIV infected individuals among cases studied for sensitivity. This hypothesis is supported by the high proportions of HIV patients (32–52%) among those studied for drug sensitivity, which may reflect the actual prevalence of HIV among TB cases.

In conclusion, although some characteristics of TB treatment such as defaulting from treatment are well known predictors of multidrug resistance, other aspects of treatment such as the drugs used and the length of treatment need to be studied as they may contribute to improving control programmes. Analysing immunising by specific country of origin, timing of immigration and previous treatment would help to define the risk of MDR-TB associated with immigrants. Molecular epidemiology can minimise misclassification of previous TB treatment, but its most important contribution to the epidemiology of MDR-TB will come from understanding the dynamics of transmission, especially between geographic areas, and by distinguishing exogenous strains of HIV infection favours the transmission of multidrug resistant strains of *M. tuberculosis*. The prevalence of HIV among TB cases.

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The list of papers excluded from the meta-analysis is available in Word format on request.

**REFERENCES**
