Transcutaneous abdominal ultrasonography in the staging of lung cancer

Per Sigvald Bakke, Marianne Taule, Elisabeth Lillo, Gunnar Melgren, Inger Johanne Magnussen, Ole Johan Halvorsen

Abstract

**Background** – There is limited information available regarding the relationship between clinical indicators of widespread disease in patients with lung cancer and the findings of transcutaneous ultrasonography.

**Methods** – A retrospective survey was made of 279 consecutive patients with lung cancer. By reviewing the patients’ records the clinical findings were divided into symptoms, signs, and laboratory tests indicative of metastatic disease. All patients had been examined by abdominal ultrasonography.

**Results** – The patients included 19% with small cell carcinoma. The frequency of abdominal metastases by ultrasonography in those with small cell carcinoma was 40%, in the other patients it was 8%. Regardless of histological group, all the 40 patients with abdominal metastases by ultrasonography had at least one clinical category indicative of widespread disease and 38 (95%) had two or all three clinical categories positive. Fifty nine patients had no clinical indicators of metastases and none of these had abdominal metastases by ultrasonography.

**Conclusions** – The results of this study indicate that abdominal metastases are found in lung cancer patients with clinical findings indicative of widespread disease. No abdominal metastases were found in patients with a negative clinical evaluation. The results indicate that transcutaneous ultrasonography of the abdomen is not necessary in the initial staging if the clinical evaluation is unremarkable.

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Keywords: lung cancer, staging, ultrasonography.

Lung cancer is now the leading cancer in the male population of Europe and North America, and it is the cancer type showing the steepest increase in incidence in the female population. Cure of non-small cell lung cancer is only possible by surgical treatment. Small cell lung cancer is not often operable, but the extent of the disease may influence the type of chemotherapy and radiotherapy. Consequently, looking for metastases is an important part of the pretreatment assessment of both small cell and non-small cell lung cancer. Necropsy studies have shown that lung cancer frequently spreads to the liver, adrenal glands, and abdominal lymph nodes.

Studies have examined the relationship between clinical signs indicating widespread disease and the presence of abdominal metastases as assessed by computed tomographic (CT) scanning and isotopic scanning. Few studies, however, have compared the clinical evaluation with the findings of transcutaneous abdominal ultrasonography, and neither of these studies examined whether the relationship between clinical signs and abdominal metastases varied with the type of lung cancer. In a recent meta-analysis of the usefulness of the clinical examination for detecting extrathoracic metastases in lung cancer such studies are encouraged.

In our Department of Thoracic Medicine we routinely perform transcutaneous abdominal ultrasonography on all patients with newly diagnosed lung cancer. The objective of this study was to assess whether there is an association between clinical indicators of extensive disease and ultrasonographic signs of abdominal metastases in patients with lung cancer. Furthermore, we wanted to examine whether this relationship differed between patients with small cell and non-small cell lung cancer.

**Methods**

All patients with a histological diagnosis of lung cancer obtained at the Department of Thoracic Medicine at the University Hospital of Bergen, Norway during January 1990 to December 1992 were eligible. Patients who had lung cancer diagnosed at other hospitals and were transferred to our department for further treatment were not included.

The part of the patient record based on the clinical examination by the junior house officer at admission to hospital and the following examination by the pulmonary consultant were retrospectively reviewed to identify the presence or absence of clinical findings indicating metastatic disease. In cases of disagreement between the junior house officer and the consultant the findings of the latter were chosen. In addition, routine laboratory data were recorded. This information was categorised into symptoms elicited by history, signs at clinical examination, and laboratory data. Any abnormal finding was considered to represent an abnormality for the whole category. The clinical findings grouped by category were: weight loss >3 kg during the previous six months, focal skeletal pain, headache, nausea, focal weakness or paraesthesia, personality...
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Abdominal ultrasonography was performed using a gray scale ultrasonograph and a sector scanner of 5 MHz (GE 3000RT and GE 3600RT). In cases of insufficient penetration a 3.5 MHz scanner was used. The abdominal ultrasonographic examination was performed by junior and senior radiologists with ultrasonographic experience varying from a few months to 15 years. The junior physicians were supervised by senior radiologists.

The differences in the presence or absence of clinical indicators of widespread disease in patients with and without abdominal metastases were compared by $\chi^2$ analysis. Probability values of less than 0.05 were considered significant.

Table 1 Percentage distribution by histological type and stage at time of diagnosis

<table>
<thead>
<tr>
<th>Stage</th>
<th>Total (n = 279)</th>
<th>Small cell (n = 52)</th>
<th>Squamous cell (n = 98)</th>
<th>Adenocarcinoma (n = 91)</th>
<th>Undifferentiated* (n = 38)</th>
</tr>
</thead>
<tbody>
<tr>
<td>I</td>
<td>19</td>
<td>6</td>
<td>20</td>
<td>29</td>
<td>9</td>
</tr>
<tr>
<td>II</td>
<td>7</td>
<td>4</td>
<td>6</td>
<td>8</td>
<td>6</td>
</tr>
<tr>
<td>IIIA</td>
<td>20</td>
<td>15</td>
<td>24</td>
<td>17</td>
<td>24</td>
</tr>
<tr>
<td>IIIB</td>
<td>22</td>
<td>23</td>
<td>20</td>
<td>20</td>
<td>29</td>
</tr>
<tr>
<td>IV</td>
<td>33</td>
<td>52</td>
<td>29</td>
<td>26</td>
<td>32</td>
</tr>
</tbody>
</table>

*This group includes six patients with large cell carcinoma and four patients with carcinoid tumour.

change; (2) signs at clinical examination: enlarged lymph nodes, hepatomegaly, bone tenderness, hoarseness, superior vena cava syndrome, focal neurological signs; (3) laboratory data: haemoglobin <11 g/dl, any increase in serum levels of alanine aminotransferase (ALT), aspartate aminotransferase (AST), gamma glutamyl transferase (GGT), or alkaline phosphatase (ALP). ALT and AST were considered abnormal if $>50$ international units (IU)/ml, GGT $>100$ IU/ml, and ALP $>270$ IU/ml.

The reviews of the clinical records of the eligible patients were performed by three of the authors (MT, EL, GM). To examine the intra-observer and inter-observer agreement of the data obtained 15 of the records were reviewed twice by each observer and 15 records were reviewed by all three without the reviewer's knowledge at what time during the field work this would happen. The intra-observer and inter-observer agreement for the various symptoms and signs varied between 80% and 93%, being lowest for focal neurological signs, headache and nausea, and highest for hepatomegaly and hoarseness. However, as the presence of one symptom or sign was considered to represent an abnormality for the entire category, respectively, the inter-observer agreement when characterising the patients by category was 93%. The corresponding figure for the intra-observer agreement was 100%. The physicians who reviewed the journals were blinded to the findings of the imaging examinations.

Histological data of the tumour and the TNM classification were obtained for each patient. The T and N status was based on findings of a computed tomographic (CT) examination of the thorax. The M status was, in addition, based on clinical findings and results of abdominal ultrasonography as well as results from brain and bone scanning. CT scanning of the thorax and abdominal ultrasonography were performed on all patients, while scans of the brain and bone were made only on clinical suspicion of brain and bone metastases, respectively.

The CT scans of the thorax and brain were performed with a second generation scanner (GE 9800). All scans were done with an intravenous injection of contrast for better enhancement, using a slice thickness of 1 cm. The bone scans were performed four hours after intravenous injection of monodiphosphate labelled with technetium-99m. Scanning was done using a gamma camera (Diacam; Siemens). The thoracic and brain CT scans and the radionuclide bone scans were interpreted by experienced physicians.

Results

Three hundred and nineteen patients were eligible for entry into the study. Of these, 40 were excluded either because the patient was too ill to go through a staging or not willing to do it ($n = 23$), the diagnosis was made after death ($n = 10$), there were incomplete data in the records ($n = 5$), or because records were missing ($n = 2$).

Of the 279 patients included in the study 227 (81%) were men. The mean (SD) age of the patients was 66 (13) years. Symptoms indicating widespread disease were seen in 67% of the patients while signs of widespread disease were noted in 36%. Laboratory data indicative of abdominal metastases were noted in 41% of the patients. At least one of the categories was seen in 79%, while at least two and all categories were noted in 48% and 18% of the patients, respectively.

The distribution of patients by histological type and stage at time of diagnosis is shown in table 1. The non-small cell carcinomas amounted to 81% of the lung cancers. The patients with small cell carcinomas had a more advanced stage than those with non-small cell carcinomas ($\chi^2 = 10.41, p<0.001$).

Table 2 shows the frequencies of clinical categories in patients with small cell carcinomas and non-small cell carcinomas. The presence of all categories was noted three times as often in the small cell carcinoma group as in the non-small cell carcinoma group. In the latter a quarter of the patients were negative to all the clinical categories at the time of diagnosis while only two of the patients with small cell carcinoma were negative to all clinical categories (table 2).
of the 52 with small cell carcinoma at the time of diagnosis (p<0.01). The metastatic lesions were located in the liver (n = 32), the adrenal glands (n = 7), and in both liver and adrenal glands (n = 1).

A comparison of the clinical findings with the presence or absence of abdominal metastases is shown in table 3. In the 59 subjects who had no signs, symptoms, or routine laboratory tests indicative of widespread disease, none had abdominal metastases by ultrasonography (95% confidence interval (CI) 0% to 6%). Hence, the predictive value of a negative clinical evaluation as to abdominal metastases was 100% (95% CI 94% to 100%) (table 4). The corresponding intervals given for non-small cell and small cell lung cancer separately were 92% to 100% and 79% to 100%, respectively, the confidence intervals being wider due to smaller numbers in the subgroups. The positive predictive value of a clinical evaluation was much lower than the negative predictive value, and was higher in those with small cell than in those with non-small cell carcinoma (table 4).

There was no individual clinical finding within a category which was particularly predictive of abdominal metastases. In patients with non-small cell and small cell lung cancer the probability of metastatic abdominal lesions increased as the number of abnormal clinical categories increased (table 5).

### Table 3 Clinical findings by histological type (small cell versus non-small cell carcinoma) in patients with and without abdominal metastases at time of diagnosis*

<table>
<thead>
<tr>
<th>Category</th>
<th>Non-small cell carcinoma</th>
<th>Small cell carcinoma</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Abdominal metastases absent</td>
<td>Abdominal metastases present</td>
</tr>
<tr>
<td>All 3 categories normal</td>
<td>57 (28)</td>
<td>2 (6)</td>
</tr>
<tr>
<td>Any 1 category abnormal</td>
<td>74 (36)</td>
<td>12 (39)</td>
</tr>
<tr>
<td>Any 2 categories abnormal</td>
<td>58 (28)</td>
<td>10 (32)</td>
</tr>
<tr>
<td>All 3 categories abnormal</td>
<td>19 (9)</td>
<td>7 (23)</td>
</tr>
<tr>
<td>Total</td>
<td>208 (101)</td>
<td>31 (100)</td>
</tr>
</tbody>
</table>

* Categories are defined in methods. Numbers in parentheses are percentages of total.

### Table 4 Sensitivity, specificity, and predictive values of the clinical evaluation in detecting abdominal metastases using ultrasonography as the gold standard.

<table>
<thead>
<tr>
<th>Type of Cancer</th>
<th>No. of patients</th>
<th>Prevalence of abdominal metastases (%)</th>
<th>Sensitivity (%)</th>
<th>Specificity (%)</th>
<th>PPV (%)</th>
<th>NPV (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Small cell lung cancer</td>
<td>52</td>
<td>40</td>
<td>100</td>
<td>6</td>
<td>42</td>
<td>100</td>
</tr>
<tr>
<td>Non-small cell lung cancer</td>
<td>227</td>
<td>8</td>
<td>100</td>
<td>27</td>
<td>13</td>
<td>100</td>
</tr>
<tr>
<td>Total</td>
<td>279</td>
<td>14</td>
<td>100</td>
<td>25</td>
<td>18</td>
<td>100</td>
</tr>
</tbody>
</table>

PPV = positive predictive value; NPV = negative predictive value.

### Table 5 Probability of abdominal metastases assessed with ultrasonography by clinical findings in patients with non-small cell carcinoma and those with small cell carcinoma*

<table>
<thead>
<tr>
<th>Category</th>
<th>Non-small cell carcinoma</th>
<th>Small cell carcinoma</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(n = 227)</td>
<td>(n = 52)</td>
</tr>
<tr>
<td>All 3 categories normal</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Any 1 category abnormal</td>
<td>3</td>
<td>0</td>
</tr>
<tr>
<td>Any 2 categories abnormal</td>
<td>12</td>
<td>44</td>
</tr>
<tr>
<td>All 3 categories abnormal</td>
<td>33</td>
<td>65</td>
</tr>
</tbody>
</table>

* Categories are defined in methods.
is to be hoped that future studies comparing the clinical evaluation with various imaging techniques state precisely the criteria of the clinical evaluation. Only one study detecting occult metastases defined clearly a negative evaluation with respect to metastases in the liver, brain, and bone. This included 146 patients with non-small cell lung cancer and compared the clinical organ status with the findings of the imaging of that organ. With regard to the liver, 9% of those with a normal liver assessed clinically had metastases revealed by ultrasonography. In contrast, the present study required a total negative clinical status, regardless of organ, to define the patient as having an unremarkable clinical evaluation.

The rate of false positive liver metastases by ultrasonography is about 0–7%. False positive findings may cause needless, dangerous and time-consuming diagnostic tests and, in the worst scenario, may prevent the patient from receiving a curative resection.

False negative abdominal ultrasonographic findings occur in 30–40% of patients with abdominal metastases. If ultrasonography is omitted in patients with a negative clinical evaluation, additional false negative cases might occur. Based on our findings, these additional cases might amount to 0–6% of patients with no markers of advanced disease. Whether this justifies routine abdominal imaging may be questioned. In a recent review on the search for metastases in patients with lung cancer cost effectiveness analyses were requested, weighing the risk of false negative clinical evaluation against the problems caused by false negative imaging, false positive imaging, and the costs of routine screening.

The predictive values of the positive clinical categories as to the presence of abdominal metastases were higher for patients with small cell carcinoma than for those with non-small cell carcinoma at the time of diagnosis. This was to be expected as the occurrence of abdominal metastases in the former histological group was much higher. For instance, in those with two abnormal categories eight of 66 patients with non-small cell cancers had metastases compared with eight of 18 with small cell cancers ($\chi^2 = 6.68, p<0.01$; table 3). The predictive value of a positive test is dependent on the true prevalence of the factor being examined.

The positive predictive value of the clinical evaluation was much lower than the negative predictive value (table 4). Our results indicate that ultrasonography is required to confirm the presence of metastatic disease in patients with an abnormal clinical finding, but only if such a confirmation has therapeutic consequences.

One could argue that potentially better techniques than ultrasonography, such as CT scanning or magnetic resonance imaging (MRI), would be able to detect smaller metastatic lesions. However, in a recent review comparing the ability of ultrasonography and CT scanning to detect liver lesions, the sensitivity for CT scanning was only slightly better than for ultrasonography. The sensitivity of the former category varied between 57% and 82%, and the latter between 58% and 71%. The relatively low values of both methods are mainly due to difficulties in demonstrating foci of less than 1 cm in diameter. Ultrasonography is slightly more specific than CT scanning for liver metastases, the figures varying between 94% and 100%, and 81% and 94%, respectively. In a recent study in which ultrasonography, CT scanning and MRI were compared in detecting liver lesions, the sensitivity of MRI was in between those of CT scanning and ultrasonography. Compared with CT scanning and MRI in the search for abdominal metastases, ultrasonography has the advantage that it is an inexpensive examination without side effects and, if a potential metastasis is found, it is possible to perform a guided fine needle aspiration directly from the tumour.

New imaging techniques such as helical CT scanning, breath holding, and millisecond MRI and high resolution ultrasonography may increase the sensitivity for abdominal metastases. On the other hand, these new methods may reveal tiny nodules of less than 1 cm in diameter and it may be very difficult to decide by fine needle aspiration whether or not they represent micrometastases.

Ultrasonography is less sensitive than CT scanning in detecting adrenal tumours. Hence, one could argue that in the present study asymptomatic patients might have a false negative status with regard to adrenal metastases. On the other hand, in a study of 173 patients with lung cancer in which the clinical findings were compared with evidence of adrenal metastases, no markers of advanced disease whether the adrenal scan at the time of diagnosis the authors concluded that a CT scan of the adrenal glands was unnecessary if the findings of a clinical examination were normal.

Ultrasonography and CT scanning are widely used on a routine basis in the search for metastases in patients with lung cancer. As a consequence, one might speculate that the clinical examination may be less thorough as the physician knows that the patients will also have a detailed imaging examination. However, if the clinical evaluation of the patients with lung cancer is the basis for further search for metastases, this should restore the importance of the clinical evaluation.

The retrospective design of our study may have led to impaired quality of the data compared with a prospective design. The intra-observer and inter-observer agreement was rather poor for some of the symptoms and clinical signs recorded from the patients' records. However, in the analyses the symptoms and signs were grouped into categories and the agreement between the categories was acceptable. Nonetheless, our findings need to be confirmed by prospective studies.

In conclusion, this study indicates that a careful clinical evaluation of patients with newly diagnosed lung cancer can identify those who are not at risk of having abdominal metastases found on ultrasonography. Our findings indicate that abdominal ultrasonography is not necessary in patients with a negative clinical evaluation. Such a diagnostic approach in patients with lung cancer will help to rationalise
expense and minimise the delay between diagnosis and treatment.

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