Immunotherapy in asthma

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Introductory article

Ragweed immunotherapy in adult asthma

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Background. Although allergen immunotherapy is effective for allergic rhinitis, its role in treating asthma is unclear. Methods. We examined the efficacy of immunotherapy for asthma exacerbated by seasonal ragweed exposure. During an observation phase, adults with asthma who were sensitive to ragweed kept daily diaries and recorded peak expiratory flow rates between July and October. Those who reported seasonal asthma symptoms and medication use as well as decreased peak expiratory flow were randomly assigned to receive placebo or ragweed-extract immunotherapy in doses that increased weekly for an additional two years.

Results. During the observation phase, the mean (SE) peak expiratory flow rate measured in the morning during the three weeks representing the height of the pollination season was 454 (20) litres per minute in the immunotherapy group and 444 (16) litres per minute in the placebo group. Of the 77 patients who began the treatment phase, 64 completed one year of the study treatment and 53 completed two years. During the two treatment years, the mean peak expiratory flow rate was higher in the immunotherapy group (489 (16) litres per minute vs. 453 (12) litres per minute in the placebo group (p = 0.06) during the first year, and 480 (12) litres per minute vs. 461 (13) in the placebo group (p = 0.03) during the second). Medication use was higher in the immunotherapy group than in the placebo group during observation and lower during the first treatment year (p = 0.01) but did not differ in the two groups during the second year (p = 0.7). Asthma symptom scores were similar in the two groups (p = 0.08 in year 1 and p = 0.3 in year 2). The immunotherapy group had reduced hayfever symptoms, skin test sensitivity to ragweed, and sensitivity to bronchial challenges and increased IgG antibodies to ragweed as compared with the placebo group; there was no longer a seasonal increase in IgE antibodies to ragweed allergen in the immunotherapy group after two years of treatment. Reduced medication costs were counterbalanced by the costs of immunotherapy.

Conclusions. Although immunotherapy for adults with asthma exacerbated by seasonal ragweed exposure had positive effects on objective measures of asthma and allergy, the clinical effects were limited and many were not sustained for two years. (N Engl J Med 1996;334:501-6)

The results of the study by Creticos and colleagues in the introductory article have generated much controversy, with proponents as well as opponents of immunotherapy in asthma interpreting the results in their respective favour. This double blind, placebo controlled trial involved three centres near the Johns Hopkins University School of Medicine in Maryland and four centres near the Mayo Clinic in Minnesota and was conducted over three years (including the first year (1987) as an observational year). Patients entering the treatment phase were selected for the presence of seasonal asthma symptoms during the ragweed season, and were randomised to receive placebo or immunotherapy during the subsequent two years.

The results showed an improvement in peak expiratory flow rates in the treatment group compared with the placebo group over the two years. Although there was a trend towards improved asthma symptoms in the treatment group during the first year, this was not sustained for the second year. Bronchodilator and inhaled corticosteroid usage was significantly lower in the treatment group during the first year of therapy, but the groups did not differ in this respect by the second year. In addition, there was evidence of the immunological efficacy of this treatment, with reduced skin test and bronchial challenge sensitivity to ragweed, increased specific IgG antibodies to ragweed, and abolition of the seasonal increase in IgE antibodies after two years of treatment. Furthermore, hayfever symptoms were also reduced significantly over the two years.

The investigators were rigorous in their selection of patients and the allergen to evaluate the efficacy of immunotherapy in asthma. They chose to investigate a seasonal allergen, ragweed pollen, of which the major
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antigenic determinant Amb a 1 is well characterised, with standardised extracts available. Efficacy of immunotherapy with this allergen in the doses administered in this study had previously been demonstrated in ragweed sensitive seasonal rhinitis. In order to exclude confounding allergens, subjects were required to have a positive skin prick test for ragweed, with less reactivity to other allergens. In addition, they had to have had asthma for more than one year, with seasonal symptoms and a positive reaction to methacholine challenge. However, as the study bears out, such patients are few. Only 127 of 1000 patients screened meeting these criteria. Only 90 subjects were eligible after an observational year (which included significant worrisome patients in particular who hadiggled scores, worsening peak flow rates, and worsening medication scores). This figure was further reduced to 77 by dropouts before treatment was initiated. The highly selected group was then randomised to receive active treatment or placebo with well matched demographic characteristics.

Significantly, the attrition rate in the subsequent two years was different in the placebo group (36 of 40 patients) from the immunotherapy group (eight of 37 patients). The attrition in the placebo group, secondary to a poor response, undoubtedly reduced the statistical power of the study, particularly in the second year. Hence, the results from the first year of the study showing a beneficial effect are more likely to represent the true effects of immunotherapy. The apparent lack of a sustained effect in the second year can be attributed to this high dropout rate in the placebo group, and is consistent with a regression towards the mean. Comparable with this, Creticos and colleagues, in reply to correspondence regarding the study, provide information that a group of 17 patients receiving immunotherapy for a third year continued to show a similar magnitude of improvement in symptoms, peak flows, and medication use.

The use of patient diaries in recording medication usage and twice daily peak flow readings as outcome measures in clinical asthma studies has inherent pitfalls. Inaccuracies with a tendency to inflate the peak flow rate and to record more medication use than actually occurred have been reported. While microprocessor based electronics for peak flow meters and inhalation devices have been developed, these were not available at the initiation of this study. Nevertheless, within the limitations of the study and given the inherent variation of these outcome measures, the differences in peak flow readings and medication usage were significant compared with the control group. In addition, improvement was observed in objective parameters such as reduced skin test and bronchial challenge sensitivity to ragweed.

Adverse reactions occurred in seven of 37 patients receiving immunotherapy on 14 occasions. Five patients in the placebo group reported adverse reactions, but one of these was a treatment error due to the inadvertent administration of active extract. Only two subjects on active treatment dropped out after having several systemic reactions. The rates of adverse reactions in these asthmatic patients with seasonal rhinitis to patients with rhinitis. While this finding may be interpreted as indicating that patients with asthma are at no greater risk of suffering adverse reactions in patients with rhinitis, the authors state in their discussion that asthmatic patients may still develop greater respiratory distress with a severe reaction. This study therefore does not shed any new light on the risk of adverse reactions during immunotherapy in asthma, and supporters and detractors alike can find argument in their favour.

One of the features of this allergen is a cost benefit analysis of the respective treatments. While there was a reduced cost of medication in the immunotherapy group, this was counterbalanced by the cost of materials and injection charges for immunotherapy for the duration of the study. However, in this analysis it is unknown whether there is any sustained benefit following the cessation of immunotherapy, which may translate into further cost savings in pharmacotherapy.

In summary, this paper does show immunological and clinical efficacy of specific immunotherapy in a highly selected group of seasonal asthmatic subjects with a predominant sensitivity to a seasonal allergen. However, the magnitude of the clinical effect is similar to that of various asthma medications such as inhaled steroids or moderate dosage or a long acting L agonist. Moreover, the savings in reduction of medication usage are counterbalanced by the cost of this treatment.

Immunotherapy: current practice

The use of immunotherapy for the management of allergic disease was pioneered by Noon and Freeman in 1911 at St Mary's Hospital in London. The efficacy of the therapy, practised for allergic rhinitis and conjunctivitis and maintained over a three year period, was not in doubt. Since those early times the clinical practice of immunotherapy has evolved with more rigorous preparation and standardisation of allergen extracts, together with an increasing number of studies examining the efficacy of treatment to a wide range of allergens.

The efficacy of immunotherapy in seasonal allergic rhinitis is well established and generally considered beyond contention. A carefully controlled study conducted to examine the question of efficacy of immunotherapy in relation to grass pollen-induced allergic rhinitis revealed a threefold reduction in symptom scores and a fourfold reduction in medication use in patients undergoing active versus placebo immunotherapy with a biologically standardised grass pollen extract. This study has been used as the basis for the British Society of Allergy and Clinical Immunology guidelines which advocate immunotherapy as a treatment modality for seasonal allergic rhinitis unresponsive to anti-allergic drugs.

Given the current evidence, immunotherapy is not recommended for the management of food allergy as it has not been proved to be effective and is contra-indicated for safety reasons. Similarly, based on current evidence, administration of immunotherapy by routes other than subcutaneously is not recommended other than in controlled research studies. Subcutaneous immunotherapy is mandated for the treatment of life-threatening allergic reactions to insect stings (bees and wasps). Other allergic conditions, particularly respiratory allergies, represent a relative indication for immunotherapy and are therefore the current subject of debate, research, and cost-efficacy analysis.

Several current practice guidelines in Europe and Australia emphasise the cardinal principles of immunotherapy.

- The allergen is clearly defined in relation to the symptoms.
- The presence of IgE to that allergen is clearly documented by skin prick testing or quantitation of allergen-specific IgE.
- The extract available is potent, containing a measured...
amount of the major antigenic determinants of the allergen.

- Mixtures of allergens are not endorsed for immunotherapy.

Role of allergy in asthma symptoms

The theoretical basis for the use of immunotherapy in asthma hinges on the significance of allergic sensitisation as a causative factor in continuing asthmatic symptoms. Epidemiological studies have clearly shown that sensitivity to some allergens is correlated with an increased incidence of asthma in children. This has been well documented, especially in relation to the house dust mite. Epidemiological associations also exist for exposure to cat, cockroach, and some mould antigens and the subsequent development of asthma early in life. Studies of environmental modification and their effect on asthma point to a role for ongoing exposure to allergens in the persistence of asthma in some individuals. Deaths from asthma have also been associated with exposure to environmental allergens. Still further evidence for the role of allergy in asthma can be found in human models of allergen challenge whereby airflow obstruction is evoked by exposure to allergens. Consequently, treatments for allergic disease have been directed to several sites in the allergic inflammatory response (Fig 1).

The presence of asthma can therefore be clearly associated with allergic responses, but the relative role of allergens as causative agents in the persistence of asthma compared with other factors such as intrinsic bronchial hyperresponsiveness, genetic factors, and infections has yet to be determined and is likely to vary substantially between individuals. A clearer understanding of the relative contributions of allergy to asthma would lead to more accurate predictions of the potential efficacy of immunotherapy in individuals with asthma.

Figure 1. The allergic response may be modulated at several points by therapeutic modalities.

Efficacy of immunotherapy

The efficacy of treatment for asthma can be judged on the basis of objective and subjective end points. One of the most reliable measurements is improvement in lung function measured at several time points during a trial by spirometry or in serial peak flow measurements observed over the time course of the study. These measurements, however, may be confounded by other simultaneous end points such as medication reduction. Furthermore, the reliability of peak flow measurement as a monitoring tool of patients' symptoms has been questioned.

Measurement of bronchial responsiveness to allergen or to non-specific stimuli such as histamine or methacholine provides some objective index of the sensitivity of the airways to exogenous stimuli. Inhalational allergen challenge is a laboratory technique which intuitively bears some resemblance to the situation in "real world"; however, the degree of intrinsic airway responsiveness will determine the relationship of this measurement to symptoms. Furthermore, measurements of bronchial responsiveness do not correlate uniformly with asthma symptoms.

Methods of evaluation may also be found in the sequential monitoring of symptoms and the assignment of a symptom and/or medication score for comparison between therapeutic modalities. Such an index may be confounded by the difficulties encountered by patients in accurately recording their symptoms, particularly for prolonged periods. In addition, the use of medications will modulate symptom severity.

Finally, in a fiscal age, the cost of treatment needs to be considered. However, treatment costs for the duration of a trial may not reflect the costs associated with treatments which have advantages over a period of time longer than the period of the trial. In this respect, the costs of immunotherapy are vulnerable to being overestimated in the relatively short time frames in which clinical trials are conducted.

Clinical practice of immunotherapy for asthma: the world view

International practice varies substantially in the clinical use of immunotherapy for asthma. Some guidelines acknowledge a clinical role for immunotherapy in asthma, particularly in younger patients and in those with a limited range of specific allergen sensitivities. Conversely, in the UK, the use of allergen immunotherapy for asthma is not considered justified.

What evidence is there that immunotherapy is efficacious in the management of asthma?

Seasonal allergens

Grass and weed pollen sensitivity

Allergy to pollen is one of the most commonly encountered sensitivities in atopic individuals, being a major causative factor in seasonal allergic rhinoconjunctivitis. Nevertheless, the epidemiological evidence that such allergy contributes significantly to asthma remains predominantly associative. Reid and colleagues found that hospital presentations with asthma closely correlated over a four year period with grass pollen counts. However, Creticos and colleagues screened the diary cards of approximately 1000 patients to identify 90 who had seasonal asthma with exacerbations temporally related to the ragweed pollen season. This might suggest that, for most patients, clear evidence of the contribution of pollen allergy to asthma
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Symptoms is difficult to document, despite detection of allergen-specific IgE. Despite these findings, several controlled trials of the efficacy of immunotherapy in asthma due to seasonal pollen antigens have been performed. Most of the trials show an improvement in symptom scores following immunotherapy when compared with placebo. Immunological studies and bronchial provocation with allergen were conducted in some of these studies and most revealed a response to treatment with a reduction in bronchial responsiveness to allergen and increase in serum-specific IgE. In contrast, however, Bruce and co-workers reported a double-blind, placebo-controlled trial of ragweed immunotherapy in patients with historical reports of allergic asthma in the ragweed season and corresponding skin test reactivity which showed no significant improvement in symptom scores for asthma, allergic rhinitis, nor a change in the provocative dose of allergen in inhalational challenge.

Taken together, the evidence from the available trials to assess the efficacy of immunotherapy for pollen allergy in asthma points to an improvement in symptoms in several well-conducted studies. Limited data also suggest an improvement in pulmonary function. Whether the extent of the clinical improvement detected is comparable to standard pharmacological therapies could be answered by comparative trials and is considered in the introductory article by Creticos and colleagues, where the improvement in peak flow readings was found to be comparable to that with low dose inhaled corticosteroids.

Perennial allergens

House dust mites (Dermatophagoides pteronyssinus and D farinae)

The house dust mites (D pteronyssinus and D farinae) have been recognised since the 1960s as the major perennial allergens relevant in allergic diseases. Epidemiological studies have subsequently provided further compelling evidence of the association between house dust mite allergy and the prevalence of asthma. An important study providing evidence for the critical role of exposure to house dust mite and the subsequent development of asthma in children is that by Sporik and co-workers who studied a group of children longitudinally, revealing that exposure of children to large amounts of house dust mite allergen is an important determinant in the subsequent development of asthma.

Several trials of treatment with extracts of house dust were performed in the 1960s with poor clinical efficacy. In 1971, a study of immunotherapy with an extract of house dust mite compared with an extract of house dust reported a reduction in symptoms in those who received the house dust mite extract but not in those who received the house dust extract. It was not until the most common house dust mites, D pteronyssinus and D farinae or, more importantly, their faecal particles were understood to contain the major antigenic components of house dust that trials of partially purified or modified (tyrosine or alum adsorbed) extracts were conducted.

Several controlled trials have shown the efficacy of immunotherapy for house dust mite allergic asthma determined by symptom reduction and medication use, but other comparable trials have failed to reveal efficacy on these parameters. Several trials have also shown a reduction in bronchial challenge responsiveness to allergen.

The most convincing index of efficacy in trials of immunotherapy in asthma remains pulmonary function, a parameter not universally observed as a major end point. A study by Bousquet et al revealed an improvement in peak flow, while a later controlled parallel group study by the same group, using an extract standardised for the antigen Der p I, revealed an improvement in forced expiratory volume in one second (FEV1) of 16% predicted in the actively treated group. A study by Price and co-workers also revealed an improvement in thoracic gas volume. These findings are in contrast with earlier studies using mite extracts which found no improvement in lung function, or even a decline in peak flow measurements. The differences which emerge in studies over time may be partly explained by the increasing recognition of the antigen content of extracts and the standardisation of the extracts according to antigenic content.

The difficulty of determining the efficacy of immunotherapy in the context of simultaneous environmental control measures was highlighted by a recent double-blind, placebo-controlled study conducted by Peroni and colleagues on children in which the effects of immunotherapy could not be distinguished from those due to allergen avoidance obtained by both groups by use of treatment at high altitude.

The efficacy of house dust mite immunotherapy in asthma was most thoroughly examined in the recent meta-analysis published by Abramson et al of double-blind, placebo-controlled immunotherapy trials (fig 2). This study found that house dust mite immunotherapy led to a statistically significant 4.5% improvement in lung function. Moreover, an additional 30 studies revealing a negative effect of immunotherapy for house dust mite would be needed to justify statistically the statement that it is of no benefit in asthma.

Given the major role of house dust mite antigens in the genesis of asthma, several key questions remain unanswered by the trials published to date. Firstly, is immunotherapy more likely to be effective in children, preventing the subsequent onset of asthma by altering immunological responses to antigen? To date, one study utilising an unstandardised extract would suggest that this is so, but such an important question deserves further confirmation. Secondly, can a group of patients...
be identified who are more likely to benefit from immunotherapy? The study by Bouquet and colleagues suggested that many patients, those with mild to moderate asthma, and those with clear evidence of an extrinsic origin of their symptoms are more likely to benefit, and these conclusions were supported by the study by Warner et al. Thirdly, is there a likelihood that extracts standardised for allergenicity could increase the efficacy of treatment? Any studies designed to answer these questions must be large enough to provide sufficient power for meaningful analysis. Pauli and colleagues have suggested that sample sizes of 40 per patient group in a blinded, placebo controlled study would be necessary to ensure adequate power.

**Moulds**

The role of moulds as an allergic trigger for asthma is suggested by epidemiological studies showing correlation of sensitivity to moulds with current asthma symptoms in children and the association between sensitivity and exposure to moulds and deaths from asthma. Immunotherapy for mould sensitivity has been hampered by the lack of availability of standardised potent extracts, so few controlled trials have been performed. Nevertheless, two controlled trials suggest an improvement in asthma symptoms and medication scores following immunotherapy with standardised mould extracts. A reduction in bronchial hyper-responsiveness to allergen was also observed. Taken together, these studies suggest that immunotherapy may be efficacious, but convincing evidence of improvement in pulmonary function is lacking. Further studies using standardised extracts may be warranted in those individuals with clear evidence of asthma secondary to mould exposure.

**Animal danders**

Several trials have been conducted to investigate the efficacy of immunotherapy in asthma induced by animal danders, particularly cat allergens. The efficacy of treatment appears to be best in trials using a monoclonal antibody standardised extract, resulting in an improvement with immunotherapy of symptoms scores and bronchial responsiveness to allergen. However, previous studies have not documented an improvement in symptoms in patients who have remained in close contact with cats. No efficacy has been noted with extracts to dogs in dog-sensitive patients. The duration of efficacy of immunotherapy to animal danders was investigated in a five year follow up study of patients treated with cat or dog immunotherapy, and those individuals who underwent active desensitisation to cat extracts were found to have a durable decrease in asthma symptoms over that time period.

The spectrum of clinical sensitivity to T cell epitopes of Fel d I, the major cat allergen, is well conserved throughout the population allergic to cats. In view of this, cat allergen was used for the first trial of a peptide vaccine aimed at the amelioration of symptoms of cat allergy. The clinical trials of Fel d I peptide immunotherapy have reported conflicting results with varying efficacy at different centres. Adverse reactions to the vaccine of late onset were particularly puzzling and concerning. However, large protein determinants were administered rather than epitope based vaccines which could perhaps result in increased immunogenicity. Future development of treatment of asthma provided that it is administered to patients with stable asthma and

**Combination allergen immunotherapy**

Although not recommended as state of the art, mixtures of allergens are frequently used as immunotherapy, particularly in the USA. Indeed, the introductory article by Creticos and colleagues on the efficacy of ragweed immunotherapy in asthma concluded that combinations of allergens may be more efficacious than single antigen therapy. Adkinson et al. have recently published the results of a large double blind, placebo controlled study of 120 children with asthma randomised to receive either placebo or immunotherapy with up to seven relevant antigens for a period of 24 months. End points were the amount of medication required for asthma, methacholine responsiveness, peak flow readings, and inhaled corticosteroid use. There was a reduction in medication use in both placebo and active treatment groups to a similar degree; the only advantage to the active group was the use of fewer inhaled corticosteroids and a slight improvement in peak flow readings which just reached statistical significance. These results therefore do not justify the use of combinations of allergen immunotherapy in children. It does suggest that appropriate patient selection is critical and subgroup analysis would support the use of this type of treatment in younger patients and those with less severe asthma. This concurs with other publications. Mixtures of allergens are therefore not currently recommended as best practice for immunotherapy in Europe or Australia.

**Safety of immunotherapy for asthma**

Local reactions to immunotherapy developed systemically reactions that required treatment while four in the placebo group were also treated for side effects; thus, approximately 10% of those on active therapy suffered a systemic reaction at some stage. The recent study reported by Adkinson and co-workers utilising desensitisation to multiple allergens in children revealed a rate of 2.6 systemic reactions per 100 injections, with all children responding to treatment for the adverse reaction. Comparably, data gathered from specialist physicians in the UK report an incidence of one systemic adverse reaction per 500 injections. The UK data found that significant systemic reactions exclusively occurred within 45 minutes of administration of the extract.

These data would support the overall safety of immunotherapy in the treatment of asthma provided that it is administered to patients with stable asthma and
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**Figure 3** Explanations for the efficacy of immunotherapy

**Immunology**

Immunological studies investigating the mechanism of effective immunotherapy following the parental administration of allergen in the human model are limited. A number of different hypotheses have been proposed to explain the observed effectiveness of allergen immunotherapy including increased membrane stability of mediator-releasing cells, decreased IgE antibody levels, increased competitive IgG antibodies, the generation of suppressor T cells, and the induction of anti-idiotypic antibodies. Levels of immunoglobulin E antibody usually decrease, but generally not until some months or years after treatment and with little relationship to clinical response. In fact, many studies show an increase in levels of specific IgE. Most studies investigating T cell reactivity following allergen immunotherapy report decreased allergen-specific proliferation. This change would be consistent with the induction of antigen-specific non-responsiveness (anergy) and/or the generation of new subsets of allergen-specific T cells with altered reactivity to the allergen.

Several studies have reported a decrease in allergen-induced production of interleukin (IL)-4 following immunotherapy but variable results have been obtained with interferon gamma (IFN-γ) and other cytokines. Although these studies suggest a net switch in T cell cytokine production from a predominantly Th2 lymphocyte response to that of a Th1 response through changes in the amounts of interferon (IFN)α produced relative to interleukin (IL)-4. This is likely to occur through anergy and/or immune deviation. APC = antigen presenting cell.

That they are instructed to wait in the doctor's surgery for 45 minutes following allergen administration. Bousquet and co-workers have shown that modulation of the schedule of administration of immunotherapy together with co-administration of antihistamine and glucocorticoid premedication can substantially reduce the incidence of adverse reactions.

The first modality of treatment for asthma should be pharmacotherapy to achieve and stabilise best lung function. The identification of trigger factors and their avoidance comprise a major secondary goal of treatment and are particularly important in those with clear allergic precipitants for their asthma. Immunotherapy should be seen as a possible therapeutic adjunct in well assessed and selected cases.

The introductory article by Creticos and colleagues demonstrates immunological and clinical efficacy in a carefully selected group of patients with seasonal allergies over a 12 month period. Nevertheless, the magnitude of clinical effect is similar to that of inhaled steroids in moderate doses or of a long acting β2 agonist. A comparison of the relative costs of therapy depends on the time frame in which it is considered. The benefits in the short term (up to three years) are not substantially greater than those that might be achieved with optimal use of pharmacotherapy, which is without the potential of anaphylactic reactions. However, if benefit is sustained for 5–10 years then the savings compared with pharmacotherapy are substantial.

An additional factor in favour of immunotherapy in children is its potential to modify the immune response as a form of primary preventative therapy. Limited evidence suggests that subsequent development of asthma can be prevented by childhood grass pollen immunotherapy. Although this is an attractive argument, convincing data from large cohort studies have yet to be published. This would argue against the routine clinical use of immunotherapy for young children with asthma. Active research and clinical trials are currently exploring the use of a vaccine to decrease the risk of subsequent allergen sensitisation. Careful selection of appropriate infants based on family history, infantile food allergy, and possible genetic screens as predictive atopic risk become identified is essential (P Holt, personal communication).

The studies presented here indicate the need for further clinical research of specific immunotherapy in broader groups of allergic asthmatic subjects of well characterised phenotype to identify specifically those
individuals most likely to respond favourably to this treatment. Until such large clinical trials have been carried out, the benefits, risks and costs of immunotherapy compared for asthma cannot be established.

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