ASTHMA

Self-management of asthma in general practice, asthma control and quality of life: a randomised controlled trial

B P A Thoonen, T R J Schermer, G van den Boom, J Molema, H Folgering, R P Akkermans, R Grol, C van Weel, C P van Schayck

Background: A study was undertaken to determine the effectiveness of asthma self-management in general practice.

Methods: Nineteen general practices were randomly allocated to usual care (UC) or self-management (SM). Asthma patients were included after confirmation of the GP diagnosis. Follow up was 2 years. Patients kept diary cards and visited the lung function laboratory every 6 months. Outcomes were number of successfully treated weeks, limited activity days, asthma specific quality of life, forced expiratory volume in 1 second (FEV$_1$), FEV$_1$ reversibility, concentration of histamine provoking a fall in FEV$_1$ of 20% or more (PC$_{20}$ histamine), and amount of inhaled steroids.

Results: A total of 214 patients were included in the study (104 UC/110 SM; one third of the total asthma population in general practice); 62% were female. The mean percentage of successfully treated weeks per patient in the UC group was 72% (74/103 weeks) compared with 76% (81/105 weeks) in the SM group (p=0.003). The mean number of limited activity days was 1.2 (95% CI 0.5 to 1.9) in the SM group and 3.9 (95% CI 2.5 to 5.4) in the UC group. The estimated increase in asthma quality of life score was 0.10 points per visit in the UC group and 0.21 points per visit in the SM group (p=0.055). FEV$_1$, FEV$_1$ reversibility, and PC$_{20}$ histamine did not change. There was a saving of 217 puffs of inhaled steroid per patient in favour of the SM group (p<0.05).

Conclusion: Self-management lowers the burden of illness as perceived by patients with asthma and is at least as effective as the treatment usually provided in Dutch primary care. Self-management is a safe basis for intermittent treatment with inhaled corticosteroids.

Asthma is a chronic inflammatory pulmonary disease which has a significant socioeconomic impact on patients and their families. The finding that airway inflammation is the key underlying process in asthma has led to recommendations that inhaled corticosteroids should be introduced early in the management of the disease. Despite these guidelines and increasing knowledge, asthma morbidity is still considerable. Poor compliance with prescribed inhaled treatment is an important cause of uncontrolled disease. Poor control of asthma is associated with an impaired quality of life and is calculated to be responsible for three quarters of the total costs of asthma. It is therefore likely that improving compliance with treatment will lead to improvements in asthma control and quality of life. Low compliance results in underuse of medication, but asthma is also characterised by underuse, particularly of inhaled medication. Overuse of inhaled steroids may increase the number of unwanted side effects without additional benefits. There are indications that inhaled steroids can be tapered off or stopped during certain periods, or at least reduced to the minimal effective daily dose that provides adequate control of the disease. Optimising treatment for the individual patient may balance benefits and risks and lead to a more efficient and cost effective treatment.

Patients with mild asthma treated by their general practitioner (GP) may be suitable for intermittent treatment, providing adequate control of their asthma is maintained. Implementing guided self-management takes a considerable effort and studies on effectiveness and use in general practice are needed. Most published studies have shown self-management to be effective in patients with more severe asthma or those with frequent exacerbations, and it is unknown whether guided self-management may also be effective in patients with milder asthma. Loss of asthma control occurs less frequently and there is lower impact on quality of life, leaving limited room for improvement. The aim of this study was to determine if guided self-management can provide a safe treatment strategy for asthmatic patients in general practice.

METHODS

Practices

General practices were recruited from two pools; the first were in and around the city of Eindhoven and the second were practices from our department’s academic research network. Recruitment was stopped when a sufficient number of participating practices was reached. Practices rather than individual patients were randomised to prevent contamination. To prevent management bias, stratified cluster randomisation was performed based on the type of practice (one GP, two GPs, group practice), the number of identified asthmatics (above or below the median number (14) of identified patients), and use of computerised prescriptions (yes, no).

Selection of patients

GPs identified all asthma patients aged between 16 and 60 years using problem list coding (ICPC), prescription data from practice records, the annual influenza vaccination campaign list, and prescription data provided by the local pharmacist. Identified patients received an invitation letter from their GP to participate in the study. Patients willing to participate were invited for assessment in a lung function laboratory. Inclusion and exclusion criteria are summarised in box 1. Inclusion criteria were measured for all patients without exclusion criteria. Patients with a prebronchodilator forced expiratory volume in 1 second (FEV$_1$) of <80% predicted were treated with 800 µg budesonide twice daily during a 6 week run in period.
Box 1 Inclusion and exclusion criteria

Exclusion criteria
- Smoking history of 15 or more pack years
- Serious diseases other than asthma with a low survival rate
- Exacerbations during the month before the start of the study
- Other diseases which influence bronchial symptoms and/or lung function such as heart failure, sarcoidosis
- Inability to inhale medication correctly or to measure and record peak flow adequately and unlikely that this can be taught

Inclusion criteria
- Treated for asthma by the GP and
- Age 16–60 years and
- FEV₁ >40% of predicted value and >55% of predicted value 15 minutes after inhalation of 800 µg salbutamol or 6 weeks after inhalation of 800 µg budesonide twice daily and
- FEV₁ reversibility (after bronchodilation with 800 µg salbutamol metered dose inhaler or 8 weeks treatment with 800 µg budesonide twice daily) of at least 10% of the predicted value or PC₂₀ histamine of 8 mg/ml.

Self-management programme

The self-management (SM) programme started with four individual training visits of 30, 20, and 2 × 10 minutes, respectively, at the GP’s surgery during a period of 3 months. These visits consisted of tailored education and instructions on how to use a personalised written self-treatment plan. Patients weekly recorded morning and evening peak flow values and the presence of asthma symptoms. Three alarm symptoms were defined: waking at night because of asthma (yellow zone), use of bronchodilator >4 times a day (red zone), and increased dyspnoea without exertion (purple zone). In the presence of alarm symptoms or a fall in peak flow values below 80%, 60%, or 40% of the personal best value, patients were instructed to start daily measurements of peak flow and symptoms. Self-treatment instructions for budesonide and oral steroids (30 mg prednisolone per day for 1 week) are summarised in box 2. After the training visits biannual control visits were recommended over a follow up period of 21 months. At each control visit (10 minutes) GPs checked the patients’ performance of the self-treatment instructions. It was left to the initiative of the GP and patient if and when these control visits took place. Training in the inhalation technique and peak flow measurement was repeated at each visit.

Usual care

In the usual care (UC) group GPs were instructed to treat all asthma patients as usual; for most GPs this is according to the guidelines of the Dutch College of Family physicians which recommend follow up visits (10 minutes) every 3–6 months. These national guidelines are largely comparable to most international guidelines but do not include self-management so far. At the start of the programme, one visit to the GP’s surgery was scheduled to instruct patients on the use and dosage of their inhaled steroids (budesonide 200 µg Turbuhaler).

Study medication

The aim of the self-treatment plan was individual optimisation of treatment with inhaled corticosteroids. To study the effects on the amount of inhaled steroids used, all study patients were treated with budesonide 200 µg/dose dry powder inhaler (Turbuhaler). In the UC group the daily dosage was determined by the patients’ GPs according to the national guidelines for treatment of asthma. Both groups received regular inhalation instructions.

Outcome measures

The main outcome measures of the study were asthma control, asthma specific quality of life, and lost activity days. Asthma control was defined using the following parameters:
- percentage of successfully treated weeks;
- changes in post-bronchodilator FEV₁, (800 µg salbutamol once daily through spacer);
- changes in reversibility of FEV₁ as percentage of the predicted value; and
- changes in concentration of histamine provoking a fall in FEV₁, of 20% or more (PC₂₀ histamine).

Assessors were not blinded to study group allocation.

A successfully treated week was defined as a week in which acceptable asthma control in terms of perceived dyspnoea was maintained. Patients in both groups weekly recorded dyspnoea on a modified Borg scale ranging from 0 (no dyspnoea) to 10 (maximally severe dyspnoea). The median dyspnoea score of all individual recordings was considered as the cut off point between successfully and unsuccessfully treated weeks. Weeks with a dyspnoea score equal to or below this cut off point were counted as successful. Successfully treated weeks were calculated if patients had recorded at least 52 weeks. To correct for differences in the number of recorded weeks, successfully treated weeks were standardised to the percentage of recorded weeks. An example of this procedure is summarised graphically for one patient in fig 1. In addition to the dyspnoea scores, patients weekly recorded the number of days during the previous week with limited activities due to asthma.

Asthma specific quality of life was measured using the Asthma Quality of Life Questionnaire (AQLQ) developed by Juniper et al. An individual increase of 0.5 points on the
overall score or one of the domain scores was considered a minimal clinically relevant improvement (MCRI).

Secondary outcome measures were the number of puffs of budesonide, number of dose equivalents of short acting bronchodilators, number of short courses of oral prednisolone and antibiotics, and number of GP diagnosed exacerbations. The number of puffs of budesonide used was counted at each laboratory visit by subtracting the number of remaining dosages in each Turbuhaler inhaler issued from the total number of dosages prescribed over the previous period. The amount of short acting bronchodilators was based on the weekly recordings of patients. Based on presumed differences in deposition between metered dose inhalers and dry powder inhalers, dry powder inhaler dosages were halved to obtain dose equivalents. Short acting bronchodilators were thus converted to equipotent doses of either salbutamol or ipratropium metered dose inhalers in μg/day.

Exacerbations were recorded by GPs at each scheduled and unscheduled visit. GPs recorded an exacerbation if two of the following three criteria were present: increased asthma symptoms, fall in peak flow below 80% of predicted value, and increased use of bronchodilators. Short courses of prednisolone and antibiotics prescribed were recorded as other indicators of exacerbations.

**Power calculation**

The power calculation for determining the trial size was based on the AQLQ, with a change of 0.5 points between groups being considered clinically relevant. Based on multilevel analysis, we assumed an average inclusion of 10 patients per practice and an interclass correlation of 0.02. With an observed standard deviation of 0.9, a power of 90% and an α of 0.05 (two sided), 17 practices with a total number of 170 patients were needed. After taking into account a drop out rate of 20%, it was calculated that 213 patients were needed.

**Analysis of data**

Outcome parameters were evaluated on an intention to treat basis and by repeated measurement techniques. A random coefficient linear model (multilevel) with an autoregressive error structure was performed on post-bronchodilator FEV₁ and AQLQ scores. Reversibility of FEV₁ (% predicted value) was analysed in a similar non-linear model. Baseline values, age, sex, and smoking were entered as possible confounders. All analyses were performed using the PROC MIXED procedures by SAS. The PC20 values (log PC20) were compared with a Student’s t test. If there was a significant difference over time in any quality of life domain, the proportions of subjects with a relevant change over 2 years (MCID) were compared using χ² tests. The amounts of medication used in both groups and the percentages of successfully treated weeks were compared using a t test when normally distributed and a Mann-Whitney U test when not normally distributed.

**RESULTS**

Of 38 practices invited to participate in the study, 19 agreed to do so. Table 1 shows the characteristics of the participating practices in both treatment groups. The flow chart in fig 2 summarises the number of patients. During the pretreatment phase 15 patients dropped out of the programme and a further five dropped out before the first follow up assessment. A total of 193 patients (98 SM) were therefore included in the intention to treat analysis. The baseline characteristics of the patients included in the intention to treat analysis are shown in table 2. The treatment groups did not differ in general or clinical characteristics at baseline apart from a higher proportion of patients reporting a recent episode of aggravated asthma symptoms and lower AQLQ scores in the SM group. Fourteen patients in the SM group and 16 in the UC group did not use bronchodilator medication during the study. At baseline, long acting β₂ agonists were used by six patients in the SM group and by four in the UC group. During the study 12 SM and five UC patients used a long acting β₂ agonist; theophyllines were used by three patients in the SM group. Successfully treated weeks could be calculated for 83 (85%) and 87 (92%) subjects in the SM and UC groups, respectively. The mean percentage of successfully treated weeks per patient in the SM group was 78% (95% CI 75.1 to 80.6) (81/105) recorded weeks compared with 72% (95% CI 68.8 to 74.8) (74/103) recorded weeks in the UC group.

During follow up 79% of SM and 62% of UC patients reported one or more limited activity days. When all patients were included, the mean number of limited activity days was 1.9 (95% CI 0.7 to 3.2) for the SM group and 6.0 (95% CI 2.6 to 9.4) for the UC group. Closer examination identified two distinct outliers in the UC group with 142 limited activity days and 69 limited activity days, respectively. One of the outliers...
had a period of several months with frequent but short episodes of sick leave due to asthma, the other a 3 month episode of uninterrupted sick leave. In both cases irritant exposure in the workplace explained the high counts. Because of the clear work related cause and the disproportionate impact of these two outliers on the group mean, we decided to exclude subjects above the 98th percentile from the final calculations in both groups. This resulted in a mean number of limited activity days of 1.2 (95% CI 0.5 to 1.9) for the SM group and 3.9 (95% CI 2.5 to 5.4) for the UC group.

**Figure 2** Flow chart showing study participants.

Table 2 Baseline characteristics of study subjects included in the intention to treat analyses

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Self-management (n=98)</th>
<th>Usual care (n=95)</th>
<th>p value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age (years)</td>
<td>39.6 (11.2)</td>
<td>39.3 (12.0)</td>
<td>0.859</td>
</tr>
<tr>
<td>Sex (M/F)</td>
<td>34/64</td>
<td>40/56</td>
<td>0.394</td>
</tr>
<tr>
<td>Smoking:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Never smokers</td>
<td>45 (46%)</td>
<td>54 (56%)</td>
<td></td>
</tr>
<tr>
<td>Former smokers</td>
<td>31 (32%)</td>
<td>21 (22%)</td>
<td></td>
</tr>
<tr>
<td>Current smokers</td>
<td>22 (22%)</td>
<td>21 (22%)</td>
<td>0.254</td>
</tr>
<tr>
<td>Pack years*</td>
<td>5.8 (4.5)</td>
<td>5.7 (4.5)</td>
<td>0.881</td>
</tr>
<tr>
<td>Requiring pretreatment with budesonide†</td>
<td>34 (35%)</td>
<td>22 (23%)</td>
<td>0.077</td>
</tr>
<tr>
<td>% with asthma attack(s) in previous 6 months</td>
<td>48.5%</td>
<td>31.6%</td>
<td>0.017</td>
</tr>
<tr>
<td>FEV1 (% predicted value):</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pre-bronchodilator (BD)**</td>
<td>84.0 (13.1)</td>
<td>86.9 (14.2)</td>
<td>0.141</td>
</tr>
<tr>
<td>Post-bronchodilator (BD)</td>
<td>90.0 (12.1)</td>
<td>92.6 (12.9)</td>
<td>0.135</td>
</tr>
<tr>
<td>FEV1 reversibility (%) (median)**</td>
<td>5.0 (8.6) IQR</td>
<td>5.4 (6.8) IQR</td>
<td>0.930</td>
</tr>
<tr>
<td>Bronchial hyperresponsiveness</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PC20 geometric mean</td>
<td>1.20</td>
<td>0.97</td>
<td>0.442</td>
</tr>
<tr>
<td>Initial dose of inhaled steroids</td>
<td></td>
<td></td>
<td>0.622</td>
</tr>
<tr>
<td>None</td>
<td>12 (12%)</td>
<td>16 (17%)</td>
<td></td>
</tr>
<tr>
<td>Low (&lt;400 µg daily or equivalent)</td>
<td>36 (37%)</td>
<td>30 (32%)</td>
<td></td>
</tr>
<tr>
<td>Intermediate (400 and &lt;800 µg daily or equivalent)</td>
<td>34 (35%)</td>
<td>37 (39%)</td>
<td></td>
</tr>
<tr>
<td>High (&gt;800 µg daily or equivalent)</td>
<td>16 (16%)</td>
<td>12 (12%)</td>
<td></td>
</tr>
<tr>
<td>Quality of life:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Activities domain</td>
<td>5.3 (1.03)</td>
<td>5.6 (0.77)</td>
<td>0.015</td>
</tr>
<tr>
<td>Emotions domain</td>
<td>5.8 (1.01)</td>
<td>6.2 (0.76)</td>
<td>0.002</td>
</tr>
<tr>
<td>Symptoms domain</td>
<td>5.3 (1.03)</td>
<td>5.6 (0.90)</td>
<td>0.074</td>
</tr>
<tr>
<td>Environment domain</td>
<td>5.3 (1.10)</td>
<td>5.5 (1.1)</td>
<td>0.165</td>
</tr>
<tr>
<td>Overall score</td>
<td>5.4 (0.872)</td>
<td>5.7 (0.771)</td>
<td>0.013</td>
</tr>
</tbody>
</table>

Figures are mean (SD) values unless stated otherwise.

FEV1=forced expiratory volume in 1 second in litres; FVC=forced vital capacity.

*Missing data (self-management 2; usual care 1); † Missing data (self-management 2; usual care 2); ‡ pretreatment consisted of 6 weeks budesonide 800 µg twice daily; \* difference between FEV1 before and after bronchodilator/predicted FEV1.
As shown in fig 3, the post-bronchodilator FEV₁ had an estimated decline rate of 0.048 l/year in the SM group and 0.026 l/year in the UC group (p=0.239). There were no between-group differences in the estimated rate of decline in FEV₁, reversibility and PC₂₀ histamine.

Changes from baseline in overall AQLQ score are summarised in fig 4. Based on repeated measurements analysis, the estimated increase in overall asthma quality of life score was 0.10 points per visit in the UC group and 0.21 points per visit in the SM group (p=0.055). Changes in quality of life were also estimated for each of the sub-domains (emotions, activities, symptoms, and environment). There was a significant change between groups only in the emotions domain (0.02 points per visit in the UC group; 0.20 points per visit in the SM group; p=0.006). To determine whether statistically significant changes in quality of life were clinically relevant, we compared proportions of subjects with individual changes of at least 0.5 points. In the emotions domain 41% of patients in the UC group had an increase of at least 0.5 points compared with 23% of patients in the UC group (χ²=8.811, p=0.012).

Table 3 summarises the indicators of exacerbations. There were no differences in the number of GP diagnosed exacerbations and the number of antibiotics between the two groups, but the SM group had a significantly higher number of courses of oral prednisolone than the UC group (p=0.015, Mann-Whitney U test).

**DISCUSSION**

Findings from this study indicate that asthma control improved in the SM group in terms of a higher number of successfully treated weeks and fewer limited activity days. There were no major changes in lung function parameters. In the SM group there was a slight improvement in asthma specific quality of life with a clinically relevant improvement in the emotions domain, indicating that patients in this group felt less worried or insecure about the influence of their asthma on daily life. GPs did not diagnose more exacerbations, but the number of oral prednisolone courses was higher in the guided SM group. The study population consisted of approximately one third of all subjects initially identified by GPs. Determinants of willingness to participate and their implications have been discussed extensively elsewhere. The main implication is that subjects with low or intermediate doses of inhaled corticosteroids at baseline may have been relatively over-represented in this study. Based on initial levels of preand post-bronchodilator FEV₁, the observed reversibility and initial dosage of inhaled steroids, included patients appeared to be a representative sample of patients with mild to moderately severe asthma.

Half of all invited practices participated in this study, which does not differ from previously studied acceptance rates. Other practice characteristics (table 1) also suggest that participating practices were a representative sample of Dutch general practice, with the restriction that participants have a positive attitude towards self-management.

There have been few randomised controlled trials to date on the effects of guided self-management programmes in family
FEV1 reversibility, and PC20 did not differ between the groups. This was also concluded by Jones et al., but they may have failed to show results due to the small number of subjects. On the other hand, neither of these studies found that self-management caused unwanted loss of asthma control or increased morbidity. In our study we observed a significantly higher number of patients requiring one or more courses of oral steroids in the SM group. Based on instructions in the self-treatment plan, patients could start an oral course of prednisolone independently, so patients were routinely prescribed one prednisolone course at the third educational session. As there are strong indications that GPS accidentally recorded these prescriptions as true oral prednisolone courses, over-registration may have occurred. Another explanation for the higher number of prednisolone courses may be the larger number of patients with an asthma attack in the previous 6 months and the larger number of patients requiring pretreatment at the start of the study in the SM group. This may indicate that asthma control in the SM group was initially worse than in the UC group. This is also reflected in a lower baseline quality of life.

During the study changes in post-bronchodilator FEV1, FEV1 reversibility, and PC20 did not differ between the groups. In view of these findings, the higher number of oral steroid courses in the SM group can be attributed to a baseline difference between the groups in asthma control. In addition, the use of oral steroids can be biased by instructions in the guided SM programme. Subjects in the SM group were explicitly instructed about when to take oral courses of prednisolone. The increased number of prescriptions may therefore indicate either overtreatment or the self-treatment instructions worked as planned. In instances with more severe loss of asthma control, adequate treatment was initiated without unnecessary delay, which is consistent with the increased number of successfully treated weeks and the lower number of lost activity days.

The number of successfully treated weeks is an indicator of the burden of asthma as perceived by patients and is based on perceived changes in dyspnoea rather than on perceived levels of dyspnoea. Patients who constantly experience the same high levels of dyspnoea may thus have a relatively higher number of successfully treated weeks. Assuming that the pretreatment phase did result in the highest achievable level of asthma control, constantly high symptom levels are only an indicator of the severity of asthma experienced, not asthma control. Subjects with increased weekly variations in perceived dyspnoea levels will therefore have a lower number of successfully treated weeks. Accordingly, the increased number of successfully treated weeks in the SM group suggests less loss of asthma control in this group. These findings are in accordance with the lower number of limited activity days, but are not strongly reflected in improvements in quality of life.

Although it must be kept in mind that symptoms experienced represent a totally different concept from the impact of asthma symptoms on quality of life, it is reasonable to hypothesise that comparable improvements in both outcomes can be observed. There were significant differences in observed changes in quality of life in favour of the SM group. The magnitude of these gains was limited, however. Quality of life was high in both groups at baseline and may have left little room for improvement. The finding that observed baseline differences in quality of life scores completely disappeared after 24 months may indicate that quality of life had been maximised in both groups.

During the study long acting β agonists were introduced in updated Dutch guidelines on diagnosis and treatment of asthma. Treatment with long acting β agonists was initiated in a relatively higher proportion of patients in the SM group but numbers were too small to allow for reliable statistics. It is therefore unlikely that prescription of long acting β agonists substantially contributed to improvements in successfully treated weeks or quality of life in favour of the SM group. Based on our findings, we conclude that self-management of asthma is at least equally effective as asthma treatment usually provided in Dutch primary care. Asthma self-management provides a safe basis for intermittent treatment with inhaled corticosteroids and lowers the burden of illness as perceived by patients. Observed patient related outcomes are those in which self-management distinguishes itself from usual asthma care, even under conditions where room for improvement initially seemed limited.

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Conflicts of interest: none.

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LUNG ALERT

Decreases in air pollutants may lead to lower cardiopulmonary mortality

Air pollution, deaths, and the weather were compared for 72 months before and 72 months after the ban on coal sales in the city of Dublin on 1 September 1990. This led to a fall in black smoke of 35.6 μg/m³ (70%) and a fall in sulphur dioxide levels of 33%. Age-standardised death rates were adjusted to the 1991 Irish census population. Cardiovascular deaths accounted for 45% and respiratory deaths for 15% of non-trauma deaths. Total non-trauma deaths fell by 5.7%, cardiovascular deaths by 10.3%, and respiratory deaths by 15.5% (p<0.001 in all cases). This amounted to 243 fewer cardiovascular deaths and 116 fewer respiratory deaths per year after the ban.

This study shows substantially larger effect estimates compared with the effect sizes from daily time series mortality studies, strongly suggesting a long term cumulative effect of air pollution exposure.

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