Perception of airflow obstruction and associated breathlessness in normal and asthmatic subjects: correlation with anxiety and bronchodilator needs

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Abstract

Background — Perception of bronchoconstriction varies between individuals and its determinants remain to be identified. The perception of airflow obstruction and breathlessness during induced bronchoconstriction was studied, and the effects of anxiety, repetition of the stimulus, and bronchodilator needs on these measurements were examined in normal and asthmatic subjects.

Methods — Fifteen normal (control) and 25 asthmatic subjects had two consecutive methacholine inhalation tests to induce a 20–50% fall in FEV1. Evaluation of the perceived magnitude of airflow obstruction, breathlessness, level of anxiety generated, and bronchodilator needs was obtained before each FEV1 measurement on a modified Borg scale from 0 to 10.

Results — Mean (SE) maximal fall in FEV1 in asthmatic and control subjects was of similar magnitude: test 1, 37.6 (1.4)% and 38.7 (3.1)%; and test 2, 36.0 (1.6)% and 27.7 (2.4)% respectively. There was a large interindividual variation in perception of airflow obstruction and breathlessness but, although they were well correlated in asthmatic subjects, they were perceived differently by the control subjects. Perception of airflow obstruction was greater in asthmatic subjects. The level of anxiety and the bronchodilator use were low and did not influence perception.

Conclusions — During induced bronchoconstriction, the overall perception of airflow obstruction and breathlessness were similar among asthmatic subjects but controls showed a higher perception of airflow obstruction for any given level of breathlessness. Asthmatic subjects perceived airflow obstruction and breathlessness to a greater degree than did controls but anxiety and bronchodilator need were not correlated with respiratory sensation. The variability of bronchodilator use for similar degrees of bronchoconstriction suggests that it may be misleading to assess the severity of asthma control using only this indirect measure.

Society criteria for asthma.14 Their asthma was mild to moderate and respiratory symptoms and medication were unchanged for at least one month. All used a \( \beta_2 \) agonist on demand, 14 subjects took an inhaled steroid in addition. \( \beta_2 \) agonist use was not formally recorded but on initial evaluation most patients used less than four inhalations per day. The control subjects comprised eight men and seven women of mean age 26.3 (1-6) years. None had any history of asthma, and all had normal baseline forced expiratory flows and airway responsiveness (table). The provocative concentration of methacholine inducing a 20% fall in forced expiratory volume in one second (PC\textsubscript{20}FEV\textsubscript{1}) was measurable (<256 mg/ml) in all subjects.

The study was approved by the Laval Hospital ethics committee and all subjects signed an informed consent form.

### STUDY DESIGN

At the first visit each subject completed a respiratory questionnaire and recorded measurements of expiratory flows and airway responsiveness to methacholine according to the method of Juniper et al.15 Expiratory flows were measured with a Vitalograph PFT II using standard guidelines.16 Progressive concentrations of methacholine were inhaled until a 20–50% fall in FEV\textsubscript{1} was achieved, to obtain a sufficient stimulus to allow a range of perception scores, without the airways obstruction becoming intolerable for the subject.

FEV\textsubscript{1} was measured initially then every minute after the first methacholine inhalation. Before each FEV\textsubscript{1} measurement the subject answered the following questions to which he was trained before the study: (1) How much do you think your airways have closed up (airflow obstruction)? (2) How short of breath are you presently; to what degree is your breathing uncomfortable (breathlessness)? (3) What is your level of anxiety now (anxiety)? (4) Do you feel the need to use your bronchodilator at this time, and if yes, how badly (bronchodilator need)?

Perception of airflow obstruction was defined as the sensation of a change in breathing pattern or the ability to breathe. Breathlessness was defined as the unpleasant sensation associated with bronchoconstriction. Current level of anxiety was characterised by subjective feelings of tension, apprehension, nervousness and worry, and by activation or arousal of the autonomic nervous system.

Each subject responded to these questions on two different perception scales: (1) a modified Borg scale where 0 was nothing and 10 maximal, and (2) on a visual analogue scale from 0 cm (no perception) to 20 cm (maximum).17 The visual analogue scale consisted of a horizontal 20 cm ruler without any mark on the patient’s side. The subject had to indicate his perception by moving a marker along the ruler. There was no significant difference between mean perception scores on the Borg and visual analogue scale for any tests both in control or asthmatic subjects (p>0.05). The Borg scale was used subsequently for comparisons between the different perception scores for each parameter studied, as well as for bronchodilator need assessment.

When the maximum fall in FEV\textsubscript{1} was obtained (50% or when symptoms were troublesome), the five previously described questions were then asked at five minute intervals over a period of 30 minutes. As soon as FEV\textsubscript{1} returned to within 80% of initial baseline value a second methacholine inhalation test was repeated with the same measurements.

### DATA ANALYSIS

To describe the relation between the fall in expiratory flow (independent variable) with the symptoms (airflow obstruction, breathlessness, and anxiety) and bronchodilator need (dependent variables) in asthmatic and control subjects a linear model of regression was used as well as an indicator variable (0 or 1) to identify groups. Furthermore, we introduced a cross-product term into the regression model (product between the fall in expiratory flow and the indicator variable that identified groups) as we expected an interaction effect between these two independent variables. The same approach was used to compare perception of breathlessness during bronchoconstriction and recovery. The indicator variable was used to identify bronchoconstriction or recovery.

Mean values of quantitative variables were compared with the Student’s paired \( t \) test for comparison between initial and final methacholine inhalation test and with a Student’s \( t \) test for comparison between the two study.
Perception of airflow obstruction in normal and asthmatic subjects

Figure 1  Relation between fall in expiratory flow and symptoms (airflow obstruction: AO, breathlessness: BR, anxiety: AN) in asthmatic (A) and control (C) subjects. Perception scores of asthmatic subjects were higher for airflow obstruction (p<0.01) and for breathlessness (p<0.01). Control subjects had higher scores for AO than BR (p<0.05). Anxiety levels were generally low in both groups and unrelated to perception of AO or BR.

Results

EXPIRATORY FLOWS AND AIRWAY RESPONSIVENESS

Baseline FEV₁ values in the asthmatic subjects ranged from 68% to 110% (mean: 90.4% predicted) and from 76% to 120% (mean 97.1%) in control subjects (table). Baseline FVC (percent predicted) was 84–136% (mean 102%) for the asthmatic subjects and 85–121% (mean 101%) for the non-asthmatic subjects. PC₂₀ varied from 0.12 to 6.44 mg/ml (geometric mean (GM) 1.12) in asthmatic subjects and from 11.3 to 151 mg/ml (53.5) in control subjects. On the second methacholine inhalation test the PC₂₀ in the asthmatic subjects was similar and the respective values in the two groups of subjects were 0.09–8.49 mg/ml (mean 1.09) and 8.0–256 mg/ml (mean 52.4).

At the beginning of the second test all subjects had an FEV₁ over 80% of baseline except one who had not recovered sufficiently to proceed to the second challenge test. The mean (SE) maximum fall in FEV₁ after the first methacholine inhalation test was 37.6 (1.4)% for the asthmatic subjects and 38.7 (3.1)% for control subjects from baseline. On the second test the fall in FEV₁ was 36.0 (1.6)% and 27.7 (2.4)% respectively (p<0.01).

COMPARATIVE PERCEPTION OF CHANGES IN EXPIRATORY FLOW, ASSOCIATED DISCOMFORT AND ANXIETY IN ASTHMATIC AND CONTROL SUBJECTS

There was considerable variability between subjects in the perception of the different variables evaluated, although in the asthmatic subjects scores were higher, whatever the percentage fall in FEV₁, both for the perception of physiological stimulus (p<0.01) or the associated discomfort (breathlessness) (p<0.01) (fig 1). Furthermore, as shown in fig 1, this difference increased with the severity of
BR scores, $-0.67 (0.26)$ and $-0.82 (0.31)$, respectively (fig 3).

Anxiety levels were low in both groups and did not correlate with breathlessness or bronchodilator needs. In the asthmatic subjects, compared with control subjects, median scores of anxiety were 0 for tests 1 and 2 ($p>0.05$) when the FEV$_1$ fell by 20%.

**PERCEPTION OF PHYSIOLOGICAL CHANGE V BREATHLESSNESS AND ANXIETY**

After a 20% fall in FEV$_1$ (fig 2), the perception of breathlessness compared with perception of the physiological change was similar among asthmatic subjects in test 1 ($p>0.05$). During bronchoconstriction the perception of changes in expiratory flow was higher than that of breathlessness in control subjects in test 1 ($p<0.05$) but not in test 2 ($p>0.05$). During recovery perception was similar in the two groups (fig 3). There was no significant correlation between anxiety scores and airflow obstruction, breathlessness, and bronchodilator need.

**INFLUENCE OF REPEITION OF BRONCHOCONSTRICTION ON PERCEPTION**

There was no significant difference in perception of airway obstruction and breathlessness or anxiety (fig 2) between the initial and second methacholine inhalation test. Looking at a possible acute temporal adaptation, we found that mean perception scores for a similar fall in FEV$_1$ were identical before the first and second FEV$_1$ measurements performed at a one minute interval.

**PERCEPTION OF BREATHLESSNESS ON INDUCTION OF BRONCHOCONSTRICTION COMPARED WITH RECOVERY**

The slope of perception of breathlessness/percentage fall in FEV$_1$ was similar during induction and recovery for asthmatic subjects but was steeper in controls during induction of bronchoconstriction ($p<0.05$). Although the overall perception of breathlessness was less on recovery, the mean perception at 20% fall in FEV$_1$ on recovery compared with induction was similar in both groups ($p>0.05$).

**BRONCHODILATOR NEEDS IN RELATION TO FALL IN FEV$_1$**

The perceived need for bronchodilator use was small in both groups of subjects but lower in the controls, particularly after the first methacholine inhalation test, and did not correlate with airflow obstruction, breathlessness, or anxiety scores. The respective mean (SE) percentage fall in FEV$_1$ at which the subjects felt the need to use their bronchodilator was 17.6 (3.0)% (asthmatics) and 34.0 (3.4)% (controls) in test 1 ($p<0.01$); and 17.0 (2.9)% (asthmatics) and 25.0 (2.6)% (controls) in test 2 ($p<0.01$) (fig 4).
Discussion
We found that perception of airflow obstruction and associated breathlessness following methacholine induced bronchoconstriction was usually correlated, although some subjects, particularly non-asthmatics, had lower scores for breathlessness at a given perception score of airflow obstruction. Mean perception scores for airflow obstruction and breathlessness were also higher in asthmatic than control subjects. Anxiety levels and bronchodilator needs during induced bronchoconstriction were low and did not correlate with breathlessness.

Subjects could differentiate changes in airway calibre and breathlessness independently. Perception of a fall in expiratory flow and breathlessness were closely correlated in most individuals, although there was a range of differential perception between the two sensations. In some, a perceived change in pulmonary function was associated with significantly less discomfort. This has been found with other types of sensations, such as pain induced by pressure, where some tolerate a high level of pressure before complaining of pain, while others note pain on minimal pressure. Other observations also suggest a dissociation in some subjects between perception of physiological changes such as inspiratory effort and dyspnoea, defined as an unpleasant urge to breathe. These differences may be related to the mechanisms involved in the perception of nociceptive stimuli at the central nervous system level, at the sensory afferent pathways, or to adaptation to a recurrent stimulus or other psychological factors such as anxiety. In the present study, however, neither anxiety nor repetition of the stimulus was related to perception of breathlessness.

Other determinants of perception, such as airflow obstruction or breathlessness, may be related to hyperventilation and also to anxiety. Although ventilation was not measured in this study, we have previously reported an increase in perception scores during resistive loaded breathing in asthmatic subjects, although they had significantly lower minute ventilation rates than controls. Furthermore, we and others have suggested a role for hyperinflation in the perception of acute bronchoconstriction.

The observation that control subjects have lower levels of perception than asthmatic subjects is in keeping with our previous data and those of others, which showed that asthmatic subjects had higher perception scores when progressive increases in resistive loads were applied. We may hypothesise that asthmatics are used to recognising this type of change in lung function or that other events such as methacholine-induced increase in lung volumes may be more severe in asthmatic subjects, although further studies are needed to verify this.

The nature and origin of anxiety is still an object of debate. In many psychophysiological studies, however, including the present one, state anxiety may be operationally defined to allow its measurement. The low level of anxiety we observed may be because some of our subjects had performed previous provocation tests and felt secure in the hospital surrounding. This may be different if bronchoconstriction occurred when they were alone, outside medical facilities, or if they had associated panic attacks or a high level of anxiety. The absence of correlation with anxiety is different from that reported by Zamary who showed that dyspnoea induced by hypercapnic stimulation correlated well with the level of anxiety generated by the test. This may be related to the magnitude or the nature of the stimulus. In our study, even with a fall in FEV₁, of as much as 50%, many subjects were not particularly uncomfortable.

Orehk et al suggested that chronic airflow obstruction causes a reduction in perception of change in bronchial tone. We have reported that perception of bronchoconstriction is related to its speed of onset, suggesting a temporal adaptation. However, we found no short term adaptation as the mean perception scores after the first and second methacholine inhalation tests were similar.

Another finding was the low level of bronchodilator requirement in both control and asthmatic groups. This may be related to the secure environment of the hospital, although we took care to ask the subjects to assess their bronchodilator needs as if they were not in a medical environment. This suggests that, in many individuals, it may take large changes in pulmonary function to create a need for medication. Bronchodilator need assessment may sometimes overestimate but, most importantly, underestimate the level of control of asthma. Again control subjects perceived less need than asthmatic subjects, possibly as they were less used to the relief produced by such treatment. Finally, the patients had mild to moderate asthma and these observations may differ in more severe patients or in those with more brittle asthma.

In conclusion, perception of bronchoconstriction and associated breathlessness varies between subjects and is not usually related to anxiety or bronchodilator needs, nor correlated to either of these parameters. Our group and Ruffin et al have reported that the level of perception of induced breathlessness does not predict whether an asthmatic subject is at risk of a severe attack. However, identifying subjects with a low level of breathlessness who readily perceive physiological change (airflow obstruction) may be valuable. Furthermore, bronchodilator needs should be used with caution as a measure of asthma control, and is better measured in conjunction with objective measurements such as peak flow.

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