Relationship between improved airflow limitation and changes in airway caliber induced by inhaled anticholinergics in chronic obstructive pulmonary disease

Masaru Hasegawa, Hironi Makita, Yasuyuki Nasuhara, Kaoruko Shimizu, Nao Odajima, Katsura Nagai, Yoko Ito, Satoshi Konno, Tomoko Betsuyaku, and Masaharu Nishimura*

First Department of Medicine

Hokkaido University School of Medicine, Sapporo, Japan

*Correspondence to: Masaharu Nishimura, M.D.

First Department of Medicine, Hokkaido University School of Medicine

N-15 W-7 Kita-ku, Sapporo 060-8638, Japan

Fax: +81-11-706-7899; Tel: +81-11-706-5911

E-mail: ma-nishi@med.hokudai.ac.jp

Short running head: Bronchodilation assessed by CT

Keywords: bronchodilation, tiotropium, computed tomography, pulmonary function

Word Count: 3289

Abstract

Rationale: Although airflow limitation improved by inhaled anticholinergic drugs varies among individuals with chronic obstructive pulmonary disease (COPD), the relationship between actual bronchodilation and improved pulmonary function and where in the lung such bronchodilation occurs remains unknown.

Objectives: To determine the relationship between improved pulmonary function and changes in airway caliber at various sites among airways in response to inhaled anticholinergics in patients with COPD, using 3-dimensional computed tomography (CT).

Methods: We performed CT at deep inspiration and detailed pulmonary function tests before and 1 week after daily inhalations of tiotropium bromide in 15 patients with clinically stable COPD. We analyzed the airway luminal area at the 3rd (segmental) to the 6th generations of 8 bronchi in the right lung.

Measurements and main results: Bronchodilation was demonstrated as an overall average of a 39% increase in the inner luminal area, and the mean forced expiratory volume in 1 sec (FEV₁) increased from 1.23 ± 0.11 to 1.47 ± 0.13 (SE). The magnitude of bronchodilation closely correlated with improved pulmonary function, particularly with that of FEV₁ ($r = 0.843$, $p < 0.001$). Such correlations were significant at the 4th to the 6th, but not at the 3rd generation of bronchi, and the slope of regression lines became steeper from the 3rd to the 6th generation.

Conclusions: Inhaled anticholinergics induce overall bronchodilation in proportion to improvements in FEV₁ in patients with COPD, and bronchodilation at distal, rather than proximal airways is the determinant of functional improvement.

Word Count: 244 words

INTRODUCTION

Chronic obstructive pulmonary disease (COPD) is characterized by progressive airflow limitation that is not fully reversible. It is caused by a mixture of abnormal inflammatory responses in small airways and parenchymal destruction of the lungs, the relative contributions of which vary among individuals.[1]

Bronchodilator medications are central to the symptomatic management of COPD, although the magnitude of bronchodilation varies widely.[1-3] Factors that determine inter-subject variation in the bronchodilator response include pre-bronchodilator values of forced expiratory volume in 1 sec (FEV₁),[2-4] smoking status,[2, 5, 6] and β_2 adrenergic receptor gene polymorphism.[6] However, they account for only a small portion of the response. All classes of bronchodilators are thought to function by altering airway smooth muscle tone, and improvements in expiratory flow should reflect widening of the airways rather than changes in lung elastic recoil.[1, 7] However, the relationship between actual bronchodilation and improved pulmonary function and also where in the lung such bronchodilation occurs in patients with COPD have never been visually demonstrated. Moreover, bronchodilatory heterogeneity in the lungs has not been proven by any modality.

We designed a program to measure airway dimensions on computed tomography (CT) using the algorithm of curved multiplanar reconstruction. This allows visualization and accurate analysis of longitudinal bronchi and short axes of airways perpendicular to the long axis located anywhere in the lung.[8] We used our software to analyze airways with dimensions up to the 6th generation of bronchi with an average inner diameter of 2.3 mm, and demonstrated that airflow limitation in patients with COPD is more closely linked to the dimensions of distal than proximal airways.[8]

Here, we attempted to measure the magnitude of bronchodilation (airway widening) at various sites throughout the lungs of 15 patients with moderate to severe COPD in response to daily inhalations of tiotropium bromide for 1 week. This compound is an effective bronchodilator with action that persists for over 24 h.[9, 10] We initially investigated the relationship between the overall magnitude of bronchodilation evaluated by 3-dimensional CT and improved pulmonary function parameters among the patients, and then explored the relationship at each generation of bronchi and/or at each lobe. Finally, we classified the subjects as good or poor responders to inhaled anticholinergics, and compared bronchodilatory behavior between the 2 groups.

METHODS

We recruited patients with clinically stable COPD (M/F, 13/2; age, 53 - 83 y; mean, 69.4 y) at Hokkaido University Hospital between September 2006 and March 2008. The diagnosis of COPD was confirmed based on the spirometric criteria of the GOLD guidelines, namely the post-bronchodilator ratio of FEV₁: forced vital capacity (FVC) of < 0.7.[1] All patients were either current or former smokers with an average smoking history of 61.8 ± 31.8 pack-years. The exclusion criteria for selecting participants in this study are described in the online supplement. Bronchial asthma was excluded based on clinical history and laboratory findings, including levels of IgE and/or eosinophils in the blood and/or sputum. All of the patients provided written informed consent to participate and the Ethics Committee for Human Research at Hokkaido University School of Medicine approved the study.

At the first visit, the patients were instructed to refrain from using any respiratory medication including tiotropium bromide for the next week. At the second visit for baseline measurement, we confirmed that the patients were stable and free of medication that might influence the spirometric data. We then conducted lung CT followed by pulmonary function tests. We acquired CT images while the patient held their breath at deep inspiration. Spirometric measurements were obtained using a rolling seal spirometer, the diffusing capacity of carbon monoxide was measured using the single breath method, and lung volumes were measured using a method of closed-circuit helium dilution that met all of the Japanese Respiratory Society (JRS) guidelines.[11]

From the following day, all of the participants inhaled tiotropium bromide once every morning for 1 week, which is sufficient to induce an effect.[12] At the third visit, which was precisely 7 days after the second, we confirmed that the participants had last inhaled tiotropium about 2 h previously and we measured lung CT and pulmonary function as described above once again.

Computed tomography and airway analysis

We used 2 multidetector-row spiral CT scanners, one with 4 (SOMATOME plus Volume Zoom, Siemens, Berlin, German), and the other with 64 (Aquilion Multi, TSX-101A/HA; Toshiba Medical Systems, Tokyo, Japan) detector arrays, because we have several CT scanners at our hospital. The same CT model was used to obtain images from specific individuals to avoid bias due to different types of CT scanners. We performed a validation study using phantoms to confirm the acceptability of data using both types of CT scanners for this study (online supplement), as we had for a Siemens scanner in our previous study.[8] We measured only the inner luminal area and not the airway outer wall.

Data acquisition parameters for both CT scanners are shown in the online supplement. We selected 3 upper, 2 middle and 3 lower bronchi from the right lung and analyzed the inner luminal area at 4 sites in each bronchus from the 3rd to the 6th generation, for a total of 32 sites per patient. Airway measurements are detailed in our previous publication and also in the online supplement. We measured lung volumes using 3D-CT volumetric analysis to confirm that CT images were

acquired from each patient at similar lung volumes before and after tiotropium bromide inhalation. This is because we were afraid that the difference in the lung volume on 2 occasions might significantly bias airway caliber, thus being potentially a confounding factor in this study. Details of these measurements are shown in the online supplement.

Statistics

Data are shown as means $\pm$ standard error of the mean (SEM), including the airway inner luminal area at various sites, because the distribution of normality for most of the results was not rejected by the Shapiro-Wilk test. We used paired Student's t tests to analyze differences in mean values between baseline and post-bronchodilator values, and unpaired Student's t tests for values between 2 groups. Relationships between 2 quantitative variables were examined using the Spearman test. We applied the Jonckheere-Terpstra test to examine trends in the %increase in the inner luminal area of 3rd to 6th generation airways. In addition, the groups were compared using the Kruskal Wallis test for multiple comparisons followed by the Mann-Whitney test. All statistical tests were 2-sided and values of $p < 0.05$ were considered statistically significant. Data were analyzed using SPSS for Windows version 12.0 software (SPSS Japan, Tokyo, Japan).

RESULTS

Airway measurements in patients with COPD before and after inhaled tiotropium

Table 1 shows the results of pulmonary function tests on 2 occasions.

Table 1. Pulmonary function tests before and after tiotropium inhalation for 1 week.

Pulmonary function tests	Baseline		One week after tiotropium bromide inhalation		P-value [†]	
VC, l (%predicted) *	3.41 ± 0.16	(107.8 ± 3.5)	3.80 ± 0.15 [‡]	(120.3 ± 2.9 [‡])	< 0.001	< 0.001
IC, l	2.17 ± 0.13		2.4 ± 0.14 [‡]		0.015	
FVC, l (%predicted)	3.25 ± 0.17	(102.7 ± 3.7)	3.71 ± 0.16 [‡]	(117.4 ± 3.4 [‡])	< 0.001	< 0.001
FEV ₁ , l (%predicted)	1.23 ± 0.11	(53.2 ± 4.2)	1.47 ± 0.13 [‡]	(63.7 ± 5.4 [‡])	< 0.001	< 0.001
FEV ₁ /FVC, %	0.38 ± 0.03		0.40 ± 0.04		0.056	
MMF, l/sec	0.44 ± 0.06		0.57 ± 0.09 [‡]		0.002	
DL _{CO} , ml/min/mmHg (%predicted)	12.5 ± 1.4	(75.9 ± 7.1)	13.0 ± 1.5	(79.6 ± 7.9)	0.199	0.139
DL _{CO} /VA, ml/min/mmHg/l (%predicted)	3.06 ± 0.38	(68.9 ± 8.1)	3.11 ± 0.39	(70.0 ± 8.2)	0.540	0.507
TLC, l (%predicted)	6.51 ± 0.31	(120.1 ± 3.7)	6.61 ± 0.30	(122.2 ± 3.5)	0.120	0.109
FRC, l (%predicted)	4.07 ± 0.26	(124.3 ± 6.2)	3.97 ± 0.26	(121.5 ± 6.4)	0.263	0.286
RV, l (%predicted)	3.12 ± 0.23	(153.9 ± 9.5)	2.82 ± 0.22 [‡]	(139.3 ± 9.1 [‡])	0.004	0.003
RV/TLC, %	47.3 ± 2.1		41.9 ± 1.8 [‡]		< 0.001	

VC, vital capacity; IC, inspiratory capacity; FVC, forced vital capacity; FEV₁, forced expiratory volume in 1 sec; MMF, maximum mid-expiratory flow rate; DL_{CO}, carbon monoxide diffusing capacity; VA, alveolar volume; TLC, total lung capacity; FRC, functional residual capacity; RV, residual volume.

Data are shown as means ± standard error of mean. * Values in parentheses represent %predicted;

[†] Before vs. after tiotropium inhalation. [‡] p < 0.05 after tiotropium inhalation versus baseline.

Tiotropium inhalation for 1 week induced statistically significant increases in vital capacity (VC), FVC, inspiratory capacity (IC) and FEV₁, as well as decreases in residual volume (RV) and RV/total lung capacity (TLC).

Figure 1 shows 2 curved multiplanar reconstructed images of the posterior basal bronchus of one individual before and after tiotropium inhalation. We obtained short axis images perpendicular to the long axis from the 3rd to the 6th generation at very similar sites on both occasions. The visual evidence shows that bronchodilation indeed occurred after bronchodilator inhalation in this particular airway. However, we could not obtain clear airway images of the middle lobe from 1 patient and of the lateral basal bronchus from 2 others, because 1 had middle lobe syndrome, and the others had significant amounts of sputum within that bronchus. Table 2 shows the absolute values of the inner luminal area at 32 measurement sites before and after bronchodilator inhalation, as well as the % increase in the inner luminal area with treatment, which was calculated separately for the lobe and for the airway generation on average. Assuming that the airway lumen is a true circle, then the inner diameters (Di) calculated as $Di = \sqrt{2Ai/\pi}$ at baseline were 4.5 ± 0.2 , 3.3 ± 0.1 , 2.7 ± 0.1 and 2.1 ± 0.1 mm at generations 3, 4, 5 and 6, respectively.

Table 2. Measurements of inner luminal area (mm²) at sites of 8 bronchi before and after inhalation of tiotropium bromide.

Table 2: Measurements of inner luminal area (mm ²) at sites 3 rd & 4 th broken before and after initiation of isotretinoin bromide.									
	Generation of bronchi								Averaged % increase in Ai / lobe, %
	3 rd		4 th		5 th		6 th		
	Baseline	After	Baseline	After	Baseline	After	Baseline	After	
Right upper lobe									
Apical (B1)	21.6 ± 3.0	26.7 ± 3.5 [‡]	10.0 ± 1.1	12.2 ± 1.3 [‡]	6.3 ± 0.7	8.0 ± 0.9 [‡]	3.7 ± 0.5	5.2 ± 0.4 [‡]	41.8 ± 7.9
Posterior apical (B2)	17.0 ± 1.6	22.0 ± 1.7 [‡]	8.7 ± 1.0	12.4 ± 1.0 [‡]	5.4 ± 0.7	7.1 ± 0.5	3.2 ± 0.3	4.7 ± 0.4 [‡]	
Anterior apical (B3)	24.2 ± 3.1	29.5 ± 2.9 [‡]	11.8 ± 1.4	15.3 ± 1.4 [‡]	8.2 ± 1.2	9.3 ± 1.0	4.6 ± 0.5	5.7 ± 0.6	
Right middle lobe									
Medial (B4)	11.0 ± 1.0	14.3 ± 1.1 [‡]	5.8 ± 0.5	7.7 ± 0.6 [‡]	3.7 ± 0.3	5.3 ± 0.4 [‡]	2.8 ± 0.3	4.2 ± 0.4 [‡]	39.1 ± 11.6
Lateral (B5)	20.5 ± 2.5	22.3 ± 2.1	11.3 ± 1.3	12.4 ± 1.0	6.9 ± 0.9	8.3 ± 0.7	4.7 ± 0.5	5.6 ± 0.4	
Right lower lobe									
Anterior basal (B8)	12.9 ± 1.9	17.0 ± 1.7 [‡]	7.2 ± 0.9	9.6 ± 1.3 [‡]	5.2 ± 0.6	5.8 ± 0.6	3.6 ± 0.4	4.3 ± 0.4 [‡]	37.8 ± 9.4
Lateral basal (B9)	13.0 ± 1.5	15.7 ± 1.4 [‡]	7.1 ± 1.1	8.3 ± 0.9	4.7 ± 0.7	5.6 ± 0.7	3.0 ± 0.3	4.0 ± 0.4 [‡]	
Posterior basal (B10)	15.4 ± 1.3	19.6 ± 1.9 [‡]	10.7 ± 1.4	13.2 ± 1.6 [‡]	7.1 ± 0.9	8.2 ± 1.0	4.6 ± 0.6	5.5 ± 0.7	
Averaged % increase in Ai/generation, %	32.5 ± 4.8		38.4 ± 6.4		38.0 ± 9.1		48.0 ± 11.2		39.3 ± 7.2 *

Data are shown as means ± standard error of mean (SEM). [‡]p < 0.05 after tiotropium inhalation versus baseline.

[‡]p < 0.01 after tiotropium inhalation versus baseline. *Average of all measurements

The magnitude of bronchodilation varied considerably among individuals at any site as reflected by relatively large SEM values. However, bronchodilation was statistically significant at 20 of 32 sites of the bronchi when we averaged the measurements from 15 patients.(Table 2) Generally, as the generation number increases, that is, as the size of the airways decreases, the average amount of bronchodilation appears to increase. However, the trend did not reach statistical significance. The average bronchodilator response was very similar among the lobes.

We then averaged all 32 measurements for each individual and compared the %increase in bronchodilation and the %improvement in pulmonary function tests among the participants. (Table 3)

Table 3. Relationship between % increase in inner luminal area and % improvement in pulmonary function parameters.

Pulmonary function tests	r value	p-value
VC	0.675*	0.006
IC	0.575*	0.025
FVC	0.639*	0.010
FEV ₁	0.843*	<0.001
MMF	0.111	0.694
DL _{CO} /V _A	-0.157	0.576
TLC	0.164	0.558
FRC	-0.157	0.576
RV	-0.561*	0.030
RV/TLC	-0.646*	0.009

VC, vital capacity; IC, inspiratory capacity; FVC, forced vital capacity; FEV₁, forced expiratory volume in 1 sec; MMF, maximum mid-expiratory flow rate; DL_{CO}, carbon monoxide diffusing capacity; VA, alveolar volume; TLC, total lung capacity; FRC, functional residual capacity; RV, residual volume.

Overall bronchodilation significantly correlated with improvements in pulmonary function parameters, including VC, FVC, IC, FEV₁, RV, and RV/ TLC. Figure 2 shows that the change in FEV₁ correlated most closely with overall bronchodilation with a correlation coefficient of r =

0.843.($p < 0.001$) Considering potential bias due to using 2 CT scanners, each participant is indicated using different styles of dots, depending upon which CT scanner was used.(Figure 2) Even when we separately examined only 9 individuals who had also been examined using the Siemens scanner, the close correlation between the overall bronchodilator response and %increase in FEV1 persisted.($r = 0.800$, $p = 0.010$)

Because average bronchodilation was more obvious (although not statistically significant) at the distal, than at the proximal bronchi, we examined the relationship between improved FEV₁ and the average %increase in inner luminal area at the same generation of all 8 bronchi. Figure 3 shows that the data from the 4th, 5th and 6th generations significantly correlated, but not those from the 3rd generation. Importantly and interestingly, the slope of regression lines between the 2 variables became steeper as the airways became more distal from the 3rd to the 6th generation. Separately analyzed data based on lobes are also shown in the online supplement.(Figure E1)

We then defined good responders as those who exhibited a %increase in FEV₁ of $> 20\%$, considering that the mean %increase in FEV₁ was 19.7% in this study. Figure 4A shows a significant trend insofar as the magnitude of bronchodilation increased as the airways became smaller from the 3rd to the 6th generation ($p = 0.006$) in the good responders ($n = 7$), but not at all in the poor responders ($n = 8$), and the difference in bronchodilation between the 2 groups was statistically significant only at generations 4, 5, and 6. There were no significant differences among lobes in either good or poor responders.(Figure 4B)

Lung volumes before and after 1 week inhalation of tiotropium were 5.44 ± 0.35 and 5.19 ± 0.38 L, respectively. Although lung volumes significantly differed on 2 occasions ($p = 0.029$), changes in lung volume did not significantly correlate with those of airway caliber among the subjects.

DISCUSSION

We showed using 3-dimensional computed tomography that the caliber at the 3rd to 6th generation of the airways induced by inhaled anticholinergics increased in proportion to physiological improvements in pulmonary function. Among pulmonary function parameters, FEV₁ correlated most closely with the magnitude of bronchodilation overall. The close correlation between the magnitude of bronchodilation at deep inspiration under static conditions and that of functional improvement on forced expiration might be surprising. However, considering that FEV₁ is the volume that is expired during the first second from fully inspired lungs, widening of airway caliber at the beginning of expiration might be a determinant of initially expired volume. In this study, we unfortunately had not obtained lung volume data by body plethysmography. Those data would be interesting for comparison with bronchodilation elicited by inhaled anticholinergics.

Of particular note is that the slope factor of regression lines between the improvement of FEV₁ and the magnitude of bronchodilation was steeper from the 3rd to the 6th generation. Theoretically, if the same degree of vagal cholinergic tone operates in various sizes of airways, then widening of airway caliber by anticholinergic agents should be greater in the smaller airways,[13] particularly when the magnitude of bronchodilation is evaluated as a % increase in inner luminal area. We then considered such relationships using the absolute increase in inner luminal area instead of the % increase. The total luminal area per generation increases as the airway bifurcates,[14] so that the absolute increase in inner luminal area per generation should be larger at more distal airways. Indeed, if we could correct a total increase in inner luminal area per generation, multiplying by 2, 4 and 8, respectively, for the 4th, 5th, and 6th generation, in comparison of the 3rd generation, considering increased number of airways, we would similarly find that the slope of regression line between the two variables were steeper at more distal airways. (Online supplement, FigureE2) These findings indicate that bronchodilation at distal, rather than proximal airways, is the determinant of the functional improvement exerted by inhaled anticholinergics in COPD.

The magnitude of bronchodilation in some good responders might be surprising, considering that they are all patients with COPD. However, several recent studies have found that a significant proportion of patients with COPD indeed display more reversibility of airflow limitation than has generally been thought.[3, 4, 15] The present findings might have some important clinical implications and provide intriguing insights into therapy with bronchodilators for patients with COPD. Firstly, a poor response to inhaled anticholinergics might well be explained by limited responses at the 5th to 6th generations rather than at the 3rd generation of airways, because the magnitude of bronchodilation between good and poor responders did not significantly differ, at least at the 3rd generation of airways.(Figure 4A) If so, more attention should be directed towards delivering drugs to the 5th - 6th generations of airways in patients who do not respond well to inhaled anticholinergics. Secondly, when considering how to maximize bronchodilation for patients with COPD not only in the short term but also in the long term, the more distal airways should be focused, rather than the 3rd (segmental) generation of airways.

To our knowledge, Brown *et al.* pioneered the use of CT to demonstrate changes in the airway caliber of animal lungs.[16] They attempted to measure airways considered to be located at the

same site in the lungs before and after bronchoconstriction induced by methacholine. They later reported that airway narrowing in human lungs was heterogeneous with no predilection for any particular airway size, and that changes in the mean airway luminal area measured by CT and the mean partial spirometric outcomes closely correlated in a small number of healthy, as well as asthmatic individuals.[17, 18] On the other hand, the 2 other studies found significant differences in the airway narrowing after methacholine challenge according the baseline airway size in both healthy and asthmatic subjects.[19, 20] However, all of the studies [17-20] had inherent technical limitations because they analyzed 2-dimensional images of the airways and thus could not identify which airway generation they were actually measuring. Moreover, the relationship between improved pulmonary function and bronchodilation at various sites of the airways and the degree to which such bronchodilation occurs in the lung have never been demonstrated in patients with COPD.

We recently showed using the three-dimensional airway analysis that we applied herein, that %predicted FEV₁ significantly correlates with the dimensions of airways of various sizes in a large number of patients with COPD.[8] In addition, the correlation coefficients between the two variables improved as the airway size decreased from the 3rd to the 6th generation. These results support the concept that distal (smaller), rather than proximal (larger) airways are more important determinants of airflow limitation in COPD as previous pathology-function correlation studies have indicated.[21-24] As the average calculated inner diameter of the 6th generation of bronchi was 2.1 mm at baseline before bronchodilator inhalation in this study, these airways are not defined as “small airways”.[25] However, the findings from our 2 studies jointly suggest that airways located near “small airways” are functionally important in COPD.

Several classical studies have attempted to physiologically determine the site of bronchodilation in response to bronchodilators in humans. These efforts have included measuring anatomical dead space as an indicator of central airway changes,[26] generating maximal expiratory flow-volume curves with inspired gases of different densities [27, 28] and sensing the lateral pressure of the airway using a catheter-tipped micro manometer.[29] Although these studies were theoretically sound, they could not determine bronchodilatory heterogeneity of in the lung because they are all based on the assumption that lung activity is homogeneous and so overall physiological parameters were measured. Most of these studies agreed that inhaled anticholinergic agents act mainly on the large central airways in normal individuals as well as in patients with bronchial asthma,[26, 28, 30] although some disagreed.[27, 31] Since resting vagal tone is thought to be maintained under normal circumstances throughout the bronchial tree,[30] the exact site of bronchodilation over the whole lung remains to be elucidated. Our CT imaging method can analyze only large airways from the standpoint of respiratory physiology because small airways are defined as having an inner diameter of < 2 mm. However, the advantage of our method is the potential for examining the heterogeneous behavior of bronchodilation in individual lungs.

Some limitations of the study should be mentioned. Firstly, the use of 2 different types of CT scanners for this kind of study could be debatable, particularly for lung densitometry.[32-34] However, we carefully considered possible bias associated with the use of the 2 scanners in this study and obtained data from specific individuals using the same instrument. We also conducted preliminary validation studies for both scanners using the same phantoms and used

the % increase in inner luminal area as a marker of bronchodilation after inhalation rather than actual values. Secondly, some potential drawbacks are inherent in our experimental protocol and also in our software. Although we obtained CT images on 2 occasions while the patients held their breath at deep inspiration, the CT images might not have been obtained at precisely the same lung volume each session, leading to some potential errors for comparison before and after bronchodilator inhalation. Indeed, the lung volumes that we measured on 2 occasions significantly differed for unknown reasons. However, this would not significantly affect our conclusions because lung volume was rather smaller at the second measurement and changes in volume and airway caliber were not associated among the subjects. Finally, to select exactly the same measurement sites of the airways using data obtained on different occasions would be impossible due to the technical limitations, although we attempted to select the same sites before and after treatment as much as possible. Despite such drawbacks, we demonstrated a close correlation between the overall magnitude of bronchodilation and improved airflow limitation index among the patients. This is probably because we measured 32 sites in a single lung and averaged all of the measurements, thus minimizing errors associated with the technical limitations noted above. For the same reasons, heterogeneous bronchodilation must be considered with caution when 3-dimensional airways are analyzed by computed tomography. However, by averaging data from a sufficient number of measurement sites, for instance, analysis per generation and/or per lobe, we feel that we could overcome this issue.

In conclusion, we demonstrated using 3-dimensional computed tomography that overall bronchodilation occurs in airways from the 3rd to the 6th generation in response to inhaled anticholinergics in proportion to improved FEV₁ in patients with COPD. More importantly, improvements in airflow limitation are significantly correlated with bronchodilation at the 4th to the 6th but not at the 3rd generation of bronchi. In addition, the slope of regression lines became steeper from the 3rd to the 6th generation.

Thus, bronchodilation at distal, rather than proximal airways is the determinant of functional improvement in airflow limitation in response to inhaled anticholinergics in COPD.

Acknowledgments

We thank Ms. Hideka Ashikaga for data management, and the staff at the Division of Pulmonary Function and Department of Radiology, Hokkaido University Hospital, for technical assistance with this study.

Competing interests

The authors declare that they have no competing interests to disclose.

Funding

This study was supported by a Grant-in-Aid for Scientific Research from the Ministry of Education, Culture, Sports, Science and Technology of Japan (19390221 to M.N.), a Grant to the Respiratory Failure Research Group from the Ministry of Health, Labour and Welfare, Japan, and research grants from Nippon Boehringer Ingelheim Co. Ltd. and Pfizer Japan Inc.

Copyright

The Corresponding Author (M.N.) has the right to grant on behalf of all authors and does grant on behalf of all authors, an exclusive licence (or non exclusive for government employees) on a worldwide basis to the BMJ Publishing Group Ltd and its Licensees to permit this article to be published in Thorax editions and any other BMJ PGL products and to exploit all subsidiary rights, as set out in our licence <http://thorax.bmjournals.com/fora/licence.pdf>

FIGURE LEGENDS

Figure 1. Long and short axis images of right posterior basal bronchus of one individual before (A) and after 1 week of tiotropium inhalation (B). Generation 3 of bronchi are defined as segmental.

Figure 2. Relationship between % increase in inner luminal area and in FEV₁ from baseline after 1 week of tiotropium bromide inhalation in patients with COPD

(n =15). Each dot represents 1 patient; and , patients scanned using Siemens and Toshiba instruments, respectively. Bronchodilation is expressed as mean luminal area of 4 sites of 8 bronchi (total 32 sites). Imaging and physiological data significantly correlate.

Figure 3. Relationship between % increases in luminal area and in FEV₁ from baseline according to airway generation after 1 week of tiotropium inhalation in patients with COPD (n =15). Data are expressed as (A) 3rd, (B) 4th (C) 5th and (D) 6th generations. Ai: inner luminal area of airway.

Figure 4. Percent increase in inner luminal area (Ai) before and after tiotropium inhalation according to airway generation (A) or lobe (B). Good responders are defined as those whose % increase in FEV₁ was > 20%. This trend is significant insofar as the magnitude of bronchodilation increased as the airways became smaller from the 3rd to the 6th generation (p = 0.006) in good

responders ($n = 7$), but not at all in poor responders ($n = 8$). Difference in bronchodilation between two groups was statistically significant only at generations 4, 5, and 6. Moreover, bronchodilation was significantly more extensive at 6th than 3rd generation only in good responders (A, $p = 0.011$). No differences were evident among lobes in either good or poor responders (B).

REFERENCES

1. Global Initiative for Chronic Obstructive Lung Disease (GOLD). *Global strategy for the diagnosis, management, and prevention of chronic obstructive pulmonary disease*, updated 2006. Bethesda, MD: National Heart, Lung and Blood Institute, World Health Organization; 2006.
2. **Anthonisen NR**, Wright EC. Bronchodilator response in chronic obstructive pulmonary disease. *Am Rev Respir Dis* 1986; **133**: 814-9.
3. **Calverley PM**, Burge PS, Spencer S, et al. Bronchodilator reversibility testing in chronic obstructive pulmonary disease. *Thorax* 2003; **58**: 659-64.
4. **Makita H**, Nasuhara Y, Nagai K, et al. Characterization of phenotypes based on severity of emphysema in chronic obstructive pulmonary disease. *Thorax* 2007; **62**: 932-7.
5. **Lehmann S**, Bakke PS, Eide GE, et al. Bronchodilator reversibility testing in an adult general population; the importance of smoking and anthropometrical variables on the response to a beta2-agonist. *Pulm Pharmacol Therapy* 2006; **19**: 272-80.
6. **Hizawa N**, Makita H, Nasuhara Y, et al. β -adrenergic receptor genetic polymorphisms and short-term bronchodilator responses in patients with COPD. *Chest* 2007; **132**: 1485-92.
7. **Barns PJ**. The role of anticholinergics in chronic obstructive lung disease. *Am J Med* 2004; **117**: 24S-32S.
8. **Hasegawa M**, Nasuhara Y, Onodera Y, et al. Airflow limitation and airway dimensions in chronic obstructive pulmonary disease. *Am J Respir Crit Care Med* 2006; **173**: 1309-15.
9. **van Noord JA**, Bantje TA, Eland ME, et al. A randomised controlled comparison of tiotropium and ipratropium in the treatment of chronic obstructive pulmonary disease. The Dutch Tiotropium Study Group. *Thorax* 2000; **55**: 289-94.
10. **Casaburi R**, Mahler DA, Jones PW, et al. A long-term evaluation of once-daily inhaled tiotropium in chronic obstructive pulmonary disease. *Eur Respir J* 2002; **19**: 217-24.
11. Committee of Pulmonary Physiology, Japanese Respiratory Society. *Guidelines for pulmonary function tests: spirometry, flow-volume curve, diffusion capacity of the lung*. The Japanese Respiratory Society; Tokyo, 2004 (in Japanese)
12. **Anzueto A**, Tashkin D, Menjoge S, et al. One-year analysis of longitudinal changes in spirometry in patients with COPD receiving tiotropium. *Pulm Pharmacol Ther* 2005; **18**: 75-81.
13. **Barnes PJ**. Theoretical aspects of anticholinergic treatment. In: Gross NJ, ed. *Anticholinergic therapy in obstructive airway disease*. London, UK: Franklin Scientific Publications; 1993: 88-104.

- 14. David V. Bates**, Peter T. Macklem, Ronald V. Christie: THE ANATOMY OF THE LUNG In : David V. Bates, Peter T.Macklem, Ronald V. Christie. *Respiratory Function in Disease*. Philadelphia: W.B. Saunders Company 1971:1-9
- 15. Tashkin DP**, Celli B, Decramer M, *et al.* Bronchodilator responsiveness in patients with COPD. *Eur Respir J*. 2008; **31**: 742-50.
- 16. Brown RH**, Herold CJ, Hirshman CA, *et al.* In vivo measurements of airway reactivity using high-resolution computed tomography. *Am Rev Respir Dis* 1991; **144**: 208-12.
- 17. Brown RH**, Croisille P, Mudge B, *et al.* Airway narrowing in healthy humans inhaling methacholine without deep inspirations demonstrated by HRCT. *Am. J. Respir. Crit. Care Med* 2000; **161**: 1256-63.
- 18. Brown RH**, Scichilone N, Mudge B, *et al.* High-resolution computed tomographic evaluation of airway distensibility and the effects of lung inflation on airway caliber in healthy subjects and individuals with asthma. *Am J Respir Crit Care Med* 2001; **163**: 994-1001.
- 19. Okazawa M**, Muller N, McNamara AE, *et al.* Human airway narrowing measured using high resolution computed tomography. *Am J Respir Crit Care Med* 1996; **154**: 1557–62.
- 20. King GG**, Carroll JD, Muller NL, *et al.* Heterogeneity of narrowing in normal and asthmatic airways measured by HRCT. *Eur Respir J* 2004; **24**: 211-8.
- 21. Hogg JC**, Macklem PT, Thurlbeck WM. Site and nature of airway obstruction in chronic obstructive lung disease. *N Engl J Med* 1968; **278**: 1355–60.
- 22. Van Brabandt H**, Cauberghs M, Verbeken E, *et al.* Partitioning of pulmonary impedance in excised human and canine lungs. *J Appl Physiol* 1983; **55**: 1733–42.
- 23. Yanai M**, Sekizawa K, Ohnishi T, *et al.* Site of airway obstruction in pulmonary disease: direct measurement of intrabronchial pressure. *J Appl Physiol* 1992; **72**: 1016-23.
- 24. Hogg JC**, Chu F, Utokaparch S, Woods R, *et al.* The nature of small-airway obstruction in chronic obstructive pulmonary disease. *N Engl J Med* 2004; **350**: 2645–53.
- 25. Hogg JC**. Pathophysiology of airflow limitation in chronic obstructive pulmonary disease. *Lancet* 2004; **364**: 709-21.
- 26. Hensley MJ**, O'Cain CF, McFadden ER Jr, *et al.* Distribution of bronchodilatation in normal subjects: beta agonist versus atropine. *J Appl Physiol*. 1978; **45**: 778-82.
- 27. Partridge MR**, Saunders KB. Site of action of ipratropium bromide and clinical and physiological determinants of response in patients with asthma. *Thorax* 1981; **36**: 530-3.
- 28. Ingram RH**, Wellman JJ, McFadden ER, *et al.* Relative contributions of large and small

airways to flow limitation in normal subjects before and after atropine and isoproterenol. *J Clin Invest* 1977; **59**: 696-703.

29. Ohrui T, Yanai M, Sekizawa K, et al. Effective site of bronchodilation by beta-adrenergic and anticholinergic agents in patients with chronic obstructive pulmonary disease: Direct measurement of intrabronchial pressure with a new catheter. *Am Rev Respir Dis* 1992; **146**: 88-91.

30. DeTroyer A, Yernault JC, Rodenstein D. Effects of vagal blockade on lung mechanics in normal man. *J Appl Physiol* 1979; **46**: 217-26.

31. Douglas NJ, Sudlow MF, Flenley DC. Effect of an inhaled atropine-like agent on normal airway function. *J Appl Physiol* 1979; **46**: 256-62.

32. Stoel BC, Vrooman HA, Stolk J, et al. Sources of error in lung densitometry with CT. *Invest Radiol* 1999; **34**: 303-9

33. Parr DJ, Stoel BC, Stolk J, et al. Influence of calibration on densitometric studies of emphysema progression using computed tomography. *Am J Respir Crit Care Med* 2004; **170**: 883-90

34. Yuan R, Mayo JR, Hogg JC, et al. The effects of radiation dose and CT manufacturer on measurements of lung densitometry. *Chest*. 2007; **132**: 617-23.

Online data supplement

Relationship between improved airflow limitation and changes in airway caliber induced by inhaled anticholinergics in chronic obstructive pulmonary disease

Masaru Hasegawa, Hironi Makita, Yasuyuki Nasuhara, Kaoruko Shimizu, Nao Odajima,

Katsura Nagai, Yoko Ito, Satoshi Konno Tomoko Betsuyaku, and Masaharu Nishimura*

METHODS

Exclusion criteria

We excluded patients with signs of any other major pulmonary diseases such as bronchial asthma, pulmonary fibrosis, and pulmonary cancer according to chest radiographs, CT and other laboratory findings. Bronchial asthma was excluded mostly based on clinical history and laboratory findings, including levels of IgE and/or eosinophils in the blood and/or sputum, but not on the reversibility of airflow limitation in response to bronchodilators. Patients with apparent diffuse bronchiectasis were also excluded.

Computed tomography and airway analysis

We used 2 models of multidetector-row spiral CT scanners, one with 4-detector arrays (SOMATOME plus Volume Zoom, Siemens, Berlin, German), and the other with 64-detector arrays (Aquilion Multi, TSX-101A/HA; Toshiba Medical Systems, Japan). The same CT model was used for both measurements for any given individuals to avoid bias due to the use of different types of CT scanners.

Data were acquired using the following parameters: 140 kVp, 150 mA, 0.5 sec/rotation, 4 detector $\times$ 1 mm collimation, helical pitch 7 at Siemens scanner and 120 kVp, 300 mA, 0.5 sec/rotation, 64 $\times$ 0.5 mm collimation, helical pitch 41 at Toshiba scanner. Slices were 1.25 and 0.5 mm thick, respectively. Whole lungs were scanned with the patients in the supine position while holding the breath at deep inspiration. All the data sets were transferred to a workstation and then reconstructed into three-dimensional chest images (AZE Ltd., Tokyo, Japan). On this workstation, using original software with volume rendering technique, three dimensional lung objects for analyses were obtained at 3 steps as follows; First, only trachea, bronchus and entire lung were extracted by using opacities under -740 HU. Second, trachea and central bronchus until second order bronchus were extracted from the object after the first step by using opacities from -740 to -975 HU. Third, only the entire lung object was obtained by subtraction of the second object from the first object. In these steps, the software automatically removed central pulmonary arteries and veins until the second order branch, which had similar opacities of lung, using original algorithm recognizing morphology. This software enables us to obtain lung volume at the occasions before and after inhalation of tiotropium. We described in detail how the inner luminal areas of airways could be measured at the 3rd to the 6th generation in our previous publication (E1). We defined the 3rd generation of any bronchi as segmental. We then selected 3 upper, 2 middle and 3 lower bronchi from the right lung and analyzed the inner luminal area at 4 sites in each bronchus from the 3rd to the 6th generation, for a total of 32 sites per patient. The site of measurement at each generation of any bronchus was selected around the center between bifurcations, and because of this and the fact that identical CT images could not be obtained on any two occasions, we anticipated that taking the average of multi-site measurements from each individual would provide a representative overall value of bronchodilation. Pre- or post-bronchodilation images were analyzed at random by one of the authors (M.H.), who was totally blinded to the background data. This was because delineation

of the inner circle for the short-axis image of each bronchus was manually driven to some extent, and we tried to minimize investigator bias.

Phantom study for use of 2 different CT scanners

We performed a validation study using phantoms to confirm the acceptability of data using two different types of CT scanners in this study. As we reported for the 4-detector CT scanner (E1), we performed the same experiment for the 64-detector CT scanner, using 3 acrylic resin phantoms with optically accurate inner and outer diameters and wall thicknesses. Phantoms 1 and 2 were cylindrical with an inner diameter of 2.0 and 1.5 mm, respectively, and a wall thickness of 1.0 mm for both. Another was sigmoid with an inner diameter of 3.0 mm and a wall thickness of 1.0 mm. Data were acquired for the phantoms under the same conditions as we used for the clinical study. The coefficients of variation for measurements of inner luminal area were 3.3% and 6.1% for phantoms 1 and 2, respectively, which were very similar to the data obtained for the 4-detector CT scanner. Thus, we decided to measure the airways up to the 6th generation as we previously reported, because the inner diameter of the 6th generation of the airways averaged > 2 mm. For phantom 3, data were obtained from 40 points of the sigmoid-shaped tube, which was accurate with an inner luminal area of 7.1 mm². The measured area was 6.7 ± 0.1 mm², and the coefficient of variation was 2.2%, which was considered acceptable for further human studies, despite the small difference in absolute values. These results indicated that both CT scanners could be applied to this particular study with confidence because individual patients were assessed using the same instrument. We measured the inner luminal area, but not the airway outer wall, and considered the ratio of inner luminal areas before and after tiotropium inhalation as a marker of bronchodilation.

REFERENCE

E1. Hasegawa M, Nasuhara Y, Onodera Y, Makita H, Nagai K, Fuke S, Ito Y, Betsuyaku T, Nishimura M. Airflow limitation and airway dimensions in chronic obstructive pulmonary disease. *Am J Respir Crit Care Med* 2006; 173: 1309-1315.

A

B

A **B** **C** **D**

A **B**

upper

middle

lower