Preferential reduction of quadriceps over respiratory muscle strength and bulk after lung transplantation for cystic fibrosis

C Pinet, P Scillia, M Cassart, M Lamotte, C Knoop, C Mélot, M Estenne


Background: In the absence of complications, recipients of lung transplants for cystic fibrosis have normal pulmonary function but the impact of the procedure on the strength and bulk of respiratory and limb muscles has not been studied.

Methods: Twelve stable patients who had undergone lung transplantation for cystic fibrosis 48 months earlier (range 8–95) and 12 normal subjects matched for age, height, and sex were studied. The following parameters were measured: standard lung function, peak oxygen uptake by cycle ergometry, diaphragm surface area by computed tomographic (CT) scanning, diaphragm and abdominal muscle thickness by ultrasonography, twitch transdiaphragmatic and gastric pressures, quadriceps isokinetic strength, and quadriceps cross section by CT scanning, and lean body mass. Diaphragm mass was computed from diaphragm surface area and thickness.

Results: Twitch transdiaphragmatic and gastric pressures, diaphragm mass, and abdominal muscle thickness were similar in the two groups but quadriceps strength and cross section were decreased by nearly 30% in the patients. Patients had preserved quadriceps strength per unit cross section but reduced quadriceps cross section per unit lean body mass. The cumulative dose of corticosteroids was an independent predictor of quadriceps atrophy. Peak oxygen uptake showed positive correlations with quadriceps strength and cross section in the two groups, but peak oxygen uptake per unit quadriceps strength or cross section was reduced in the patient group.

Conclusions: The diaphragm and abdominal muscles have preserved strength and bulk in patients transplanted for cystic fibrosis but the quadriceps is weak due to muscle atrophy. This atrophy is caused in part by corticosteroid therapy and correlates with the reduction in exercise capacity.
stable for the last 3 months before the study; (3) they were free of any decrease in forced expiratory volume in 1 second (FEV₁) suggesting the presence of chronic allograft dysfunction; and (4) they agreed to participate. At the start of the study in January 2002, 27 of the 52 patients with CF who had received a lung transplant at our institution since 1988 were alive. Of these 27 patients, 15 were excluded due to the presence of chronic rejection (n = 5), medical complications (n = 2), diaphragm paralysis (n = 1), and unwillingness to participate (n = 7), leaving 12 patients for study (five with HLT and seven with BLT). All patients but one were studied after the first postoperative year; the median time interval between transplantation and study was 47.5 months (range 8–95). At the time of the study the patients were taking a standard immunosuppressive regimen including cyclosporin (n = 5) or tacrolimus (n = 7), azathioprine (n = 8) or mycophenolate mofetyl (n = 1), and methylprednisolone (n = 12). Of the seven patients who were taking tacrolimus, five had been previously treated with cyclosporin for periods ranging from 22 days to 37 months.

The control group consisted of 12 normal subjects matched for age, height, and sex (table 1). These subjects, of whom 10 had participated in our previous study,13 were recruited from hospital personnel; they were all non-smokers and were free of any respiratory or neuromuscular disease.

All subjects were informed of the nature and extent of the study and gave written informed consent, as approved by the Human Studies Committee of the institution.

### Pulmonary function

Measurements of standard pulmonary function were obtained by body plethysmography following the guidelines of the American Thoracic Society.14 Predicted values were computed using equations published by Quanjer et al.15 The patients performed an incrementally progressive, symptom limited, cardiopulmonary exercise test on an electrically braked cycle ergometer using standard techniques. After 5 minutes of adaptation to the mouthpiece the workload was increased by 10 W steps every minute to the point of exhaustion. The predicted value for peak oxygen uptake was derived from equations including sex, age, height, and weight.16

### Diaphragm mass

Diaphragm mass was computed from values of diaphragm surface area and thickness. Diaphragm surface area was measured using a previously described technique based on spiral computed tomographic (CT) scanning.17 18 The CT scanner (Somatom Volume Zoom, Siemens, AG, Erlangen, Germany) was also used to measure supine functional residual capacity (FRC).19 Diaphragm thickness was measured in the zone of apposition of the diaphragm to the lower rib cage by B-mode ultrasound using an 8–12 MHz linear probe (Toshiba Power Vision 8000, Japan), as previously described.19 In all subjects, measurements of diaphragm surface area and thickness were obtained during voluntary relaxation at FRC in the supine posture. The mean of three measurements of thickness was used for analysis.

### Diaphragm strength

With the subject lying supine the two phrenic nerves were maximally stimulated transcutaneously in the neck at a frequency of 0.5 Hz with 0.2 ms square wave pulses delivered from two electrical stimulators (Medelec, Surrey, UK). The resulting twitch transdiaphragmatic pressure was measured using conventional balloon tipped catheters placed in the oesophagus and the stomach, as described elsewhere;20 the oesophageal and gastric balloons were filled with 0.5 and 1.0 ml of air, respectively. Subjects were instructed to breathe quietly for 20 minutes before stimulation to avoid twitch potentiation. Five runs of 5–6 twitches separated by 2–3 min of quiet breathing were obtained during voluntary relaxation against a closed airway at FRC; the last 2–3 runs were obtained during supramaximal stimulation. Twitches were considered valid and supramaximal based on standard criteria.21 The mean of the three twitches showing the largest transdiaphragmatic pressure was used for analysis.

### Abdominal muscle thickness

The thickness of the rectus abdominis, external oblique, internal oblique, and transversus abdominis muscles was measured with a high resolution 8–12 Mhz ultrasound linear probe. Measurements were obtained on the right side with the subject relaxing at FRC in the supine posture, as previously described.21 Measurements were made in triplicate and the mean value was used for analysis. Abdominal muscle thickness was computed as the cumulative thickness of all four abdominal muscle layers.

### Abdominal muscle strength

With the subject in the seated position, bending the trunk slightly forward and resting the forearms on the thighs, paired bilateral stimulations of the lower thoracic nerve roots were delivered with a 90 mm diameter magnetic coil applied over the T10 spinal level and powered by two linked Magstim 250 stimulators (Magstim Co, Whitland, Dyfed, UK).22 The linking circuit was capable of precisely controlling the interstimulus interval. This interval was set at 33 ms and at least five measurements were performed at 100% maximal stimulator output. All stimulations were applied during voluntary relaxation against a closed airway at FRC. Changes in gastric pressure elicited by the stimulation were

<table>
<thead>
<tr>
<th>Table 1</th>
<th>Mean (SD) characteristics of study population</th>
</tr>
</thead>
<tbody>
<tr>
<td>CF patients (n = 12)</td>
<td>Controls (n = 12)</td>
</tr>
<tr>
<td>Age (years)</td>
<td>33.8 (8.6)</td>
</tr>
<tr>
<td>Sex</td>
<td>BM/4F</td>
</tr>
<tr>
<td>Height (cm)</td>
<td>1.68 (0.07)</td>
</tr>
<tr>
<td>Weight (kg)</td>
<td>53.6 (8.5)</td>
</tr>
<tr>
<td>BMI (kg/m²)</td>
<td>19.0 (1.8)</td>
</tr>
<tr>
<td>FBM (kg)</td>
<td>44.0 (8.5)</td>
</tr>
<tr>
<td>TLC (%) pred.</td>
<td>9.7 (3.6)</td>
</tr>
<tr>
<td>FRC (%) pred.</td>
<td>100 (9)</td>
</tr>
<tr>
<td>FEV₁ (%) pred.</td>
<td>114 (20)</td>
</tr>
<tr>
<td>VC (%) pred.</td>
<td>97 (14)</td>
</tr>
<tr>
<td>LBM (kg)</td>
<td>44.0 (8.5)</td>
</tr>
<tr>
<td>BFM (kg)</td>
<td>9.7 (3.6)</td>
</tr>
<tr>
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<td>114 (20)</td>
</tr>
<tr>
<td>FEV₁ (%) pred.</td>
<td>97 (14)</td>
</tr>
<tr>
<td>VC (%) pred.</td>
<td>94 (12)</td>
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</tbody>
</table>

CF, cystic fibrosis; BMI, body mass index; LBM, lean body mass; FBM, fat body mass; TLC, total lung capacity; FRC, functional residual capacity; FEV₁, forced expiratory volume in 1 second; VC, vital capacity.

*Mean difference (with 95% confidence intervals) between CF and control groups.

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measured and the mean of the three largest values was used for analysis.

**Quadriceps cross sectional area**
The cross sectional area of the quadriceps of the dominant leg was measured on a CT scan obtained midway between the femoral head and the medial femoral condyle.\textsuperscript{11}

**Quadriceps strength**
The maximum isokinetic (60°/s) strength of the quadriceps of the dominant leg was measured using a Cybex dynamometer.

**Nutritional status**
Nutritional status was assessed by computing body mass index, and by measuring lean and fat body mass using electrical bioimpedance (BIA, 101/S bioelectrical analyser, Akem, Florence, Italy).\textsuperscript{23}

**Data analysis**
The muscular surface area of the diaphragm (Amu) was calculated as \(Adi \times 0.84\) (where \(Adi\) is the total surface area of the muscle), based on the study by Arora and Rochester\textsuperscript{24} which showed that the surface area of the central tendon corresponds to 16% of total diaphragm surface area. Diaphragm mass was computed as \(Amu \times Tdi \times 1.04\), where \(Tdi\) is diaphragm thickness and 1.04 is the density of the muscle.\textsuperscript{24}

Data are expressed as mean (SD) values or 95% confidence intervals (CI). Statistical analyses were made using paired and unpaired \(t\) tests, single linear regression analysis, and covariance analysis; the latter was used to test whether the vertical distance between the regression lines obtained in the two groups was significant. In addition, a multiple linear regression analysis was performed to investigate the inter-patient variability in the bulk and strength of the respiratory and quadriceps muscles. The following independent variables were included in the analysis: age, sex, body mass index, lean body mass, time between transplantation and study, type of transplant, presence of insulin dependent diabetes mellitus, mean daily dose of methylprednisolone (calculated as the sum of all intravenous and oral doses received between surgery and study). The stepwise regression selection procedure was used to obtain the best model with the minimal number of predictors. Adjusted \(R^2\) rather than \(R^2\) values are reported to take into account the different numbers of predictors included in the models. A \(p\) value of <0.05 was considered statistically significant.

**RESULTS**
Mean anthropometric values and lung volumes in the patients and control subjects are shown in table 1. The two groups were matched for age, sex and height; weight, body mass index, fat body mass, and lean body mass tended to be smaller in the patients, but the differences did not reach statistical significance. Seven patients had insulin dependent diabetes mellitus. Mean values for pulmonary function tests in the patients were within normal limits; in particular, FRC was similar in the patients and the normal subjects, both in the seated and supine positions.

The mean values for the bulk and strength of the diaphragm, abdominal, and quadriceps muscles are shown in table 2 and fig 1. Because of intolerance to oesophageal and gastric balloons, values of transdiaphragmatic and gastric pressure were not available in one patient; one control subject and one patient did not perform the exercise test. The mean twitch transdiaphragmatic pressure and diaphragm mass were similar in the patients and the normal subjects. In contrast, diaphragm mass normalised by lean body mass was 47% greater in patients than in controls (\(p=0.002\)). Changes in gastric pressure elicited by abdominal muscle contraction were similar in the two groups. The cumulative thickness of the four abdominal muscle layers tended to be smaller in the patients than in the control subjects (\(p=0.02\)), but this difference was exclusively caused by a reduction in the thickness of the external oblique and rectus abdominis muscles (\(p<0.02\) and \(p=0.005\), respectively). The transversus abdominis and internal oblique muscles had a similar thickness in the two groups.

Quadriceps peak torque and cross sectional area were 33% (\(p=0.006\)) and 31% (\(p=0.001\)) lower in patients than in controls. Plots of peak torque versus cross sectional area showed positive correlations in both patients (\(r^2=0.77, p<0.001\)) and controls (\(r^2=0.75, p<0.001\)). Figure 2A shows that the relationships in the two groups were superimposed, indicating that quadriceps strength per unit cross sectional area was well preserved in the patients. On the other hand, mean values of cross sectional area per unit lean body mass were decreased by 20% in patients compared with controls (\(p=0.001\); fig 1, lower right panel). Plots of quadriceps cross sectional area versus lean body mass (fig 2B) showed significant correlations in the two groups (\(r^2=0.86, p<0.001\) in controls and \(r^2=0.42, p<0.02\) in patients), but the regression line computed in the patients was displaced towards lower values of muscle cross section (\(p=0.002\) by covariance analysis).

On average, peak oxygen uptake amounted to 56% of predicted (47–65%) and was not significantly different between patients receiving cyclosporin and those treated with tacrolimus. The reduced peak oxygen uptake was due to a reduced exercise time and power output, but the patients did not reach their maximal ventilation; on average, the ratio of minute ventilation at peak exercise over maximum voluntary ventilation amounted to 46% (37–55%). Nine patients stopped exercise...
Figure 1  Upper panel: Mean values of transdiaphragmatic pressure (Pdi) elicited by twitch stimulation of the phrenic nerves in 11 CF patients and 12 controls, and of diaphragm mass (Mdi) in 12 CF patients and 12 control subjects. Middle panel: Mean changes in gastric pressure (Pga) elicited by stimulation of the abdominal muscles in 11 CF patients and 12 controls, and mean values of cumulated thickness of the abdominal muscles (Tab) in 12 CF patients and 12 control subjects. Lower panel: Mean values of quadriceps peak torque (PT) and cross sectional area (quad CSA) in 12 CF patients and 12 control subjects. LBM, lean body mass.

Figure 2  Relationships between (A) quadriceps peak torque (PT) and cross sectional area (quad CSA) and (B) quadriceps cross sectional area (quad CSA) and lean body mass (LBM) in 12 CF patients and 12 control subjects. The p value refers to the significance of the difference in vertical distance between the two regression lines as tested by analysis of covariance.
This study has shown that, in patients transplanted for CF, the diaphragm and abdominal muscles have preserved strength and bulk but the quadriceps is weak due to muscle atrophy. This atrophy is caused partly by corticosteroid treatment and is closely correlated with the reduction in exercise capacity.

The patients and controls were not matched for weight because one aim of this study was to assess the impact of potential differences in nutritional status on the bulk of respiratory and quadriceps muscles. Body weight, BMI, lean body mass, and fat body mass were all non-significantly reduced in the patients compared with the controls, but analysis of the confidence intervals (table 1) indicates that the differences might have become significant if more patients had been included in the study (type II error). We did not quantify the level of daily physical activity in the two groups. The patients were included in a standard rehabilitation programme during the first 3–4 weeks after transplantation, but no formal revalidation was performed thereafter. At the time of the study the two patients and three control subjects were engaged in a sports activity on a regular basis.

Previous studies of respiratory muscle strength in non-CF transplanted subjects have yielded conflicting results, possibly because of differences in patient characteristics in terms of time elapsed since surgery, underlying disease, and pulmonary function, and in the techniques used to assess muscle strength. In addition, interpretation of the data was made difficult by the small number of patients studied and the absence of a control group in most studies. This is the first study to use non-volitional techniques to show that the strength of the diaphragm and abdominal muscles is normal in patients with CF studied about 4 years after transplantation. Consistent with this finding, we found that the bulk of these muscles (normalised by lean body mass) was comparable to, or greater than, that measured in the control subjects. Furthermore, the mean daily dose of methylprednisolone and the cumulative dose of methylprednisolone taken by the patients was not selected by multiple regression analysis as independent predictors of the bulk and strength of diaphragm and abdominal muscles, but they were predictive of quadriceps cross section according to the following equation: quadriceps cross section = −5.64 + 1.26*LBM + 0.201*time − 0.0010*corticosteroids (adjusted $R^2 = 0.83$, $p = 0.002$), where LBM is lean body mass, “time” is the time elapsed since surgery, and “corticosteroids” is the cumulative dose of methylprednisolone received since transplantation; replacing the latter by the mean daily dose of methylprednisolone did not improve the prediction. Quadriceps cross section thus tended to increase with postoperative time and to decrease with the total dose of corticosteroids. 43% of the variance in quadriceps cross section was due to LBM, 27% was related to the time elapsed since surgery, and the cumulative dose of corticosteroids was responsible for a further 13%. The strength and bulk of the respiratory and quadriceps muscles were similar in patients with HLT and BLT and in patients with and without diabetes mellitus.

DISCUSSION

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leg strength was reduced to 76% of predicted in nine patients with BLT (of whom seven had CF). Similarly, Ambrosino and coworkers found a 35% decrease in quadriceps strength in 11 non-CF transplant recipients studied 1 year after surgery. In the study by Pantoja and coworkers the strength of the ankle dorsiflexor muscle measured in nine non-CF patients 17 months after transplantation was decreased by 39%. Based on these reports, leg muscle weakness therefore seems to be a consistent finding after lung transplantation for both CF and other conditions.

Our study provides the novel and important finding that this weakness is primarily related to muscle atrophy (rather than myopathy). As shown in fig 2A, no patient had a disproportionate decrease in strength compared with muscle mass. Furthermore, the observation that quadriceps cross section per unit lean body mass was significantly decreased in patients compared with controls (fig 2B) indicates that generalised muscle wasting caused by undernutrition could not fully account for quadriceps atrophy—that is, there was a disproportionate loss of quadriceps bulk. Although the mechanisms underlying this alteration are unknown, the toxicity of immunosuppressive agents is a likely contributor. Based on previous reports, the mean daily dose of corticosteroids received by our patients seems sufficient to promote muscle wasting, and the multiple regression analysis indicated that the cumulative dose of methylprednisolone was an independent predictor of quadriceps atrophy.

An intriguing finding of this study is that the long term use of corticosteroids did not similarly result in atrophy of the diaphragm and abdominal muscles. Acute myopathy with weakness of respiratory and limb muscles induced by high dose prednisolone has been reported in patients with a variety of diseases including COPD or asthma and lung transplantation, but the long term effects of administration of a lower dose of corticosteroids is more controversial. Some, but not all, studies in chronic airflow obstruction have shown that weakness of respiratory and/or limb muscles is more likely to occur in patients receiving a low dose of prednisolone. In patients with Cushing’s syndrome, Mills and coworkers found significant weakness of the quadriceps but respiratory muscle strength was close to normal. In fact, the available literature suggests that the effects of corticosteroids on skeletal muscles may be influenced by a variety of factors including the dose, duration and type of exposure, type of steroids, type of muscle fiber, and underlying disease. Corticosteroids may therefore be more deleterious for muscles that are not continuously active, are proximal, and contain a predominance of type Iib fibres, features which all apply to the quadriceps of lung transplant recipients. On this basis, it is possible to understand the present finding that corticosteroids produced weakness and wasting of the quadriceps but not of the respiratory muscles.

Seven of the 12 patients in our study had insulin dependent diabetes mellitus which may cause polyneuropathy and muscular atrophy. Based on the present findings, we cannot precisely determine the extent to which diabetes contributed to quadriceps wasting, but the following observations argue against an important role. Firstly, polyneuropathy is more likely to occur in patients with longstanding and/or ill controlled diabetes. In our patients the median duration of diabetes was 24 months and only one patient had had diabetes for more than 5 years; in addition, the more recent value for glycated haemoglobin at the time of study averaged 7.5%, indicating fairly good control of the disease. Secondly, quadriceps strength and cross section did not differ in patients with and without diabetes. Finally, the presence of diabetes was not selected by the multiple regression analysis as a significant independent predictor of quadriceps atrophy.

Some of the patients were treated with cyclosporin which may also have adverse effects on skeletal muscles. A number of case studies have reported muscular disorders associated with cyclosporin, including myopathy, but it was generally difficult to exclude a causative or potentiating role for other medications such as corticosteroids or statins. In a study in rats, Biring and coworkers showed that cyclosporin alone had no effect on the proportion and cross sectional area of diaphragm and limb muscle fibres. A direct effect of cyclosporin on the bulk of the quadriceps therefore seems unlikely. To the best of our knowledge, the potential toxicity of tacrolimus on skeletal muscle has not been studied to date.

An analysis of the relationships between peak oxygen uptake and quadriceps strength or cross sectional area provides some insight into the factors limiting exercise capacity in transplanted CF patients. We observed that the relationships obtained in the patients were displaced towards lower values of peak oxygen uptake (fig 3)—that is, mean values of peak oxygen uptake per unit quadriceps strength or cross sectional area were lower in patients than in controls. These observations indicate that quadriceps atrophy alone could not account for all the impairment in peak oxygen uptake. Several studies in lung transplant recipients have indicated a persistent impairment in exercise capacity, which is present independent of the type of transplant procedure and involves recipients with and without CF. This impairment has been attributed to a defect originating in the peripheral muscles and limiting oxygen utilisation; this defect may be caused by a generalised deconditioning and by the toxicity of cyclosporin which decreases the oxidative capacity of peripheral muscles by inhibiting mitochondrial respiration and decreasing capillary density. Other possible contributors to the disproportionate decrease in peak oxygen uptake in comparison with quadriceps strength and bulk include a CF related abnormality in muscle oxygen metabolism, increased sensation of fatigue in the peripheral muscles, or impaired oxygen delivery to these muscles, particularly in recipients of heart-lung transplants.

Although the present data do not allow us to assess the relative role played by quadriceps wasting and by derangements in muscle oxygen metabolism, it seems very likely that restoring muscle mass would improve exercise tolerance in transplanted CF patients. Previous studies using aerobic endurance training alone in lung transplant recipients have shown only modest improvements in maximal oxygen uptake after 11 weeks of training (for example, +11.5% compared with baseline). It is likely that adding strategies aimed at increasing muscle bulk such as strength training or anabolic drugs to endurance training alone will produce greater gains in exercise tolerance.

In summary, this cross sectional study has shown that the strength and bulk of the diaphragm and abdominal muscles is preserved in patients who have undergone lung transplantation for CF while the strength of the quadriceps muscle is markedly decreased. Our results also indicate that this reduction is entirely accounted for by muscle atrophy. This atrophy is caused in part by corticosteroid therapy and is closely correlated with the reduction in exercise capacity. Longitudinal studies of limb muscle function, bulk, and histology before and after surgery are now required to acquire a better understanding of the effects of lung transplantation on skeletal muscles and of the mechanisms responsible for quadriceps atrophy.

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