Exhaled breath condensate pH and exhaled nitric oxide in allergic asthma and in cystic fibrosis

J C Ojoo, S A Mulrennan, J A Kastelik, A H Morice, A E Redington

Background: It has been proposed that the pH of airway lining fluid may regulate the fractional exhaled concentration of nitric oxide (FENO) in respiratory disease.

Methods: FENO, exhaled breath condensate (EBC) pH, and EBC concentrations of nitrite plus nitrate (NO\(_2^-\)/NO\(_3^-\)) were compared in 12 subjects with stable asthma, 18 with stable cystic fibrosis (CF), and 15 healthy control subjects. Eight of the CF patients were studied on a separate occasion at the start of a pulmonary exacerbation.

Results: FENO was significantly greater in asthmatic subjects than in control subjects (mean 35 ± 9 ppb, \(p<0.001\)). EBC pH, however, was similar in the asthmatic and control groups (median 5.82, \(p=0.08\)). Levels of NO\(_2^-\)/NO\(_3^-\) were on average higher in EBC samples from asthmatic subjects, but the difference was not significant. In patients with stable CF both the FENO (mean 4 ppb, \(p<0.001\)) and EBC pH (median 5.77, \(p=0.003\)) were lower than in the control group. Levels of EBC NO\(_2^-\)/NO\(_3^-\) (median 29.9 μM; \(p=0.002\)) in patients with stable CF, in contrast, were significantly higher than in control subjects. During CF exacerbations, EBC pH was further reduced (median 5.30, \(p=0.017\)) but FENO and NO\(_2^-\)/NO\(_3^-\) were unchanged.

Conclusions: These findings demonstrate a dissociation between EBC pH and FENO in inflammatory airways disease.

Since the initial report that nitric oxide (NO) can be detected by chemiluminescence in exhaled air of humans, a large body of literature has accumulated describing the expression of NO in the respiratory tract in health and disease. In the absence of corticosteroid treatment, the fractional exhaled concentration of NO (FENO) is raised in adults and children with allergic asthma. The FENO in asthma is further increased during acute exacerbations and falls with response to treatment. In cystic fibrosis (CF), on the other hand, the FENO has been reported to be either lower than, similar to, or could occur independently of each other. To address this, we compared FENO, EBC pH, and EBC NO\(_2^-\)/NO\(_3^-\) in subjects with asthma, in subjects with CF, and in healthy control subjects.

METHODS

Subjects

The study population comprised 12 subjects with atopic asthma, 18 patients with stable CF, and 15 healthy control subjects. Asthma was defined according to American Thoracic Society criteria. Subjects in this group had mildly symptomatic and clinically stable disease. None was a cigarette smoker. They were receiving treatment with inhaled β\(_2\) agonists as required but none had taken inhaled or oral corticosteroids or any other form of preventative anti-asthma medication within at least 6 weeks of the study. Patients with CF had the diagnosis confirmed by genotype analysis, with the homozygous ΔF508 mutation identified in 12 cases. They were all taking vitamin supplements, pancreatic enzyme supplements, and inhaled or nebulised β\(_2\) agonists as necessary. In addition, 12 CF patients were receiving...
treatment with nebulised antibiotics (colistin or gentamicin), three with nebulised DNase, and nine with an inhaled corticosteroid (budesonide or fluticasone propionate). One subject in the CF group was a cigarette smoker. Eight of the 18 CF patients were studied on a separate occasion within 3 days of the start of a pulmonary exacerbation. For the purpose of the study, a pulmonary exacerbation was defined empirically on the basis of clinical characteristics (increase in cough, breathlessness, sputum volume, or sputum purulence) in combination with a requirement for intravenous antibiotics, as judged by the supervising clinician. The control group consisted of healthy non-smoking non-atopic volunteers with no respiratory symptoms and no history of lung disease. All control subjects had normal spirometry and normal airway responsiveness.

The study was approved by the local research ethics committee and all subjects provided written informed consent.

**Skin prick testing**
Skin prick testing was performed with the following allergens: *Dermatophagoides pteronyssinus*, *Dermatophagoides farinae*, mixed grasses, cat allergen, and dog allergen (Allergopharma, Reinbek, Germany). Atopy was defined as a positive response (wet diameter 3 mm or more greater than the saline control at 15 minutes) to at least one of these.

**Pulmonary function**
Spirometric tests were performed with a Vitalograph compact spirometer (Vitalograph Ltd, Buckingham, UK). Subjects receiving inhaled bronchodilators were asked to withhold these for at least 6 hours. Airway responsiveness was measured in accordance with European Respiratory Society (ERS) guidelines using a flow controlled nebuliser and dosimeter system (Jaeger Toennies GmbH, Hochberg, Germany). Subjects inhaled increasing doses of methacholine from 0.0256 to 1.44 mg. The provocative dose of methacholine required to produce a 20% fall in forced expiratory volume in 1 second (FEV1PD20) was calculated by linear interpolation from the logarithmic dose-response plot.

**Measurement of FENO**
FENO was measured using a chemiluminescence analyser (LR2500 series; Logan Research Ltd, Rochester, UK). Nose clips were applied immediately before the manoeuvre to prevent naso-exhalation. Subjects exhaled at a subject-determined flow rate of 250 ml/s in accordance with ERS recommendations. Measurements of FENO were taken from the plateau at the end of exhalation, as determined by simultaneous monitoring of CO2. The procedure was repeated three times and the mean value taken. The machine was calibrated before each use with standard calibration gases.

**Collection and analysis of EBC**
EBC was collected using a device which consisted of a mouthpiece and a two-way non-rebreathing valve connected by polypropylene tubing to a glass Dreschel flask immersed in crushed ice, acting as a condensing chamber. Subjects breathed at a normal frequency and tidal volume for 30 minutes while wearing nose clips, allowing collection of 1.5–2.5 ml of condensate. The pH was measured immediately after collection with a benchtop pH meter (Fisher Scientific Instruments, Loughborough, UK). Vaughan et al have recently shown that, at least in the case of deaerated specimens, EBC pH measurements are highly reproducible and independent of condenser temperature, ventilation pattern, duration of collection, and degree of airway narrowing. In the present study, EBC samples were not deaerated but in preliminary experiments the pH was shown to remain stable for at least 5 minutes after collection. For subsequent assay of NO2 and total NO2 plus NO3 (NO2/NO3), EBC was frozen in 250 ml aliquots at −80°C. After thawing, 500 ml samples were spun at 13000 rpm for 30 minutes in a centrifugal filter device with a 10 kDa molecular weight cut off (Microcon YM-10; Millipore Corporation, Bedford, MA, USA). Concentrations of NO2 and of NO2/NO3 in filtrates were determined in duplicate using a commercially available colorimetric assay kit according to the manufacturer’s instructions (Cayman Chemical Company, Ann Arbor, MI, USA). The detection limit was approximately 1 µM. All samples underwent a single freeze-thaw cycle.

**Statistical analysis**
Subjects’ ages, spirometric measurements, and FENO data were expressed as mean (SD). Data for EBC pH and for NO2 and NO2/NO3 concentrations were expressed as median (range). Comparisons between asthmatic and control groups and between stable CF and control groups were undertaken using an unpaired t test or Mann-Whitney U test, as appropriate. Comparisons of paired data for CF patients in stable disease and in exacerbation were performed with a paired t test or Wilcoxon test, as appropriate. In the case of NO2, some values were below the detection limits of the assays and were therefore assigned an arbitrary value of 0 for the purpose of analysis. Correlations were sought using Pearson’s test or Spearman’s test for normally and non-normally distributed data, respectively. Statistical analysis was performed using StatView 5.01 for Macintosh (Abacus Concepts, Berkeley, CA, USA) and CIA version 2.1.1 software (University of Southampton, Southampton, UK).

**RESULTS**
The clinical and physiological details of the subjects studied are summarised in table 1. Spirometric values in patients with CF were lower on average during acute exacerbations than in stable disease, but the differences were not statistically significant. Asthmatic subjects exhibited varying degrees of airway hyperresponsiveness whereas control subjects all had airway responsiveness in the non-asthmatic range. Methacholine bronchoprovocation was not performed in patients with CF.

The FENO was significantly greater in subjects with asthma than in healthy control subjects (35 (19) v 9 (4) ppb, difference between means 26 ppb (95% CI 15 to 36); p<0.001, fig 1). The EBC pH, however, was similar in the asthmatic and control groups (5.82 (5.19–6.33) v 6.08 (5.58–6.64), median difference −0.18 (95% CI −0.43 to 0.11); p = 0.23, fig 2). Concentrations of NO2 in these EBC samples were below the measurable range (<1 µM) except in five cases (two asthmatic and three non-asthmatic) where it was present at low levels (fig 3). Levels of NO2/NO3 were on average higher in EBC samples from asthmatic subjects, but the difference did not reach statistical significance (21.1 (1–63.3) µM v 11.4 (1–67.3) µM, median difference 12.3 (95% CI −3.8 to 28.1) µM; p = 0.17). There were no significant correlations between FENO, EBC pH, EBC NO2/NO3 levels, and physiological indices in either the asthmatic or the control group.

In stable CF the FENO was significantly lower than in the control group (4 (3) v 9 (4) ppb, difference between means −6 ppb (95% CI −3 to −8); p<0.001, fig 1), as was the EBC pH (5.77 (4.81–6.99) v 6.08 (5.58–6.64), median difference −0.34 (95% CI −0.61 to −0.12); p = 0.003, fig 2). In contrast, levels of EBC NO2 in stable CF were significantly higher than in control subjects (2.0 (1.1–13.5) µM v <1 (<1–5.4) µM, median difference 1.9 (95% CI 1.4 to 2.5) µM; p<0.001), as were levels of NO2/NO3 (29.9 (11.9–51.0) µM v 11.4 (<1–67.3) µM, median difference 19.7 (95% CI 8.7 to 23.8) µM).
was normal. The mechanism of the raised $F_{ENO}$ in these asthmatic subjects does not therefore appear to be acidification of endogenous NO. A more likely explanation is the increased expression of iNOS that has previously been reported in asthmatic airways. Further support for an enzymatic source of exhaled NO derives from the observation that non-selective NOS inhibitors such as $N^G$-nitro-$L$-arginine methyl ester (L-NNAME) and $N^G$-monomethyl-$L$-arginine (1-NMMA) produce a marked reduction in $F_{ENO}$ in asthmatic (and also non-asthmatic) subjects. The increased $F_{ENO}$ in subjects with mild asthma is consistent with a number of earlier studies, and the values that we have measured are in agreement with those previously reported. Some studies have also described significant increases in NO$_2$ and/or NO$_3$/NO$_2$ concentrations in EBC and sputum in asthma. The present study, NO$_2$ was below the limit of detection in most samples and NO$_3$/NO$_2$ measurements were variable, with the difference between groups not statistically significant. These findings are consistent with our previous observations in BAL fluid where levels of NO$_2$ and of NO$_3$ were similar in healthy control subjects, mild non-steroid treated asthmatics, and the same asthmatic subjects following treatment with fluticasone propionate (NO$_2$: medians 0.74, 0.97, and 0.45 μM; NO$_3$: 15.1, 16.5, and 15.4 μM; A E Redington, unpublished data). The normal EBC pH in asthma in the present study is consistent with the data of Kostikas et al who reported that EBC pH was decreased in moderate, but not mild, asthma. Similarly, Hunt et al found that the low EBC pH in acute asthma rapidly normalised with anti-inflammatory treatment. A reduction in EBC pH—and perhaps, by inference, a contribution of airway acidification

### DISCUSSION

Collection of EBC is attracting increasing interest as a novel method of sampling lower airway lining fluid. Compared with techniques such as bronchoalveolar lavage (BAL) and sputum induction, EBC offers the advantages that it is safe, inexpensive, non-invasive, may be repeated at frequent intervals, and can be performed by children and the elderly. Previous reports of disturbances of EBC pH in various forms of respiratory disease have led to speculation regarding the relationship between airway pH and exhaled NO. To our knowledge, however, this is the first study directly to compare EBC pH and $F_{ENO}$ in the same individuals.

In subjects with mild stable allergic asthma not receiving treatment with corticosteroids, we found that the $F_{ENO}$ was raised and that levels of EBC NO$_2$/NO$_3$ were also on average higher than those of control subjects. In contrast, the EBC pH was normal. The mechanism of the raised $F_{ENO}$ in these asthmatic subjects does not therefore appear to be acidification of endogenous NO. A more likely explanation is the increased expression of iNOS that has previously been reported in asthmatic airways. Further support for an enzymatic source of exhaled NO derives from the observation that non-selective NOS inhibitors such as $N^G$-nitro-$L$-arginine methyl ester (L-NNAME) and $N^G$-monomethyl-$L$-arginine (1-NMMA) produce a marked reduction in $F_{ENO}$ in asthmatic (and also non-asthmatic) subjects.

The increased $F_{ENO}$ in subjects with mild asthma is consistent with a number of earlier studies, and the values that we have measured are in agreement with those previously reported. Some studies have also described significant increases in NO$_2$ and/or NO$_3$/NO$_2$ concentrations in EBC and sputum in asthma. The present study, NO$_2$ was below the limit of detection in most samples and NO$_3$/NO$_2$ measurements were variable, with the difference between groups not statistically significant. These findings are consistent with our previous observations in BAL fluid where levels of NO$_2$ and of NO$_3$ were similar in healthy control subjects, mild non-steroid treated asthmatics, and the same asthmatic subjects following treatment with fluticasone propionate (NO$_2$: medians 0.74, 0.97, and 0.45 μM; NO$_3$: 15.1, 16.5, and 15.4 μM; A E Redington, unpublished data). The normal EBC pH in asthma in the present study is consistent with the data of Kostikas et al who reported that EBC pH was decreased in moderate, but not mild, asthma. Similarly, Hunt et al found that the low EBC pH in acute asthma rapidly normalised with anti-inflammatory treatment. A reduction in EBC pH—and perhaps, by inference, a contribution of airway acidification

### Table 1: Clinical and physiological characteristics of study subjects

<table>
<thead>
<tr>
<th></th>
<th>Age (years)†</th>
<th>Sex (M/F)</th>
<th>FEV$_1$ (% predicted)†</th>
<th>FVC (% predicted)†</th>
<th>FEV$_1$/FVC (%)†</th>
<th>Methacholine PD$_{20}$ (mg)†</th>
</tr>
</thead>
<tbody>
<tr>
<td>Control (n = 15)</td>
<td>39 (10)</td>
<td>6/9</td>
<td>103 (15)</td>
<td>107 (16)</td>
<td>82 (5)</td>
<td>&gt;1.5</td>
</tr>
<tr>
<td>Asthma (n = 12)</td>
<td>38 (16)</td>
<td>7/5</td>
<td>79 (18)</td>
<td>102 (16)</td>
<td>66 (12)</td>
<td>0.068 (-0.0256–1.3)</td>
</tr>
<tr>
<td>CF stable (n = 18)</td>
<td>24 (8)**</td>
<td>11/7</td>
<td>68 (25)**</td>
<td>88 (17)*</td>
<td>65 (16)**</td>
<td>–</td>
</tr>
<tr>
<td>CF exacerbation (n = 8)</td>
<td>28 (9)</td>
<td>4/4</td>
<td>58 (25)</td>
<td>82 (20)</td>
<td>39 (17)</td>
<td>–</td>
</tr>
</tbody>
</table>

Data are presented as mean (SD) and geometric mean (range). Comparisons between asthmatic and control groups and between stable CF and control groups were performed using an unpaired t test. Within subject comparisons of spirometric measurements for the eight CF patients studied in exacerbation were undertaken with a paired t test.

*p = 0.002 vs control group; **p = 0.001 vs control group.

### Figure 1

Measurements of $F_{ENO}$ in control subjects (n = 15), subjects with mild stable atopic asthma (n = 12), subjects with stable CF (n = 14), and subjects with CF during exacerbation (n = 7). Horizontal bars represent mean values. For technical reasons, data were unavailable for four of 18 CF subjects during stable disease and for one of eight CF subjects during exacerbation. Comparisons were performed using paired and unpaired t tests, as appropriate.

### Figure 2

Measurements of EBC pH in control subjects (n = 15), subjects with mild stable atopic asthma (n = 12), subjects with stable CF (n = 18), and subjects with CF during exacerbation (n = 8). Horizontal bars represent median values. Paired and unpaired data were compared using the Mann-Whitney and Wilcoxon tests, respectively.

28.2) μM; p = 0.002, fig 3). There were direct correlations between $F_{ENO}$ and both FEV$_1$ % predicted (r = 0.64, p = 0.012) and FVC % predicted (r = 0.53, p = 0.049; fig 4), but no significant correlations between EBC pH, NO$_2$, or NO$_3$/NO$_2$ and these physiological parameters. There was a further fall in EBC pH during CF exacerbations compared with stable disease (5.30 (4.99–5.86) v 5.77 (4.81–6.99), median difference –0.53 (95% CI –1.16 to –0.11); p = 0.017), but no significant change in $F_{ENO}$ (3 (2) v 4 (3) ppb, difference between means 0 ppb (95% CI –2 to 2); p = 0.91). Levels of EBC NO$_2$ (2.4 (<1–4.8) μM) and NO$_2$/NO$_3$ (36.6 (13.5–96.5) μM) during CF exacerbations were higher than in stable disease, but not significantly so.
to FENO—appears therefore to be a feature only of more severe disease, not of mild asthma.

In contrast to the situation in asthma, we found that stable CF was associated with acidification of EBC and that a further reduction in EBC pH occurred during acute pulmonary exacerbations. Despite the presence of NO and NO2 reserves in quantities that were higher than in control subjects, the reduced pH did not lead to an increase in FENO. On the contrary, FENO levels in CF were significantly reduced, both in stable disease and during acute pulmonary exacerbations, consistent with previous reports.6-7,11-12 These findings indicate that airway acidification, as assessed by EBC pH, does not necessarily increase NO generation, even in the presence of plentiful NO2 reserves. They confirm and extend the observation that FENO was reduced in CF whereas levels of NO2 and NO2/NO3 in CF demonstrated here and in other studies of NO metabolites in EBC21 37 or sputum.11 38

The mechanism underlying the low FENO in CF, despite the presence of chronic airways infection and inflammation, is uncertain. Firstly, inhaled corticosteroid treatment (which was being taken by nine of the 18 CF patients in contrast to none of the asthmatic or control subjects) must be considered as a possible confounding factor. FENO measurements did not differ significantly between those CF patients who were receiving inhaled corticosteroids and those who were not (mean ± SEM ppb), although the possibility of a type II error cannot be excluded. Previous studies of the effect of corticosteroids on FENO in CF have produced conflicting findings.8-9 Secondly, the excess secretions and mucus in CF airways may inhibit the diffusion of gaseous NO into the airway lumen. This would be consistent with the positive correlations that we have demonstrated between FENO and mucus. It is also in keeping with the increased EBC levels of NO2 and NO2/NO3 in CF demonstrated here and in other studies of NO metabolites in EBC21 17 or sputum.11 18

Finally, there may be a primary defect in NO production in patient with the heterozygous mutation R117H/ΔF508, a genotype associated with significant retention of HCO3− conductance,12 did not represent outliers. Furthermore, a low EBC pH is not specific for CF but appears rather to be a more general feature of inflammatory airways disease, suggesting a role for leucocyte infiltration and activation. Stable CF is associated with predominantly neutrophilic airway inflammation and the degree of sputum neutrophilia is more pronounced during pulmonary exacerbations.13 Neutrophil activation has been linked to pH reduction in animal models of pleural sepsis,14 and the EBC pH in COPD is inversely correlated with induced sputum neutrophil counts.15 Finally, buffering of airway lining fluid in CF might be impaired by an inflammation dependent reduction in epithelial glutaminate expression and NH3 generation, as has been described in acute asthma.15

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Finally, there may be a primary defect in NO production in
CF. Expression of iNOS is decreased in CF airway epithelium,\textsuperscript{17,18} and the presence of a low FENO in infants with newly diagnosed disease\textsuperscript{20} suggests that this is not simply a consequence of inflammation. Moreover, experiments in human tracheal epithelial cells in vitro and in CFTR-deficient mice have shown that loss of functional CFTR results in reduced epithelial iNOS expression and NO generation.\textsuperscript{21}

Theoretical concerns have been raised about whether EBC measurements are truly reflective of the lower airways in terms of pH and concentrations of non-volatile solutes. Firstly, fluid formation involves the dilution of aerosolised droplets by condensed exhaled water vapour and the degree of dilution, as estimated from condensate electrolyte concentrations, can be both substantial and highly variable.\textsuperscript{22} Currently, there is no readily available dilutional marker that can be used to calculate absolute concentrations of non-volatile solutes in lower respiratory tract lining fluid. Despite this, their relative concentrations in EBC may serve to provide information about the presence and activity of disease processes. This would be consistent with recommendations for interpreting sputum concentrations in BAL fluid,\textsuperscript{23} where a reliable dilution marker is also lacking. A second concern has been that exhaled ammonia, derived mainly from the mouth, might influence condensate pH independently of processes in the lower airways.\textsuperscript{24} Against this, the pH of undiluted tracheal secretions obtained during bronchoscopy is almost identical during acute exacerbations, but FENO is reduced. These findings demonstrate a dissociation between EBC pH and FENO in inflammatory airways disease. They also draw attention to the complexity of NO metabolism, where multiple pathways of NO synthesis and clearance are likely to have variable relevance in different circumstances.

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