

1 **Autofluorescence bronchoscopy for lung cancer surveillance**
2 **based on risk assessment**

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Summary

22 **Introduction:** This is a preliminary report of an ongoing prospective
24 bimodality lung cancer surveillance trial for high-risk patients. Bimodality
26 surveillance incorporates autofluorescence bronchoscopy (AFB) and spiral CT
28 (SCT) in high-risk patients as a primary lung cancer surveillance strategy, based
entirely on risk factors. AFB was used for surveillance and findings were
compared with conventional sputum cytology (CSC) for the detection of
malignancy and premalignant central airway lesions.

30 **Eligibility:** For eligibility, patients were required to have at least two of the
32 following risk factors: 1) ≥ 20 pack year history of tobacco use, 2) asbestos-
34 related lung disease on chest radiograph, 3) COPD with an FEV-1 $< 70\%$ of
36 predicted, and 4) prior aerodigestive cancer treated with curative intent, with no
evidence of disease for > 2 years. All eligible patients underwent AFB, a low
dose SCT of the chest without contrast, and a sputum sample was collected for
cytology. Bronchoscopy biopsy findings were correlated with sputum cytology
results, SCT-detected pulmonary nodules and surveillance-detected cancers. To
date, 186 have been enrolled with 169 completing the surveillance procedures.

40 **Results:** 402 patients registering at Roswell Park Cancer Institute (RPCI) were
42 evaluated with spirometry, chest x-ray, history and physical examination, of
44 which 207 were deemed eligible for the study. 13 lung cancers (7%) were
46 detected in the 169 subjects who have completed all three surveillance studies
to date. Premalignant changes were common and 66% of patients had
squamous metaplasia or worse. CSC missed 100% of the dysplasia and 68% of
the metaplasia detected by AFB, and failed to detect any cases of carcinoma or
48 carcinoma in situ in this patient cohort. CSC exhibited 33% sensitivity and
64% specificity for the presence of metaplasia. Seven of 13 (58%) lung cancers
were stage Ia or less, including 3 patients with squamous cell carcinoma.
50 Patients with peripheral pulmonary nodules identified on SCT of the chest were
3.16 times more likely to exhibit premalignant changes on AFB ($p < 0.001$).

52 **Conclusion:** Bimodality surveillance will detect central lung cancer and
54 premalignancy in patients with multiple lung cancer risk factors, even when
conventional sputum cytology is negative. In high-risk patients, AFB should be
56 considered, regardless of sputum cytology findings.

58 Keywords

58 Auto-fluorescence bronchoscopy
60 Spiral CT
62 Chronic obstructive pulmonary disease
64 White light bronchoscopy
Risk assessment
Surveillance

66

68 1. Introduction

70 Lung cancer has no validated early detection strategy that is currently applied to
72 populations at risk. However, more people die from lung cancer than from the
74 aggregate of the four other major cancers (breast, colon, prostate, and cervical),
76 in which early detection strategies are applied [1]. Overall, squamous cell lung
78 cancer represents approximately 25% of lung cancer and it is believed that
80 reversible preinvasive epithelial proliferation and squamous cell carcinoma in
82 situ precedes its arrival [2]. While retrospective attempts at CT-based imaging
84 of early central airway cancers have been reported [3], the detection of early
86 squamous cell carcinoma has not been a common feature of CT-based screening
88 trials [4-5], even when these trials are complemented by sputum cytology. In
90 addition, the identification of frank carcinoma in sputum for the purpose of
92 diagnosis has failed to reduce lung cancer mortality in randomized controlled
94 trials [6].

82 In 1993, Lam et al reported the early detection of central lung cancer
84 using an autofluorescence bronchoscopy imaging system (AFB). Using the
86 fluorescence system in conjunction with a conventional white light
88 bronchoscopy (WLB), they found that AFB doubled the detection of dysplasia
90 and carcinoma-in-situ in 328 biopsies in 94 subjects. Moreover, 15% of patients
92 in this study with known lung cancer were found to have synchronous
94 carcinoma-in-situ (CIS) [7]. A subsequent non-randomized multicenter trial
96 compared AFB to WLB in 173 patients at 9 institutions [8] and found that AFB
98 plus WLB was twice as sensitive in the detection of carcinoma-in-situ or severe
100 dysplasia when compared with WLB alone. These findings have been
102 supported by numerous authors [9-12]. A recent large European randomized
104 controlled multicenter trial [13] confirmed that WLB plus AFB was clearly
106 superior to WLB alone for the detection of preneoplastic lesions.

106 Even though autofluorescence imaging improves the bronchoscopist's
108 ability to detect preneoplastic lesions and intraepithelial neoplasms, AFB has
110 not been integrated as an entry strategy into SCT based screening initiatives for
lung cancer. While the term "screening" implies application of a test to a broad
population [14], the term "surveillance" means "close observation of a person
or group, especially one under suspicion" [15], and implies a more focused
detection strategy applied to a narrower high-risk population. Evidence supports
the use of AFB in patients with severe atypia or malignant cells in their sputum
cytology [16], however we reasoned that certain high-risk individuals might be
likely to have early central lung cancer or premalignancy, even if their sputum
was negative, or if they were unable to produce sputum. These individuals
might benefit from AFB based on risk-factors alone. The objective of our study
was to compare the sensitivity of conventional sputum cytology with AFB and
to correlate AFB bronchoscopy findings with SCT findings in the setting of a
prospective surveillance trial for patients who were at risk for the development
of lung cancer. We examined the null hypotheses that 1. AFB was equivalent to
sputum cytology for the detection of premalignant lesions (metaplasia,

112 dysplasia and carcinoma-in-situ) and that 2. AFB plus SCT would be equivalent
113 to SCT alone for the detection of lung cancer in high risk patients.

114 **2. Methods**

115 **2.1. Patient population and recruitment**

116 The High Risk Screening Cohort at Roswell Park Cancer Institute (RPCI) was
117 established in 1998. This surveillance initiative incorporates an epidemiologic
118 questionnaire, physical examination, and chest radiograph for patients who are
119 at risk for lung cancer. High risk patients have been recruited from several
120 sources: 1) asbestos litigation firms refer asbestos clients with radiographically
121 confirmed asbestosis for medico-legal evaluation at RPCI; 2) patients with
122 surgically treated aerodigestive tract cancers who are disease-free for greater
123 than two years are referred by the department of surgery at RPCI for evaluation
124 in the lung cancer surveillance program; and 3) community patients with
125 moderate or severe chronic obstructive pulmonary disease (COPD) and are
126 referred by pulmonologists and primary care physicians in the community for
127 evaluation in the lung cancer surveillance program. Initial chest radiographs
128 were reviewed by the physician at the time of enrollment (GL) and were not
129 reviewed by a B reader. The outreach effort associated with this prevention and
130 surveillance program has included public speaking, press releases, media
131 interviews and the production of a color brochure that is distributed to local
132 pulmonary and oncology offices in the community.

133 **2.2. Initial patient evaluation**

134 The initial medical history included assessment of the intensity and duration of
135 tobacco use, history of asbestos exposure, and history of prior tobacco-related
136 malignancy. All patients underwent a detailed history and physical. In
137 addition, each completed a detailed epidemiological questionnaire and donated
138 blood, buccal cell and induced sputum samples. The questionnaire collected
139 detailed information on subject demographics, medical history, prescription and
140 non-prescription drug use, lifestyle exposures (mainly tobacco and tobacco-
141 product exposures and dietary exposures), diet, height, weight, use of alcohol,
142 work history/occupational exposures, physical activity, reproductive and family
143 history. A Thoravision™ (Phillips Medical Systems NA, Bothel, Washington)
144 chest x-ray (CXR) was performed to document the presence of asbestos-related
145 lung disease. Spirometry was performed in the initial clinic visit with a hand-
146 held pneumotach spirometer (Easyone Diagnostic Spirometer, Medical
147 Technologies, Chelmsford MA). Subjects were asked to perform at least three
148 acceptable FVC (forced vital capacity) maneuvers, and at least 2 efforts were
149 required to demonstrate reproducibility within 200 ml per the American
150 Thoracic Society (ATS) standards. The maneuver that produced the greatest
151 sum of the FVC and forced expiratory volume (FEV₁) was accepted as the
152 baseline value. FEV-1 was interpreted using the predicted equations reported
153 by Knudson [17].

154 **2.3. Patient eligibility**

155 In order to be eligible for the surveillance program, patients must have
156 demonstrated at least 2 of the following risk factors: 1) radiographically
157 documented pulmonary asbestosis or asbestos-related pleural disease; 2) a

158 history of previously treated aerodigestive tract cancer with a disease free
160 interval of greater than 2 years; 3) a cigarette smoking history ≥ 20 pack years
in intensity; and 4) COPD with a documented FEV₁ < 70% of predicted.
162 Patients must have also been willing and able to give informed consent and
agree to undergo AFB and SCT surveillance. Patients were excluded if they
164 were not able to medically tolerate the AFB or SCT and if they were unable to
medically tolerate therapy for lung cancer, including video-assisted thoracic
166 surgery (VATS), radiotherapy and/or endobronchial therapy including
photodynamic therapy (PDT). If the patient was found to be eligible for the
168 study, they were provided with informed consent, and were offered enrollment
into this prospective surveillance trial approved by the RPCI Institutional
Review Board.

170 **2.4. Sputum cytology**

Initially, patients were asked to collect early morning samples of sputum for
172 three consecutive mornings, just prior to their return visit to the clinic.
Spontaneous pooled sputum was obtained in the first 40 patients. Due to the
174 high proportion of these samples that were classified as insufficient for
cytology, the protocol was changed to require sputum induction using
176 hypertonic saline even if a productive cough was present. Prior to sputum
induction, spirometry assessments were performed to provide a baseline
178 quantitative measure of lung function and for safety monitoring during sputum
induction. Sputum was obtained the remaining subjects by tidal inhalation of
180 hypertonic (3%, 4%, 5%) saline at 7-minute intervals by ultrasonic nebulizer. If
the baseline FEV₁ was < 1.0 liter, only isotonic saline (0.9%) was administered.
182 Sputum samples were stored at 4°C until processing, for a period that did not
exceed 2 hours. Sputum samples were treated with Sputolysin® (Behring
184 Diagnostics, Somerville NJ) to lyse sputum plugs, and pen/strep solution to
inhibit bacterial growth. The study cytologist (EN) at RPCI reviewed a
186 cytology slide made on each sputum sample.

188 **2.5. Bronchoscopy**

AFB was performed on an outpatient basis with conscious sedation and local
190 anesthesia by a single pulmonologist (GL), using the LIFE I system (Xillix
Technologies Corp, Richmond, BC). As per our standard practice, the airways
192 were examined by WLB, and then by AFB and visual findings were classified
as normal, abnormal and suspicious, as described by Lam [8]. Endobronchial
194 mucosal biopsies were taken from all abnormal areas, and from suspicious
areas when possible, whether noted on either WLB or AFB imaging. In
196 addition, surveillance biopsies of normal appearing epithelium were taken in all
patients including those with normal appearing bronchial mucosa. This resulted
198 in 3-6 biopsies were taken during the bronchoscopy procedure on average.
Overall, the location of the biopsies was driven by the fluorescence and white
light appearance and not according to predetermined sites.

200 **2.6. Spiral CT of the chest**

202 Non-enhanced spiral CT scan (SCT) of the chest was performed within a month
of chest radiography with the GE LightSpeed Plus or the LightSpeed QXi (GE
Healthcare, Milwaukee, WI). Images were acquired with 1.25 mm thickness

204 slices that were available for review at the workstation and were filmed at 2.5
 206 mm slices. Clinically significant parenchymal pulmonary abnormalities
 identified by SCT scan were referred for contrast-enhanced CT scan of the chest
 consistent with accepted algorithms [4]. RPCI staff radiologists, who were
 208 blinded to current chest x-ray results, interpreted all SCT results.

2.7. Statistics

210 We assumed that 5% of enrolled patients would exhibit endobronchial
 preneoplasia, and that at least 56% of disease would be detectable with either
 212 AF bronchoscopy or sputum cytology. We also assumed that AF bronchoscopy
 would detect all cases detected by sputum cytology, and that AF bronchoscopy
 214 would detect preneoplasia twice as well as sputum cytology. Using McNemar’s
 test, the estimated number of subjects to achieve 90% power with these
 216 assumptions was N = 208, with alpha = 0.05. Data analysis was performed
 using STATA 9.0 [18]. Proportions, odds ratios, 95% confidence intervals and
 218 p-values were also calculated to determine differences between tests.
 McNemar’s test was applied to the proportions predicted by each test. A
 220 sensitivity and specificity analysis was performed comparing the results of
 sputum cytology to AFB.

3. Results

222 To date, 402 patients have been evaluated for the study. A total of 207 proved
 224 to be eligible and 186 were enrolled. A total of 169 of the 186 enrolled patients
 have completed all of the surveillance procedures and are included in this data
 226 analysis. Accrual nears the target of 208, and ongoing surveillance continues
 with a follow up range of 3-16 months. Sputum induction with saline resulted
 228 in mild bronchospasm in 1 COPD patient who required treatment with
 bronchodilators. Seventeen patients cancelled bronchoscopy for personal
 230 reasons. AFB and SCT were completed on all other patients without
 unexpected complications.
 232 (Table 1) summarizes the baseline characteristics of study participants,
 including referral sources.

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Table 1 - Selected demographic and clinical characteristics of enrolled patients

<i>Variable</i>	<i>Frequency</i>
Referral source, %	
Physicians	45%
Asbestos attorneys	24%
Self-referred	27%
NYS Smoker’s Quit Line	4%
Gender, male, n (%)	127 (70.6%)

Age, years, <i>mean (SD)</i>	63.1 (9.05)
Age range, <i>years</i>	37 to 83
Race, white, <i>n (%)</i>	175 (97.2%)
Smoking status, <i>n (%)</i>	
Current	61 (34.2%)
Former	116 (65.2%)
Never	1 (0.6%)
Person years of smoking, <i>mean</i>	58.0 PY
Asbestos exposed, <i>n (%)</i>	70 (39.3%)
COPD diagnosed ¹ , <i>n (%)</i>	117 (65.0%)
Prior Cancer	50 (29%)
Pulmonary symptoms at surveillance, <i>n (%)</i>	
None	16 (9%)
Dyspnea only	48 (27%)
Cough only	31 (17.4%)
Dyspnea and cough	65 (63.5%)
Others	18 (10.1%)

¹Chronic obstructive pulmonary disease

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240 Physician referrals provided the majority of patients (45%), with the remainder
 242 coming from asbestos litigation attorneys (24%), self-referrals (27%) and 4%
 244 from the New York State Smoker's Quit Line located at RPCI. The majority of
 246 patients were male (70.6%), white (97.2%), former smokers (65.2%) and on
 248 average, 63 years old. Approximately 39% exhibited asbestos-related lung
 disease on chest radiograph and 65% had a diagnosis of chronic obstructive
 pulmonary disease (COPD). Pulmonary symptoms from underlying pulmonary
 conditions were common, but did not correlate with the presence of cancer or
 premalignancy.

(Table 2) shows a summary of the results from the sputum, AFB and SCT tests.

Table 2 - Results of diagnostic studies of screened patients (n=169)

Diagnostic test	Number	Percent
Sputum		
Normal	73	67%
Metaplasia	35	32%
Dysplasia	1	1%
Insufficient	60	
Bronchoscopy		
Normal	28	17%

Inflammation	16	9.7%
Metaplasia	84	51%
Dysplasia	19	11.5%
CIS	2	1.2%
Cancer	3	1.8%
Other	18	10.7%
CT Nodules		
No	70	43%
Yes	85	52.1%
Non-solid opacities	8	4.9%

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254 The majority of the sputum samples classified as “adequate” were
 256 cytologically normal (67%). Conversely, the AFB detected metaplasia as the
 258 worst grade lesion in 51% of the patients, while 11.5% had dysplasia and 3%
 260 showed carcinoma in-situ (CIS) or endobronchial cancer. Laryngeal carcinoma
 in situ was also identified in 2 patients. In addition, 52% of the chest SCT
 performed showed at least one peripheral nodule. Sputum cytology was not a
 reliable predictor of outcome of AFB in this cohort of high risk patients (Table
 3).

Table 3 - Relationship between the detection of premalignancy with sputum cytology vs. AF bronchoscopy		

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Premalignancy*	Sputum positive	Sputum Negative	Total
Bronchoscopy*** Positive	26	52*	78
Bronchoscopy Negative	5	9	14
Total	31	61	92

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* McNemar’s significance probability = 0.0000

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** = squamous metaplasia, dysplasia, or carcinoma-in-situ (distinguished by histopathology)

*** = suspicious appearance on fluorescence, prompting biopsy

268 The majority of patients with metaplasia or worse identified with AFB had
 270 normal sputum cytology. Of the patients with sputum cytologies that *were*
 272 positive for metaplasia, 83% showed a metaplasia or worse on AFB. In this
 274 patient cohort, the sensitivity of sputum to predict a metaplasia or worse
 276 histology on AFB was 33% (95% confidence intervals 22.23% - 44.10%), with
 278 a specificity of 64% (95% confidence intervals 35.14 – 87.24%), and the
 detection of premalignancy with AFB was significantly better (McNemar’s p-
 value < .0001).

The 13 lung cancers identified in patients as part of this surveillance
 study, representing an overall rate of 7%. As shown in (Table 4), 7 of these
 cases (58%) proved to be diagnosed at stage 0 or 1a.

Table 4 - Surveillance-detected cancers detected on study

Cancer Cell Type	Stage	CT Result ¹	AF Bronchoscopy Result ¹	Treatment	Outcome Notes
Small cell	Limited disease	+	+	Chemotherapy radiation	Initial CR, PD at 24 months
Carcinoid	0	-	+	PDT ³	NED ² 3 years
Squamous cell	0	-	+	Electrocautery	NED ² 28 months
Squamous cell	0	-	+	Electrocautery	Expired from MI at 24 months
Adenocarcinoma	Ia	+	-	Lobectomy	NED ² 26 months
Adenocarcinoma	Ia	+	-	Lobectomy	NED ² 11 months
Adenocarcinoma	Ia	+	-	Radiation	Expired at 16 months from metastatic disease
Adenocarcinoma	IIIb	-	-	Radiation	Expired: interval cancer
Adenocarcinoma	IV	+	-	Chemotherapy	Expired: metastatic renal cancer
Adenocarcinoma	IIIa	+	+	Chemotherapy Radiation	17 months post therapy
Squamous cell	Ia	+	-	Lobectomy	Adjuvant chemotherapy 5 months post resection
Adenocarcinoma	IV	+	-	Chemotherapy Thoracotomy	Expired 6 months
Non-small cell (neuroendocrine)	IV	+	-	Chemotherapy Radiation	Receiving treatment at 6 months

280 ¹ (+) = cancer detected; (-) = no cancer detected
² NED = no evidence of disease

³ PDT = photodynamic therapy

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In 6 out of 7 adenocarcinoma tumors, a central airway premalignant lesion was present, and in all but two of cancer patients, as central airway premalignancy was detected. In addition, the presence of metaplasia or worse on AFB significantly increased the chance of finding at least one pulmonary nodule on SCT (OR = 3.15, 95% confidence interval = 1.66-6.41, p-value= .001) (Table 5).

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Table 5 - Association between SCT detected nodule and AFB detected premalignant lesion

Premalignant Lesion	SCT Nodule		OR (95% CI)	P-value
	Yes	No		
Yes	58	41	3.15 (1.66-6.41)	.001
No	13	12		

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296 4. Discussion

We detected a 7% prevalence of lung cancer with bimodality surveillance in this cohort of high-risk patients. This figure is higher than reported disease prevalence in SCT-based screening trials, and we attribute this in part to risk assessment. Multifactorial risk modeling has been used in the setting of breast cancer [19], but advanced multifactorial models have not been validated in lung cancer. In our trial design, we reasoned that patients with more than one established risk factor could be expected to have greater risk for lung cancer than those with tobacco exposure alone. More recently, detailed risk models have been introduced [20] which should be incorporated into future surveillance trials. Even if bimodality surveillance lowers lung cancer related mortality, more predictive risk models will be needed for aggressive surveillance to become cost-effective [21]. Our data show that the clinical use of established risk factors permits a case selection that is relatively rich in premalignant and malignant events.

Although a trend towards increased detection was observed (p = 0.20) when AFB is added to SCT in high risk patients, we failed to reject the null hypothesis that bimodality surveillance is better than SCT alone. We believe that these findings are due to the sample size, and further study with a larger cohort will be necessary to show the clear superiority of a bimodality approach in high risk patients.

Although occult lung cancer is commonly detected with SCT in patients with negative CXR results [22], central squamous cell cancers are not usually detected with this method. Henschke et al. also reported on the use of SCT

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320 scan in a prevalence study of 1,000 high-risk volunteers in which 27 cases of
322 early stage lung cancer were detected. Of the 27 cases of lung cancer, only two
324 patients had endobronchial disease and only 1 had squamous cell carcinoma [4].
326 In a more recent Mayo Clinic lung cancer screening trial that enrolled 1,049
328 participants, only 2/40 lung cancer cases were detected with sputum cytology
alone and the rest were detected with low-dose SCT (LDSCT) [23]. Bechtel et
al reported the use of sputum cytology combined with CT scans of the chest in
126 patients with COPD. Thirty-two percent of the cancers detected had
positive sputum cytology, and 1 of these had a normal chest CT [24].
Squamous cell lung cancer represents approximately 25% of all lung cancer,
and the squamous cell type represented 25% of surveillance-detected lung
cancer in our cohort.

332 We found that AFB was well tolerated as a minor outpatient procedure,
even in high-risk patients, consistent with other investigators [25]. Patients in
334 our cohort were generally willing to undergo a repeat procedure if necessary,
suggesting that AFB examination for the follow-up of high-risk lesions is also
336 feasible. In the case of colorectal cancer screening, colonoscopy and flexible
sigmoidoscopy have been recommended in the screening guidelines for early
338 detection of occult carcinoma [26], [27], [28]. However, the impact of
screening with flexible sigmoidoscopy on colorectal cancer mortality remains
340 inconclusive [29]. Although randomized controlled data is ultimately needed to
see if this approach reduces lung cancer related mortality, such data will not be
342 available for many years. Existing current evidence does suggest however, that
the prognosis of early central lung cancers generally have favorable survival
344 characteristics, even when treated with endobronchial therapy [30]. For this
reason, we believe that the early detection of curable central lung cancer with
346 AFB should be employed in lung cancer surveillance algorithms for high risk
patients.

348 Abnormal sputum cytology has been considered a classic indication for
350 bronchoscopy. In the Mayo Clinic Lung Project, the sputum cytological
presence of malignant cells did detect 15% of all lung cancers, almost all of
352 which were squamous cancers [31]. Unfortunately, only 35/68 (51%) were
carcinoma in situ or microinvasive carcinomas, while the rest had bronchial
354 wall or cartilage invasion [32]. In two other randomized NCI-sponsored studies
designed to evaluate the added value of sputum cytology to a screening chest
356 radiographs strategy, sputum failed to reduce overall mortality in the screened
group [33], [34]. Our preliminary results from the first 169 high-risk patients
358 undergoing surveillance SCT and AFB found that certain high-risk patients can
exhibit negative conventional sputum cytology and still harbor significant early
360 malignant or premalignant changes in the central airway. Our findings differ
from the findings of the European AFB trial [13], which did not detect severe
362 dysplasia or carcinoma-in-situ in the subset of 56 patients that were pre-selected
based on tobacco exposure plus COPD or occupational exposure. The presence
364 of mild dysplasia or metaplasia in this patient group was not reported, however.
The Colorado SPORE detected a 6% incidence (5/79) of central malignancy

366 with AFB in a subset of COPD patients with moderate atypia and negative chest
368 radiographs [35]. Even in this experienced group with extensive experience in
370 sputum collection and interpretation, 537/2550 eligible patients (21%) failed to
372 submit at least 1 adequate sputum for examination [36] in a previous report.
374 Our data suggest that the majority of such patients actually have metaplasia or
376 worse. Sputum cytology is an inexpensive and noninvasive technique and it is
378 likely that investigational techniques will eventually augment the sensitivity of
380 sputum cytology. Our data rejects the null hypothesis that sputum cytology and
382 AFB are equivalent for the detection of intraepithelial neoplasia: AFB clearly
384 increased the detection rate of premalignancy ($p=0.0000$). This finding implies
386 that in patients with multiple risk factors for lung cancer, direct AFB should
388 be considered, where it is available. In this setting, the rate of cancer detection
with AFB exceeds the cancer detection rate of colonoscopy surveillance in
patients with positive fecal occult blood.

380 Even though we found a high prevalence of lung cancer in this relatively
382 small cohort, the fraction of early cancers was much lower than what is
384 described in most SCT trials [22], [36], [37], [38], and closer to the value found
386 in a similar cohort of COPD patients [24]. The poor prognosis of these patients
388 raises concerns regarding the ultimate limitation of lung cancer screening
described as lead-time bias. In addition, we also found one interval cancer in a
patient who had negative initial surveillance studies. The fraction of rapidly
growing lung cancers represents a subset of patients who will not benefit from
surveillance.

390 We found a remarkably high incidence of premalignant lesions in our
392 high-risk cohort. We believe that the detection of preneoplastic lesions is
394 clinically relevant. Breuer reported the follow up of 52 patients harboring 134
396 preneoplastic lesions were followed with serial AFB and found that 9% of
398 metaplastic and 32% of severe dysplastic lesions progressed to malignancy
400 [39]. The severity of dysplasia was not predictive of progression. In another
402 study, Bota et al followed 104 patients with 416 lesions for over 2 years with
serial AFB. In this cohort, 30% of metaplasia progressed to dysplasia (but 3
lesions progressed directly to carcinoma), and 37% of dysplastic lesions also
progressed [40]. Given the risk of progression, we believe that it is likely that
high risk patients with bronchial epithelial metaplasia and dysplasia should be
followed with serial AFB, in a manner analogous to patients with Barrett's
esophagus [41], unless mortality data should ultimately prove that this is
unnecessary.

404 We found a remarkable relationship between the presence of
406 premalignant lesions in the central airway and the presence of peripheral
408 pulmonary nodules identified on SCT. This finding needs to be confirmed, but
410 may be analogous to the observation by McWilliams, that premalignant lesions
in the central airways seemed to predict the presence of peripheral
adenocarcinomas [42]. In our smaller sample, the presence of central
pre-malignant lesions did not yet reach significance as a predictor of peripheral
lung cancer. The linkage between central premalignant lesions and
synchronous peripheral nodules suggest the possibility of a premalignant "field

412 effect". Further study is needed to determine if central metaplasia and dysplasia
414 are not merely precursors of airway cancer, but are also biomarkers of global
de-differentiation and proliferation throughout the lung.

416 **5. Conclusion**

Our data suggest that the presence of multiple risk factors for lung cancer can
418 guide the use of AFB. In high-risk patients, AFB as a part of bimodality
surveillance will detect central lung cancer and premalignancy that is even
420 missed by conventional sputum cytology. Further study is needed to determine
if a bimodality surveillance strategy that incorporates both AFB and SCT can
422 reduce lung cancer related mortality.

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