Effect of cigarette smoking on evolution of ventilatory lung function in young adults: an eight year longitudinal study

Marita S Jaakkola, Pierre Ernst, Jouni J Jaakkola, Lucy W N’gan’ga, Margaret R Becklake

Abstract

Background There are few data on the quantitative effects of cigarette smoking on lung function in young adults. These effects are important in the understanding of the early stages of chronic airflow obstruction.

Methods A longitudinal study over eight years was carried out to estimate quantitatively the effect of cigarette smoking on ventilatory lung function in young adults and to examine the possibility that the effect is modified by other factors. The study population were 15 to 40 years of age at initial examination, when they underwent spirometry and completed an interviewer administered questionnaire on respiratory health. Eight years later 391 of the subjects were re-examined (38% response rate). The quantitative effect of cigarette smoking during the study period on the average change of forced expiratory volume in one second (FEV₁) over time (ΔFEV₁) was estimated in two linear regression models that included potential confounders and other determinants of outcome.

Results The first model showed a significant dose–response relation between the average rate of smoking during the study period and ΔFEV₁, giving an estimate of annual change in FEV₁ of −0.42 ml for each cigarette smoked per day (−8.4 ml for each pack) (p = 0.04). In the second model, which took smoking before the study period as a potential confounder, the effect of smoking during the study period was slightly smaller (−0.33 ml/year for each cigarette smoked per day). This indicated that smoking before the study period had a marginal latent effect on FEV₁ during the study. However, neither the effect of smoking before the study nor that of smoking during the study was significant, presumably because of collinearity. Interactions between cigarette smoking and gender, wheezing, atopy, and exposure to environmental tobacco smoke during the growth period were not significant with respect to their effect on the relation between cigarette smoking and ΔFEV₁.

Conclusion Cigarette smoking has a dose related adverse effect on the evolution of ventilatory lung function in young adulthood.

Introduction

Understanding the early evolution of ventilatory impairment is important for the prevention of chronic obstructive pulmonary disease as this disease develops gradually over time and symptoms severe enough to raise concern appear at a late stage of the disease. Cigarette smoking has been identified to be the most important determinant of ventilatory impairment. In longitudinal studies smoking has been shown to impair the growth of forced expiratory volume in one second (FEV₁) in children and cause an accelerated decline in FEV₁ in adults. Only Fletcher et al. and Peat et al. have reported quantitative estimates of the association between the numbers of cigarettes smoked and the rate of decline of FEV₁ in a regression model adjusting for age and, in the study of Fletcher et al., for mean FEV₁/height³. Their study was carried out in a middle aged male population in London and the study of Peat et al. in a population aged 20 years or older in Western Australia. Little is known about the magnitude of the effect of smoking in young adults.

In their eight year prospective study of 792 men Fletcher et al. found that smoking seemed to affect only a subgroup of smokers, suggesting a susceptibility that could be affected by other environmental hazards. The determinants of susceptibility to the effects of smoking have been difficult to identify. Several other probable determinants of ventilatory function have been recognised, including genetic factors, atopy, non-specific airways hyperresponsiveness, childhood respiratory illness, the presence of respiratory symptoms, socioeconomic status, alcohol consumption, exposure to environmental tobacco smoke, outdoor air pollution, and certain occupational exposures. Their role as potential modifiers of the effects of cigarette smoking on ventilatory function, however, has yet to be studied. In our cross sectional study of the effects of smoking on lung function in young adults we found that the adverse effects of smoking were limited to a subgroup of subjects with wheezing, suggesting that wheezing is an important indicator of individual susceptibility.
The objectives of the present study were to estimate quantitatively the effect of cigarette smoking during the study period on change in ventilatory function in young adults and to examine whether this effect is modified by other factors.

Methods

STUDY POPULATION

The baseline population consisted of 1044 young, white adults, who were 15 to 40 years of age at initial examination in 1980–1. The population was recruited from a high school, a junior college, and two banking institutions in Montreal. To be included the subject had to perform at least one acceptable spirometric test. More detailed description of the baseline population is given in a previous report. Follow up surveys were carried out in 1981–2 and 1982–3. A total of 603 subjects (58% of the baseline population) participated in the first follow up survey and 453 subjects (43%) in the second survey.

In 1988–9 all subjects from the baseline study were contacted and asked to participate in a follow up study. To maximise follow up testing took place in Toronto as well as Montreal. In all, 395 subjects (38% of the baseline population) had follow up spirometry measurements taken and answered the American Thoracic Society’s respiratory questionnaire (ATS-DLD-78–A). Of the 395 subjects retested, four were excluded—one because she was pregnant at the time of re-examination, two in whom no acceptable follow up spirometry tracings were obtained, and one subject whose FEV₁ at baseline was incorrect because of technical problems. Thus the study population consisted of 391 subjects—177 men (45%) and 214 women (55%). The mean (SD) follow up time was 7.7 (0.49) years.

SPIROMETRY

The lung function measurements carried out during the initial survey have been described in detail. During the baseline study and the first two follow up surveys each subject performed three maximal expiratory flow manoeuvres, which were recorded with a heated Fleisch No 4 pneumotachograph. In the follow up study in 1988–9 the subjects performed forced vital capacity manoeuvres according to the standardised methods recommended by the American Thoracic Society. The aim was to get a minimum of three acceptable tracings. Spirometry was carried out using a 10 litre water sealed bell spirometer attached to a computer (Collins DSII/plus system), which provided results adjusted to BTPS according to ambient temperature and pressure measurements recorded twice a day. In each survey the equipment to record spirometry was calibrated daily by an independent measure of volume. The largest FEV₁ value from the acceptable tracings in each survey was used in the analysis. The spirometry results of the first two follow up surveys were included in the analyses for those who participated in the 1981–2 survey (266 subjects, 68%) and/or in the 1982–3 survey (242 subjects, 62%).

QUESTIONNAIRES

During the baseline study all subjects responded to an interviewer administered standardised questionnaire (ATS-DLD-78–A) with questions about exposure to environmental tobacco smoke during their period of growth added to the standard questionnaire. Those who performed lung function testing in 1988–9 answered the questionnaire again, on this occasion by using an interactive program on a personal computer.

STATISTICAL METHODS

Outcome

The outcome of interest was the rate of change of ventilatory lung function over time. The mean rate of change of FEV₁ (dFEV₁) during the study period was chosen as the outcome of the analysis. The slope of the change of FEV₁ was calculated for each subject from the two to four available measurements at different times during the study by the least squares method.

The outcome was used unadjusted in the analysis to leave it in its most understandable and natural form. This is in line with the suggestion of Vollmer et al.

Exposure

The exposure to tobacco smoke of the study population was categorised according to the answers to the questionnaires at baseline and in 1988–9 as follows: (i) index category, which included continuous cigarette smoking (cigarette smoker in 1980–1 and in 1988–9) and cigarette smoking started during the study period (never smoker in 1980–1 and cigarette smoker in 1988–9); (ii) reference category, which included no exposure to tobacco smoke (never smoker in 1980–1 and in 1988–9); and (iii) other smoking category, which included all the other forms of exposure to tobacco smoke (cigarette smoker in 1980–1 who gave up smoking during the study period, former cigarette smoker in 1980–1 and in 1988–9, former cigarette smoker in 1980–1 who started smoking again during the study period, never smoker in 1988–9 and former cigarette smoker in 1988–9, current or former smoker of pipe or cigar, or both).

The main determinant of interest was cigarette smoking during the study period (index category). Cigarette smoking was measured quantitatively as the average rate of smoking during the study period (estimated as the average number of cigarettes smoked daily in 1988–9). Cigarette smoking before the study period was considered separately as a potential confounder of the effect of cigarette smoking during the study period on dFEV₁. As the duration of earlier smoking varied considerably a cumulative exposure in cigarette years rather than average daily rate was used. Cumulative exposure was calculated by multiplying the duration of smoking before the study period by the average number of cigarettes smoked daily reported in the first questionnaire in 1980–1.

Covariates

All the potential determinants of dFEV₁ were considered as potential confounders of the outcome-exposure relation. The following
varieties were examined: age, gender, height, Quetelet index (100 [weight/height\(^2\)]), baseline FEV\(_1\), wheezing, atopy, asthma diagnosed by a doctor, early childhood respiratory illness, exposure to environmental tobacco smoke during the growth period, and occupational exposure to dust or chemical fumes, or both, for a year or more.

Age is a major determinant of \(\Delta\)FEV\(_1\) over the studied age range (15–40 years at baseline). In the natural evolution of ventilatory function the earlier age period studied belongs to the late growth phase, and the later age period studied is expected to show a gradual decline of lung function due to the normal aging process.\(^{32,34}\) Thus \(\Delta\)FEV\(_1\) decreases from a positive value (during growth) through zero (plateau) to a negative value (decline in function) with aging. A linear relation between \(\Delta\)FEV\(_1\) and age was assumed to be a good simple estimate. Baseline FEV\(_1\) was expected to affect \(\Delta\)FEV\(_1\) because an absolute change rather than a proportional change was used as the outcome. Height and Quetelet index are physiological determinants of FEV\(_1\), and thus potential determinants of \(\Delta\)FEV\(_1\).

Information on wheezing, atopy, asthma diagnosed by a doctor, early childhood respiratory illness, and occupational exposure to dust or chemical fumes, or both, was based on the standardised questions of the American Thoracic Society’s questionnaire at the baseline interview. Wheezing was defined as being present when the subject answered yes to any of the following questions: “Does your chest ever sound wheezy or whistling when you have a cold?”—“Occasionally apart from colds?”—“On most days and nights?” Wheezing was defined as being absent when the subject answered no to all three questions. The exposure to environmental tobacco smoke was classified according to the answer (yes or no) to the question: “Did any member of your family living at home smoke cigarettes while you were growing up?”

Data analysis

Simple linear regression of \(\Delta\)FEV\(_1\) on age was calculated in men and women who had never smoked (the reference group) and smokers (the index group) to see if the assumption of a linear relation between \(\Delta\)FEV\(_1\) and age was justified. Mean \(\Delta\)FEV\(_1\) was studied in categories of other determinants.

The quantitative effect of cigarette smoking on \(\Delta\)FEV\(_1\) was assessed in a multiple linear regression model, adjustment being made for all potential confounders to obtain an unbiased estimate.\(^{35}\) The quantification of cigarette smoking (cigarettes per day) during the study period was included as the main determinant of interest. Cigarette smoking before the study period could have affected \(\Delta\)FEV\(_1\) during the study directly, or indirectly through a decrease in baseline FEV\(_1\), which is a determinant of \(\Delta\)FEV\(_1\). Adjustment for baseline FEV\(_1\) would be expected to control for only indirect confounding. As smoking before and during the study period were likely to be correlated inclusion of earlier smoking was problematic and models with and without earlier smoking were fitted.

In the regression analysis the contrast of cigarette smoking during the study period (index category) with never smoking (reference category) was achieved by including an indicator ("dummy") variate of the other smoking category (coding 1 = yes, 0 = no). Outcome in the reference category was thus defined when all the variates of smoking were 0. The variates of smoking and all the covariates were fitted in the main effects model.

Cigarette smoking for the index category was expressed quantitatively with two variates: (1) the mean rate of cigarette smoking during the study period, and (2) the cumulative number of cigarettes smoked before the study period. The main interest was in the prospective study of the effect of cigarette smoking on \(\Delta\)FEV\(_1\) during the study period. Two models to explain \(\Delta\)FEV\(_1\) were fitted with different variates of quantitative smoking: model 1 with variate 1 only and model 2 with variates 1 and 2 as independent variates.

The question of sensitivity to the effects of smoking was addressed by studying modification of the additive model. The following variates were considered as potential modifiers: gender, wheezing, atopy, and exposure to environmental tobacco smoke during the growth period. The numbers of subjects with asthma (nine) and childhood respiratory illness (six) were too small to study. With all the potential confounders in the model modification of the effect of exposure was studied by introducing exposure-covariate product terms one by one and retaining them in the model according to the significance of the regression coefficient (\(p < 0.05\)).

---

**Table 1** Baseline characteristics (continuous variates) of study population, those lost to follow up, and baseline population. Figures are mean (SE) values

<table>
<thead>
<tr>
<th>Table 1</th>
<th>Baseline characteristics (continuous variates) of study population, those lost to follow up, and baseline population. Figures are mean (SE) values</th>
</tr>
</thead>
<tbody>
<tr>
<td>Study population*</td>
<td>Subjects lost to follow up</td>
</tr>
<tr>
<td></td>
<td>Males (n = 177)</td>
</tr>
<tr>
<td>Age (years)</td>
<td>27.6 (0.43)</td>
</tr>
<tr>
<td>FEV(_1) (l)</td>
<td>4.49 (0.045)</td>
</tr>
<tr>
<td>Forced vital capacity (l)</td>
<td>5.24 (0.051)</td>
</tr>
<tr>
<td>Height (cm)</td>
<td>176.4 (0.49)</td>
</tr>
</tbody>
</table>

*Four subjects re-examined were excluded from the study population.

\(^{p} < 0.05\) For the difference between the study population and those lost to follow up (t test).
Smoking status:
- Current smoker
- Former smoker
- Never smoker
- Pipe/cigar smoker

Symptoms:
- Cough
- Phlegm
- Wheezing
- Breathlessness

Results

COMPARISON OF BASELINE CHARACTERISTICS

Tables 1 and 2 give the baseline characteristics of the study population, subjects lost to follow up, and the original baseline population. There were significantly more men in the study population (45%) than among subjects lost to follow up (37%). The study population was significantly older than subjects lost to follow up (p < 0.05) for both men and women, but their baseline FEV₁ and forced vital capacity did not differ. The study population differed from those not followed up with respect to only two other baseline characteristics: self reported asthma diagnosed by a doctor and wheezing were less common among those re-examined than among those not followed up, the differences being significant only in women. There were no significant differences in the proportion of current cigarette smokers between the two groups.

SMOKING HABITS DURING FOLLOW UP

In the study population 99 subjects (25%) smoked cigarettes at baseline and throughout the study period, and four subjects (1%) started smoking during the study period (table 3). Thus the total number of exposed subjects (index category) was 103 (26%)-25 men and 78 women. There were 164 subjects (42%)—82 men and 82 women—who had never smoked (the reference group). The remaining 124 subjects (32%) had different types of current and previous exposures to tobacco products. Table 3 gives the distribution of exposure during (in cigarettes per day) and before the study period (in cigarette years) for the index category.

STRAITIFIED ANALYSES

Simple linear regression showed a significant decreasing trend of FEV₁ with age in both men and women who had never smoked (the reference group, p < 0.01) and smokers (the index group, p < 0.05). In the bivariate analyses of mean FEV₁ and potential confounders in men and women only the difference in mean FEV₁ between men with occupational exposure to dust (— 39-2 ml/year) and those without exposure to dust (— 11-2 ml/year) was significant (p < 0.05).

MULTIVARIATE ANALYSES

There was a significant relation between the average rate of smoking during the study period and FEV₁ (table 4, model 1). The estimate for the adverse effect of smoking on FEV₁ was — 0-42 ml/year for each cigarette smoked per day during the study period (— 8-4 ml/year for each pack per day). Taking into account the cumulative exposure before the study period, point estimates for the adverse effects of smoking were — 0-33 ml/year for each cigarette smoked per day during the study period (— 6-6 ml/year for each pack per day) and — 0-97 ml/year per 100 cigarette years of exposure before the study period; neither effect was significant (table 4, model 2). Exposure before and during the study period were...
Effect of cigarette smoking on evolution of ventilatory lung function in young adults

<table>
<thead>
<tr>
<th>Table 4 Linear regression models of quantitative effect of cigarette smoking on FEV1 (ml/year). Main effects models with different exposure variates. Figures are regression coefficients (SE)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Model 1</strong></td>
</tr>
<tr>
<td>Quantitative cigarette smoking:</td>
</tr>
<tr>
<td>During follow up†</td>
</tr>
<tr>
<td>-0.42 (0.205)*</td>
</tr>
<tr>
<td>Before follow up†</td>
</tr>
<tr>
<td>Other smoking (1 = yes, 0 = no)</td>
</tr>
<tr>
<td>-6.61 (4.085)</td>
</tr>
<tr>
<td>Gender (1 = male, 0 = female)</td>
</tr>
<tr>
<td>17.33 (6.107)*</td>
</tr>
<tr>
<td>Age (years)</td>
</tr>
<tr>
<td>Height (cm)</td>
</tr>
<tr>
<td>Quetelet index (kg/cm²)</td>
</tr>
<tr>
<td>Baseline FEV1 (l)</td>
</tr>
<tr>
<td>Wheezing (1 = yes, 0 = no)</td>
</tr>
<tr>
<td>Atepy (1 = yes, 0 = no)</td>
</tr>
<tr>
<td>Asthma (1 = yes, 0 = no)</td>
</tr>
<tr>
<td>Childhood respiratory illness</td>
</tr>
<tr>
<td>(1 = yes, 0 = no)</td>
</tr>
<tr>
<td>Passive smoking during growth</td>
</tr>
<tr>
<td>(1 = yes, 0 = no)</td>
</tr>
<tr>
<td>Occupational exposure to dust</td>
</tr>
<tr>
<td>(1 = yes, 0 = no)</td>
</tr>
<tr>
<td>Occupational exposure to chemical fumes</td>
</tr>
<tr>
<td>(1 = yes, 0 = no)</td>
</tr>
<tr>
<td>Intercept</td>
</tr>
<tr>
<td>R²</td>
</tr>
</tbody>
</table>

*P < 0.05.
†For each cigarette smoked per day (the average smoking rate during the study period).
‡For each cigarette year (calculated by the average number of cigarettes smoked daily as reported in 1980-1 multiplied by the duration of smoking before the study years).

Effect of smoking during the study period was slightly smaller (−6.6 ml/year for each pack smoked per day or −4.3 ml/year per 100 cigarette years). The effect of smoking before the study period was −1.0 ml/year per 100 cigarette years. This is compatible with the hypothesis that smoking before the study period has had a slight continued effect on δFEV1 during the study period in addition to its effect on initial FEV1. Thus the second model provides for the unconfounded estimate of the effect of smoking during the study period. However, apparently as a result of the high collinearity of smoking before and during the study period neither effect was significant in this model.

Comparison with the estimates from other studies is difficult to make because of differences in age ranges and in definitions of outcome and exposure. The age and FEV1/height adjusted estimate of the FEV1 slope of Fletcher et al was −0.3 ml/year/1000 packs of lifetime exposure.25 Peat et al reported recently a longitudinal study of 225 smokers and 759 never smokers from Australia, in which they estimated the association of the number of cigarettes smoked daily at the end of the study with decline of FEV1/height1 during the preceding years.22 The decline of FEV1 after adjusting for age in linear regression was 0.11 ml/m²/year multiplied by the number of cigarettes smoked daily as reported in the final survey.

When studying the environmental determinants of lung function in young adulthood one of the major issues is how to adjust for the effect of age on the natural evolution of ventilatory lung function. During the age period studied ventilatory function reaches its maximum level and begins a gradual decline with aging. In terms of change in lung function over time we assumed there to be a monotonic decline from a positive to a negative change. A significant linear decreasing relation between δFEV1 and age was found in both men and women never smokers and smokers. While a linear relation seems to be a good estimate, it is likely that the true relation is sigmoidal, indicating a plateau between the growth and decline phase.33,34

Our second objective was to study modification of the effect of cigarette smoking on δFEV1 by other factors, with special reference to wheezing. Wheezing, atopy, exposure to environmental tobacco smoke during the growth period, and gender did not modify this relation significantly. Our cross sectional study of the baseline population suggested that wheezing modifies the effect of smoking on FEV1 significantly.34 Our finding was consistent with the results of Lebowitz et al in a follow up study of 353 subjects aged 5-15 years at the time of their initial testing.37 They found that those who smoked and had respiratory symptoms (cough, phlegm, wheeze, attacks of shortness of breath or wheeze, or any asthma) had the lowest end point FEV1 and Vmax50 residuals (observed − predicted). We could not, however, find evidence of modification of the effect of smoking on change in FEV1, by wheezing. Longitudinal study design is usually considered stronger than a cross sectional study.

Discussion

Our first objective was to provide a quantitative estimate of the effect of cigarette smoking on change in ventilatory lung function over time in young adults. Because of the longitudinal study design it was possible to use individual change in FEV1 as the outcome and the average rate of smoking during the eight year study period as an estimate of exposure. Cigarette smoking before the study period, however, is a potential confounder when studying the effect of cigarette smoking on δFEV1 during the study period, and the high correlation between smoking before and during the study period made it difficult to differentiate these two effects. Two models were fitted to illustrate the effects of cigarette smoking. The first model showed a significant dose-response relation between smoking during the study period and δFEV1, giving an estimate of annual change of −8.4 ml for each pack smoked per day, corresponding to an excess decline of 65 ml during the study period. This estimate is likely to include an effect of earlier smoking on δFEV1. In the second model, which contained smoking before and during the study period, the effect of highly correlated (r = 0.80), so collinearity was probably responsible for the lack of significance of the variates when included concurrently in model 2.36

Age, gender, height, and baseline FEV1 were all significant determinants of FEV1 in both models with different choices of exposure. None of the other covariates included in the models was significant.

The effect of cigarette smoking on δFEV1 was not modified significantly by gender, wheezing, atopy or exposure to environmental tobacco smoke during the growth period.
design, but a lower power due to a smaller number of observations may have been a limitation in studying modification in our study. It is also possible that wheezing develops concurrently with smoking in susceptible smokers. In this case it would be difficult to show the modification during the study period. The question as to whether wheezing indicates susceptibility to the effect of cigarette smoking on change in ventilatory function needs further research.

The proportion of the initial population that is lost to follow up is an important problem in longitudinal studies. In studies similar to ours the follow up rates have varied between 30% and 75%, 10-12 The highest follow up rates have been achieved in studies with a shorter length of follow up—for example, 75% in the study by Beaty et al with an average follow up time of 4-7 years, 17 whereas longer follow up times have usually been accompanied by a lower response rate—for example, 30% of the original population in the study by Kauffmann et al with a follow up of 12 years. 11 Other studies have not stated clearly the information needed to calculate the exact follow up rate, but the response rates seem to be similar. 18-22 A follow up percentage of 38 over eight years was achieved in our study. Although this is relatively low, the study population did not differ significantly from those not followed up with respect to the baseline FEV1, and forced vital capacity or with respect to current cigarette smoking. The difficulty in tracing subjects was not surprising considering that our study population consisted of young adults. Tracing the youngest age groups was especially difficult, as reflected in the comparison of the average baseline age, which was significantly older in the study population than among those lost to follow up for both men and women. The main reasons for subjects moving out of the Montreal area were related to further education or change in job and were not likely to be related to respiratory health status. The lesser occurrence of asthma and wheezing in the study population than in those lost to follow up is at least partly explained by the fact that asthmatic subjects were not contacted in the 1981–2 or 1982–3 surveys. This difference is unlikely to affect the assessment of the effect of smoking because the presence of asthma or wheezing did not have significant independent effects on the outcome, and their potential confounding was controlled in the multivariate analyses.

Our results provide evidence for an adverse effect of cigarette smoking on the evolution of ventilatory lung function already in young adulthood and show that this effect is dose related. As a new methodological approach, smoking before the study period was considered as a potential confounder of the effect of smoking during the study period. Our results suggest that earlier smoking has a slight continued effect on the decline of ventilatory function during the study period in addition to its effect on initial lung function.

We thank Ms Maria Mazi for her work in tracing study subjects and data collection, Ms Grace Gerardi for technical assistance in data collection, and Mr Dan Nguyen for record linkage. This study was supported by a grant from the Medical Research Council of Canada. MSJ was supported by grants from Department of Medicine Research Fund, Royal Victoria Hospital, McGill University, and from Ida Montin Foundation, the Finnish Anti-tuberculosis Association, and the Vainio Kai Foundation. PE received the Fraser, Monet, McPherson Faculty Award from McGill University. JNN is a three investigator of the Medical Research Council of Canada.

Effect of cigarette smoking on evolution of ventilatory lung function in young adults

Effect of cigarette smoking on evolution of ventilatory lung function in young adults: an eight year longitudinal study.
M S Jaakkola, P Ernst, J J Jaakkola, L W N'gan'ga and M R Becklake

Thorax 1991 46: 907-913
doi: 10.1136/thx.46.12.907

Updated information and services can be found at:
http://thorax.bmj.com/content/46/12/907

These include:

Email alerting service
Receive free email alerts when new articles cite this article. Sign up in the box at the top right corner of the online article.

Notes

To request permissions go to:
http://group.bmj.com/group/rights-licensing/permissions

To order reprints go to:
http://journals.bmj.com/cgi/reprintform

To subscribe to BMJ go to:
http://group.bmj.com/subscribe/